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FINANCIAL DISCLOSURE
REPORTS
OF MEMBERS OF THE U.S. HOUSE OF REPRESENTATIVES OF THE 100TH CONGRESS

FROM

January 1, 1986, to December 31, 1986

SUBMITTED TO THE CLERK OF THE HOUSE
PURSUANT TO 2 U.S.C. § 703(a)

(Volume 2 of 2)

JULY 1, 1987.—Referred to the Committee on Standards of Official Conduct and ordred to be printed

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

INSIDE MAIL

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

ALFRED A. McCANDLESS
(Full Name)

435 Cannon HOB
(Mailing Address)

Washington, DC 20515

✓ MC
(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 17 State CA

☐ Officer or Employee—Employing Office

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES X NO ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
NONE			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
1st Trust Deed on Indian Wells residential lot		
sold to Charles Callandar	Interest	D
Construction loan to Jerry Tirrell on single		
family residence in Calabasas, California.		
Fund source is Fidelity Savings.	Interest	D
Fidelity Savings	Interest	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.** **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES X NO ____ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
1st Trust Deed on Indian Wells residential lot	
sold to Charles Callandar	D
Construction loan to Jerry Tirrell on single	
family residence in Calabasas, California.	
Fund source is Fidelity Savings.	D
Fidelity Savings	D

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sale of residential lot in Indian Wells to Charles Callandar	January 1986	D
Loan for construction of single family residence in Calabasas, California to Jerry Tirrell. Fund source is Fidelity Savings account	Sepetember 1986	D

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
First National Bank in Coachella (personal loan, line of credit)	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Al McCandless	May 13, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Frank McCloskey
(Full Name)

3638 Bainbridge
(Mailing Address)

Bloomington, Indiana 47401

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

xx Member of the U.S. House of Representatives—District 8 State Indiana

☐ Officer or Employee—Employing Office ____

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO XX ____ NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Northrop Corporation 5/22/86	honorarium	$2000	
Boeing Company 5/23/86	"	$2000	
American Postal Workers Union 8/9/86	"	$2000	
National League of Postmasters 8/16/86	"	$1000	
National Association of Postmasters 8/18/86	"	$1000	
Bloomington Developmental Learning Center (spouse)	Salary		

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
None		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO XX___ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
None	

8 7 3 · 1 2 1 2 3 9 1

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
Boeing Company	Train ride to Philadelphia & 1 night's food & lodging in connection w/ speaki engagement
American Postal Workers Union	Roundtrip airfare for self(Indianapolis-San Francisco-DC)& wife (Indianapolis-San Francisco-Indianapolis) CONTINUED ON PAGE 5

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Frank McCloskey	5-14-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

[illegible]

Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Frank McCloskey
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*
VII	CONTINUED FROM PAGE 4:
	and food & lodging for self & wife for two nights in connection with speaking engagement
	National League of Postmasters: Roundtrip airfare (DC-Boston-Indianapolis) and food & lodging for one night in connection with speaking engagement
	National Association of Postmasters: Roundtrip airfare (Indianapolis-San Antonio-Indianapolis) and food & lodging for one night in connection with speaking engagement

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Bill McCollum
a/k/a
Ira William McCollum, Jr.
(Full Name)

1010 Cathy Drive
(Mailing Address)

Altamonte Springs, Florida 32714

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 5 State Florida

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
SEE ATTACHED CONTINUATION SHEET			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
SEE ATTACHED CONTINUATION SHEET		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
SEE ATTACHED CONTINUATION SHEET	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
SEE ATTACHED CONTINUATION SHEET		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
SEE ATTACHED CONTINUATION SHEET	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
President	Bill McCollum Intern Program, Inc. (non profit for high school students)
Board Member	Education Foundation of State Bank Supervisors Division of Conference of State Bank Supervisors
President	Committee on Limiting Terms, Inc. (non profit educational)
President	Committee on Limiting Terms Lobby, Inc. (non profit educational)

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Bill McCollum	May 13, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

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Page 1 of 6

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Bill McCollum a/k/a
Ira William McCollum, Jr.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II-A INCOME	SOURCE	DATE	AMOUNT
	Sun Banks, Inc.	1/27/86	$ 500.00
	United States League of Savings Institutions	1/23/86	2,000.00
	Florida Fruit and Vegetable Association	2/14/86	500.00
	Agricultural Producers	2/14/86	500.00
	The Brookings Institution	3/5/86	300.00
	Coalition for Regional Banking and Economic Development	4/22/86	500.00
	National Association of Federal Credit Unions	5/15/86	500.00
	The Brookings Institution	5/15/86	2,000.00
	Alliance for Capital Access	5/22/86	500.00
	Pfizer, Inc.	5/22/86	1,000.00
	American Defense Preparedness Assoc.	5/23/86	1,500.00
	Seton Hall University	5/28/86	300.00
	Barnett Banks of Florida, Inc.	7/18/86	2,000.00
	League of IBM Credit Unions	10/28/86	1,000.00
	Pharmaceutical Industry Washington Advisory Committee	11/12/86	1,000.00
	Harris Corporation	12/29/86	1,000.00
	Computer and Business Equipment Manufacturers Association	10/27/86	1,000.00

TYPE: ALL OF THE ABOVE ARE HONORARIA

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Page 2 of 6

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Bill McCollum a/k/a
Ira William McCollum, Jr.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II-B UNEARNED INCOME	SOURCE	TYPE	CATEGORY
	Barnett Bank of Central Florida	interest	A
	California Federal Savings and Loan Assoc.	interest	A
	Vanguard Municipal Bond Fund	capital gain	C
	Merrill Lynch Cash Management Account	dividend	A
	10 Merrill Lynch Mar 40 Call Options	capital gain	B
	5 General Motors June 85 Put Options	capital gain	A
	500 Shares Middle South Utilities	capital gain	A
	400 shares Walt Disney Productions	capital gain	D
	5 OEX June 230 Call Options	capital gain	A
	20 Bally Manufacturing Nov 15 Call Options	capital gain	A
	10 Seagate Technology Mar 15 Call Options	capital gain	A
	10 E F Hutton Jan 35 Call Options	capital gain	A

GPO: 1988 61-688 (m)

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Page 3 of 6

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Bill McCollum a/k/a
Ira William McCollum, Jr.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
III	IDENTITY	CATEGORY
HOLDINGS		
	REAL ESTATE	
	40 Acres real property, Hernando County, Florida (60% interest - good faith estimate)	D
	MONEY MARKET FUNDS	
	Barnett Bank Money Market Checking Account	C
	Vanguard Municipal Bond Money Market Fund	B
	STOCKS HELD 12/31/86	
	American West Airlines 1000 sh	B
	Lear Petroleum Corporation 500 sh	A
	Costco Wholesale Corporation 600 sh	B
	TIE Communications, Inc. 300 sh	A
	Elcor Corporation 400 sh	B
	STOCKS SOLD 1986	
	Thermo Electron Corporation 450 sh	B
	ISC Systems Corporation 1400 sh	B
	Middle South Utilities 500 sh	B
	Walt Disney Productions 400 sh	C
	Scientific Atlanta, Inc. 500 sh	B
	Merrill Lynch Feb 40 Call Options	A
	Merrill Lynch Mar 40 Call Options	A
	OEX Mar 220 Put Options	A
	OEX Apr 220 Put Options	A
	General Motors June 85 Put Options	A
	OEX June 230 Call Options	A
	IBM Aug 135 Call Options	A
	OEX Sept 240 Call Options	A
	Bally Manufacturing Nov 15 Call Options	A
	Seagate Technologies Mar 15 Call Options	A
	E F Hutton Jan 35 Call Options	B
	SCI Systems, Inc. 500 sh	B
	Vanguard Municipal Bond Fund-Insured Long Term 4,400 sh	C
	DEPENDENTS' STOCK 1986	
	Douglas McCollum - 60 sh Sun Trust	A
	Justin McCollum - 60 sh Sun Trust	A
	Andrew McCollum - 60 sh Sun Trust	A
	OTHER	
	Merrill Lynch Cash Management Account 15,416 sh	C
	Quick & Reilly Cash Equivalent Fund, Inc. 10,077 sh	B
	Vanguard Municipal Bond Fund-Insured Long Term 3,635 sh	C
	California Federal Certificate of Deposit (sold)	B

Page 4 of 6

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Bill McCollum a/k/a
Ira William McCollum, Jr.
(Name)

Continuation Sheet

Part
IV - TRANSACTIONS

Source, Type, Amount, Category, Description or Value (As Applicable)

DATE	DESCRIPTION	CATEGORY
1/22/86	Purchase: 10 Merrill Lynch Feb 40 Call Options	A
1/23/86	Sale: 10 Merrill Lynch Feb 40 Call Options	A
1/22/86	Purchase: 10 Merrill Lynch Feb 45 Call Options	A
2/10/86	Sale: 500 sh SCI Systems, Inc.	B
2/4/86	Purchase: 10 Merrill Lynch Mar 40 Call Options	A
3/12/86	Sale: 10 Merrill Lynch Mar 40 Call Options	A
2/21/86	Purchase: 300 sh Thermo Electron Corporation	B
11/10/86	Sale: 450 sh Thermo Electron Corporation	B
2/24/86	Purchase: 500 sh ISC Systems Corporation	B
4/9/86	Purchase: 400 sh ISC Systems Corporation	B
12/17/86	Sale: 1400 sh ISC Systems Corporation	B
1/27/86	Purchase: 10 Merrill Lynch Mar 45 Call Options	A
3/24/86	Purchase: 5 OEX April 220 Put Options	A
3/24/86	Sale: 5 OEX April 220 Put Options	A
3/24/86	Purchase: 5 OEX April 220 Put Options	A
3/25/86	Sale: 5 OEX April 220 Put Options	A
2/24/86	Purchase: 500 sh Scientific Atlanta, Inc.	B
3/25/86	Sale: 500 sh Scientific Atlanta, Inc.	B
3/26/86	Purchase: 5 General Motors June 85 Put Options	A
4/1/86	Sale: 5 General Motors June 85 Put Options	A
3/31/86	Sale: 500 sh Middle South Utilities	B
1/21/86	Purchase: 100 sh Walt Disney Productions	B
4/28/86	Sale: 200 sh Walt Disney Productions	B
7/8/86	Sale: 200 sh Walt Disney Productions	B
6/5/86	Purchase: 5 OEX June 230 Call Options	A
6/5/86	Sale: 5 OEX June 230 Call Options	A
6/11/86	Purchase: 10 AMR Aug 50 Put Options	A
7/21/86	Purchase: 10 IBM Aug 135 Call Options	A
7/28/86	Sale 10IBM Aug 135 Call Options	A
8/29/86	Purchase: 15 OEX Sept 240 Call Options	B
8/29/86	Sale: 15 OEX Sept 240 Call Options	A
9/17/86	Purchase: 20 Bally Manufacturing Nov 15 Call Options	A
10/22/86	Sale: 20 Bally Manufacturing Nov 15 Call Options	A
5/15/86	Purchase: 700 sh Elcor Corporation	B
11/10/86	Sale: 300 sh Elcor Corporation	A
11/19/86	Purchase: 10 Seagate Technology Mar 15 Call Options	A
11/28/86	Sale: 10 Seagate Technology Mar 15 Call Options	A
11/19/86	Purchase: 10 E F Hutton Jan 35 Call Options	B
12/4/86	Sale: 10 E F Hutton Jan 35 Call Options	B
11/7/86	Purchase: 20 Ryder System Nov 35 Call Options	A
5/22/86	Purchase: 500 sh Lear Petroleum Corporation	A
6/2/86	Purchase: 500 sh America West Airlines	B
11/10/86	Purchase: 400 sh Costco Wholesale Corporation	A
11/19/86	Purchase: 200 sh Costco Wholesale Corporation	A
11/19/86	Purchase: 300 sh TIE Communications, Inc.	A
2/28/86	Purchase: 339 sh Vanguard Insured Long Term Municipal Bond Fund (VMBF-INSLT)	A
2/28/86	Sale: 1,100 sh VMBF-INSLT	B
3/20/86	Sale: 3,300 sh VMBF-INSLT	C
3/25/86	Purchase: 3,079 sh VMBF-INSLT	C
4/1/86	Purchase: 278 sh VMBF-INSLT	A
2/28/86	Purchase: 12,980 sh Vanguard Municipal Bond Fund Money Market Portfolio (VMBF-MMP)	B
2/28/86	Sale: 3,014 sh VMBF-MMP	A
3/4/86	Sale: 10,680 sh VMBF-MMP	B
3/5/86	Sale: 2,313 sh VMBF-MMP	A
3/12/86	Purchase: 2,313 sh VMBF-MMP	A

Page 5 of 6

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Bill McCollum a/k/a
Ira William McCollum, Jr.
(Name)

Continuation Sheet

Part
IV - TRANSACTIONS

Source, Type, Amount, Category, Description or Value (As Applicable)

DATE	DESCRIPTIONS	CATEGORY
3/20/86	Purchase: 37,983 sh VMBF-MMP	C
3/25/86	Sale: 36,000 sh VMBF-MMP	C
4/1/86	Sale: 3,296 sh VMBF-MMP	A
4/2/86	Purchase: 5,257 sh VMBF-MMP	B
5/21/86	Sale: 5,960 sh VMBF-MMP	B
10/10/86	Purchase: 7,620 sh VMBF-MMP	B
12/24/86	Purchase: 4,499 sh VMBF-MMP	A

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Page 6 of 6

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Bill McCollum a/k/a
Ira William McCollum, Jr.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
VII REIMBURSEMENTS	SOURCE	BRIEF DESCRIPTION
4/2-3/86	Seton Hall University (seminar, speaking engagement)	Transportation, lodging, food for member
5/22-27/86	Brookings Institution (seminar, speaking engagement)	Transportation, lodging, food for member
10/3/86	League of IBM Credit Unions (convention, speaking engagement)	Transportation, food for member
5/30/86	Pfizer, Inc. (employee meeting, speaking engagement)	Transportation, lodging, food for member
1/24-25/86	Jacksonville Chamber of Commerce (seminar, program participant)	Transportation, lodging, food for member and spouse
2/2-5/86	United States League of Savings Institutions (convention, speaking engagement)	Transportation, lodging, food for member
2/14/86	Agricultural Producers (seminar, speaking engagement)	Transportation

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

David Keith McCurdy
(Full Name)

409 Cannon House Office Building
(Mailing Address)

Washington, DC 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 4 State Oklahoma

☐ Officer or Employee—Employing Office

☐ Check if amended Statement.

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REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Georgetown Univ. Hospital, Washington, DC (spouse)	salary		
Veterans Admin. Hospital, Washington, DC (spouse)	salary		
American Medical International Inc. (speech) Received 1-9-86	honorarium	2,000	
American Security Council (speech) received 1-16-86	honorarium	500	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
None		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
None	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
Electronics Industries Assn. (conference participant; expenses for self & spouse)	Airfare: DC - Ft.Myers, FL; Orlando, FL - DC; 4 days' food & lodging
Center for Strategic and International Studies (conference participant; expenses for self)	2 days food & lodging

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Dave McCurdy	May 14, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

Page 1 of 1

ETHICS IN GOVERNMENT ACT--FINANCIAL DISCLOSURE STATEMENT

David Keith McCurdy
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*			
II-A	SOURCE	TYPE	AMOUNT	DISPOSITION
	New York Times (newspaper article) received 3-6-86	honorarium	150	
	American Medical International Inc. (speech) received 3-6-86	honorarium	1,000	
	Sikorsky (speech) received 3-17-86	honorarium	1,000	
	General Dynamics (speech) received 4-8-86	honorarium	1,000	
	Congressional Quarterly (forum panelist) received 4-8-86	honorarium	300	
	McDonnell Douglas (speech) received 4-8-86	honorarium	2,000	
	Rockwell (speech) received 4-8-86	honorarium	1,000	
	Northrop (speech) received 4-9-86	honorarium	2,000	
	Fairchild (speech) received 4-10-86	honorarium	1,000	
	Connell Rice & Sugar (speech) received 5-7-86	honorarium	2,000	
	Johns Hopkins U./Institute of Foreign Policy Analysis (speech) received 5-15-86	honorarium	1,000	
	Lockheed-California (speech) received 5-20-86	honorarium	1,000	
	Harvard University (forum panelist) received 6/5/86	honorarium	1,000	
	Marquardt Co./ ISC (speech) received 6/26/86	honorarium	2,000	
	Technology Forum received 7/16/86	honorarium	500	
	Johns Hopkins U./IFPA (forum panelist) received 9/16/86	honorarium	750	
	Waste Management (speech) rec'd 11/11/86	honorarium	2,000	
	Pfizer, Inc. (speech) received 11/28/86	honorarium	1,000	Charity ($750)
	Los Angeles Times (newspaper article) received 12/15/86	honorarium	250	Charity ($175)

Part		
VII	SOURCE	BRIEF DESCRIPTION
	Harvard Univ. (participant: Harvard-Columbia Arden House Conference on American-Soviet Relations); expenses for self	Airfare: DC - New York City rnd trip; 2 days' food & lodging
	4th District Economic Development Task Force (economic development meetings); expenses for self	Airfare: Okla.City - Los Angeles - DC; 2 days' food & lodging

HAND DELIVERED

DAVE McCURDY
4TH DISTRICT, OKLAHOMA

COMMITTEES
ARMED SERVICES
SCIENCE, SPACE, AND TECHNOLOGY
CHAIRMAN, SUBCOMMITTEE ON
TRANSPORTATION, AVIATION AND MATERIALS
PERMANENT SELECT COMMITTEE
ON INTELLIGENCE

Congreſs of the United States
House of Representatives
Washington, DC 20515

DISTRICT OFFICES
330 WEST GRAY, SUITE 110
POST OFFICE BOX 1265
NORMAN, OK 73070
(405) 329-8500

103 FEDERAL BUILDING
LAWTON, OK 73501
(405) 357-2131

822 WILLOW
DUNCAN, OK 73533
(405) 252-1434

WASHINGTON OFFICE
409 CANNON BUILDING
(202) 225-6165

May 15, 1987

The Honorable Donnald K. Anderson
Clerk
U. S. House of Representatives
Office of Records and Registration
Washington, D. C. 20515

✓
MC

Dear Mr. Anderson:

My Financial Disclosure Statement for 1986 omitted one item which should have been included under Category VII, REIMBURSEMENTS, as follows:

SOURCE	BRIEF DESCRIPTION
4th District Economic Development Task Force (economic development meetings); expenses for self	Airfare: DC - Boston, MA rnd trip; two days' food and lodging

I would appreciate your including this letter with my report. Thank you very much for your assistance.

Sincerely,

Dave McCurdy

Dave McCurdy, M. C.

DM:sr

INSIDE MAIL

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

JOSEPH M. McDADE
(Full Name)

2370 Rayburn Building
(Mailing Address)

Washington, D. C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 10th State PA

☐ Officer or Employee—Employing Office ____

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X ____ NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See attached			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Cincinnati Milacron Stock	Dividend	B
T.Rowe Price Prime Reserve Fund	Interest	A
Dick O'Dea Realty(307 Clark Ave.½ rented)	Rental	C
Patrick Outdoor Advertising,signs-Luz.Co.	Rental	D
Golo Footwear, garage rent,307 Clark Av. Clarks Summit, PA	Rental	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __x__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Cincinnati Milacron Stock	C
[illegible]	
Home in Clarks Summit, PA - ½ rented	C
Undeveloped land in Luzerne Co.	C
T.Rowe Price Prime Reserve Fund	B
Garage at Clarks Summit Home rented to Golo Footwear	A
Shearson Lehman Muni. bond	C
Paris Bus. Forms Stock	A
Shearson - Money Market Acct.	C

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Paris Business Forms - Stock purchased	4-10-86	B
Shearson - Muni. Bond matured	10-15-86	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Northeastern Bank of Penna. (Mortgage on Clarks Summit home)	C
½ rented	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
See Attached	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Trustee	Kennedy Center for the Performing Arts
"	Keystone Jr. College
"	University of Scranton
"	Ford's Theatre
"	Robert Packer Hospital
Board of Regents	Wilson Center for Scholars

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Joseph McDade	13 May 87

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

Page ____ of ____

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Joseph M. McDade
(Name)

Continuation Sheet

Part

Source, Type, Amount, Category, Description or Value (As Applicable)

DATE	INCOME Source	TYPE	AMOUNT	DISPOSITION	
[illegible]	Hughes Aircraft	Honorarium	$1,000.		
[illegible]	The Tobacco Inst.	"	1,000.		
[illegible]	Rockwell International	"	1,000.		
[illegible]	McDonnell Douglas	"	2,000.		
[illegible]	IBM	"	1,000.		
[illegible]	Nat.Broadcasting Co.	"	1,000.		
[illegible]	Cosmetic,Toiletry & Fragrance		2,000.		
[illegible]	United Chem Con	"	2,000.		
[illegible]	Matthew Thornton Society	"	1,000.		
[illegible]	Textron	"	2,000.		
[illegible]	Lipsen,Whitten, Hamberger		2,000.		
4-2-86	The Marquart Co.	"	2,000.		
5-6-86	United Technologies	"	1,000.		
8-4-86	Martin Marietta	"	1,000.		
8-13-86	Lipsen,Whitten,Hamberger		1,500.		
				Donated to:	
9-26-86	Tenneco, Inc.	"	2,000.	St.Joseph's Center	
9-25-86	Problems/Solutions,Inc.	"	2,000.	St.Joseph's Center	
10-18-86	Boeing Vertol	"	1,500.	(St.Francis Kitchen	50
				(United Neigh.Cent.	50
				(Friends of Poor	50
10-31-86	Westinghouse	"	2,000	(Little Sisters of Poor	50
				(BurnleyWorkshop of the Poconos	50
				(Assn of People with Special Needs	50
				(Towanda Women's Center	50
Sept. 1986	Chrysler World Cup - Prize (Clock)		75.00 Est.		
Jan. 1986	Bob Hope Classic - Prize (Golf Bag)		175.00 Est.		
Nov. 1986	SKINS GAME - Prize-Golf Bag & Clubs		200.00 Est.		
Jun. 1986	Kemper Open - See Attached				

KEMPER OPEN

June 1-7, 1987

Tournament office
[illegible]

Honorary chairman
James S. Kemper, Jr.

General chairman
Ben F. Brundred, Jr.

Vice chairmen
Ralph V. Guglielmi
David H. Keiser

Executive committee
Ben F. Brundred, Jr.
Michael F. Ohnem
Wilfred Dudley, D.D.S.
Steven J. Fine
Ralph V. Guglielmi
James S. Kemper, Jr.
David H. Keiser
Steven H. Lesnik
Rudolph F. Landolt
K. Donald Menefee
Joseph H. Riley
Jim Seeley
Peter T. Standbridge

April 25, 1987

Dear Congressman [redacted]

We have been asked by some of the Members of Congress who participated in the 1986 Kemper Open Pro-Am to furnish them with information on the value of the gifts provided them by our tournament. As a result, we have calculated the total value of the gifts to be $245.50 broken down as follows:

Men's Seiko Watch	$75.00
Ladies' Seiko Watch	57.00
Golf Shirt	16.00
Sweater Vest	17.00
Carry-All	14.50
Golf Bag	26.00
Bag Tag	5.00 ✓
Estee Lauder Gift Package	6.00 ✓
Astrolite	15.00
Golf Balls	14.00

Each year the Kemper Open Committee invites a number of prominent figures to participate in the Pro-Am portion of our tournament. This Committee is a separate entity from the Kemper Group, and no corporate funds were used to provide any of the above-mentioned items.

As you know, a portion of the proceeds from the Kemper Open Pro-Am is donated to various charities. In 1986 a total of $125,000 was given to the following charities: Boys' and Girls' Clubs of Greater Washington; Literacy Council of Northern Virginia; Boys' Club of America; Juvenile Diabetes Foundation; Heroes, Inc.; Cabin John Volunteer Fire Department; Boy Scouts of America, National Capital Area; Girl Scout Council of the National Capital Area; Children's Hospital; Big Brothers of the National Capital Area; Maryland Sheriff's Boys' Ranch; Montgomery Hospice Society; American Diabetes Association of the National Capital Area; American Cancer Society of Montgomery County; Easter Seal Society of the National Capital Area; Holy Cross Hospital Hospice Fund; and Paul Anderson Youth Home.

We are pleased that you were able to participate in the 1986 Kemper Open Pro-Am.

Sincerely,

Ben

Ben F. Brundred, Jr.

The Honorable Richard Schulze
U. S. House of Representatives
2201 Rayburn House Office Building
Washington, DC 20515

VII REIMBURSEMENTS

SOURCE	DESCRIPTION
Tobacco Institute (Speaking Engagement)	Lodging and Transportation 1/11-20/86 between Palm Springs and Los Angeles.
UNITED TECHNOLOGIES (Speaking Engagement)	Transportation from DC to White Plains, N.Y. to visit Sikorsky plant 5-9-86
Cosmetic, Fragrance & Toiletry Assn. (Speaking Engagement)	Transportation and lodging. DC to Boca Raton and return Feb. 20-24, 1986.
P/S Inc. (Charitable Golf Tournament)	Transportation to Sun Valley, ID Danny Thompson Charitable Golf Tournament August 22, 1986.
Boeing-Vertol (Speaking Engagement)	Transportation and lodging Oct. 20, 1986.
Westinghouse (Speaking Engagement)	Transportation - Elmira, N.Y. to BWI Oct. 31, 1986.
Trans World Capital (Speaking Engagement)	Transportation and lodging Nov. 24-25, 1986.
Charles E. Walker Associates (Speaking Engagement)	Transportation and lodging Nov. 25-26, 1986.
P/S Inc. (Round table Conference)	Transportation - DC to Los Angeles, 11-24-86.

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Honorable Bob McEWen
(Full Name)
329 Cannon Office Building
(Mailing Address)
Washington, D. C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 6th State OH

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and
(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and
(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA X

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
House of Representatives	salary	75,100	
(attached)	honorarium	22,530	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Financial institute	Interest	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO _____ NA X

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
314-316 Ida Circle	C

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
N/A		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
First Security Bank	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
N/A	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
N/A	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
N/A	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	N/A	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5/13/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

1986 HONORARIUM

	Source	Amount	Disposition
1/10/86	Indiana Medical PAC	2,000	
2/20/86	NE Ohio Savings & Loan League	1,000	
3/6/86	REP Central Comm. Van Wert County	200	
3/19/86	Assn. Christian Schools Internat'l	100	
3/24/86	Liberty College	500	
4/5/86	Ohio Pro-Life 86 Convention	500	
4/11/86	Taylor University	300	
5/5/86	Merrell Dow	1,000	
5/27/86	National Restaurant Assocation	1,000	
5/30/86	Society Bank	250	
5/30/86	Jackson City School District	150	
6/24/86	Cuyahoga Community College	500	
7/14/86	American Road/Transportation Bldg.	2,000	
7/24/86	Ohio Bell	750	
8/5/86	Outdoor Advertising Assn.America	1,000	
8/20/86	National Restaurant Assocation	1,000	
8/06/86	Merck & Company	2,000	
8/26/86	Ohio Bankers	300	
9/4/86	Outdoor Advertising Assn.-Ohio	2,000	
9/8/86	R. J. Reynolds	2,000	
9/23/86	Pfizer	1,000	
9/23/86	Ohio Savings & Loan	1,000	
10/14/86	The Associated General Contractors	2,000	Charity ($20.00)

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Hon. Bob McEwen
(Full Name)

329 Cannon Office Building
(Mailing Address)

Washington, D. C. 20515

✓ MC
(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 6 State OH

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA X

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts, such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
House of Representatives	Salary	75,100	
(attached)	Honorarium	22,510	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
n/a		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO ____ NA X

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
314-216 Ida Circle	C

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
n/a		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
First Security Bank - mortgage	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
n/a	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
n/a		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
n/a	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
n/a	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
n/a		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5/18/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987 69-232 (a)

1986 HONORARIUM

	Source	Amount	Disposition
1/10/86	Indiana Medical PAC	2,000	
2/20/86	NE Ohio Savings & Loan League	1,000	
3/6/86	REP Central Comm. Van Wert County	200	
3/19/86	Assn. Christian Schools Internat'l	100	
3/24/86	Liberty College	500	
4/5/86	Ohio Pro-Life 86 Convention	500	
4/11/86	Taylor University	300	
5/5/86	Merrell Dow	1,000	
5/27/86	National Restaurant Assocation	1,000	
5/30/86	Society Bank	250	
5/30/86	Jackson City School District	150	
6/24/86	Cuyahoga Community College	500	
7/14/86	American Road/Transportation Bldg.	2,000	
7/24/86	Ohio Bell	750	
8/5/86	Outdoor Advertising Assn.America	1,000	
8/20/86	National Restaurant Assocation	1,000	
8/06/86	Merck & Company	2,000	
8/26/86	Ohio Bankers	300	
9/4/86	Outdoor Advertising Assn.-Ohio	2,000	
9/8/86	R. J. Reynolds	2,000	
9/23/86	Pfizer	1,000	
9/23/86	Ohio Savings & Loan	1,000	
10/14/86	The Associated General Contractors	2,000	Charity ($20.00)

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Raymord J. McGrath
(Full Name)

281-24 Wheeler Avenue
(Mailing Address)

Valley Stream, New York

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 5th State New York

☐ Officer or Employee—Employing Office

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Circle E Leaseways Corporation	salary	10,500	
See attached statement	honoraria	12, 076	50

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Insurance Company	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.** **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
None	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
The Bank of New York	E

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
See attached list.	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Trustee	South Nassau Communities Hospital

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Raymond J. McGrath	May 13, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

Representative Raymond J. McGrath
1986 Financial Disclosure Statement
Page 5

I. INCOME

HONORARIA RECEIVED IN 1986

January 19, 1986 Speech to Distilled Spirits Council (San Diego, Ca.)	$2000
January 24, 1986 Speech at C.W. Post Public Policy Bfst. (Greenvale, N.Y.)	250
February 11, 1986 Speech at St. John's University Justice Committee (Jamaica, N.Y.)	750
March 2, 1986 Speech at Aetna Life and Casualty Co. dinner (Woodcliff, N.J.)	1500
May 13, 1986 Speech at Assn of State Colleges and Universities dinner (D.C.)	300
June 13, 1986 Speech for Stewart, Becker & Co. (N.Y.C.)	2000
June 23, 1986 Speech for Continental Information Systems (Syracuse, N.Y.)	1500
June 30, 1986 Speech to American Trucking Assn. (Los Angeles, Ca.)	2000
July 14, 1986 Speech to New York State Assn. of Broadcasters (Lake George, N.Y.)	776
July 29, 1986 Speech to Aetna Health/Life Assurance Organization (D.C.)	500
August 12, 1986 Speech to Preston Thorgrimson Law Firm (D.C.)	500
Total Speaking Fees Received in 1986	$12,076

VII. REIMBURSEMENTS IN 1986

January 17-21 Distilled Spirits Council Conference,
San Diego, Ca. Round trip air fare NY to CA., 4 days food/lodging

April 31-March 4, 1986--Conference participant--Electronics Industries
Association-Round trip air fare NY to Fla., 4 days food/lodging

June 18, 1986--Speech to Stewart, Becker & Co.,
New York City-one night lodging

June 22-24, 1986--Speech to Continental Information
Systems, Syracuse, N.Y. Round trip air fare NY to Syracuse,
2 days food/lodging

June 30, 1986--Speech to American Trucking Assn.
Los Angeles, Ca. Round trip air fare NY to CA, 2 days food/lodging

July 13-15, 1986--Speech to New York State Assn.
of Broadcasters, Lake George, N.Y., 2 days food/lodging

December 3-6, 1986--Speech to Bank Institute, Orlando, Fla. Round trip
air fare, 3 days food/lodging

INSIDE MAIL

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Matthew F. McHugh
(Full Name)

2335 Rayburn House Office Building
(Mailing Address)

Washington, DC 20515

MC

RECEIVED
87 APR 24 PM 2 37
(Office Use Only)

Check the appropriate box and fill in the blanks.

XX Member of the U.S. House of Representatives—District 28 State New York

☐ Officer or Employee—Employing Office ________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Coalition for Employment Through Exports, Inc. June 6, 1986	Honorarium	$1,000	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
The Franklin Group-U.S. Gov't. Securities Fund	Dividends	A
Scudder International Fund	Dividends	A
Scudder Income Fund	Dividends	A
Nicholas Fund	Dividends	A
Shearson Daily Dividend, Inc.	Dividends	A
Dominion Federal Savings & Loan	Interest	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Dominion Federal Savings & Loan--Money Market Account	B
New York City Municipal Bond	B
New York City Municipal Bond	B
Triborough Bridge & Tunnel Authority & Municipal Bond	B
Dreyfus New York State Municipal Bond Fund	B
Vanguard Municipal Bond Mutual Fund	A

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Purchased one New York City Municipal Bond	08-28-86	A
Purchased shares in Dreyfus NY State Municipal Bond Fund	09-18-86	A
Purchased shares in Dreyfus NY State Municipal Bond Fund	11-17-86	A
Purchased shares in Scudder Income Mutual Fund	05-22-86	A
Purchased shares in Vanguard Short Term Bond Fund	12-30-86	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
1/2 liability on a mortgage on real property at 983 Taughannock Boulevard, Ithaca, New York, held by First Federal Savings and Loan Assn. of Rochester	C
1/2 liability on mortgage on two units of real property at 5211 & 5213 Lake Village, The Meadows, Sarasota, Florida, held by Crossland Savings Bank of Bradenton, Florida	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Electronics Industries Association	Provision of travel, lodging & meals for participation in conference in Florida related to Congressional business

VIII. POSITIONS -

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Matthew F. McHugh	April 22, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Matthew F. McHugh
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*
IIB	Providence Savings & Loan -- Interest -- A
IIB	Crossland Savings Bank -- Interest -- B
IIB	Two New York Municipal Bonds -- Interest -- A
IIB	Vanguard Municipal Bond Fund -- Interest -- A
IIB	Sale of 100 shares of IBM stock -- Capitol Gain -- C
IIB	Sale of 129 shares of Scudder International Fund -- Capitol Gain -- B
IIB	Sale of 203 shares of Vanguard Specialized Portfolio Fund -- Capitol Gain -- A
IIB	Sale of 102 shares of Nicholas Fund -- Capitol Gain -- A
IIB	Real property at 983 Taughannock Boulevard, Ithaca, New York -- Gross Rents -- D
IIB	Real property at 5211 & 5213 Lake Village, Sarasota, Florida Gross Rents -- C
III	The Franklin Group -- U.S. Securities Mutual Fund -- A
III	Scudder Income Mutual Fund -- B
III	Vanguard Short Term Bond Mutual Fund -- A
III	Vanguard Investment Grade Bond Mutual Fund -- A
III	Fidelity Select American Gold Mutual Fund -- A
III	Neuberger & Berman Energy Mutual Fund -- B
III	Vanguard Municipal Money Market Fund -- A
III	1/2 interest in real property at 983 Taughannock Boulevard, Ithaca, New York, including lot and 3 units -- D
III	1/2 interest in real property at 5211 & 5213 Lake Village, The Meadows, Sarasota, Florida, including 2 units --D
III	Interest in American Bar Assn. Retirement Fund, including a certificate of deposit and shares in the Aggressive Equity Mutual Fund -- B
III	Interest in IRA accounts for husband and wife, including U.S. Treasury zero coupon bonds and shares in the Shearson Appreciation Stock Mutual Fund -- C
IV	Purchased shares in Vanguard Investment Grade Bond Fund 12-30-86 -- A
IV	Purchased shares in Fidelity Select American Gold Fund 09-25-86 -- A
IV	Purchased shares in Neuberger & Berman Energy Fund 09-26-86 & 11-17-86 -- A
IV	Sold shares of IBM stock -- 01-17-86 -- B
IV	Sold shares in Nicholas Mutual Fund -- A
IV	Sold shares in Scudder International Fund -- A
IV	Sold shares in Vanguard Specialized Portfolio Fund -- A

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

JOHN ALEXANDER McMILLAN, III
(Full Name)

401 Cannon House Office Building
(Mailing Address)

Washington, D. C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks:

X Member of the U.S. House of Representatives—District 9 State N.C.

Officer or Employee—Employing office

Check if Amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES _____ NO X NA _____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Capitol Forum	Honorarium	$500	
N.C. Food Dealers Ass'n.	Honorarium	300	
First Union National Bank	Honorarium	1,500	
Carlisle Collection - Apparel Firm	Fee	B	(Spouse)
Woodberry Forest School	Salary	C	(Dependent)

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See Continuation Sheet		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.** **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See,* Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See Continuation Sheet	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
See Continuation Sheet		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
See Continuation Sheet	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
See Continuation Sheet		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
See Continuation Sheet	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Trustee & Vice Chairman	Union Theological Seminary in Virginia
Trustee	Darden School Sponsors, University of Virginia
Member	Board of Visitors, Davidson College
Director	Center for Public Television, University of North Carolina
President	McMillan Company

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 12, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987

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Page 1 of 5

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

J. ALEX McMILLAN, III
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*			
II	UNEARNED INCOME SOURCE	TYPE	CATEGORY	
	Southeastern Savings and Loan Company	Interest	B	
	N. C. Medical Care Comm., S.E. General	Interest	B	
	N. C. Medical Care Comm., Morehead	Interest	B	
	N. C. Medical Care Comm., High Point	Interest	B	
	Wake County N. C. - Hospital	Interest	A	
	Ruddick Corporation	Dividend	C	
	First Union Corporation	Dividend	A	
	Southeastern Savings and Loan Company	Dividend	A	
	Lowe's Companies	Dividend	A	
	Wells Fargo Mortgage Corporation	Dividend	A	
	Ruddick Corporation	Capital Gain	F	
	Lowe's Companies	Capital Gain	C	
	Wells Fargo Mortgage Corporation	Capital Gain	A	
	Hutton Timber Partners	Capital Gain	A	
	IAS Associates (Computer Software)	Partnership		
		Net Income	B	
	Hutton Timber Partners (Timber)	Partnership		
		Net Income	(A)	
	Justice Oil and Gas (Oil and Gas Production)	Partnership		
		Net Income	(A)	
	McMillan Company - Leasing	Sub-S Corporation	(A)	
	Southeastern Savings and Loan Company	Interest	A	(Spouse
	Congressional Federal Credit Union	Interest	A	(Spouse
	Trust U/A - First Union - Common Stk. Fd.	Interest	A	(Spouse
	Trust U/A - First Union - Salem Tax Free Fd.	Interest	A	(Spouse
	Trust U/A - Tax Exempt Bd. Fd.	Interest	D	(Spouse
	N. C. Housing Fin. Agency Bond	Interest	B	(Spouse
	N. C. State DOT - Bond	Interest	A	(Spouse
	Lullwater Syndicate	Dividend	A	(Spouse
	Trust U/A - First Union - Common Stk. Fd.	Dividend	B	(Spouse
	Ruddick Corporation	Dividend	A	(Spouse
	Southeastern Savings and Loan Company	Dividend	A	(Spouse
	Pendleton St. Installment Sale	Capital Gain	A	(Spouse
	Trust U/A - First Union - Common Stock Fd.	Capital Gain	C	(Spouse
	Trust U/A - First Union - Tax Exempt Bd. Fd.	Capital Gain	B	(Spouse
	N. C. Housing Fin. Agency	Capital Gain	(A)	(Spouse
	Ruddick Corporation	Capital Gain	B	(Spouse
	Furman Company	Rental Income	E	(Spouse
	Health Care Properties	Partnership	B	(Spouse
	McMillan Company - Leasing	Sub-S Corporation	(A)	(Spouse
	R. E. Houston Estate	Estate	A	(Spouse
	R. E. Houston Residuary Trust	Irrevocable Trust	A	(Spouse
	Liberty Life Trust for Grandchildren	Irrevocable Trust	D	(Spouse

Page 2 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

J. ALEX McMILLAN, III
(Name)

Continuation Sheet

Part — *Source, Type, Amount, Category, Description or Value (As Applicable)*

III HOLDINGS

IDENTITY	TYPE	CATEGORY
Southeastern Savings and Loan Co.	Money Mkt. Acct	C
Alex McMillan Committee	Account Receivable	B
N.C. Medical Care Comm. - S.E. Gen'l.	Bond	B
N. C. Med. Care Comm. - Morehead	Bond	B
N. C. Med. Care Comm. - High Point	Bond	B
Wake County - N. C.	Bond	A
Trust U/A - First Union	Salem Money Market Fd.	E
Ruddick Corporation	Common Stock	C
Southeastern Savings and Loan Co.	Common Stock	C
McMillan Co. - Sub-S Corporation	Company Stock Net Assets	A
First Union Corporation	Company Stock	B
Hutton Timber Partners - Timberland	Ltd. Partner (1)	A
Justis Oil and Gas - Oil and Gas Products	Ltd. Partner (1)	A
IAS Associates - Computer Softwear	Ltd. Partner (1)	A
600 Oz. Silver	Silver Bullion	A
Figure 8 Island - Lot 8	66% Interest @ Mkt.	E
Trust U/A - First Union	Salem Tax Free Fd.	A (Spouse)
Trust U/A - First Union	Tax Exempt Bond Fund	E (Spouse)
N.C. Housing Fin. Agency	Bond	B (Spouse)
N.C. State - DOT	Bond	B (Spouse)
Ruddick Corporation	Common Stock	A (Spouse)
Lullwater Syndicate	Common Stock	B (Spouse)
Southeastern Savings and Loan Co.	Common Stock	A (Spouse)
Trust U/A - First Union	Common Stock Fund	D (Spouse)
McMillan Co. - Sub-S Corporation	Common STock - Net Assets	A (Spouse)
Health Care Properties	Ltd. Partnership (1)	A (Spouse)
Minyard Property - Land	24% Interest	C (Spouse)
Smugglers Inn - Restaurant Bldg.	24% Interest	D (Spouse)
Pendleton Installment Note	Note	A (Spouse)
Figure 8 Island - Lot 8	34% Interest - Market	D (Spouse)
600 Oz. Silver	Silver Bullion	A (Spouse)
R. E. Houston Residuary Trust	25% Beneficial Interest (2)	C (Spouse)
Boyce Avenue Trust	25% Beneficial Interest (3)	C (Spouse)
Liberty Life Trust for Grandchildren	25% Beneficial Interest (4)	F (Spouse)

(1) Valued at Partner's Capital Balance
(2) Assets held in irrevocable trust over which beneficiary has no control
(3) Real Estate asset in which beneficiary has a remainder interest
(4) Trust assets bound to investment in 44,198 common shares of Liberty Corporation. Beneficiary has life income interest.

[illegible] 1 2 7 3

Page 3 of 5

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

J. ALEX McMILLAN, III
(Name)

Continuation Sheet

Part IV TRANSACTIONS *Source, Type, Amount, Category, Description or Value (As Applicable)*

	DESCRIPTION	DATE	CATEGORY
Sales	Ruddick Corporation - Common Stock	12/29/86	E
	Lowe's Companies - Common Stock	12/30/86	B
	Wells Fargo Mortgage Corporation Common Stock	12/30/86	B
	Ruddick Corporation - Preferred Stock	12/27/86	A (Spouse
	Trust U/A - First Union - Salem Tax Free Fd.	Various	A (Spouse
Purchases	Trust U/A - First Union - Salem Tax Free Fd.	12/30/86	E
	S. E. Savings and Loan - Money Market Fund	Various	B

Page 4 of 5

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

J. ALEX McMILLAN, III
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
VI GIFTS		
	Liberty necktie, Castle neckwear	
	Foot locker tee shire	
	GRENVILLE CLARK, Public Citizen by Gerald T. Dunn; compliments, The Grenville Clark Fund at Dartmouth College, Inc.	$22.50
	IN MY SOUL I AM FREE, sent by Mary Petruccione - San Francisco, Calif.	
	THE RETURN, Mike Evans Ministries, Bedford, Texas	$12.95
	AMNESTY INTERNATIONAL REPORT 1986; Ms. Patricia Rengel	
	Personalized 1987 Pocket calendar, Norfolk Southern	
	1987 "Dish Diary" Shell Oil Co.	
	Box household chemicals; Chemical Specialities:	$60.00
	'87 calendar, Austrian Trade Community in Washington	
	Godiva Chocolates; 1 pound; Consumer Bankers Assn.	$18.00
	Whitman Sampler - 1 pd. IC Industries	$ 5.00
	NC Peanuts: 1 pd 6 oz can; NC Peanut Growers Assn.	
	Fannie May Chocolates - 5 pounds UNOCOL	$30.50
	Box Smuckers Preserves, Parnell - Martin Cos., Inc.	$15.00
	Sierra Club '87 calendar	
	Wild Rice one pound - Union Theological Seminary	$ 8.00
	Asst'd. box products: RJR Nabisco, Wshington	$18.50
	Silver picture fram: Textron	
	Sleigh ornament in shaker box: Hallmark Ind.	$10.00
	"Cork" '87 calendar - Armstrong Co.	
	One pound box Golden Almond Candy: Choco. Manuf. Ass'n	$ 4.00
	Pocket calendar - '87 - Sandoz	
	Pocket calendar - '87 - First Union	
	'87 calendar - Amb. Kingdom Saudi Arabia; RHR Prince Bandar Bin Sultan	
	Pocket calendar and pen - Tom Lankford	
	DAY IN THE LIFE OF AMERICA: Merrill Lynch	$39.95
	'87 blue leather calendar - Austria	
	Picnic Basket with regional items: SE Tourism Bureau	$75.00
	Box of NC State matches	
	Winn-Dixie Turkey gift certificate	$20.00

Page 5 of 5

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

J. ALEX McMILLAN, III
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
VII REIMBURSEMENTS		
4/27/86	N. C. Food Dealers Convention - Speaker - Ashville, N. C.	
4/29/86	- Lodging - Food	$173.42
	- Recreation	68.21
5/13/86	First Union National Bank - Investment Seminar - Pinehurst, N. C.	
	- Airplane ticket Washington to Raleigh	$110.00
	- Car rental - Raleigh, Pinehurst-Charlotte	104.31
	- Lodging - meals	76.00

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Charles Thomas McMillen
(Full Name)

2135 Defense Highway
#9 Crofton Depot
(Mailing Address)

Crofton, Maryland 21114

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 4 State MARYLAND

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO ____ NA ✓

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See Attached Schedule A			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Oil and Gas Investments - See attached Sched. B	Distributions	Various
Real Estate Partnerships- See attached Sched. C	Distributions	Various
Interest- See attached Schedule D	Interest	Various
Dividends- See attached Schedule E	Dividends	Various
Rents- See attached Schedule F	Rent	Various

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.** **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Real Estate Partnerships - See attached Schedule G	Various
Oil and Gas Investments - See attached Schedule H	Various
Other- See attached Schedule I	Various

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
See attached Schedule J		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
See attached Schedule K	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986 is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Various - See attached Schedule L	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
Various - See Attached Schedule M		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
C. Thomas McMillen	May 15, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

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[illegible]
Section I. Income

SCHEDULE A

SOURCE	TYPE	AMOUNT	DISPOSITION
Washington Bullets (through 4/30)	Salary	$151,923	None
Washington Bullets (deferred compensation. See Sec. I)	Deferred Comp.	15,000	None
[illegible] Communications, Inc (through 12/31/86)	Salary	72,000	None
Second National Bldg. & Loan (through 12/31/86)	Directors Fees	1,000	None
Adidas (Three Stripes) (through 4/7/86)	Promotional & Appearances	8,000	None
Star Channel: Settlement relating to commissions earned in prior years. Sept. 1, Oct. 1, and Nov. 1, 1986 – $2,500 each.	Commissions	7,500	None

C. Thomas McMillen
Section II - Income

SCHEDULE B

OIL AND GAS - PARTNERSHIPS

1986 Distributions

PARTNERSHIP	CATEGORY
Union Drilling - Working Interest (1974-76 Programs)	D *
1978-A Program - Union Drilling- Limited Partnership	D
1978-B Program - Union Drilling- Limited Partnership	C
1979-A Program - Union Drilling- Limited Partnership	A
1979-B Program - Union Drilling- Limited Partnership	B
1981 Program - Union Drilling- Limited Partnership	A
DC Drilling- Partnership	C
DC Energy- Partnership	A

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C. Thomas McMillen
Section II - Income

SCHEDULE C

REAL ESTATE - LIMITED PARTNERSHIPS

1986 Distributions

PARTNERSHIP	CATEGORY
Abrams Square	C
FDA Associates	A
Main Park B	B
Plaza Vantage	A
Springdale Mall	C
SRF Longmont	C
SW Investors	B
VS Investors	E
TV Investors	A
Potomac Housing	A

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C. Thomas McMillan
Section II - Income

SCHEDULE D

INTEREST	CATEGORY
Robert Bodell	B
Abrams Square Partnership	A
American Mobilephone	D
Internal Revenue Service	A
Second National Building and Loan	A
Centrabank	A
Sovran/D C National Bank	A

1785

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C. Thomas McMillen
Section II - Income

SCHEDULE E

DIVIDENDS	CATEGORY
Second Nationa Building and Loan	A

C. Thomas McMillen
Section II - Income

SCHEDULE F

RENTS	DESCRIPTION	CATEGORY
#2 Village Green, Crofton, Md. -Sub-lease	Rents - Reimbursemts for use of staff and equipment.	Gross- C Net- B
Condominium- Rehoboth Beach, Del.	Rent	Gross- A Net- A

C. Thomas McMillen
Section III - Holdings

SCHEDULE G

REAL ESTATE -LIMITED PARTNERSHIPS

PARTNERSHIP	CATEGORY
Abrams Square	E
Main Park B Investors	D
SKF Longmont	B
SW Investors	C
VS Investors	E
Plaza Vantage Associates	C
FBA Associates	C
Springdale Mall Associates	C
TV Investors	C
Potomac Housing- Belle Haven	C

C. Thomas McMillen
Section III - Holdings

SCHEDULE H

OIL AND GAS INVESTMENTS

INVESTMENT	CATEGORY
Union Drilling	
1974 Program - Working Interest	B
1975 Program - Working Interest	C
1976 Program - Working Interest	C
1978-A Program - Partnership	A
1978-B Program - Partnership	A
1979-A Program - Partnership	A
1979-B Program - Partnership	A
1980-A Program - Partnership	A
1981 Program - Partnership	A
DC Drilling - Partnership	A
DC Energy - Partnership	A

C. Thomas McMillen
Section III - Holdings

SCHEDULE I

OTHER HOLDINGS

DESCRIPTION	CATEGORY
McMillen Communications Corp. (51% Interest) -Stock and Notes	D
Shore Cellular Communications -Stock	A
Frederick Cellular Communications -Stock	A
Potomac Valley Cellular -Stock	A
Computer Technologies -Stock	C
Leadville Mining -Stock	B
Marquip -Stock	D
RPC-Marcongale -Stock	C
Second National Building and Loan Association -Stock (Sold 1/87)	B
Summit Capital Corporation -Stock	A
Transpace Carriers -Stock and Notes	C
I R A Accounts	B
American Beeper Association -Limited Partnership	E
Maxcell -Note	F
American Mobilphone -Note	E
American Mobilphone -Stock (Sold 1986)	E
Maxicom -Partnership (Liquidated 1986)	E
Campbell Res. Inc. -Stock (Sold 1986)	A
Intergrated Barter -Stock (Sold 1986)	A
Rehoboth Beach, Del -Condominium - Rental Property (1/2 interest) (Sold 1986)	D

C. Thomas McMillen
Section IV - Transactions

SCHEDULE J

DESCRIPTION	DATE	CATEGORY
American Beeper Association, Limited Partnership purchased.	5/31/86	E
Rehoboth Beach, Del., Condominium. (Sold)	5/20/86	D
Maxicom, Liquidation of Partnership.	3/26/86	F
American Mobilephone- Stock and Notes (Sold)	7/31/86	F
Intergrated Barter Stock. (Sold)	12/31/86	A
Campbell Res. Inc. Stock. (Sold)	1/3/86	A
McMillen Communications Inc. Purchase of additional stock.	5/28/86	B

C. Thomas McMillen
Section V - Liabilities

SCHEDULE K

IDENTITY	CATEGORY
Citizens National Bank - Washington, D. C. -Equipment Loan - Secured	B
First Atlanta National Bank - Atlanta, Ga. -Demand Note - Secured	D
Sovran/DC National Bank - Washington, D. C. -Commercial Note - Secured	F
National Bank of Washington - Washington, D. C. -Line of Credit - Secured	D
3rd Century Leasing - Moberly, Mo. -Equipment Lease - Secured	B

870702-11527

C. Thomas McMillen
Section VIII - Positions

SCHEDULE L

POSITION	NAME OF ORGANIZATION
Chairman of the Board and Chief Executive Officer	McMillen Communications Corporation -Resigned position - 10/1/86
Chairman of the Board	Summit Capital Corporation -Resigned position - 2/11/87
Director	Second National Bldg. & Loan -Resigned position - 1/3/87
Player	Washington Bullets Basketball Club -Retired position - 4/86
Director	Close-outs Plus -Resigned position - 1/20/86
Endorsee	Adidas (Three Stripes) Contract -Terminated - 4/7/86

8 7 0 7 0 2 1 1 5 2 3

L. Thomas McMillen
Section IX - Agreements

SCHEDULE H

WASHINGTON BULLETS BASKETBALL ASSOCIATION - Landover, Maryland
Contract - Deferred Income

Contract Date	Deferred Income Amount	Due Date
1983	$15,000.	1/86
	15,000.	1/87
	15,000.	1/88
	15,000.	1/89
1984	15,000	1/88
	15,000	1/89
	15,000	1/90
	15,000	1/91
1985	20,000	1/89
	20,000	1/90
	20,000	1/91
	25,000	1/92

ATLANTA HAWKS BASKETBALL ASSOCIATION - Atlanta, Ga.
Contract - Deferred Income

Contract Date	Deferred Income Amount	Due Date
1980	$15,000	1/87
	15,000	1/88
	15,000	1/89

NATIONAL BASKETBALL PLAYERS ASSOCIATION - New York, N. Y.
Contract - Subject to change by vote of Players Assoc.
Current contract distribution details:

Severance Payments.

Amount Due	Due Date
$6,600	4/15/87
6,600	4/15/88
6,600	4/15/89
6,600	4/15/90
6,600	4/15/91

Licensing Payments:

Amount Due	Due Date
$11,000	1997
11,000	1998
11,000	1999
11,000	2000
11,000	2001

Pension Fund:

Amount Due	Due Date
$12,500.00	Age 55, payable yearly until death.

STAR CHANNEL - Charlotte, N. C.
Contract Term: March 1, 1982 to February 27, 1985
Contract - In exchange for marketing efforts commencing on March 1, 1982 and concluding February 1, 1985, L. Thomas McMillen, on behalf of Star Channel, is entitled to receive a percentage of sales and renewal an income from subscribers obtained through his efforts.

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A- For use by Members, officers, and employees

Connie Mack a/k/a Cornelius McGillicuddy, III
(Full Name)

1822 S.E. 45th Street
(Mailing Address)

Cape Coral, Florida 33904

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 13 State Florida

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See Attached			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Harvey L Hobbs Trust	Int. & Dividends	B
Prudential Life Insurance Company	Dividends	A
New York Life	"	A
50% House - Lot 41 - Captiva Palma - Captiva Island	Contract for Sale	C
Cable Savers	Interest	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Harvey L. Hobbs Trust	D
(50%) Lot 41 (100 x 100) & House - Captiva Palma - Captiva Island, FLA	C
Lots 3-5 Bl 4318 - Cape Coral, Florida	B
Lots 23-25 Bl 4309 - Cape Coral, Florida	B
(50%) Lots 2-3 Block B - Upper Captiva Island, Florida	D
(50%) Dock - Upper Captiva Island, Florida	B

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Florida Federal Savings & Loan - (50% interest in loan) Lot 41 -Captiva Palma	C
Sun Bank - (50% interest in loan) Captiva Island Lots	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Southseas Plantation, Captiva Island, Florida	Two Nights Lodging

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
See Attached	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Chairman	Florida Leadership Association Foundation, Inc.
Chairman	Florida Leadership Association Lobby, Inc.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Connie Mack	5-15-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987

[illegible]

Attachment
Connie Mack

II. Earned Income

Honoraria:

1-09-86	Edison Electric Institute	500.00
1-31-86	Committee For A Responsible Federal Budget	1000.00
1-30-86	National Association of Home Builders	2000.00
3-14-86	National Association of Federal Credit Unions	500.00
4-01-86	Autranet, Inc.	2000.00
5-05-86	American Council on Education	1000.00
5-30-86	American Resort and Residential Development Corporation	1000.00
6-19-86	American Stock Exchange, Inc.	2000.00
7-23-86	Claremont Economics Institute	1000.00
10-28-86	American Stock Exchange, Inc.	2000.00

Attachment
Connie Mack

VII. Reimbursements

1-9-13-86	Committee for Responsible Budget Roundtrip Airline Tickets from Washinton to New York, Lodging and Meals for Congressman and Mrs. Mack Symposium Participant
1-15-86	Edison Electric Institute Lodging and Meals provided for Congressman and Mrs. Mack Governmental Affairs Conference
1-23-25-86	Jacksonville Congressional Weekend Roundtrip Airline Tickets from Washington to Jacksonville, Lodging and Meals for Congressman and Mrs. Mack. Conference Participant
1-16-19-86	National Association of Home Builders Airfare from Fort Myers to Dallas to Miami Lodging and Meals For Congressman and Mrs. Mack Annual Meeting Speaker
2-24-86	Norm Lent Campaign Roundtrip Airfare from Washington to New York Lodging and Meals for Congressman and Mrs. Mack Fundraising Breakfast
3-13-16-86	Autranet, Inc. Roundtrip Airfare from Washington to Denver Lodging and Meals for Congressman Mack Symposium Speaker
3-21-86	Copper and Brass Lodging and Meals for Congressman and Mrs. Mack Speaker at Annual Meeting
5-2-86	Flordia Federation of Republican Women Roundtrip Airfare from Washington to Miami, Lodging and Meals for Congressman and Mrs. Mack Fundraising Reception, Miami
6-24-86	Claremont Economics Institute Rouundtrip Airfare from Washington to New York Lodging and meals for Congressman and Mrs. Mack Speaker at Conference
6-30-87	Global Monetary Project Smick Nedley and Associates

VII. Reimbursements (Continued)

Attachment
Connie Mack

	Roundtrip Airfare from Washington to Zurich, Switzerland, Lodging and Meals for Congressman and Mrs. Mack. Participant in International Parliamentary Summit
8-23-86	People for Rylander Campaign Austin, Texas Pro-rata Share of Airline travel from Orlando to Texas to Sarasota, Florida for Congressman Mack Campaign Event Appearance
8-23-86	Boulter Better Congress Club Amarillo, Texas Pro-rata Share of Airline travel from Orlando to Texas to Sarasota, Florida for Congressman Mack Campaign Event Appearance
8-25-87	Re-Elect Armey to Congress Lewisville, Texas Pro-rata Share of Airline traavel from Orlando to Texas to Sarasota, Florida, and Lodging for Congressman Mack Campaign Event Appearance
10-13-86	Conservatives Acting for Texas Political Action Committee Dallas, Texas Airfare from Jacksonville to Dallas to Washington for Congressman and Mrs. Mack Attend Reception and Dinner
12-3-5-86	U.S. Congressional Summitt Smick-Medley Train Ticket from Washington to New York and Airfare from New York to Washington, Lodging and Meals for Congressman Mack. Participant in Congressional Summit
12-27-86- 1-1-87	Miami Congressional Workshop Airfare from Fort Myers to Miami to Washington, Lodging and Meals for Congressman and Mrs. Mack Congressional Workshop Participant

[illegible]

Attachment
Connie Mack

VII Reimbursements (Continued)

REIMBURSEMENTS FROM THE FLORIDA LEADERSHIP FOUNDATION

6-6-86	Food Expense, Fort Myers, Florida
6-19-20-86	Airline Tickets, Washington-Tampa-Sarasota-DC, One Night Lodging, Tampa, Rental Car-2 days. For Congressman and Mrs. Mack
7-25-86	Airline Tickets, Washington-Sarasota-Ft. Myers-Washington, One Night Lodging, Sarasota, Rental Car-4 days. For Congressman and Mrs. Mack
7-20-86	One Night Lodging, Tampa For Congressman and Mrs. Mack
8-17-18-86	Two Nights Lodging and Food, Miami For Congressman and Mrs. Mack
8-18-20-86	Two Nights Lodging, Tampa For Congressman and Mrs. Mack
8-20-23-86	Three Nights Lodging and Food, Orlando For Congressman and Mrs. Mack
8-22-87	Food Expense, Melbourne, Fla For Congressman and Mrs. Mack
8-8-26-86	Two Nights Lodging, Tampa For Congressman and Mrs. Mack
9-6-86	One Night Lodging, Tampa For Congressman and Mrs. Mack
10-20-86	Airline Travel: Washington-Miama-Jacksonville-Fort Lauderdale-West Palm Beach-Orlando Congressman Mack
10-20-86	One Night Lodging, Miama Congressman Mack
10-20-86	Food Expense, Miami Congressman Mack
1-21-22-86	One Night Lodging and Miscellaneous Expenses, Jacksonville Congressman Mack

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Kenneth H. (Buddy) MacKay
(Full Name)

330 Cannon H.O.B.
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 6 State Florida

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

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- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

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STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

K. H. (Buddy)MacKay

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION." **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

	A. SOURCE	TYPE	AMOUNT	DISPOSITION
	Mid-State Federal S & L	Directors Fee	12,000	
5/8/86	National Restaurant Association	Honorarium	1,000	
1/31/86	Committee for a Reasonable Fed. Budget	Honorarium	2,000	
5/21/86	American Council on Education	Honorarium	1,000	

(continued on Page 5)

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Sun Bank of Ocala Checking account	Interest	A
B & S Investments Mortgage Receivable	Capital Gain/Int	E
Walt Seiler/Joe Harrison Mortgage Receivable	Interest	D
Joe Ed Wilson Mortgage Receivable	Interest	B
Jerry Holly Mortgage Receivable	Capital Gain	B
Mid-State Federal S & L	Dividends/Int	B

(continued on Page 6)

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO __X__ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Attached See Page 6	

[illegible] 1 5 3 K.H.(Buddy)MacKay

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Foreclosure of Mortgage-Boardman Grove	12/31/86	D

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Mid-State Federal S & L line of credit and loans	C
Sun Bank of Ocala secured and unsecured note	D
Barnett Bank of Ocala secured and unsecured note	C
Federal Land Bank of Columbia mortgage	D
Malcolm Duggan	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

7 7 7 7 7 2 7 1 9 5

K.H.(Buddy)MacKay

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

Identify source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
See Attached Page 7	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
See attached Page 8	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
K.H. (Buddy) MacKay	5/13/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

Page 5 of [illegible]

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

K. H. (Buddy) MacKay
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
II. A(continued)	
	7/21/86 Paine Webber Honorarium $1,250 Assigned to Charity
	9/25/86 Paine Webber Honorarium $1,250 Assigned to Charity
	11/19/86 American Bankers Assn.-Honorarium-$1,000-Assigned to Charity
	12/9/86 Dow, Lohnes & Albertson - Honorarium - $1,000-Assigned to Charity
	12/17/86 National Grocers Assn.-Honorarium-$500.00

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Page 6 of 8

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

K.H. (Buddy) MacKay
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II. B.	John Hankinson	Interest	C
	Barnett Bank Checking acct	Interest	A
	Equitable Life Assurance	Annuity	B
	J L Properties	Capital Gain/Int	E
	MacKay-Fisher Partners	Capital Gain	E
	H.L. Clemmons Trustee	Capital Gain	D
III.	Note Receivable K.H. MacKay Trust		B
	Mortgage Receivable B & S Investments (satisfied 10-86)		C
	Mortgage Receivable Walt Seiler/Joe Harrison		D
	Mortgage Receivable Joe Ed Wilson		C
	Wingspread, Inc. Common Stock & Loan Receivable		E
	GBJ Cow Partnership		A
	MacKay-Fisher Partners, family owned timber partnership in Taylor County, Florida; and cirtus and cattle partnership in Marion and Lake Counties, Florida		F
	PM Enterprises Partnership, Real Estate		D
	H.L. Clemmons, Trustee, Citrus Grove Partnership		C
	Mid-State Federal S & L , Common Stock		D
	Golden Gem Retain Certificates		A
	25% Remainder interest in Estate of F.W. Farnum, Sr., subject to life estate of Julia F. MacKay, Trust asset in timberland in South Carolina. Trust is irrevocable under will of K.H. MacKay, Jr.'s grandfather, F.W. Farnum, Sr.		E
	25% Remainder iterest in Trust u/w/o Kenneth H. MacKay, subject to life estate of Julia F. MacKay. Trust is irrevocable u/w/o K.H. MacKay, Jr.'s father		E
	Loan Receivable John Hankinson (received 5-86)		C
	Loan Receivable J.L. Properties (received 7-86)		B
	Spouse, Anne S. MacKay, owns 25% remainder interest in Estate of John W. Selph, Jr., subject to life estate of Frances M. Selph, Estate assets are publicly traded stocks and bonds		D
	Spouse, Anne S. MacKay, owns undivided 25% interest in mortgage receivable inherited from Bright Taylor. Property encumbered is residential real property in Ocala, Florida		C
	Spouse, Anne S. MacKay, owns undivided 25% interest in residential lots at S.E. Fort King Street, Ocala, Florida, which were acquired by gift from Francis M. Selph		D
	Boardman Grove-citrus grove located in Section 35, Township 17, Range 24, Marion County, FL approximately 35 acres		D
	Undivided interest in land in Arkansas		B
	MacKay Holly Cow Partnership		A
	Mid-Florida Production Credit		A

Page 7 of 8

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

K. H. (Buddy)MacKay
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
VI	Committee for A Responsible Federal Budget provided airfare between Orlando and New York, 3 days lodging and meals, for congressman and wife for participation in Symposium on Balanced Budget.
	Jacksonville Chamber of Commerce provided one-way airfare Orlando to Washington, 1 night lodging and meals for congressman to participate in legislative conference.
	Business Higher Education Forum provided round-trip trainfare between Washington, D. C. and Hot Springs, Virginia, 2 days lodging and meals for congressman and wife to participate in legislative conference.
	National Restaurant Association furnished congressman airfare between Washington and Chicago; spouse airfare between Orlando and Chicago; congressman and wife private flight between Chicago and Orlando.2 days food and lodging for participation in legislative conference.
	Mid-State Federal Savings & Loan Association furnished airfare between Washington and Orlando for congressman to attend Board of Directors Meeting .

[illegible]

Page 8 of 8

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

K.H. (Buddy) MacKay
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
VIII.	Director	Mid-State Federal S & L
	Trustee	Trust u/w/o K.H. MacKay
	Partner	H.L. Clemmons, Jr., Trustee
	Partner	PM Enterprises
	Partner	MacKay Holly Cow Partnership
	Partner	MacKay-Fisher Partners
	Partner	GBJ Cow Partnership

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Edward R. Madigan
(Full Name)

2312 Rayburn Building
(Mailing Address)

Washington, D/ C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 15 State IL

☐ Officer or Employee—Employing Office

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Cumberland Intl. Corp. (4/14)	Honor.	$1,000	
American Rice (4/14)	Honor.	1,000	
Western Peanut Growers (7/22)	Honor.	1,000	
A. E. Staley (1/8)	Honor.	1,000	
Ind. Bankers Assn. (1/21)	Honor.	2,000	

*Continued on attached sheet

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Cong. Fed. Credit Union	Interest	A
Olympic Federal (Bloomington)	Interest	A
First National Bank (Lincoln)	Interest	B
First National Bank (Lincoln) (Spouse)	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO __X__ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Cong. Fed. Credit Union	C
Olympic Federal (Bloomington)	A
First National Bank (Lincoln)	A
First National Bank (Lincoln) (Spouse)	C

[illegible] 2 3 3 [illegible] 1

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE BRIEF DESCRIPTION

Grocery Mfg. Assn. (Airfare & expenses (including Mrs. Madigan)
in conjunction w/speech (Palm Beach, Florida)
Farm Credit Council (Airfare & expenses (including Mrs. Madigan)
in conjunction w/speech (Miami, Florida)
Lederle Laboratories (Airfare in conjunction w/speech (Wayne, New Jersey)
*Continued on attached sheet

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Member, Bd. of Dir.	Olympic Federal Savings & Loan

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Edward Madigan	May 14, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

II. INCOME (continued)

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Natl. Agric. Chemicals Assn. (1/21/86)	Honor.	$1500	
Shared Medical Systems (3/7/86)	Honor.	2000	
Amer. Assn. of Nurse Anesthetists (1/2/86)	Honor.	2000	
Johnson & Johnson (1/17/86)	Honor.	1000	
Grocery Mfg. of Amer. (1/3/86)	Honor.	2000	
Natl. Food Processors (1/8/86)	Honor.	500	
The Fertilizer Instit. (2/4/86)	Honor.	1000	
Envirosphere Co. (1/14/86)	Honor.	1500	
U. S. Tobacco	Honor.	1000	
Pharm. Manu. Assn. (1/10/86)	Honor.	1000	
Grocery Mfg. of Amer. (1/31/86) Legal Comm.	Honor.	2000	
Farm Credit Council (2/21/86)	Honor.	1000	
Associated Milk Producers (4/8/86)	Honor.	2000	Donated to Charity
Commodity Exchange (5/30/86)	Honor.	1000	Donated to Charity
Renewable Fuels Assn. (10/8/86)	Honor.	1000	Donated to Charity
Reichhold Chemical (10/15/86)	Honor.	1000	Donated to Charity

VII. REIMBURSEMENTS (continued)

SOURCE	BRIEF DESCRIPTION
Natl. Rural Electric Coop. Assn.	Airfare in conjunction w/speech (Las Vegas, Nevada)
Ind. Bankers Assn. of Amer.	Airfare in conjunction w/speech (Las Vegas, Nevada)
Associated Milk Producers	Airfare & expenses in conjunction w/speech (Ft. Worth, Texas)
Commodity Exchange, Inc.	Airfare & expenses in conjunction w/speech (New York)
Chinese Natl. Assn. of Industry & Commerce	Airfare & expenses (including Mrs. Madigan) in conjunction w/fact finding mission (Taipei, Taiwan)
Hawaiian Sugar Planters Assn.	Airfare & expenses (including Mrs. Madigan) in conjunction w/fact finding mission

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Thomas J. Manton
(Full Name)

47-17 43rd Avenue
(Mailing Address)

Sunnyside, NY 11104

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 9 State New York

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.

- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.

- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Thomas J. Manton, P.C.	Salary	12,000	
Nat'l Council of Savings Institutions 5/30/86	Honorarium	1,000	
The Tobacco Institute 11/10/86	"	1,000	
Miller & Schroeder Financial, Inc. 7/14/86	"	2,000	
Securities Industry Associaiton 12/29/86	"	2,000	
(continued)			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
D. Manton Trust (spouse)	Interest	D
D. Manton Trust (spouse)	Dividends	B
Premises 47-17 43rd Avenue, Sunnyside, NY	Rent	C
Citibank, N.Q.	Interest	A
Manton for Congress, Inc.	Interest	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Thomas J. Manton, P.C. - Stock in Professional Law Corporation	C
Berkeley Coop. Towers Sec III Corp. - Stock in Coop Apartment	E
47-17 43rd Avenue, Sunnyside, NY - 2-family House	E
19-14 21st Road, Astoria, NY - 1-family House	E
D. Manton Trust (spouse) - Inter Vivos Trust	E
Citibank, N.A. - Savings Account	B
(continued)	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
166 Scott Drive, Atlantic Beach, NY - vacation home (sold)	4/1/86	F

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Citibank, N.A. - Co-op Apartment - Mortgage Loan	C
Long Island Savings Bank - 2-family Home Mortgages	E
Dime Savings Bank of N.Y. - 1-family Home Mortgage	E
Citibank, N.A. - Vacation Home Mortgage	E
A. & N. Martinez - 2-family Home - Second Mortgage	C
Citibank, N.A. - Professional Line of Credit	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
Council for a Secure America (Fact-finding)	Roundtrip Air Fare - U.S. to Israel
	Food, Lodgings (Self & Spouse) 3/25/86-4/3/66
Miller & Schroeder Financial Inc. (speech)	Roundrtip Air Fare to Minn., MN 7/14/86
Nat'l Council of Savings Institutions (speech)	Roundtrip Air Fare to Boca Raton, FL
(continued)	Food, Lodging - 5/3/-5/5/86

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
President & Sole Stockholder	Thomas J. Manton, P.C.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	(see continuation sheet)	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Thomas J Manton	MAY 14, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 69-235 (a)

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Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

THOMAS J. MANTON
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
II A.	Income Source (continued)			
	Merrill Lynch	5/20/86	Honorarium	$1,000
	The Capitol Forum	6/2/86	"	500
	Camp, Carmouche	7/16/87	"	1,000
	American Helenic	8/15/86	"	500
	Dime Savings Bank	9/2/86	"	1,000
	Thomas J. Manton, P.C. (spouse)		Salary	2,000
III	Holdings (continued)			
	Thomas J. Manton, P.C. - Pension Plan			C
	Thomas J. Manton, P.C. - Profit Sharing Plan			C
	Citibank, N.A. - I.R.A.			B
	House Federal Credit Unoin - I.R.A.			A
	Hamburg Savings Bank - I.R.A.			B
VII	Reimbursements (continued)			
	Securities Industry Associaiton (speech)			Roundtrip Air Fare to Boca Raton, FL. Food, Lodgings - 12/3-12/4/86
	AFL-CIO Maritime Trades Dept (speech)			Roundtrip Air Fare to Miami, FL. Food, Lodgings 2/13-2/15/86
IX	Agreements			
	Self; Thomas J. Manton, P.C.; and A.F. Pennisi (as successor in interest to law firm formerly known as Manton, Pennisi & Dowd)			Unpaid balance of buy-out agreement dated 1/1/85 modified to the amount represented by an interest in contingent fees in nine (9) pending personal injury cases, with no right to repurchase partnership interest.

GPO 19[illegible] 61-[illegible] (m)

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

EDWARD J. MARKEY
(Full Name)

2133 Rayburn Building
(Mailing Address)

Washington, DC 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 7th State MA

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO ____ NA X

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
SCHEDULE # 1 ATTACHED			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
MALDEN BANK FOR SAVINGS	INTEREST	A
BASEMENT APARTMENT - E ST., SE	RENT	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO __X__ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Residence on E Street, SE, Washington, D.C.; of which one-third (Basement Apartment) is used as a rental unit	E
IRA-E.F. HUTTON & CO., INC.	B
MALDEN BANK FOR SAVINGS	B

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
CONGRESSIONAL MORTAGE - ONE THIRD OF RESIDENCE USED AS A RENTAL	E
UNIT	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
SCHEDULE # 2 ATTACHED	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
	NONE

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Edward J. Markey	May 15, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

Page 1 of 2

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

EDWARD J. MARKEY
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
II. INCOME EARNED	SOURCE		TYPE	AMOUNT
	Dade County Nuclear Freeze	(1-26-86)	Honorarium	$ 500
	Northwestern University	(2-28-86)	Honorarium	1000
	Computer Professionals for Social Responsibility	(3- 1-86)	Honorarium	500
	American University	(3-12-86)	Honorarium	150
	Gannett	(3-26-86)	Editorial	125
	Ocean Spray	(3-27-86)	Honorarium	2000
	Los Angeles Times	(4-29-86)	Editorial	250
	Strategic Intergovernmental Management Exchange Publication	(5-20-86)	Honorarium	2000
	San Diego Conference on High Technology	(6-17-86)	Honorarium	1500
	Physicians for Social Responsibility of Ohio	(11-19-86)	Honorarium	1000
	Christian Science Monitor	(11-26-86)	Editorial	100
	Brookings Institute	(12- 5-86)	Honorarium	300

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Page 2 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

EDWARD J. MARKEY
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
VII. REIMBURSEMENTS	SOURCE	BRIEF DESCRIPTION		
	Associated Student Government of Northwestern University	Travel	IL	(2-86)
	Dealer Bankers Association	Travel/Lodging	FL	(3-86)
	Ocean Spray Cranberries, Inc. of Plymouth, MA	Travel/Lodging	TX	(3-86)
	National Association of Broadcasters	Travel/Lodging	TX	(4-86)
	Strategic Intergovernmental Management Exchange Publication	Travel	SC	(5-86)
	New England Conference of Public Utilities Commissioners	Travel/Lodging	MA	(6-86)
	San Diego Conference on High Technology	Travel/Lodging	CA	(6-86)
	New York Stock Exchange	Travel/Lodging	NY	(7-86)
	Whittacker & Baxter	Lodging	MD	(7-86)
	American Public Power Association Legal Seminar	Travel/Lodging	PR	(11-86)
	Physicians for Social Responsibility	Travel	OH	(11-86)
	Securities Industry Association Convention	Travel/Lodging	FL	(12-86)

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Ronald C. Marlenee
(Full Name)

2465 Rayburn House Office Building
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 2nd State Montana

☐ Officer or Employee—Employing Office

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE		TYPE	AMOUNT	DISPOSITION
Wheat Industry Council	5/6/86	Honorarium	1,000.00	
Langston University	9/13/86	Honorarium	1,304.00	
Lockheed	12/2/86	Honorarium	1,000.00	
Marlenee Big Sky Farms & Ranch		Partnership	8,400.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Marlenee Big Sky Farms & Ranch		
1) Misc. Oil Royalties (less than $400.00)	Rent	A
Marlenee Big Sky Farms & Ranch	Rents	E
New York Life Insurance	Interest	A
Montana National Bank	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A--not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E--$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Marlenee Big Sky Farms & Ranch, Scobey, MT	F
Two lots and cabin at Bridger Bowl, Bozeman, MT	E
C.D. Montana National Bank	B

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Federal Land Bank - Sidney, MT	E
Montana National Bank - Plentywood, MT	C
Western Bank, Bozeman, MT	B
Pete Hagfeldt - Scobey, MT	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
World Affairs Council Jan. Amman/Jordan	Rd. trip air fare, MT to Amman, lodging & meals for 7 nights, self & spouse
National Wool Growers Assoc. Jan. San Diego	speaking engagement
Safari Club International Conv. Jan. Las Vegas	transportation, lodging & meals for self & spouse, 3 days, speaking engagement
Foreign Policy Inst. Istanbul/Turkey	Rd. trip air fare to Turkey, lodging & meals for 7 nights for self & spouse

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Partner & Owner	Marlenee Big Sky Farms & Ranch

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Ron Marlenee	May 14, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

David O'B. Martin
(Full Name)

41 Judson Street
(Mailing Address)

Canton, New York 13617

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 26 State New York

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting **honoraria**, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Wife - Canton Central School, part-time substitute teaching	Salary		
* See also continuation sheet attached			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Key Corp.	Stock Dividend	A
Key Bank of Northern New York - savings accounts	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO __X__ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Key Corp. - common stock	A
Key Bank of Northern New York - KeyAdvantage Account	B
Chase Lincoln First - Individual Retirement Accounts, self & wife	A

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sale of 69 acre woodlot, Russell, N.Y.	12/18/86	$15,500.00
Establish Individual Retirement Accounts, Chase Lincoln First, Canton, N.Y., self & wife	3/14/86	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Key Bank of Northern New York, N.A.	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
See continuation sheet	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Member of Board of Directors	Key Bank of Northern New York, N.A.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5/13/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

Page 5 of 5

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Rep. David O'B. Martin
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
II	EARNED INCOME	TYPE	AMOUNT	DISPOSITION
	Long Island Aeropac/Business Association - 1/7/86 Gordon L. Seaman - $500.00 Vincent M. Massina - $500.00	Honoraria	$1,000.00	
	Allied Bendix Aerospace - 3/17/86	Honoraria	$1,000.00	
	Corning Glass Works - 5/16/86	Honoraria	$1,000.00	
	Northrop - 5/19/86	Honoraria	$2,000.00	
	American Logistics Association - 6/20/86	Honoraria	$1,000.00	
	Key Bank of Northern New York, N.A. - quarterly fees	Director's fees	$1,925.00	
	Long Island Aeropac/Business Association - 10/6/86 Schwing Electrical Supply Corp.-$500.00 Cantor Bros. Glass Corp.-$500.00 Liberty Aero, Inc.-$500.00 Kleeban Tool Company, Inc.-$500.00	Honoraria	$2,000.00	
	United Technologies (Pratt & Whitney)-12/19/86	Honoraria	$1,000.00	

Part	Source	Description
VII	REIMBURSEMENTS	
	Allied Bendix Aerospace	Travel to Utica and return to Washington, D.C. for speaking engagement - 3/17/86
	Electronic Industries Association	Travel, lodging and meals for member and spouse, 3/31/86-4/4/86, Fort Myers, Florida, Legislative Meeting
	Bill Clark, Dimmitt Agri-Industries	Round-trip travel to 19th Congressional District, Texas, at invitation of Rep. Combest for tour of agribusiness operations, 4/18-19/86
	Northrop	Travel, lodging and meals for member, 5/8-9/86, for speaking engagement and tour of facilities at Whittier, California
	American Logistics Association	Travel, lodging and meals for member, 6/19-20/86, for speaking engagement in New York City
	United Technologies (Pratt & Whitney)	Travel to Hartford, Connecticut, 12/19/86, for speaking engagement

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

LYNN MORLEY MARTIN
(Full Name)

1208 Longworth House Office Building
(Mailing Address)
Washington, DC 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☑ Member of the U.S. House of Representatives—District 16 State Illinois

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
SEE ATTACHED SHEET			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Rent on 2 apartments at 127 4th Street SE	rent	D
First Federal Savings & Loan	interest	A
Fund for Government Investors (money market)	dividends	A
Rockford University Women Investment Club	dividends	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES * ✓ NO ____ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
½ of building at 127 4th Street SE, used as rental units	E
First Federal Savings & Loan, Rockford	A
Fund for Government Investors, money market fund	A
Rockford University Women Investment Club	A

* my daughters, ages 26 & 18, each have a trust fund set up by their father from whom I am divorced. I have no knowledge of the components of the funds, nor do I derive benefit from them

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
sale of Commonwealth Edison stock	9-15-86	B
(non reportable gift from relative		
on 9/1/86)		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Amcore Bank N.A., Rockford, Illinois	E
(portion of mortgage applied to rental property	
in III above)	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986:

SOURCE	BRIEF DESCRIPTION
SEE ATTACHED SHEET	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Lynn Martin	May 8, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

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Page 1 of 2

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Lynn Morley Martin
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)				
II	Colt Industries	1/24/86	H	$1,000	
	Smith Kline	2/28/86	H	500	
	U.S. Chamber of Commerce	3/13/86	H	500	
	Paine Webber	3/13/86	H	2,000	
	National Lumber Dealers Assn.	3/20/86	H	2,000	
	Bell South Corp.	4/1/86	H	2,000	
	Delaware Management Company	4/10/86	H	1,500	
	Associated General Contractors	4/10/86	H	2,000	
	Taylor Institute	4/23/86	H	500	
	Rockwell Corporation	4/23/86	H	1,000	
	Northrop Corporation	4/29/86	H	2,000	
	Council for Advancement and Support for Education	5/7/86	H	250	
	Paine Webber	5/15/86	H	1,500	
	Celebration of Women	5/15/86	H	1,000	
	Paine Webber	5/21/86	H	2,000	($750)
	Public Affairs Council	6/2/86	H	1,000	Charity
	Burlington Northern	6/2/86	H	1,500	
	Paine Webber	6/26/86	H	1,500	Charity
	Paine Webber	7/16/86	H	1,500	Charity
	Illinois Bell Telephone	8/15/86	H	1,000	Charity
	Paine Webber	9/9/86	H	1,500	Charity
	Philip Morris	10/1/86	H	2,000	Charity
	Paine Webber	9/12/86	H	1,500	Charity
	Paine Webber	9/28/86	H	1,500	Charity
	Westview Press	5/29/86	royalty	186	

CHARITABLE CONTRIBUTIONS FROM HONORARIA RECEIVED

Goldie Floberg Home	$ 750.00
Martin House	750.00
YWCA Capital Fund Drive	500.00
Rockford Literacy Council	250.00
FISH	250.00
Winning Wheels	500.00
Carroll County Health Services	500.00
Boylan High School Capital Fund Drive	250.00
Allen Chapel Conference on Black Family	200.00
First Step Day Care Center	500.00
Keen Age Center	500.00
Coatsworth Center	500.00
The Galena Foundation	500.00
University of Illinois Foundation	350.00
Freeport Area Church Coop.	250.00
Carroll County Good Samaritan Center	100.00
Local NAACP - Sterling	150.00
Lee County Senior Center New Bus Fund	200.00
Lee County Council on Aging	500.00
Village of Progress	500.00
Yellow Bird Senior Citizen Cntr.	500.00
Franklin Grove Park and Preservation Center	100.00
Highland Community College Foundation	500.00

[illegible]

Page 2 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Lynn Morley Martin
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
	CHARITABLE CONTRIBUTIONS FROM HONORARIA RECEIVED CONT.		
	Amity Society Day Care/Well Baby Clinic	$ 250.00	
	Big Brothers/Big Sisters	150.00	
	Rosecrance Memorial Homes for Children	500.00	
	Contact Rockford	150.00	
	St. Elizabeth Social Center	300.00	
	Booker Washington Center	200.00	
	Noah's Ark Animal Shelter	200.00	
	WTTW-TV	150.00	
	Martin Luther King Center	250.00	
VII	G.E. Credit Corporation seminar in Puerto Rico	1/12-17	Transportation, food & lodging (self/daughter)
	Associated General Contractors meeting in Honolulu	3/21-25	Transportation, food & lodging (self/sister)
	Bell South Corporation speech in Atlanta	3/26	Transportation, food & lodging (self/sister)
	Aspen Institute seminar in Bermuda	4/2-5	Transportation, food & lodging (self/daughter
	Northrop Corporation speech in California	4/14	Transportation, food & lodging

HAND DELIVERED

LYNN MARTIN
HOUSE ARMED SERVICES COMMITTEE

VICE CHAIRMAN
REPUBLICAN CONFERENCE

Congress of the United States
House of Representatives
Washington, DC 20515

WASHINGTON ADDRESS
SUITE 1208
LONGWORTH HOUSE OFFICE BUILDING
PHONE (202) 225-5676

DISTRICT OFFICE
[illegible]

May 18, 1987

The Honorable Julian C. Dixon
Chairman
Committee on Standards of Official
  Conduct
HT-2, The Capitol
Washington, DC 20515

✓
MC

Dear Mr. Chairman:

When filling out my disclosure statement I inadvertently omitted a position I hold on a Board.

I am a member of the Board of Directors and Secretary of the Institute for Illinois, a not for profit organization. I receive no compensation for this service.

Sincerely,

Lynn Martin
LYNN MARTIN
Member of Congress

LM:fm

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

MATTHEW G. MARTINEZ
(Full Name)

109 [redacted] CANNON HOUSE OFFICE
(Mailing Address)

WASHINGTON, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☐ Member of the U.S. House of Representatives—District 30 State CA

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
EQUAL EMPLOYMENT ADVISORY COUNCIL	A	500.00	
AMERICAN FEDERATION OF TEACHERS AFL-CIO	A	877.00	
MCDONALDS CORP.	A	284.00	
U.S. HISPANIC CHAMBER OF COMMERCE	A	1000.00	
FEDERAL MANAGERS ASSOC.	A	250.00	
LULAC FOUNDATION	A	1000.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
RENTAL PROPERTY	RENT	D
1ST TRUST DEED 4444 GARVEY, MONTEREY PARK	INTEREST	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
RENTAL PROPERTY MONTEBELLO, CA	F
HOUSE AND RENTAL - MONTEREY PARK	E

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Monterey Park Savings & Loan	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Matthew G. Martinez	5/7/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

[illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

MAY 15 1987

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

MATTHEW G. MARTINEZ
(Full Name)

109 CANNON HOUSE OFFICE BUILDING
(Mailing Address)

WASHINGTON, D.C. 20515

✓ MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☐ Member of the U.S. House of Representatives—District 30 State CA.

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.

- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.

- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Equal Employment Advisory Council	Honorarium	$ 500.00	2-25-86
American Federation of Teachers AFL-CIO	Honorarium	877.00	6-02-86
McDonalds Corp.	Honorarium	284.00	5-28-86
US Hispanic Chamber of Commerce	Honorarium	1000.00	9-21-86
Federal Managers Association	Honorarium	250.00	11-3-86
Lulac Foundation	Honorarium	1000.00	6-26-86

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Rental Property - 3514 Beverly Blvd., Montebello, CA	Rent	D
1st Trust Deed - 444 Garvey, Monterey Park, CA. 91754	Interest	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO _____ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Rental Property - 3514 W. Beverly Blvd., Mont. CA 90640	F
House & Property - 439 New Avenue, Monterey Park CA. 91754	F

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986 which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
- NONE -		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
MONTEBELLO PARK SAVINGS & LOAN - MORTGAGE	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation"

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of gifts of transportation, lodging, food, or entertainment aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
- NONE -	

B. The source, a brief description, and value of all other gifts aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
	- NONE -	

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986 is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986:

SOURCE	BRIEF DESCRIPTION
- NONE -	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
	- NONE -

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	- NONE -	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Matthew Martinez	5-15-87

WHERE TO FILE:

**RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:**

**The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515**

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Robert T. Matsui
(Full Name)

2419 Rayburn HOB
(Mailing Address)
Washington, DC 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 3 State CA

☐ Officer or Employee—Employing Office ____

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION" **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
see continuation sheet			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Wright Patman Federal Credit Union	interest	A
Central Bank of Sacramento	interest	A
Sears Bank Account in the name of Yasuji Matsui, as trustee for Brian R. Matsui, in Sacramento, CA	interest	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Wright Patman Federal Credit Union held in trust for Brian R. Matsui	B
Nartrov, Inc. (stock held jointly with Yasuji Matsui)	C
Sears Bank Account in the name of Yasuji Matsui, as trustee for Brian R. Matsui	C

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
see continuation sheet	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 11, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987

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ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Robert T. Matsui
(Name)

Continuation Sheet

Part II. INCOME A. Source	Source, Type, Amount, Category, Description or Value (As Applicable) Date Received	Type	Amount
Los Angeles Times	1-7-86	fee for article	$150.00
Dow, Lohnes & Albertson	1-2-86	honorarium	$1000.00
Dow, Lohnes & Albertson	1-6-86	honorarium	$1000.00
National Assn. of Realtors	1-7-86	honorarium	$2000.00
Little Tokyo Business Assn.	1-23-86	honorarium	$200.00
Pacific Telesis	1-29-86	honorarium	$1500.00
National Leased Housing Association	2-11-86	honorarium	$2000.00
American Hospital Assn.	2-21-86	honorarium	$1000.00
Pfizer, Inc.	2-26-86	honorarium	$1000.00
Golden State Report	2-20-86	fee for article	$200.00
National Association of Business Economists	3-4-86	honorarium	$1500.00
Wine Institute	3-7-86	honorarium	$1000.00
Columbia Institute for Political Research	3-6-86	honorarium	$1000.00
** Mobil Oil Corporation	3-5-86	honorarium	$2000.00
Miller & Schroeder	3-24-86	honorarium	$2000.00
Wells Fargo Bank	3-25-86	honorarium	$500.00
Pacific Telesis	4-3-86	honorarium	$1000.00
** CA Society of Anesthesiologists	5-1-86	honorarium	$2000.00
Grocery Manufacturers of America	5-21-86	honorarium	1000.00
** The Alliance for Capital Access	5-22-86	honorarium	$500.00
Scientific Apparatus Makers Association	5-27-86	honorarium	$1500.00
American Public Power Association	6-26-86	honorarium	$1500.00
** Alta California Ophthalmological Soc.	6-13-86	honorarium	$500.00
** Connell Rice and Sugar	6-26-86	honorarium	$2000.00
** JACL-LEC	7-25-86	honorarium	$1000.00
*** Dealer Bank Association	12-3-86	honorarium	$1500.00

*** $20.00 of $1500.00 assigned to charity
** $8000.00 of the above assigned to charity

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ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Robert T. Matsui
(Name)

Continuation Sheet

Part — *Source, Type, Amount, Category, Description or Value (As Applicable)*

VII. REIMBURSEMENTS

Source	Brief Description
U.S.-Japan Parliamentary Exchange Program in Tokyo, Japan (expenses for self and spouse)	Airfare: Dulles-Tokyo-Dulles Lodging and meals 1-10-86 to 1-17-86
JACL-LEC (speaker) (expenses for self and spouse)	One night lodging and meals
Pacific Telesis (speaker)	Airfare: Sacramento, CA - Dulles
National Leased Housing Assn. (speaker)	Airfare: Dulles - Los Angeles - Palm Springs - Sacramento; One night lodging and meals
Detroit JACL (speaker)	Airfare: Detroit - Dulles; One night lodging and meals
Pfizer, Inc. (speaker)	Airfare: Dulles - Los Angeles - Orange Co. - Sacramento - Detroit
Columbia Institute for Political Research (Snohomish/King Co. conference on jobs and economic growth)	Airfare: Dulles - Seattle - Wash., DC One night lodging and meals
JACL Philadelphia Chapter (speaker)	Airfare: Dulles - Philadelphia - Dulles; One night lodging and meals
CA Society of Anesthesiologists (speaker)	Airfare: Dulles - Sacramento - Ontario, CA - Sacramento - Dulles
New York Stock Exchange (speaker) (expenses for self and spouse)	Airfare: Dulles - Newark, NJ
American Public Power Assn. (speaker)	Airfare: Wash., DC - Toronto - Wash., DC; One night lodging and meals
San Leandro JACL (speaker)	Airfare: Dulles - San Francisco - Dulles; One night lodging and meals
Cedars Sinai Hospital (speaker)	Airfare: Sacramento - Los Angeles
Dealer Bank Association (speaker)	Airfare: Sacramento - San Francisco
Connell Rice and Sugar Co. (speaker)	Lodging 6-27-86 to 6-29-86 and meals
National Assn. of Realtors (speaker)	Airfare: Wash., DC - New Orleans - Wash., DC (honorarium received in 1986; air & lodging reimb. received in 1985)
Pacific Telesis (speaker)	Airfare: Dulles - San Francisco - Sacramento - Dulles; Two nights lodging
AIPAC (speaker)	Partial airfare reimb.: Dulles - San Francisco - Dulles; One night lodging
DNC Tennessee fundraiser	Partial airfare reimb.: San Franciso Nashville - Wash., DC; One night lodging
Mobil Oil (speaker)	They provided transportation via private aircraft
DNC Los Angeles fundraiser	One night lodging

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Hon. Nicholas Mavroules
(Full Name)

2432 Rayburn Building
(Mailing Address)

Washington, DC 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 6 State Mass

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

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I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

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STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? **YES** ______ **NO** X **NA** ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION".
IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
SEE ATTACHED SHEET - HONORARIUMS			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Installment Sale Land - Gloucester	Capital Gains	
Final Payment received in 1986		
Balance -0-		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.**
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TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
None	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Lynn Five Cent Savings Bank -- Personal Loan	A

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
SEE ATTACHED SHEET - REIMBURSEMENTS	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Partner	626 Lynnway Associates

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
✓ Nicholas Mavroules	✓ 5-13-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

[illegible]

FINANCIAL DISCLOSURE STATEMENT - 1986

II. INCOME

A. SOURCE	AMOUNT	TYPE
NEW ENGLAND LIFE	$ 2,000	Honorarium
NORTHROP	2,000	Honorarium
LOCKHEED	1,000	Honorarium
UNITED TECHNOLOGIES	1,000	Honorarium
RAYTHEON	2,000	Honorarium
AVCO	2,000	Honorarium
OSH KOSH	2,000	Honorarium
AERO JET	1,500	Honorarium
TRW	1,000	Honorarium
ACKERLY COMM	1,000	Honorarium
TOBACCO INSTITUTE	1,000	Honorarium
TECHNOLOGY FORUM	500	Honorarium
DIGITAL	1,000	Honorarium
TOTAL	$ 18,000	

VII. REIMBURSEMENTS

JANUARY:	Air Transportation & Lodging, Boston/Phoenix/Boston by New England Metro Life for speaking engagement
FEBRUARY:	Air Transportation, Boston/W.Palm/Boston by United Technologies for speaking engagement
MARCH:	Air Transportation & Lodging, Boston/Ft.Myer/Boston for conference of Electronic Industries Association of America (and spouse)
JUNE:	Air Transportation, Washington/Osh-Kosh/Washington by Osh-Kosh Trucking, for speaking engagement
	Air Transportation & Lodging, Boston/LosAngeles/Boston by Aerojet for speaking engagement

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

ROMANO LOUIS MAZZOLI
(Full Name)

1030 ANDERSON STREET
(Mailing Address)

ALEXANDRIA, VA 22312

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 3 State KY

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION." **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
SEE ATTACHED SCHEDULE MARKED IIA			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
SEE ATTACHED SCHEDULE MARKED IIB		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
SEE ATTACHED SCHEDULE MARKED III	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NOT APPLICABLE	N/A	N/A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NOT APPLICABLE	N/A

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NOT APPLICABLE	N/A

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NOT APPLICABLE	N/A	N/A

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986 is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel related expenses provided by federal, state, and local governments or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
SEE ATTACHED SCHEDULE MARKED VII	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
	NONE

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
N/A	NOT APPLICABLE	N/A

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Romano L. Mazzoli	5-12-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

871 1211275

SCHEDULE IIA: EARNED INCOME

SOURCE	TYPE	AMOUNT
ELECTRONIC INDUSTRIES ASSOCIATION (JAN., '86)	HONORARIUM	$1,000.00
AMERICAN FARM BUREAU FEDERATION (FEB., '86)	"	1,000.00
NATIONAL CABLE TV ASSOCIATION (MARCH, '86)	"	1,500.00
NATIONAL ASSOCIATION OF BROADCASTERS (APRIL,'86)	"	1,500.00
NORTHERN KY CHAMBER OF COMMERCE (JULY, '86)	"	230.00
GROCERY MANUFACTURERS OF AMERICA, INC. (JULY,'86)	"	2,000.00
PFIZER, INC. (AUGUST, '86)	"	1,000.00
NATIONAL RESTAURANT ASSOCIATION (OCTOBER, '86)	"	2,000.00
MOTION PICTURE ASSOCIATION OF AMERICA (NOV., '86)	"	2,000.00
AGRICULTURAL PRODUCERS, INC. (NOV., '86)	"	1,500.00
WESTERN GROWERS ASSOCIATION (NOV., '86)	"	2,000.00
SEYFARTH, SHAW, FAIRWEATHER & GERALDSON, ATTORNEYS AT LAW (DEC., '86)	"	2,000.00
BROOKINGS INSTITUTION (DEC., '86)		300.00
SEYFARTH, SHAW, FAIRWEATHER & GERALDSON ATTORNEYS AT LAW (DEC., '86)		750.00
DATA MANAGEMENT SPECIALISTS	SPOUSE'S SALARY	21,767.38

SCHEDULE IIB: UNEARNED INCOME

SOURCE	TYPE	CATEGORY
AMERICAN UNITED LIFE INSURANCE (SELF & SPOUSE)	INTEREST	A
CONGRESSIONAL FEDERAL CREDIT UNION (SELF)	"	A
LINCOLN FEDERAL SAVINGS & LOAN (SELF IRA)	"	A
LINCOLN FEDERAL SAVINGS & LOAN (SPOUSE)	"	A
MERITOR SAVINGS BANK (SELF & SPOUSE)	"	A
LIBERTY NATIONAL BANK & TRUST CO. (SPOUSE IRA)	"	B
LIBERTY NATIONAL BANK & TRUST CO. (SELF IRA)	"	A
U.S. TREASURY BILLS	"	B
LIBERTY NATIONAL BANK (SPOUSE)	"	A

SCHEDULE III: HOLDINGS

IDENTITY		CATEGORY
AMERICAN UNITED LIFE INSURANCE CO.	#16-011729-0 (SELF)	B
AMERICAN UNITED LIFE INSURANCE CO.	#16-11163212-0 (SPOUSE)	A
CONGRESSIONAL FEDERAL CREDIT UNION	#62976 (SELF)	B
LINCOLN FEDERAL S & L ASSOCIATION	#1037339 (SPOUSE)	A
MERITOR SAVINGS BANK	#05-99-76 (SPOUSE)	A
MERITOR SAVINGS BANK	#05-18-241 (SPOUSE)	A
MERITOR SAVINGS BANK	#05-96-1906 (JOINT)	A
LIBERTY NATIONAL BANK	#010090063046 (SPOUSE)	A
U.S. TREASURY BILL	#KFO-2-400-40-3938-01 (SPOUSE)	B
U.S. TREASURY BILL	#JR6-2-400-3938-01 (SPOUSE)	B
U.S. TREASURY BONDS SERIES E (SELF)		A
LIBERTY NATIONAL BANK & TRUST CO.	#29-5081232 (SELF IRA)	A
LIBERTY NATIONAL BANK & TRUST CO.	#01-527329 (SPOUSE IRA)	B
LINCOLN FEDERAL S & L ASSOCIATION	#1-01-205992 (SELF IRA)	A

SCHEDULE VII: REIMBURSEMENTS

SOURCE:	BRIEF DESCRIPTION:
JANUARY 9, 1986-JANUARY 11, 1986: ELECTRONIC INDUSTRIES ASSOCIATION. PANEL PARTICIPANT/QUESTION AND ANSWER SESSION ON TRADE ISSUES AFFECTING ELECTRONIC INDUSTRIES.	ROUNDTRIP TRANSPORTATION (AIR): WASHINGTON, D.C. TO LAS VEGAS, NEVADA. TWO NIGHTS LODGING/FOOD/ LOCAL TRANSPORTATION.
JANUARY 13, 1986: AMERICAN FARM BUREAU FEDERATION. ADDRESSED FARM-LABOR CONFERENCE ON IMMIGRATION REFORM AND CONTROL ACT OF 1986.	ROUNDTRIP TRANSPORTATION (AIR): WASHINGTON, D.C. TO ATLANTA, GEORGIA. FOOD/LOCAL TRANSPORTATION
MARCH 7, 1986-MARCH 9, 1986: THE BROOKINGS INSTITUTION. PARTICIPATED IN CONFERENCES WITH OTHER REPRESENTATIVES FROM LEGISLATIVE, EXECUTIVE, JUDICIAL BRANCHES, ON PROBLEMS AND ISSUES IN ADMINISTRATION OF JUSTICE.	ROUNDTRIP TRANSPORTATION (CAR): WASHINGTON, D.C. TO ANNAPOLIS, MARYLAND. TWO NIGHTS LODGING/ FOOD/LOCAL TRANSPORTATION (SELF AND SPOUSE.)
MARCH 16, 1986-MARCH 17, 1986: NATIONAL CABLE TELEVISION ASSOCIATION. PANEL PARTICIPANT/ QUESTION AND ANSWER SESSION ON COPYRIGHT ISSUES AFFECTING CABLE INDUSTRY.	ROUNDTRIP TRANSPORTATION (AIR): WASHINGTON, D.C. TO DALLAS, TEXAS. ONE NIGHT LODGING/FOOD/LOCAL TRANSPORTATION.
APRIL 13, 1986-APRIL 14, 1986: NATIONAL ASSOCIATION OF BROADCASTERS. PANEL PARTICIPANT/ QUESTION AND ANSWER SESSION ON SCRAMBLING, MUST CARRY, CABLE COPYRIGHT ISSUES AFFECTING BROADCASTING INDUSTRY.	ROUNDTRIP TRANSPORTATION (AIR): WASHINGTON, D.C. TO DALLAS, TEXAS. ONE NIGHT LODGING/FOOD/LOCAL TRANSPORTATION.
JUNE 15, 1986-JUNE 16, 1986: GROCERY MANUFACTURERS OF AMERICA, EXECUTIVE CONFERENCE. CHAIRED BREAKFAST MEETING ON STATE OF U.S. IMMIGRATION POLICY.	ROUNDTRIP TRANSPORTATION (AIR): WASHINGTON, D.C. TO GREENBRIER, WEST VIRGINIA. ONE NIGHT LODGING/ FOOD/LOCAL TRANSPORTATION.
AUGUST 28, 1986-AUGUST 29, 1986: PFIZER, INC. REMARKS/QUESTION AND ANSWER SESSION WITH EMPLOYEES ON PATENT LEGISLATION, BUDGET AND TAX ISSUES. TOUR OF PFIZER PLANT FACILITY.	ROUNDTRIP TRANSPORTATION (AIR): WASHINGTON, D.C. TO WILMINGTON, NORTH CAROLINA. ONE NIGHT LODGING/ FOOD/LOCAL TRANSPORTATION.
NOVEMBER 10, 1986-NOVEMBER 13, 1986: SEYFARTH, SHAW, FAIRWEATHER & GERALDSON, ATTORNEYS AT LAW, AGRICULTURAL PRODUCERS, INC., AND MOTION PICTURE ASSOCIATION OF AMERICA, INC. SEMINAR PARTICIPANT/ REMARKS/QUESTION AND ANSWER SESSIONS ON IMMIGRATION REFORM AND CONTROL ACT OF 1986 AND ON COPYRIGHT AND INTELLECTUAL PROPERTY ISSUES.	ROUNDTRIP TRANSPORTATION (AIR): WASHINGTON, D.C. TO LOS ANGELES, CALIFORNIA. TRANSPORTATION (AIR): LOS ANGELES TO SAN LUIS OBISPO, CALIFORNIA, AND SAN LUIS OBISPO TO VAN NUYS, CALIFORNIA. TRANSPORTATION (AUTO): SAN LUIS OBISPO TO MORRO BAY AND VAN NUYS TO LOS ANGELES, CALIFORNIA. THREE NIGHTS LODGING/FOOD/LOCAL TRANSPORTATION.

[illegible]

SCHEDULE VII: REIMBURSEMENTS (CONTINUED)

SOURCE:	BRIEF DESCRIPTION:
NOVEMBER 16, 1986-NOVEMBER 20, 1986: SEYFARTH, SHAW, FAIRWEATHER & GERALDSON, ATTORNEYS AT LAW. SEMINAR PARTICIPANT, DELIVERED REMARKS/QUESTION AND ANSWER SESSION, ON IMMIGRATION REFORM AND CONTROL ACT OF 1986.	ROUNDTRIP TRANSPORTATION (AIR): WASHINGTON, D.C. TO SAN FRANCISCO, CALIFORNIA. TRANSPORTATION (AIR): SAN FRANCISCO TO FRESNO, CALIFORNIA AND FRESNO TO LOS ANGELES, CALIFORNIA FOUR NIGHTS LODGING/FOOD/LOCAL TRANSPORTATION.
NOVEMBER 18, 1986: WESTERN GROWERS ASSOCIATION, ANNUAL CONVENTION. REMARKS/QUESTION AND ANSWER SESSION/PARTICIPANT IN SEMINAR ON IMMIGRATION REFORM AND CONTROL ACT OF 1986.	TRANSPORTATION (AIR): LOS ANGELES, CALIFORNIA TO PALM SPRINGS, CALIFORNIA, AND PALM SPRINGS TO SAN FRANCISCO, CALIFORNIA. FOOD/LOCAL TRANSPORTATION.
DECEMBER 15, 1986-DECEMBER 16, 1986: SEYFARTH, SHAW, FAIRWEATHER & GERALDSON, ATTORNEYS AT LAW. SEMINAR PARTICIPANT/QUESTION AND ANSWER SESSION ON IMMIGRATION REFORM AND CONTROL ACT OF 1986.	ROUNDTRIP TRANSPORTATION (AIR): WASHINGTON, D.C. TO CHICAGO, ILLINOIS. FOOD/LOCAL TRANSPORTATION.

HAND DELIVERED

[illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

JANIS L. MEYERS
(Full Name)

8408 W. 90th Street
(Mailing Address)

Overland Park, Kansas 66212

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 3 State KANSAS

☐ Officer or Employee—Employing Office ____

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
KCTV (LOUIS MEYERS)	SALARY		
Capital Federal LOUIS MEYERS	Talent fee		
SHAWNEE MISSION HOSPITAL SMOKING SEMINAR	honorarium	$ 750.	
Kemper Reinsurance	honorarium	1,000.	
Kansas City Surgical Society	honorarium	250.	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Capital Federal	Interest	A
Affiliated Fund	Dividend	A
Bank America Reality	Dividend A Capital Gain	A
American Capital Enterprises	dividend	A
Wyoming Land	Grazing fee	A
Metcalf Bank	interest	A
United Kansas Bank	interest	A
Koch Oil	royalty	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Silvar Lisco (A); Stock holder Systems, Inc. (A) Stereo Village (A); DH Technology Inc. (A); Travelers Insurance (A)	
Nueces County Texas Pollution Congrol Revenue Bonds	B
Gulf Coast Texas Pollution Control Revenue Bonds	B
Jefferson County Kentucky Pollution Control Revenue Bonds	B
Southeastern Oklahoma Pollution Control Revenue Bonds	B
Baxter Springs, KS. Pollution Control Revenue Bonds	B

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Bond, City of Scottsbluff		A
Unified School District, Wichita		C
(Last year, I was holding these two bonds for my mother		
who was ill; this year, I have returned them to her)		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
Contribution for tickets to American Cancer Society Gala $150 total		
Contributors: Mr. and Mrs. John C. Hoffman		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
4/15	Kansas Public Employees Retirement Systems Dating from 12 years' membership in Kansas Senate	Pension Plan (Receiving no benefits)

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Jan Meyers	May 14, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

[illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Kweisi Mfume
(Full Name)

3403 N. Hilton Road
(Mailing Address)

Baltimore, MD. 21215

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

XX Member of the U.S. House of Representatives—District 7 State Maryland

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ✓ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
City of Baltimore	Salary	$23,010.00	None
State of Maryland	Salary	$23,503.00	None
NAACP of Howard County	Honorarium	$100.00	None

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Property	Rent	C
U.S. Government	Tax Return	B
State of Maryland	Tax Return	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO ✓ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Property 3403 N. Hilton Road, Baltimore, MD. 21215	D

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
N/A		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
N/A	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of gifts *of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
N/A	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
N/A		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 14, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987 [illegible]

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

DANIEL ANDREW MICA
(Full Name)

2455 Rayburn Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 14 State Florida

☐ Officer or Employee—Employing Office ________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO XXX NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION".

IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See attached Statement 1			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Bank of Virginia Savings Account	Interest	C
Rental Income		D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.**

IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __XX__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Realty Growth Fund II	B
½ Interest in Certificate of Deposit held in trust for mother	
Fidelity Federal Savings Bank of West Palm Beach	C
Florida Residence and Rental Property (1585 D Forest Lakes Circle,	
West Palm Beach, Florida	D
IRA	B
Keogh	A

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
N		
O		
N		
E		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
R.A. Judelson Note Secured by Property	B
City Federal Savings and Loan	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
N	
O	
N	
E	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
N		
O		
N		
E		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
See Attached Statement 2	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
N	
O	
N	
E	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	N	
	O	
	N	
	E	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §~~706 and~~ 18 U.S.C. §1001).

Signature	Date
	5/12/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

STATEMENT 1

HONORARIA FOR 1986

1/28	National Constructors Assoc., Orlando, Fla.	500.00
2/6	Siemens Corp., Washington, D.C.	1000.00
2/15	Center for Labor and Industrial Relations (New York Institute of Technology) Fort Lauderdale	750.00
3/6	Enserch, Washington, D.C.	1000.00
4/1	Committee for Production Sharing, Miami, Florida	500.00
4/21	TRW, Washington, D.C.	1000.00
4/25	Marriott Corporation, New York City, New York	2000.00
5/9	SAIC, Captiva, Florida	1500.00
5/13	National Restaurant Association, Washington, D.C.	1000.00
5/16	IBM, Gaithersburg, Maryland	2000.00
5/20	Siemens Corp., Washington, D.C.	1000.00
6/20	Harris Corporation, Melbourne, Florida	2000.00
6/25	Sugar Symposium, Washington, D.C.	1000.00
7/21	Chase Manhattan, Boca Raton, Florida	500.00
7/26	Taiwan Benevolent Assoc., Washington, D.C.	1000.00
8/1	Gencorp, Miami, Ohio	2000.00
9/29	National Customs Brokers and Forwarders, Washington, D.C	500.00
10/23	Computer Business Equipment Manufacturers Assoc., Washington, D.C.	1000.00
11/12	National Association of Independent Life Brokerage Agencies, Dallas, Texas	2500.00**

** 500.00 of the 2500 donated to charity
The Lord's Place of West Palm Beach, Florida

11/22/85	Executive Enterprise, Miami, Fla. (Check received in 1986)	500.00
Total:		$22,750.00**

** 220.00 donated to Florida Atlantic
University Scholarship Fund

$22,530.00 income

STATEMENT 2

TRAVEL EXPENSES PROVIDED IN CONNECTION WITH SPEAKING ENGAGEMENTS IN 1986

National Constructors Association	Travel expenses
Marriott Corporation	Travel expenses
Center for Labor and Industrial Relations	Travel expenses
Committee for Production Sharing	Travel expenses
Science Applications International Corp. (SAIC)	Travel expenses
National Association of Independent Life Brokerage Agencies	Travel expenses
Harris Corp.	Travel expenses
Gencorp	Travel expenses

9 7 1 7 1 2 1 3 4 4 5

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Daniel Andrew Mica
(Full Name)

2455 Rayburn House Office Building
(Mailing Address)

Washington, D.C. 20515

mc ✓
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 14 State Florida

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XXXX NA ______

For more information, see detailed Instruction Booklet at page 7.

[illegible] 3 [illegible]

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See attached Statement I			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Bank of Virginia Savings Account	Interest	C
Rental Income		D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO XX ___ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Realty Growth Fund II	B
¼ interest in Certificate of Deposit held in trust for mother Fidelity Federal Savings Bank of West Palm Beach	C
Florida residence and rental property (1585 D Forest Lakes Circle) West Palm Beach, FLA.	D
Bank of Virginia Savings Account	C

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
N		
O		
N		
E		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
R.A. Judelson Note secured by property	B
City Federal Savings and Loan	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
N	
O	
N	
E	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
N		
O		
N		
E		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
See attached Statement II	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
N	
O	
N	
E	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	N	
	O	
	N	
	E	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5/08/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

STATEMENT 1 8 7 0 ' 0 2 1 3 1 5 7

HONORARIA FOR 1986

1/28	National Constructors Assoc., Orlando, Fla.	500.00
2/6	Siemens Corp., Washington, D.C.	1000.00
2/15	Center for Labor and Industrial Relations (New York Institute of Technology) Fort Lauderdale	750.00
3/6	Enserch, Washington, D.C.	1000.00
4/1	Committee for Production Sharing, Miami, Florida	500.00
4/21	TRW, Washington, D.C.	1000.00
4/25	Marriott Corporation, New York City, New York	2000.00
5/9	SAIC, Captiva, Florida	1500.00
5/13	National Restaurant Association, Washington, D.C.	1000.00
5/16	IBM, Gaithersburg, Maryland	2000.00
5/20	Siemens Corp., Washington, D.C.	1000.00
6/20	Harris Corporation, Melbourne, Florida	2000.00
6/25	Sugar Symposium, Washington, D.C.	1000.00
7/21	Chase Manhattan, Boca Raton, Florida	500.00
7/26	Taiwan Benevolent Assoc., Washington, D.C.	1000.00
8/1	Gencorp, Miami, Ohio	2000.00
9/29	National Customs Brokers and Forwarders, Washington, D.C	500.00
10/23	Computer Business Equipment Manufacturers Assoc., Washington, D.C.	1000.00
11/12	National Association of Independent Life Brokerage Agencies, Dallas, Texas	2500.00**
	** 500.00 of the 2500 donated to charity The Lord's Place of West Palm Beach, Florida	
11/22/85	Executive Enterprise, Miami, Fla. (Check received in 1986)	500.00
Total:		$22,750.00**

** 220.00 donated to Florida Atlantic University Scholarship Fund

$22,530.00 income

7 / 7 / 7 2 1 3 : 5 1

STATEMENT II

TRAVEL EXPENSES PROVIDED IN CONNECTION WITH SPEAKING ENGAGEMENTS IN 1986

1/28 -- National Constructors Association

Washington to Orlando, Florida to Washington -- Same Day travel

2/15 -- Center for Labor and Industrial Relations

Washington to Miami, Florida to Washington -- Same Day Travel

4/1 -- Committee for Production Sharing

Washington to Miami, Florida to Washington -- Same Day travel

4/25-- Marriott Corporation

Washington to New York City to Washington -- Same Day Travel

5/9 -- Science Applications International Relations

Washington to Captiva, Florida to Washington - Same Day Travel

6/20 -- Harris Corporation

Washington to Melbourne, Florida to Washington -- Same Day Travel

8/1 -- Gencorp

Washington to Miami, Ohio to Washington -- Same Day Travel

11/12-- National Association of Independent Life Brokerages

Washington to Dallas, Texas -- 11/12
Dallas to Washington -- 11/13

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Robert H. Michel
(Full Name)

H-232, U.S. Capitol
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 18th State Illinois

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
1/2	Gannett Outdoor of St. Louis	Honorarium	$ 500.	
1/2	Gannett Outdoor of Kansas City	"	500.	
1/2	Gannett Outdoor of Texas	"	500.	
1/2	Gannett Outdoor of Chicago	"	500.	
1/3	National Solid Waste Management Assoc.	"	2,000.	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
320 8th Street Associates	Rent	D
Barclay's Bank	Interest	B
Federal Credit Union	"	A
Mass Mutual Life Ins. Co.	"	A
Ohio Nat'l Life Ins. Co.	"	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.** **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO xx NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
2/3 interest in 320 8th St., S.E. Associates	E
Washington residence, 322 8th St., S.E.	E
Peoria residence, 1029 N. Glenwood, Peoria	D
contribution to Federal retirement system	D
Savings account (Federal Credit Union)	B

0 7 0 7 0 2 1 0 5 0 9

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Talman Home Federal Savings	D
Columbia Federal Savings & Loan	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
1/15-19 - participation in Bob Hope Desert Classic, where net proceeds donated to Eisenhower Medical Center	golf clothing & accessories	$250.00
3/30/-4/3- participation in Dinah Shore Pro-Am Golf Tournament, where net proceeds go to Desert Hosp. Found, United Way, Boys Club, Betty Ford Center	golf clothing & accessories	$200.00

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Outdoor Advertising Assoc. - speech	Air Travel expenses, lodging, Cong & Mrs. Michel-Peoria-Palm Spr-Peo
Cosmetic Toiletry & Fragrance ASsoc.-speech	Air Travel expenses, lodging, Cong. & Mrs. Michel-Wash-Ft.Lauderdale-Wash
Construction Products Mfgrs. Council	Air Travel expenses - Wash-Chic-Wash

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Trustee.	Bradley University
Partner	320 8th St., S.E. Assoc.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Robert H Michel	May 11, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

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U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

[illegible]

Page ____ of ____

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Robert H. Michel
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*		
IIA	2/6 Assoc. of Maximum Service Telecasters	Honorarium	$2,000.
	2/12 American Mining Congress	"	1,000.
	3/3 Cosmetic, Toiletry & Fragrance Assoc.	"	2,000.
	3/7 Technical Publishing	"	2,000.
	3/12 Timmons & Company, Inc.	"	2,000.
	3/5 United Technologies	"	2,000.
	3/27 Food Marketing Institute	"	500.
	4/1 Society of American Florists	"	2,000.
	4/10 American Medical & Review Assoc.	"	2,000.
	3/27 R.J. Reynolds Industries, Inc.	"	2,000.
	4/11 American Trucking Assoc.	"	2,000.
	4/24 Associated General Contractors	"	2,000.
	5/7 Wittenberg Associates	"	2,000*
	5/19 Batus	"	1,000*
	5/16 American Society of Association Executives	"	2,000*
	6/6 Sperry Corp.	"	2,000*
	7/7 Illinois Bell Telephone	"	1,000*
	7/17 Batus	"	1,000*
	7/31 Building Owners & Managers Assoc.	"	2,000*
	7/23 American Security Council Foundation	"	1,000*
	9/11 Equipac Seminar	"	2,000*
	9/15 Owens Corning Fiberglas Corp.	"	1,500*
	9/22 American Business Conference	"	2,000*
	9/30 Grocery Manufacturers Seminar	"	2,000*
	10/2 Arthur Andersen & Co.	"	2,000*
	10/19 Philip Morris	"	2,000*
	U.S. Treasury	Veterans Disability	882
	*assigned to charity		
VIB	5/26 - participation in Kemper Open Golf Tournament, where a portion of the proceeds are donated to Boys Club & various other charities	golf clothing & accessories	$245.50
	6/6-6/8 - participation in Bogie Busters Golf Tournament, where all net proceeds are donated to Johnny Bench Scholarship Fund	golf clothing & accessories	$150.00
	6/23 - participation in Lombardi Memorial Golf Tournament, where all net proceeds are donated to Vincent T. Lombardi Cancer Research Center at Georgetown Univ.	golf clothing & accessories	150.00
	8/16-19 - participation in Jerry Ford Invitational Tournament, where net proceeds are donated to Vail area charities	golf clothing & accessories	$200.00
VII	Technical Publishing (Duns)-speech	Air Travel expenses - Wash-Chic-Wash	
	Food Marketing Institute - speech	Air Travel exp-Wash-Palm Spr-Wash	
	R.J. Reynolds Industries, Inc.-speech	Air Travel exp-Wash-Wins-Salem-Wash	
	Bogie Busters Golf Tournament, where proceeds are given to Johnny Bench Scholarship Fund	Air Travel & lodging-Wash-Dayton-Wash	
	Building Owners & Managers Assoc.-speech	Air Travel - Wash-Chic-Wash	
	Jerry Ford Invitational Tournament, where proceeds are donated to Vail area charities	Lodging-Vail	
	Danny Thompson Golf Tournament, where proceeds go to Leukemia Research Fund	Air Travel & lodging-Wash-Sun Val-Wash	
	Equipac - speech	Air Travel - Wash-Pitts-Wash	

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Clarence E. Miller
(Full Name)

2208 Rayburn HOB
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

XX Member of the U.S. House of Representatives—District 10th State OHIO

☐ Officer or Employee—Employing Office

☐ Check if amended Statement.

GENERAL INFORMATION

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STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XXX NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Manville Corp. May 2, 1986	honorarium	$1,000.00	NONE
Columbia Gas Company	Pension	&1,500.00	
U.S. Government - Spouse	Social Security		

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
First Federal Savings and Loan	B	INTEREST
Wright Pitman Congressional Credit Union	A	INTEREST
Prudential	A	INTEREST
Prudential	A	INTEREST
H H BOND	A	INTEREST

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See,* Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO XXX NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Shares ANCHOR HOCKING	A
2 UNIMPROVED LOTS FAIRFIELD COUNTY OHIO	B

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Clarence E. Miller	May 8, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

273 3231273

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

GEORGE MILLER
(Full Name)

2228 Rayburn HOB
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 7 State California

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X_____ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
1/17 Nat'l Mortgage & Land Co.	honorarium	$500	none
1/20 Distilled Spirits Council of the U.S.	"	$2,000	"
1/30 AFSCME (Amer. Fed. of State, County & Municipal Employees	"	$2,000	"
2/15 Graphic Communications Internat'l Union	"	$500	"
2/26 American Public Welfare Assoc.	"	$500	"

(continued on separate page)

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Rent from D.C. residence (127 D St., SE)	rent	D ($7,150)

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __x__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
105 Jose Lane, Martinez, CA (mortgage - $100,000)	E ($150,000)
127 D Street, S.E., Washington, D.C. (mortgage - $72,000)	E ($150,000)
Dependent children: George Miller IV - savings account	B
Stephen Miller - savings account	B

8 7 0 7 0 2 0 1 9 8 0

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
none		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Personal Loan - Dorothy Rumsey Miller	B ($15,000)
Secured Loan - First Nationwide Savin-s and Loan	E ($100,000)
" - Columbia Savings	D ($70,000)

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
none	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
Big "C" Athletic Club, Concord, CA	annual membership	$1,000

[illegible] 3 1

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
California Assoc. for Health Services at Home	airfare D.C. - San Diego
Southern California Edison Co.	airfare D.C. - Palm Springs
The Florida Center for Children & Youth	r/t airfare D.C. - Tallahassee

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
none	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	none	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
George Miller	5-13-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987 [illegible]

Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

George Miller
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*				
		Source	type	Amount	Disposition
II.A.	3/13	National Community Action Foundation	honorarium	$750	none
	4/10	Wells Fargo & Company	"	$500	"
	4/11	California Assoc. for Health Services at Home	"	$2,000	"
	4/11	Massachusetts Mutual Life Ins. Co.	"	$2,000	"
	4/24	International Brotherhood of Teamsters	"	$1,000	"
	4/28	Southern California Edison Company	"	$2,000	"
	5/5	The Florida Ctr. for Children & Youth	"	$750	"
	5/28	HMS Associates	"	$2,000	"
	7/15	The Electric Utility Industry Seminars	"	$1,000	"
	8/10	American Postal Workers Union	"	$2,000	"
	9/9	American Public Welfare Assoc.	"	$2,500	*

*$250 given to King Hall Counselors, Davis School of Law, CA
$250 given to George Miller Youth Fund

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees.

John Ripin Miller
(Full Name)

439 Second Street Southeast
(Mailing Address)

Washington, D.C. 20003

HC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 1 State Washington

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR FXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
None			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Seattle Trust & Savings Bank	Interest	A
Shearson FMA Cash Fund	Dividends	A
Johnstown American Co. Bond	Interest	A
Shurgard L.P.	Interest	A
Bear Stearns	Interest	A
Fidelity Overseas Fund	Div. and Cap. Gain	A

continued

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See,* Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __x__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Carnation, WA 60 acres unimproved land	A
Microsoft Stock	A
Eldec Stock	A
Immunex Stock	A
Med Tech Stock	A
IRA Fidelity Magellan	A

continued

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
bought Eldec Corp stock	7-8-86	A
bought Immunex stock	2-18-86	A
bought Microsoft Stock	2-16-86	A
sold wa. Mutual Savings Bank stock	1-15-86	A
sold Wa. Mutual Savings Bank stock	1-27-86	A
	continued	

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Real estate - Enumclaw, WA	B
Real estate - Seattle, WA	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Anti Defamation League	Foreign Affairs fact finding trip to Israel - Airfare, meals and lodging for 7 days including spouse.
USA-ROC Economic Council, US-Japan Friedship Committee, Korea-US Economic Council, GA Technologies Corp., P&C Coal Co., American Family Life, Harris Corp, BellSouth, Tobacco Institute, Uwajmaya, Inc.	please see description on continuation sheet.

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
Jim Summers	Restaurant Gift Certificate	$100.

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
John Miller	May 12, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

Page 1 of 1

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

John Ripin Miller
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II INCOME	Rental Property, Seattle, WA	Rent	B
	Shearson Global Fund	Dividends & Cap. gains	B
	Wed Tech Bond	Interest	B
	The Reserve Fund	Interest	B
	Fidelity Magellan Fund	Dividends & Cap. Gains	B
	RE Contract Sale, Carnation, WA Property	Interest & Payments	C
	Academy III - payoff on sale of business	Cash Out	D
	Enumclaw, WA property	Cash Out on Sale	E
III HOLDINGS	IRA Balcor		A
	IRA Apache Corp.		A
	IRA Phil. Savings		A
	Seattle Trust & Savings Bank (varied - year's average)		A
	Unita Uncommon Values - UT #86		B
	Shearson Global Fund		B
	FMA Cash Fund (varied - year's average)		B
	Johnstown Conv. Bond		B
	Shurgard Real Estate L.P.		B
	Fidelity Magellan Mutual Fund		B
	Fidelity Overseas Fund		B
	Academy III		B
	½ Ownership House 82nd N.E. Seattle, WA		B
	May Department Store Stock		C
	Nordstrom Stock		C
	Enumclaw, WA 15 acres unimproved land		C
	Shearson Lenman, Special tax exempt bonds		C
	Manuf. Hanover Sp. Equity Trust (sold)		C
	Manuf. Hanover Tax Exempt Tr. Bond (sold)		C
	Manuf. Hanover Personal Trust Equity Fund (sold)		C
	First Trust - Units Mun #129		D
IV Transactions	sold and bought Unit Uncommon Value UT 85 to UT 86 "rollover" execution	7-18-86	B
	bought Nordstrom stock	6-5-86	B
	bought Nordstrom stock	2-18-86	B
	bought Nordstrom stock	6-24-86	C
	cash out Academy III (½ owner business)	5-31-86	C
	inheritance May Dept. Store stock	6-86	C
	inheritance Manufactures Hanover stock	6-86	C
	sold Manufactures Hanover stock	9-86	C
VI Gifts	Brief Description: Trade fact finding trip to the Republic of China, Japan, Republic of Korea. (Republican Trade Task Force on International Trade - Republican Research Committee.) Airfare, meals, lodging for 9 days including spouse.		

GPO 1986 [illegible]

[illegible] 1 1 2 3 0 4 2 5

MAY 29 1987

John R. Miller

To the Committee on Standards of Official Conduct

I want to amend the 1986 Financial Disclosure Statement by the following changes:

II Income
Bear Stearns – change to
Bear Stearns cash acct – interest – A
May Dept. Stores – dividends – A
The Reserve Fund – change to
The Reserve Group money market fund

III Holdings
add Bear Stearns cash acct E

IV Transactions
transferred the Reserve Group money market fund (D) to Bear Stearns cash acct (D) in November 1986

[illegible] bought Fidelity Magellan – B

[illegible] Bought Fidelity Overseas – B

John R. Miller

V. Liabilities

Real estate land in Mercer Island, WA
Contract holder - Mr. & Mrs. [illegible]

Real estate 72nd St Seattle, WA
Mortgage holder - Sea First Corporation

VI Gifts
Change the 2 trips listed to VII Reimbursements

Signed John Miller
Dated 5/27/87

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

NORMAN YOSHIO MINETA
(Full Name)

2350 RAYBURN HOUSE OFFICE BUILDING
(Mailing Address)

WASHINGTON, D. C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 13th State CALIFORNIA

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and
(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and
(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

8 7 0 7 0 2 1 1 5 2

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
SEE ATTACHED SHEET			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
CALIFORNIA FIRST BANK	DIVIDEND	A
1564 Peregrino Way, San Jose, CA.	RENT	E
837 Paloma Avenue, South Lake Tahoe, CA.	RENT	C

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
SEE ATTACHED SHEET	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
California First Bank, San Jose, CA. (Property at 1564 Peregrino Way, San Jose, CA.).	E
El Dorado Savings & Loan Assoc. (Property at 873 Paloma Avenue, South Lake Tahoe, Ca.)	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

[illegible]

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
SEE ATTACHED SHEET	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
SEE ATTCHED SHEET	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 14, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

Page 1 of 4

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

NORMAN Y. MINETA
(Name)

Continuation Sheet

Part — *Source, Type, Amount, Category, Description or Value (As Applicable)*

II. Earned Income A. Source

DATE	DESCRIPTION	TYPE	AMOUNT
1/24/86	Merrill Lynch	Honorarium	$1,000.00
1/25/86	Helicotpter Assn. International	Honorarium	$1,000.00
2/25/86	Wine Institute	Honorarium	$1,000.00
3/10/86	CA. State University Engineering Dean's Institute	Honorarium	$ 500.00
2/24/86	Interntional Thomson & Dill Reed Co.	Honorarium	$1,000.00
3/24/86	Associted General Contractors	Honorarium	$2,000.00
4/4/86	ASTA Western Regional Conference	Honorarium	$2,000.00
3/14/86	American Insurance Association	Honorarium	$1,000.00
4/7/86	Dow, Lohnes and Albertson	Honorarium	$1,000.00
5/12/86	Waste Management, Inc.	Honorarium	$2,000.00
5/12/86	Chicago Mercantile Exchange	Honcrarium	$ 500.00
5/12/86	Board of Trade-City of Chicago	Honorarium	$ 500.00
6/16/86	Airport Operators Council Int'l Inc.	Honorarium	$2,000.00
5/27/86	University of Clifornia-San Diego	Honorarium	$ 500.00
6/25/86	Hughes Aircraft Management Group	Honorarium	$2,000.00
6/6/86	LULAC Foundation	Honorarium	$1,000.00
5/15/86	Airline Pilots Association Int'l	Honorarium	$1,000.00
7/19/86	Americn Rod & Transportation Builders Assoc (ARTBA)	Honorarium	$2,000.00
9/9/86	Council for Chemical Research Inc.	Honorarium	$ 500.00
1/86 through 12/86	Mineta Insurance Agency (all income listed is derived from renewal commissions on policies sold prior to 1979 and thus not subject to the 30% Income limitation)	Income(GROSS) Income(NET)	$63,041.00 $22,198.00

[illegible] 1 1 1 5 3

Page 2 of 4

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

NORMAN Y. MINETA
(Name)

Continuation Sheet

Part — *Source, Type, Amount, Category, Description or Value (As Applicable)*

III. Holdings

IDENTITY	CATEGORY
One-fifth interest in improved real property at 545 N. Fifth Street, San Jose, CA.	B
California First Bank, San Jose, CA (Stock)	A
One-half interest in improved real property located at 1564 Peregrino Way, San Jose, CA.	E
Spouse's one-half interest in improved real property located at 1564 Peregrino Way, Ssan Jose, CA.	E
Mineta Insurance Agency, San Jose, CA.	C
One-quarter interest in improved real property located at 873 Paloma Avenue, South Lake Tahoe, CA.	C
Spouse's one quarter interest in improved real property located 873 Paloma Avenue, South Lake Tahoe, CA.	C

Page 3 of 4

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

NORMAN Y. MINETA
(Name)

Continuation Sheet

Part

Source, Type, Amount, Category, Description or Value (As Applicable)

VII Reimbursements

SOURCE	BRIEF DESCRIPTION
Merrill Lynch (Speaker)	One-way coach class air fare: Wash.(Dulles-Los Angeles) (two day trip).
Helicopter Assn. Inter. (Speaker)	One-way coach class air fare: Los Angeles to Wash.(Dulles) (two day trip)
CA. State Univ. Engineering Dean's Inst. (Speaker)	One-way air fare: San Jose-Los Angeles (one day trip)
Inter. Thomson & Dill Reed Co. (Speaker)	Round-trip air fare: Wash, D.C.-N.Y.C and Return(one day trip).
Associated General Contractors (Speaker)	Air fare: Wash(Dulles)-Honolulu-San Francisco(three day trip).
Waste Management, Inc (Speaker)	Round-trip Air fare: Wash.,D.C.-Chicago and Return(one day trip).
University of California-San Diego (Speaker)	Round-trip Air fare: San Jose-San Diego and Return (one day trip).
American Road & Transportation Builders Assoc. (ARTBA) (Speaker)	Round-trip Air fare: Wash(Dulles)-San Francisco & Return (two day trip).
American Society of Travel Agents (Speaker)	One way airfare: San Jose-Denver (one day trip)

9 7 9 7 9 2 1 1 1 5 5

Page 4 of 4

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

NORMAN Y. MINETA
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*
VIII. Positions	
POSITION	NAME OF ORGANIZATION
Proprietor	Mineta Insurance Agency, San Jose, CA.
Member	Board of Fellows University of Santa Clara, Santa Clara, CA.
Member	Board of Regents, Smithsonian Institution
Member	Cal in the Capital, National Advisory Board, Berkeley, CA.
Member	California Advisory Committee for OPEN Society, Philadelphia, PA.
Member	Honorary Board Member, Variety Audio, Inc. Cassette Program, San Jose, CA
Member	Advisory Board, Triton Museum of Art, Santa Clara, CA.
Member	Advisory Committee for Gray Panthers, San Jose, CA.

GPO: 1986 61-088 (m)

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

JOHN JOSEPH MOAKLEY
(Full Name)

221 CANNON HOUSE OFFICE BUILDING
(Mailing Address)

WASHINGTON, D. C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 9TH State MASSACHUSETTS

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
SEE ATTACHED CONTINUATION SHEET			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
SEE ATTACHED CONTINUATION SHEET		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
SEE ATTACHED CONTINUATION SHEET	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
JOHN HANCOCK INSURANCE (CASH-IN OF WHOLE LIFE INSURANCE POLICY)	6/23/86	A
5 GOLD COINS (SALE)	6/16/86	B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
SOUTH BOSTON SAVINGS BANK, BOSTON, MASSACHUSETTS (MORTGAGE)	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
SEE ATTACHED CONTINUATION SHEET	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
3/4/49	COMMONWEALTH OF MASSACHUSETTS	STATE EMPLOYEE PENSION SYSTEM (VESTED UNDER TERMS PROVIDED BY STATE LAW). NO BENEFITS YET RECEIVED.

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
John Joseph Moakley	MAY 15, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

ETHICS IN GOVERNMENT ACT — 1986 FINANCIAL DISCLOSURE STATEMENT

John Joseph Moakley

9th District, Massachusetts

CONTINUATION SHEET

(First of Two)

II. INCOME

A. The source, type and gross amount of earned income (including honoraria and date received) aggregating $100 or more in value received from any source during calendar year 1986, including the date of receipt in the case of honoraria.

Date	Source	Type	Amount
01/23/86	National Association of Government Employees Benefit Association	Honorarium	$2,000
04/16/86	Ocean Spray Industries	Honorarium	$2,000*
03/19/86	Footwear Industries of America	Honorarium	$2,000
03/25/86	Barnett Banks	Honorarium	$2,000
03/27/86	Future Industries Association	Honorarium	$1,000
06/18/86	Henry Clay Society	Honorarium	$2,000
06/19/86	Christian Science Monitor	Honorarium	$ 85**
06/26/86	The New England	Honorarium	$2,000
06/30/86	American International Group	Honorarium	$2,000
07/15/86	Grocery Manufacturers of America	Honorarium	$2,000
08/11/86	Anheuser-Busch	Honorarium	$2,000
10/10/86	Massachusetts Bankers Association	Honorarium	$2,000
Quarterly	U.S. Veterans Administration	Disability Pension	$ 828

* One honorarium, paid in two $1000 checks; the first had been received 2/20/86.

** Donated to charity.

B. The source, type and gross amount of unearned income, derived from assets or investments, during calendar year 1986.

Source	Type	Category
1812 Columbia Road, Boston, Massachusetts	Rent	B
South Boston Savings, Boston, Massachusetts	Interest	C
Congressional Credit Union, Washington, D.C.	Interest	A
John Hancock Insurance, Boston Massachusetts	Insurance Dividend	A
John Hancock Insurance, Boston Massachusetts	Gain from proceeds of cash-in of policy	A
Bankers Life Insurance, DeMoines, Iowa	Insurance Dividend	A

ETHICS IN GOVERNMENT ACT — 1986 FINANCIAL DISCLOSURE STATEMENT

John Joseph Moakley

9th District, Massachusetts

CONTINUATION SHEET

(Second of Two)

III. HOLDINGS

The value of assets held for production of income during calendar year 1986, that had a fair market value in excess of $1,000.

Identity	Category
1812 Columbia Road, South Boston, Massachusetts	F
South Boston Savings, Boston, Massachusetts (2 Savings Accts.)	D
John Hancock Insurance, insurance policy (Cash surrender value)	C
Bankers Life Insurance, insurance policy (Cash surrender value)	B
Metropolian Life Insurance, insurance policy (Cash surrender value)	A
5 Gold Coins (Sold, see "Transactions")	B
Congressional Federal Credit Union, Washington, D.C. (1 Savings Account and 1 IRA)	C
John Hancock Insurance, insurance policy (Cashed-in, see "Transactions")	A

VII. REIMBURSEMENTS

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986.

Source	Brief Description
National Association of Government Employees Benefit Association	Travel and related expenses for Member and spouse in connection with speaking engagement, at San Juan, Puerto Rico [Honorarium reported in Part II(A)]
Ocean Spray Industries	Travel and related expenses for Member and spouse in connection with speaking engagement, at Ft. Lauderdale, Florida [Honorarium for single engagement, paid in two checks, each reported in Part II(A)]
Footwear Industries of America	Travel and related expenses for Member in connection with speaking engagement, at Scottsdale, Arizona [Honorarium reported in Part II(A)]
Barnett Banks	Travel and related expenses for Member in connection with speaking engagement, at Palm Beach, Florida [Honorarium reported in Part II(A)]
Future Industries Association	Travel and related expenses for Member and spouse in connection with speaking engagement, at Boca Raton, Florida [Honorarium reported in Part II(A)]
Sons of Italy	Travel and related expenses for Member in connection with speaking engagement, at Kerhonkson, New York [No Honorarium received]
Massachusetts Bankers Association	Travel and related expenses for Member and spouse in connection with speaking engagement, at Orlando, Florida [Honorarium reported in Part II(A)]

ONE HUNDREDTH CONGRESS

JOHN JOSEPH MOAKLEY, MASSACHUSETTS, CHAIRMAN
ANTHONY C. BEILENSON, CALIFORNIA
DAVID E. BONIOR, MICHIGAN
TONY P. HALL, OHIO
CLAUDE PEPPER, FLORIDA
GENE TAYLOR, MISSOURI
TRENT LOTT, MISSISSIPPI

JOHN J. DOOLING, COUNSEL
J. WARREN GEURIN, MINORITY COUNSEL

U.S. House of Representatives
Committee on Rules
Subcommittee on Rules of the House
Washington, DC 20515-6270

SUBCOMMITTEE OFFICE
H-152 THE CAPITOL
(202) 225-9091

MINORITY OFFICE
421 CANNON BUILDING
(202) 225-7985

May 15, 1987

The Honorable Julian Dixon, Chairman
Committee on Standards of Official Conduct
U.S. House of Representatives
Washington, D. C. 20515

✓
MC

Dear Mr. Chairman:

Pursuant to Ethics in Government Act of 1978, I am today submitting my 1987 Financial Disclosure to the Clerk of the House for forwarding to your Committee. I am simultaneously submitting this letter for inclusion in my file in the records of your committee to establish a contemporaneous record, more fully explaining entries related to my antique gold coin collection.

Specifically, I have owned 5 coins, acquired as follows (in the following table, all quantities are "1"):

Purchase Date	Description	Price
1/16/80	$2-1/2 Liberty Gold, 1905, Full Strike (84725)	$ 1,600
1/16/80	$5 Liberty Gold, 1903, Full Strike (84232)	$ 3,000
5/08/80	$1 Gold-Type I, 1854, Full Strike (88417)	$ 5,300
5/08/80	$20 Liberty Gold, 1901, Full Strike (87297)	$ 1,700
8/15/80	$10 Gold, 1901, Full Strike (81468)	$ 2,150

Since their purchase in 1980, I have not listed these coins as an asset under "holdings" because they were purchased primarily for my pleasure, and have been kept continuously in my home.

However, during 1986, I sold the coins. There were no tax consequences, since no income resulted from my hobby (both the 1980 purchase price and the 1986 sales price totalled $13,750, resulting in no gain). Nevertheless, the transaction required filing a Schedule D with my 1986 taxes. I am therefore inclined to think that the coins should be reported in this year's financial disclosure form.

I have listed the items, described as "5 gold coins" both under holdings (section III) and their sale under transactions (section IV). Since the capital gain was zero there is, of course, no corresponding entry under income (section II).

Sincerely,

Joe Moakley

John Joseph Moakley

UNITED STATES HOUSE OF REPRESENTATIVES

DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Guy V. Molinari
(Full Name)

3465 Amboy Rd. 1C
(Mailing Address)
Staten Island, N.Y. 10306

Mc

(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 14 State NY

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

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WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Molinari & Otterbeck (partnership)	Net Profit	$20,000.	-

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See Schedule "A" annexed hereto		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See,* Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __x__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See Schedule "B" annexed hereto	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
• Condemnation of vacant property by the City of NY: 1/2 share (one 40 x 100 lot) SI NY Block 6416 Lot 4)	1/18/86	B
• Purchase condominium, Sarasota, Fla (1/2 interest)	May/86	D
• Sale of 2-family house (321 Broadway, SI, NY) - (1/3 interest)		C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
See Schedule "C" annexed hereto	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
See Schedule	"D" annexed hereto

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
5/80	Harold Otterbeck, Esq.	Continuation of approximately 1/3 draw of profits (up to maximum permitted by applicable federal law) Molinari & Otterbeck law firm.

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Guy V. Molinari	6-10-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

Guy V. Molinari and Spouse, Marguerite Molinari

SCHEDULE "A"
II. UNEARNED INCOME

B. Source	Type	Category
Staten Island Savings Bank	Interest	A
Citibank	Interest	D
Northfield Savings Bank	Interest	A
1500 Hylan Blvd.	Mortgage	A
Dacchille Vomero & Molinari (321 Broadway) [sold 12/31/86]	Rent & Casualty Gain	B
Sale of Vacant Land (1500 Hylan Blvd., SI, NY)	Capital Gain	A
Sarasota, Fla., condominium	Rent	A
Condemnation by the City of New York 1/18/86: one 40 x 100 vacant parcel of land, (Block 6416, Lot 4 SI, NY) one-half interest	Capital Gain	D
Sale of 2-family house, 321 Broadway SI, NY (one-third interest)	Capital Gain	E

Guy V. Molinari and spouse, Marguerite Molinari

SCHEDULE "B"

III. HOLDINGS

	Identity	Category
1.	Improved property -- one third interest in two family house known as 321 Broadway, Staten Island, N.Y. [sold 12/31/86]	C
2.	Partial interest in the following parcels of vacant, unimproved lots located in Richmond County, Staten Island, N.Y.	
	Block 1865	B
	Block 2265 and 2266	C
	Block 3408, Lot 1	C
	Block 3483, Lot 1	B
	Block 3484, Lot 3	A
	Block 3485, Lot 1	B
	Block 3500, Lot 42	B
	Block 3680	C
	Block 3755	D
	Block 3755	B
	Block 3755	A
	Blocks 3760, 3716 & 3723 (Blocks 3759 & 3761 lost in foreclosure)	C
	Block 3864, Lot 7	A
	Block 6416, Lot 4 [condemned by City of NY 1/18/86]	B
	Block 7534, Lot 1	C
3.	One-tenth interest in acreage located in Port Jervis area, New York State	C
4.	One-half interest in vacant parcel located in Tarpon Springs, Florida	B
5.	One-sixth interest in mortgage covering premises 1500 Hylan Blvd., SI, NY	B
6.	One-half interest in condominium in	

Sarasota, Fla. D

7. One-half interest in Block 3162, Lot 12, Staten Island, N.Y. B

8. Bank Account: Northfield Savings Bank A/C #10464 B

9. Bank Account: Staten Island Savings Bank A/C 3-14394 A

10. Bank Account: Citibank (Market Rate Account) #73193747 E

11. IRA (Staten Island Savings Bank):
    - Guy V. Molinari ....A
    - Marguerite Molinari ....A

12. IRA (Citibank):
    - Guy V. Molinari ....B
    - Marguerite Molinari ....A

13. IRA (First Nationwide Savings):
    - Guy V. Molinari ....A
    - Marguerite Molinari ....A

REAL ESTATE LISTED AT MARKET VALUE

Guy V. Molinari and Spouse, Marguerite Molinari

SCHEDULE "C"

IV. LIABILITIES

Identity	Category
1. Mortgage loan covering premises 321 Broadway, Staten Island, N.Y., held by Northfield Savings Bank, Staten Island, N.Y. [satisfied 12/31/86]	A
2. Mortgage loan covering premises 321 Broadway, Staten Island, N.Y., held by Northfield Savings Bank, Staten Island, N.Y. [satisfied 12/31/86]	A

Guy V. Molinari

SCHEDULE "D"

VI. POSITIONS

President	Molinari Realty Ltd. (defunct)
Partner	Molinari and Otterbeck
Partner	DeLuca, Molinari & Dacchille
Partner	Dacchille, Vomero & Molinari (defunct as of 12/31/86)
Partner	Dacchille Seaside Associates
Partner	Dacchille, Molinari et ptr.
Chairman of the Board	United Activities Unlimited (non-profit voluntary organization operating a number of youth centers on Staten Island)
Member of Board of Directors	Bay Ridge Unit/American Cancer Society
" " " " "	The Nick Fotiu Youth Foundation

HAND DELIVERED

GUY V. MOLINARI
14TH DISTRICT, NEW YORK

COMMITTEE ON PUBLIC WORKS
AND TRANSPORTATION
SUBCOMMITTEES:
INVESTIGATIONS AND OVERSIGHT
PUBLIC BUILDINGS AND GROUNDS
VICE CHAIRMAN
WATER RESOURCES

Congress of the United States
House of Representatives
Washington, D.C. 20515

WASHINGTON OFFICE

DISTRICT OFFICES

June 12, 1987

mc ✓

Mr. Donnald Anderson
Clerk of the House
H-105
Washington, D.C. 20515

Dear Mr. Anderson:

I am writing regarding my 1985 and 1986 Financial Disclosure Statements.

It was my understanding that I lost my interest in Block 3162, Lot 12 on Staten Island through an in rem foreclosure by the City of New York. I have just been advised by my co-owner that the property was redeemed in 1985. Therefore, while this holding did not appear on my 1985 statement, it is listed on my 1986 statement.

Sincerely yours,

Guy V. Molinari

Guy V. Molinari
Member of Congress

GVM:jmd

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Alan B. Mollohan
(Full Name)

516 Cannon House Office Building
(Mailing Address)

Washington, DC 20515

(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 1st State WV

☐ Officer or Employee—Employing Office __________

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Mobile Administrative Services Co. 2/24/86	Honorarium	2,000	proceeds in
Greater Pittsburgh Chamber of Commerce 10/24/86	"	1,000	whole or part
			used for various
			charitable
			contributions

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Kanawha Union Bank : CD #10704 (spouse)	interest	A
Kanawha Union Bank : CD #09595 (spouse)	interest	A
Kanawha Union Bank : CD #08067 (spouse)	interest	B
Kanawha Union Bank : CD #07742 (spouse)	interest	A
Kanawha Union Bank : CD #09972 (dep. child)	interest	B
City Nat'l Bank : CD #091-19418-C (spouse)	interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO __X__ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Kanawha Union Bank : CD #10704 (spouse)	B
Kanawha Union Bank : CD #09595 (spouse)	B
Kanawha Union Bank : CD #08067 (spouse)	C
Kanawha Union Bank : CD #07742 (spouse)	C
Kanawha Union Bank : CD #09972 (dep. child)	B
City Nat'l Bank : CD #091-18418-C (spouse)	A

[illegible]

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
United National Bank	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 13, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

Page 1 of 1

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Alan B. Mollohan
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
II	UNEARNED INCOME
	Merrill Lynch : bonds (spouse) : interest : A
III	HOLDINGS
	CB&T Financial Corp : stock : A
	Merrill Lynch : bonds (spouse) : B

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Gillespie V. (Sonny) Montgomery
(Full Name)

2184 Rayburn Building
(Mailing Address)

Washington, D. C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 3rd State MS

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA X

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Mississippi Nat'l Guard	retirement	$15,300	
Retired Army Nurses Corps Assoc(4/13/86)	Honorarium	1,000	Charity(1,000)
Nat'l Meat Canners Assoc. (6/6/86)	Honorarium	500	Charity (400)
Univ. of Pennsylvania (9/12/86)	Honorarium	200	
American Medical Society (11/20/86)	Honorarium	2,000	Charity(1,500

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See attached		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding **$1,000 as of the end of the year,** must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See,* Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO ____ NA X

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See attached	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
See attached		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Diplomat, Ltd.(partnership)	B
Pat Dixon, Realtor, 1425 27th St.,N.W., Wash.,D.C.	C
Trustmark Nat'l Bank, Canton, Ms.(mortgage)	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
S. V. Montgomery	May 14, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 69-382 (4)

ETHICS IN GOVERNMENT ACT-FINANCIAL DISCLOSURE STATEMENT

Gillespie V. Montgomery

UNEARNED INCOME

Source	Type	Category
Wright Patman Federal Credit Union	Interest	C
Unifirst Bank	Interest	A
Home Federal Savings & Loan	Interest	A
Eastover Bank (Formerly Depositors Fed.)	Interest	A
Family Budget Service	Interest	A
Nuveen Bonds	Interest	B
MS. Housing Finance Corp. Bonds	Interest	B
MS. State Hospital Equipment Bonds	Interest	B
Simpson County Bonds	Interest	A
Lowndes County MS. Bonds	Interest	A
MS. Highway Bonds	Interest	A
Laurel MS. Bonds	Interest	A
Meridian F. G. Riley Hospital Bonds (Formerly Meridian Ind. Dev. Bonds)	Interest	B
Ryder System	Dividend	A
Merrill Lynch	Dividend	A
Grenada Sunburst System(Former Grenada Bank)	Dividend	A
Citizens National Bank	Dividend	A
Golden Enterprise	Dividend	A
Peoples Capitol Corp.	Dividend	A
Delchamps	Dividend	A
AT&T	Dividend	A
Russell Corp.	Dividend	A
Southern Company	Dividend	B
Shell Oil	Royalty	C
American Royalty Trust (Formerly Petro Lewis)	Royalty	A
Meridian Duplex	Rent	B
Parkside	Rent	C
The Court (1/2 interest)	Rent	C
Cattle	Sale	D
Lauderdale Co. Timber	Sale	E

Page 1 of 1

ETHICS IN GOVERNMENT ACT-FINANCIAL DISCLOSURE STATEMENT

Gillespie V. Montgomery

HOLDINGS

Identity	Description	Category
Timber Land - Neshoba Co., MS.	38 acres	B
Timber Land - Attala Co., MS. (1/2 interest)	775 acres	E
Timber Land - Lauderdale Co., MS.(1/2 interest)	122 acres	D
Timber Land - Webster Co., MS	80 acres	C
Timber Land - Calhoun Co., MS	40 acres	B
Oil Interest - Clarke Co., MS.		C
Nuveen Tax Exempt Bond	bond	B
Golden Enterprises	common stock	B
MS. Housing Finance Corp. Bond	bond	B
MS. State Hospital Equipment Bond	bond	B
Diplomat	partnership	C
AT&T	common stock	A
Brock Exploration	limited partnership	A
Carlyle Real Estate	limited partnership	B
Simpson County, MS Bond	bond	A
Irvine Sensors Corp.	common stock	A
Lowndes Co., MS. Bonds	bonds	B
CR Montgomery Midtown	partnership	B
Laurel, Ms. Bonds	bonds	B
Russell Corporation	common stock	B
Peoples Capitol Corp.	common stock	A
American Royalty Trust (formerly Petro Lewis)	limited partnership	A
Bancorp of MS., Inc. (formerly First Mississippi Nat'l Corp.)	common stock	A
* The Court (1/2 interest)	Rental property	D
** Parkside	Rental property	D
*** Duplex (Meridian, Ms.)	Rental property	D
Cattle	livestock	C
Wright Patman	Savings Account	D
Deposit Guaranty Bank	Checking Account	A
Unifirst Savings	Savings Account	B
Unifirst Savings - IRA		B
Home Federal Savings & Loan	Savings Account	B
Eastover Bank (formerly Depositors Federal)	common stock	B
Family Budget Service	Savings Account	A
Mobile National Corp.	common stock	A
Sandwich Chef	common stock	A
Scott Cable Communications	common stock	A
MS. Highway Bonds	bonds	B
Meridian F. G. Riley Hospital Bonds	bonds	B
Meridian Municipal Sep. School District Bonds	bonds	B
Grenada Sunburst System Corp. (formerly Grenada Bank)	common stock	A

* (212 Justice Court NW, Washington, D. C.)
** (10508 Montrose #104, Bethesda, Md.)
***(3904 Country Club Drive, Meridian, Ms.)

ETHICS IN GOVERNMENT ACT-FINANCIAL DISCLOSURE STATEMENT

Gillespie V. Montgomery

TRANSACTIONS

Brief Description	Date	Category
Southern Company (stock-sold)	9/15/86	B
Delchamps, Inc. (stock-sold)	12/21/86	B
Sandwich Chef (stock-bought)	4/4/86	A
Mobile Nat'l Corp. (stock-bought)	1/17/86	A
Scott Cable Communications (stock-bought)	9/15/86	A
Nuveen Bond (bond-sold)	1986	A
Meridian Municipal Sep. School District Bonds (bonds bought)	11/14/86	B
Cattle (livestock sold)	various	B
Timber, Lauderdale Co. (sold)	1/86	C

HAND DELIVERED

WASHINGTON OFFICE
2104 RAYBURN HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
(202) 225-5031

COMMITTEES
VETERANS' AFFAIRS
CHAIRMAN
ARMED SERVICES

ADMINISTRATIVE ASSISTANT
ANDRE CLEMANDOT

G.V. "SONNY" MONTGOMERY
3D DISTRICT, MISSISSIPPI

Congreſs of the United States
House of Repreſentatives
Waſhington, DC 20515

DISTRICT OFFICES
FEDERAL BUILDING
MERIDIAN, MS 39301
(601) 693-6681

GOLDEN TRIANGLE AIRPORT
COLUMBUS, MS 39701
(601) 327-2766

FEDERAL BUILDING
LAUREL, MS 39440
(601) 649-1231

May 18, 1987

Honorable Donnald K. Anderson
Clerk of the House
c/o Office of Records and Registration
1036 Longworth Building
Washington, D. C. 20515

✓ NC

Dear Donnald:

At the request of the Committee on Standards of Official Conduct, I am submitting the following additional information pertaining to my 1986 Financial Disclosure Statement.

Under Section III-Holdings, the listing of Meridian F. G. Riley Hospital Bonds is the new correct name for Meridian Dev. Ind. Bonds. Also, the MS Highway Bonds, which is a tax-free bond, is an old holding which was discovered to have been inadvertently deleted from the 1985 Disclosure Statement. In regard to Grenada Sunburst Corp., as you will note on the Holdings listing sheet this is the new name change for Grenada Bank. Therefore, there were no transactions in 1986 connected with the above three listings.

Under Section V-Liabilities, the Diplomat, Ltd. (partnership) is a business loan, the loan with Pat Dixon, Realtor, is a mortgage loan associated with The Court (rental property) and the loan with Trustmark Nat'l Bank, is a mortgage loan associated with the CR Montgomery Midtown apartments in Ridgeland, Mississippi.

I hope this will provide the additional information requested by the Committee.

Sincerely,

Sonny Montgomery

GILLESPIE V. MONTGOMERY
Member of Congress

GVM:lm

[illegible]

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Jim Moody
(Full Name)

1721 Longworth HOB, Washington, DC 20515
(Mailing Address)

(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 5th State WI

☐ Officer or Employee—Employing Office

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

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- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO ____ NA __X__

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See Attached Sheet			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Residential Properties 2413 N. Fratney 2723 N. Farwell Milwaukee ~~4240-42 N. 68th St.~~	Rent	D (Total of all 3)
907 G St., SE - Wash.	Rent	B
Aletha Investments (family partnership)	Dividends	A
Blunt, Ellis, Loewi (money market)	Dividends	a
AT&T Stock	Dividends	A
Mutual Funds: Hartland Fund	Dividends	C
Nicholas Fund	Dividends	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See,* Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA x

For more information, see detailed Instruction Booklet at page 8.

IDENTITY		CATEGORY
Real Estate: 2723 N. Farwell Ave. 2413/15 N. Fratney St. - Milwaukee 4240/42 N. 68th	D C D	
907 G St., SE, Washington, DC		E
Mutual Funds: Blunt, Ellis & Loewi		B
Hartland Fund		D
Nicholas Fund		E
R.M. Associates (Real Estate - Partnership)		B
Aletha Investments (Family Partnership; Inactive)		A

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Hartland Fund (Mutual Fund) Purchase	December	D
Nicholas Fund (Mutual Fund) Purchase	March	D
	September	B
	December	D

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Real Estate Mortgages: 2723 N. Farwell - Milwaukee	C
2413/15 N. Fratney - Milwaukee	C
4240/42 N. 68th St. - Milwaukee	C
907 G St., SE, Washington, D.C.	E
Rose Hall, Jamaica	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
See Attached Sheet	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director	Aletha Investments

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Jim Moody	May 14, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

1951

[illegible]

HONORARIA INCOME

Source	Type	Amount	Date
The Brookings Institution	Honorarium	$300	Feb. 11
Food Marketing Institute and National American Wholesale Grocers Assn.	Honorarium	$1,000	Feb. 25
Private Carrier Conference	Honorarium	$500	May 12
National Restaurant Assn.	Honorarium	$1,000	May 16
Chicago Mercantile Exchange	Honorarium	$500	May 23
Board of Trade of the City of Chicago	Honorarium	$500	May 21
The Brookings Institution	Honorarium	$2,000	June 10
Associated Equipment Distributors	Honorarium	$500	July 10
Outdoor Advertising Assn.	Honorarium	$1,000	September 15
Miller Schroeder	Honorarium	$2,000	September 18

1986 REIMBURSEMENTS

Source	Brief Description
Anti-Defamation League January 11-24 Israel	Study Mission to meet government officials to discuss United States Israel Relations
The Population Institute February 13-17 Guatemala - Costa Rica	To Attend the Public Policy Advisory Committee Seminar to discuss with government and private organizations the effect of U.S. military and economic policies on social structure
SISAV - Political and Social Studies Foundation March 23 - April 4 Yugoslavia - Turkey	To meet with government officials to discuss human rights, trade and defense issues
National Restaurant Assn. May 16-18 Chicago, Illinois	To Attend Annual Trade Show
Chicago Board of Trade May 23 Chicago, Illinois	To meet with members of the Chicago Board of Trade and Mercantile Exchange
Ilhae Foundation & Brookings Institution May 27 Seoul, Korea	To address a seminar with Korean Businesses
American Jewish Congress July 5-10 Turkey	To meet with members of the Jewish Community and government officials to discuss human rights

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

CARLOS J. MOORHEAD
(Full Name)

2346 RAYBURN HOUSE OFFICE BUILDING
(Mailing Address)

WASHINGTON, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 22d State CALIFORNIA

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Natl. Assn. of Broadcasters 1/20/86	honorarium	$2000.00	
Natl. Cable Television Assn. 4/03/86	"	1500.00	
Bristol Myers, Monrovia, Ca. plant 4/10/86	"	500.00	
R.N. Christie Communications Consult. 1/20/86	"	1000.00	
Natl. Assn. of Broadcasters Annual Conv. 5/5/86	"	1500.00	

cont'd. page 5

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Richard Titera (Note secured by trust deed 614 Pine St. Redlands, Ca.)	interest	E
Valley National Bank	"	D
Glendale Federal Savings	"	A
Penn Mutual Life Endowment Policy	"	A
United Virginia Bank	"	A

cont'd. page 5

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Note secured by Trust Deed 614 Pine Street, Redlands, California - this note was paid off in December and a new loan negotiated secured by a home at 501 W. Crescent Avenue, Redlands, California 92373	E
Wakefield & Associates - Real Estate Groups	A
Keystone S 3 Mutual Fund	B
Lehman Investment Fund (formerly One William Street)	B

cont'd. page 5

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sold La Mirada Drive-In, received cash and a note and	12/86	G
deed of trust in exchange		
Richard Titera paid off. Received partial cash, note and a		
trust deed secured by a home at 501 W. Crescent Avenue,		
Redlands, California 92373		E

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Loan secured by Certificate of Deposit at Valley National Bank	C
Loan secured by house in Vienna, Virginia at United Virginia Bank	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Natl. Assn. of Broadcasters (NAB)	Round trip Airfare from Washington, D.C. to St. Maarten plus lodging for 5 nights and food
Natl. Cable Television Assn.	Round trip Airfare from Washington to Dallas
Natl. Assn. of Broadcasters Annual	Convention, Dallas, Airfare round trip from Washington plus miscellaneous expense
Edison Electric Institute	Round trip air fare from Washington to Chicago

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Carlos J. Moorhead	May 14, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

Page 5 of 5

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

CARLOS J. MOORHEAD
(Name)

Continuation Sheet

Part | *Source, Type, Amount, Category, Description or Value (As Applicable)*

II-A INCOME	DATE	TYPE	AMOUNT	DISPOSITION
Edison Electric Institute	5/12/86	honorarium	$1000.00	
SPACE(Satellite Television Industry)	4/24/86	"	1000.00	
The Aluminum Assn. Inc.	7/11/86	"	1000.00	
Intellectual Property Owners (IPO)	5/15/86	"	750.00	
California Municipal Utilities Assn.	2/12/86	"	1500.00	
Computer & Business Equipment Mfgrs.Assn.	9/5/86	"	1000.00	
Contribution to charity				$2000.00
U.S. Army		pension	6443.38	
California State Legislature		"	2420.12	

II-B INCOME		CATEGORY
SW Gas	dividend	A
Keystone S 3 Mutual Fund	"	B
Investment Co. of America Mutual Fund	"	B
Lehman Investment Fund (formerly One William Street)	"	B
Wakefield & Associates,limited partnership	cap gains/ordinary income	B
LaMirada Drive-In, So. side Imperial Hwy.,west LaMirada city limits, LaMirada, California	rent	D plus cap. gains
	on sale	G
Vienna, Virginia house, 8511 Wall Street	rent	D

III HOLDINGS	CATEGORY
Investment Company of America Mutual Fund	B
Glendale Federal Savings and Loan	A
Valley National Bank	E
100 shares SW Gas	A
Bank of America	A
Wife holds lots 115 & 116, Borego Springs Unit, Frying Pan Road Borego, California	B
United Virginia Bank	B
American Savings & Loan	D
Penn Mutual Life Endowment Policy	B

GPO 1986 61-689 (m)

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Constance A. Morella
(Full Name)

1024 Longworth Office Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 8 State Maryland

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.

- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.

- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
State of Maryland	Salary	$21,000	none
Montgomery College	Salary	2,183.70	none
The American University (spouse)	Salary		
Howes, Morella, Gelband & Lamberton, P.C., Wash, D.C. (spouse)	Salary		

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Rental Properties	Rent	D
MD State Credit Union	Interest	A
Franklin Group (U.S. Gov't Securities Fund)	Dividend	A
Montgomery County Teachers Credit Union	Interest	A
Standard Federal S&L, MD	"	B
Metropolitan Federal S&L, MD	"	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO X ______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Single Family residence, Rockville, MD	D
Condominium apartment, Stoneham, MA	D
Howes, Morella, Gelband & Lamberton, P.C. (spouse) (stock interest)	C
M/A Com, Inc., MA. (common stock)	A
Franklin Group (U.S. Gov't Securities Fund)	B
Standard S&L (savings account)	B
Consumers United Ins. Co., Wash, D.C.	C
Equitable Bank IRAs	C

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Redeemed U.S. T-bill and purchased Franklin Group U.S. Securities Fund	4/16/86	B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Citizens Bank, MD (mortgage)	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
none	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Trustee	Capital Institute of Technology, Laurel, MD
Trustee	Trinity College, Wash., D.C.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
1986	Montgomery College, Rockville, MD	faculty leave without pay

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Constance A. Morella	5.14.87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE [illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Bruce A. Morrison
(Full Name)

437 Cannon HOB, U.S. House of Representatives,
(Mailing Address)

Washington, DC 20515

mc
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 03 State CT

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Phillips Consulting Group (spouse)	salary	N/A	N/A
Chemical Bank (6/18/86)	honorarium	$1,000	don. to charity
Securities Industry Association (12/18/86)	honorarium	2,000	don. to charity
Motion Picture Association of America (2/12/86)	honorarium	1,000	don. to charity
Outdoor Advertising Association (10/1/86)	honorarium	1,000	don. to charity

Continued on page 5

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
New Haven Savings Bank	interest	$ 200
Merrill-Lynch	interest/divid.	812/$2,874
Bear Stearns	interest/divid.	704/ 4,938
Leech Trust	interest/divid.	598/ 3,521
capital gains - see itemized transactions -		
Attachment A		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.** **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO __X__ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
300 shares Adv. Micro D. Inc.	$ 4,125
300 shares Am. T & T	7,500
200 shares Borden Inc.	9,375
200 shares Cetus Corp.	3,725
1800 warrants Eli Lilly	35,775
700 shares Time, Inc.	49,000

Continued on page 5

[illegible]

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
see attached listing - Attachment A		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
none	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
none	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
none		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Securities Industry Association	transportation, food, lodging for self & spouse in connection with participation in panel at convention in Boca Raton, FL. (12/1-12/4/86)
Washington Office on Latin America	transportation, food, lodging for fact-finding trip to Chile (3/26-4/6/86)

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director	American Shakespeare Theatre, Stratford, Connecticut
Director	Americans for Democratic Action

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	none	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Bruce A. Morrison	6/8/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

[illegible]

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Bruce A. Morrison
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*
II	CT Assoc. of Letter Carriers (5/12/86) honorarium $ 500 don. to charity
II	CT Business Inst. (6/6/86) honorarium 150 don. to charity
II	APA New Haven/Middlesex (4/12/86) honorarium 150 don. to charity
III	1,000 shares UAL, Inc. $52,250
III	2,000 shares Waste Management 11,125

GPO: 1984 61-688 (m)

Attachment A

IV. TRANSACTIONS

JANUARY

Sale Date	Sh.	Stock	Sold	Bought	Bght. Date	Gain(Loss)
1/2	150	Sears	5,618.81	5,407.06	5/16/75	211.75
1/2	75	General Motors	5,321.82	4,930.35	7/11/84	391.47
1/7	75	Norfolk Southern	6,034.29	4,074.75	2/24/83	1,959.54
1/15	100	Pennzoil	7,794.74	7,508.00	1/15	286.74
1/15	200	Warner Communication	7,589.75	7,545.00	1/9	35.75
1/16	50	Bristol-Myers	3,216.64	2,460.25	10/31/84	756.39
1/15	100	Bristol-Myers	6,708.27	4,917.50	10/31/84	1,790.77
1/16	50	IBM	7,379.00	3,457.96	5/16/75	3,921.04
1/16	50	IBM	7,379.00	2,609.89	6/28/76	4,769.11
1/16	50	Eli Lilly	5,397.82	2,789.06	5/16/75	2,608.76
1/17	700	Merrill Lynch	26,862.30	26,285.00	1/15	577.30
12/20	500	RCA	29,751.01	28,291.00	1/8	1,460.01
1/22	500	Transco Energy	30,291.48	29,145.00	1/17	1,146.48
1/24	300	United Health Care	3,290.89	3,112.50	1/23	178.39
1/24	200	FPL Group	5,341.82	1,985.16	5/16/75	3,547.86
1/27	50	FPL Group	1,347.45	1,156.25	6/28/76	191.20
1/31	50	MMM	4,341.60	2,761.25	8/8/79	1,580.35

FEBRUARY

Sale Date	Sh.	Stock	Sold	Bought	Bght. Date	Gain(Loss)
2/7	100	Ford	6,298.28	5,716.50	1/23	581.78
2/7	100	Ford	6,298.29	5,916.50	1/24	381.79
2/10	500	NL Industries	7,979.72	7,632.50	2/7	347.22
2/11	100	Corning Glass Works	5,971.60	6,180.00	12/5	(208.40)
2/10	100	Baxter Travenol	1,582.44	600.50	5/16/75	981.94
2/11	83	Baxter Travenol	1,303.88	498.42	5/16/75	805.46
2/13	100	Owens-Illinoisl	5,940.50	5,599.00	1/31	341.50
2/14	1000	ITT Corp.	39,573.67	36,820.00	12/85	2,753.67
2/14	500	American Can	36,353.78	35,645.00	2/14	708.78
2/14	1500	Western Airlines	12,862.06	12,262.50	2/10	599.56
2/20	500	Tektronix	31,848.93	29,978.00	2/18	1,870.93
2/26	15	Cyprus Minerals	276.90	66.34	2/17/75	210.56
2/27	200	General Dynamics	14,441.51	14,885.00	2/21	(443.49)
2/27	100	Polaroid	6,157.29	4,575.00	1/15	1,582.69

1968

MARCH

Sale Date	Sh.	Stock	Sold	Bought	Bght. Date	Gain(Loss)
3/4	100	J.P. Morgan	6,582.28	2,048.75	3/8/78	4,533.53
3/4	100	J.P. Morgan	6,582.28	2,367.50	7/14/80	4,214.78
3/4	800	Melridge	17,480.00	16,792.80	2/28	687.20
3/6	200	Fres Eut.	2,962.68	2,783.00	2/27	179.68
3/7	100	Syntex	5,049.83	4,987.50	3/5	62.33
3/17	50	General Mills	3,712.42	3,571.60	3/13	140.82
3/18	300	Litton Industries	24,959.16	24,360.30	3/5	598.86
3/19	50	American Brands	4,272.35	4,052.50	3/18	219.85
3/25	100	Genentech	6,471.00	5,900.00	3/25	571.00
3/26	50	J.P. Morgan	3,791.12	1,024.38	3/8/78	2,766.74
3/27	90	U.S. West	8,702.70	5,413.54	6/17/78	3,289.16
3/27	500	ITT Corp.	23,342.22	20,254.00	2/19	3,089.22
3/10	100	Alex Brown	2,850.00	2,500.00	3/7	350.00
3/31	500	Middle South Until.	5,280.01	4,770.90	9/22/85	509.91

APRIL

Sale Date	Sh.	Stock	Sold	Bought	Bght. Date	Gain(Loss)
4/4	50	Morgan Stanley	3,534.88	2,825.00	3/31	709.88
4/4	100	Armstrong World	6,094.79	5,312.80	3/10	781.99
4/7	300	Telequest	3,997.50	3,900.00	4/4	97.50
4/7	500	ITT Corp.	23,474.21	20,712.50	2/20	2,761.71
4/7	300	Cabot Corp.	8,856.00	7,995.60	4/2	860.40
4/9	400	T. Rowe Price	10,271.65	9,600.00	4/9	671.65
4/9	500	Polaroid	30,589.97	23,145.00	1/22	7,444.97
4/9	100	Polaroid	6,118.00	4,575.00	1/15	1,543.00
4/9	100	Eli Lilly	7,336.85	6,125.00	3/26	1,211.85
4/9	100	Eli Lilly	7,336.86	6,783.90	3/27	552.96
4/16	500	Federal Paper Board	12,417.08	11,744.00	2/11	673.08
4/16	200	R.J. Reynolds	8,662.71	8,374.00	4/16	288.71
4/23	100	Teradyne	2,694.91	2,632.00	4/21	62.91
4/24	500	Floating Point Systems	21,599.27	18,535.00	4/9	3,064.27
4/28	1000	Mentor Corp.	15,045.00	14,400.00	4/17	645.00
4/30	1500	Tech Data Corp.	16,500.00	14,625.00	4/30	1,875.00
4/24	1000	Cambridge Analytical	6,950.00	5,000.00	4/17	1,950.00
4/30	1000	Magna Int.	22,700.00	22,000.00	6/30	700.00

1969

MAY

Sale Date	Sh.	Stock	Sold	Bought	Bght. Date	Gain(Loss)
5/1	1000	Black & Decker	24,039.19	22,329.00	4/30	1,710.19
5/2	500	Floating PT Systems	21,505.93	18,535.00	4/9	2,970.93
5/15	1000	Fletter	16,690.00	16,000.00	5/14	690.00
5/16	500	American Cyanamid	36,265.29	35,537.50	5/2	727.79
5/27	200	Ford Motor Co.	15,216.29	16,122.40	4/1	(906.11)
5/27	100	DuPont	7,792.74	7,555.00	4/7	237.74
5/27	300	Becton Dickenson	15,003.09	14,790.00	4/24	213.09
5/27	90	Southwestern Bell	8,422.36	5,427.30	10/84	2,995.06
5/20	1000	Cambridge Analytical	6,950.00	5,000.00	6/23	1,950.00

JUNE

Sale Date	Sh.	Stock	Sold	Bought	Bght. Date	Gain(Loss)
6/26	10000	ATN	37,500.00	30,000.00	6/25	7,500.00
6/25	500	Hewlett Packard	20,398.82	22,550.00	5/16	(2,151.18)
6/25	100	Hewlett Packard	4,079.76	4,155.00	4/11	(75.24)
6/25	5000	Interleukin 2	27,824.06	40,470.00	6/3	(12,645.94)
6/24	100	Time	8,545.72	8,229.00	6/16	316.72
6/24	100	Time	8,545.73	8,354.00	6/18	191.73
6/20	300	Borg Warner	10,131.86	9,180.00	6/17	951.86
6/17	3000	US Home Corp	19,784.33	22,305.00	6/5	(2,520.67)
6/17	1000	Pannill Knitting	22,519.24	21,000.00	6/17	1,519.24
6/12	500	Schering Plough	38,105.72	37,145.00	6/10	960.72
6/13	1500	Genzyme Corp	19,035.00	15,000.00	6/12	4,035.00
6/9	1000	Hamischfeger Corp	17,417.41	16,000.00	5/16	1,117.41
6/4	1000	Warner Lambert	59,428.01	47,925.00	1/2	11,503.01
-	200	Time Inc.	-	17,091.43	6/25	-
-	300	Eli Lilly (warrants)	-	6,362.70	6/16	-
-	1000	Eli Lilly (warrants)	-	25,040.00	6/16	-

1970

JULY

Sale Date	Sh.	Stock	Sold	Bought	Bght. Date	Gain(Loss)
7/16	300	Bernard Chaus	6,575.78	5,100.00	7/16	1,475.78
7/14	200	Melville	13,862.53	13,657.53	6/2	204.73
7/10	1000	Unifi	15,440.00	16,750.00	4/10	(1,310.00)
7/7	200	American Brands	18,967.37	17,530.00	4/30	1,437.37
7/7	100	American Brands	9,483.68	8,659.20	6/20	824.48
7/3	100	Texton	5,693.81	6,490.20	4/4	(796.39)
7/2	1000	Wickes	5,814.80	6,155.00	6/19	(340.20)
7/7	200	Texas Utilities	6,162.79	3,870.00	8/20/76	2,566.79
7/9	25	Texas Utilities	757.85	483.75	8/20/76	274.10

AUGUST

Sale Date	Sh.	Stock	Sold	Bought	Bght. Date	Gain(Loss)
8/21	50	Olin	22,995.23	22,540.00	8/21	455.23
8/20	100	Gt. North Nelcoosa	5,342.82	5,257.00	8/20	85.82
8/20	600	Textron	34,246.85	33,737.40	8/15	509.45
8/18	200	Schering Plough	16,785.44	16,012.40	6/27	773.04
8/18	100	Schering Plough	8,392.72	8,018.50	6/27	374.22
8/13	100	Gulf and Western	6,314.79	6,444.50	6/27	(129.71)
8/13	200	Gulf and Western	12,629.57	13,300.20	7/1	(670.63)
8/13	200	Owens-Corning Fiber	14,704.10	14,189.00	8/13	515.10
8/15	400	Allied Signal	15,571.48	17,278.00	8/4	(1,706.52)
8/8	100	Smithkline Beckman	9,050.99	10,201.00	7/10	(1,150.01)
8/4	500	Home Group	11,594.61	14,518.40	3/18	(2,923.79)
8/5	300	Home Group	6,728.77	8,711.04	3/18	(1,982.27)
8/1	300	Melville	18,428.38	20,486.70	6/2	(2,058.32)
8/18	1000	Wickes	5,189.52	5,810.00	8/12	(620.48)

1971

SEPTEMBER

Sale Date	Sh.	Stock	Sold	Bought	Bght. Date	Gain(Loss)
9/2	500	Pepsico	15,834.47	17,562.50	6/13	(1,728.03)
9/3	200	Smithkline	18,385.38	18,207.00	9/2	178.38
9/5	100	Hutton	4,031.36	3,943.50	9/5	87.86
9/15	1000	DSC Communications	8,669.70	11,563.00	6/23	(2,893.30)
9/16	500	Marion Labs	18,440.38	23,535.00	8/22	(5,094.62)
9/18	100	Small Home Products	8,017.73	8,462.50	4/22	(444.77)
9/19	300	Rexford	5,078.83	6,366.00	8/28	(1,287.17)
9/25	3000	HBO & Company	31,125.00	32,865.00	8/15	(1,740.00)
9/25	500	Lucky Stores	15,906.96	13,785.00	9/19	2,121.96
9/25	100	Transamerica	3,331.38	3,718.50	9/10	387.12
9/26	700	Mylon Labs	7,936.22	12,103.00	5/28	(4,166.78)
9/26	1000	General Cinema	41,605.61	38,245.00	9/25	3,360.61

OCTOBER

Sale Date	Sh.	Stock	Sold	Bought	Bght. Date	Gain(Loss)
9/29	200	Gulf & Western	12,065.99	13,964.80	9/4	(1,898.81)
9/29	500	UAL	29,344.02	28,671.00	9/26	673.02
9/30	1000	Allied Stores Corp.	60,562.97	59,685.00	9/30	877.97
9/30	500	RJR Nabisco	23,344.22	23,366.50	9/30	(22.28)
10/1	1000	Eli Lilly	70,062.66	69,310.00	10/1	752.66
10/1	2000	UTS Protocom Dev.	8,500.00	13,250.00	6/23	(4,750.00)
10/1	500	Texas Eastern	14,032.03	15,092.50	9/16	(1,060.47)
10/3	1000	Crazie Eddie	34,750.00	33,500.00	10/2	1,250.00
10/6	500	Holiday Corp.	34,781.33	33,030.00	10/1	1,751.33
10/6	100	J.C. Penney Corp.	6,928.07	8,004.00	8/1	(1,075.93)
10/6	100	J.C. Penney Corp.	6,928.06	7,582.00	8/8	(653.94)
10/6	200	Warner Lambert	10,710.64	11,396.20	10/1	(685.56)
10/10	500	Gerber Products	24,344.18	26,835.00	10/3	(2,490.82)
10/17	500	Sterling Drug	22,344.28	21,273.00	10/14	1,071.28
10/20	500	E.F. Hutton	23,531.71	21,369.00	10/3	2,162.71
10/27	400	Holiday Corp.	29,161.42	28,232.00	10/20	929.42
10/30	300	Rorer Group	12,887.56	13,890.00	10/16	(1,002.44)
10/31	500	UAL Inc.	28,884.53	29,405.00	10/8	(520.47)

1972

NOVEMBER

Sale Date	Sh.	Stock	Sold	Bought	Bght. Date	Gain(Loss)
11/3	200	Texaco	7,166.76	6,989.00	10/23	177.76
11/4	300	Ralston Purina	20,921.30	20,526.60	10/31	394.70
11/4	500	GAF Corporation	19,344.35	18,410.00	10/29	934.35
11/6	500	Coopervision Inc.	12,542.08	12,035.00	10/15	507.08
11/6	500	Coopervision Inc.	12,542.08	12,525.00	10/21	17.08
11/10	200	American Brands	9,537.68	9,419.50	8/6	218.18
11/13	400	General Mills	37,188.35	36,066.00	11/12	1,122.35
11/17	100	CPC International	8,010.23	7,407.00	10/29	603.23
11/17	100	CPC International	8,010.23	8,299.00	11/6	(288.77)
11/17	500	CPC International	40,431.65	39,955.65	11/17	476.00
11/18	500	Harns Corporation	17,375.91	16,587.00	11/18	788.91
11/26	1000	FPL Group	32,235.92	35,415.00	11/7	(3,179.08)
11/26	1000	Hanson Trust	13,574.54	15,685.00	11/14	(2,110.46)
11/26	700	Lomas & Nettleton	17,194.92	22,549.33	10/31	(5,354.41)
11/28	400	CPC International	29,629.01	28,824.00	11/26	805.01

DECEMBER

Sale Date	Sh.	Stock	Sold	Bought	Bght. Date	Gain(Loss)
12/3	400	Burlington Northern	24,329.18	25,416.00	11/3	(1,086.82)
12/3	500	USG Corporation	21,109.79	20,616.00	10/10	493.79
12/4	500	CPC International	39,662.17	38,500.50	12/1	1,162.17
12/8	500	General Electric	41,614.11	41,155.00	12/8	459.11
12/10	500	Xerox Corporation	30,343.98	29,686.50	12/1	657.48
12/29	50	Mylan Labs	568.75	864.50	5/28	(295.75)
12/31	50	Lomas Nettleton	1,275.00	1,610.67	10/31	(335.67)
12/29	100	Nalco Chemical	2,732.40	2,924.30	11/18	(191.90)
12/24	500	American Brands	21,224.29	23,410.00	11/18	(2,185.71)
12/18	200	Polaroid	14,089.53	12,900.00	6/24	1,189.53
12/24	100	ITT	5,331.35	5,341.00	7/10	(9.65)
12/24	100	ITT	5,331.35	5,581.00	7/18	(249.65)
-	1000	UAL Inc.	-	60,731.48	12/10	-
-	200	Waste Management	-	11,689.00	12/18	-
-	500	Eli Lilly (warrants)	-	10,270.00	12/2	-
-	300	Adv. Micro D. Inc.	-	4,715.19	12/1	-
-	300	Amer. T & T	-	8,133.23	12/1	-
-	200	Borden Inc.	-	9,839.48	12/1	-
-	200	Cetus Corp.	-	4,674.83	12/1	-

HAND DELIVERED

Bruce A. Morrison
Third District
Connecticut

House of Representatives
Washington, DC 20515

June 5, 1987

The Clerk
U.S. House of Representatives
Office of Records and Registration
1036 Longworth HOB
Washington, DC 20515

mc ✓

Dear Sir:

My Financial Disclosure Statement for 1986 was filed on June 1, 1987, as authorized by an extension previously granted. The statement inadvertently omitted the following listings from Section VIII.POSITIONS:

Trustee, Yale Endowment for Divestment
Trustee, MIT Endowment for Divestment

My statement dated and filed June 1, 1987 is hereby amended by the addition of these two items to Section VIII.

Respectfully submitted,

Bruce A. Morrison

BRUCE A. MORRISON
Member of Congress

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Sid Morrison
(Full Name)

Route 1, Box 1509
(Mailing Address)

Zillah, WA 98953

HC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 04 State Washington

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 1

A. SOURCE		TYPE	AMOUNT	DISPOSITION
5/28/86	American Nuclear Energy Council	Honorarium	250.	
6/10/36	Dow Chemical Company	Honorarium	1,500.	
7/14/86	International Apple Institute	Honorarium	500.	
9/25/86	Bogle and Gates	Honorarium	500.	
(see continuation sheet)				

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Morrison Fruit Co, Inc (land rental-annual)	Rental	D
Smith Barney-Harris Upham (Money Market)	Dividends	B
Gerald Marsh (1983 contract land sale)	Final Pmt.	E
Pacificorp	Dividends	A
Winthrop Investors	Dividends	A
Congressional Credit Union	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Morrison Fruit Co, Inc. (stock)	F
Liquid Reserves (Money Market Account)	C
One Winthrop Properties, Inc. (Limited partnership)	B
Pacificorp (common stock)	A
Desert Aire Associates (1/2 unit in limited partnership)	A
(see continuation sheet)	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Liquid Reserves (MM Account:Dep./With. monthly)	-	C
Capital Guardian Trust Co. (IRA Contribution)	4/86	A
Gerald Marsh (1983 Contract and sale-paid ahead of schedule)	9/86	C
Federal Land Bank (partial early payback of loan)	11/86	B

(see continuation sheet)

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
Federal Land Bank (Long term farm financing)	F
Seattle First National Bank (Farm operating loans)	F

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
(None)	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
(None)		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Natn. Agricultural Chemicals Assoc. (W.Vir)	Transportation, hotel, meals
Dow Chemical Co. (Midland, Michigan)	Transportation, meals

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
11/80	Washington State Public Employees Retirement System	I have vested interest and am on inactive status.

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Sid Morrison	May 15, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987 69-232 (a)

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Sid Morrison
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
II-A	11/24/86 Welch Foods	$500.00
II-A	12/18/86 Washington Public Utilities Assn.	$2,000.00
III	Pfizer, Inc. (Common Stock)	A
III	Congressional Credit Union	B

Please find an additional page listing real estate, most being farm land in Yakima County, Washington, with all farm land mortgaged to cover the liabilities in section V.

ALL FARM PROPERTIES LISTED
ARE MORTGAGED TO COVER
LIABILITIES REPORTED IN
SECTION V.

Addition to III Holdings

The following farm land is owned as community property by Sid and Marcella Morrison. Values are "true and fair market" as determined for 1984 by the Yakima County Assessor. These values will not be updated until 1988.

Yakima County Parcel #	Acreage	Land	Improvements
201103-13001	38.20	E	
201110-42001	79.09	F	A
201110-13003	10	D	
201110-13002	10	D	
201109-42404-8	4.73	C	
201110-31413	15.56	D	
201110-23004	28.65	E	B
201110-31405*	8.6	C	
201110-31410*(60%undivided)	9.77	D	

The following farm land is partially owned by Sid Morrison as an undivided 1/3 interest. The full acreage is shown below, but the value reflects the 1/3 ownership only. Values are "true and fair market" as determined for 1984 by the Yakima County Assssor, not scheduled to be updated until 1988.

Yakima County Parcel #	Acreage	Land	Improvements
201103-23001(174% undivided 1/3)*	31.27	C	A
201110-11003(94% undivided 1/3)*	25	C	
201110-24001	40	D	
201110-13001	20	C	
201110-21001	30	D	
201123-23004	9.65	C	

The following farm land is partially owned by Sid Morrison as an undivided 1/2 interest. The full acreage is shown below, but the value reflects the 1/2 ownership only. Values are "true and fair market" as determined for 1984 by the Yakima County Assssor, not scheduled to be updated until 1988.

Yakima County Parcel #	Acreage	Land at 1/2 value
201110-22004	8.02	C

The following farm land is partially owned by Marcella Morrison as an undivided 1/2 interest. The full acreage is shown below, but the value reflects the 1/2 ownership only. Values are "true and fair market" as determined for 1984 by the Jefferson County Assssor, Oregon.

Description	Acreage	Land at 1/2 value
S 1/2 NW 1/4 SEC 32 TIOS R/2 EWM	80	A

The following parcel of open land is partially owned by Sid Morrison as an undivided 1/4 interest. The full acerage is shown below, bu the value reflects the 1/4 ownership only. Value is "true and fair market" as determined for 1984 by the Island County Assessor.

Description	Acreage	Land
N 1/2 SW 1/4 NQ 1/4 S34 T29N R3 EWM	20	B

*modified by 1986 land exchanges

IV. Transactions

The following transactions are inter-family real estate exchanges that began in 1985 to gradually eliminate undivided percentages of ownership of farm land:

Conveyed from Joe and Myrna Morrison to Sid and Marcella Morrison:

(1) An undivided 17 percent of Yakima County parcel no. 201110-31405 which contains 8.63 acres
Date 12/86 Value: B

(2) An undivided 60 percent of Yakima County parcel no. 201110-31410 which contains 9.77 acres
Date 12/86 Value: C

Conveyed from Sid and Marcella Morrison to Joe and Myrna Morrison:

(1) 93 percent of Sid and Marcella Morrison's one-third ownership of Yakima County parcels no. 201110-14004 and 14003 containing 26.9 acres
Date 12/86 Value: C

(2) 6 percent of Sid and Marcella Morrison's one-third ownership of Yakima County parcel no. 201110-11003-2 containing 25 acres
Date 12/86 Value: A

Conveyed from Wally and Susan Morrison to Sid and Marcella Morrison:

74 percent of Wally and Susan Morrison's one-third ownership of Yakima County parcel no. 201103-23001 which contains 31.27 acres
Date 12/86 Value: C

Conveyed from Sid and Marcella Morrison to Greg and Doris Gibbons:

Total ownership of Yakima County parcel no. 202209-42404 containing 4.44 acres
Date 11/86 Value: C

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Robert J. Mrazek
(Full Name)

306 Cannon HOB
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks

XX Member of the U.S. House of Representatives—District 3 State NY

☐ Officer or Employee—Employing Office

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual, and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
United States Navy Disability Pension			3673.00	
Pirelli Cable Corporation	1-16-86	Honorarium	1000.00	
American Trucking Association	2-28-86	Honorarium	1000.00	
Pirelli Cable Corporation	3-29-86	Honorarium	2000.00	
Sperry Corporation	4-23-86	Honorarium	2000.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
449 Jericho Turnpike, Huntington NY	Rental	E
449 Jericho Turnpike, Huntington NY	(See next line)	F
partial payment of principal and interest	from sale of property	
Vacation Home, Monhegan Island,Maine	Rental	D
306 3rd St,SE Wash,DC	Proceeds from sale	G

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
131 Centershore Rd., Centerport NY	F
Vacation Home, Monhegan Island, Maine	E
449 Jericho Turnpike, Huntington NY (until 6-19-86)	F
306 3rd St,SE Wash., DC (until 11-86)	E
301 Constitution Ave,NE Wash,DC (from 6-20-86)	F
Mortgage holder on 449 Jericho Tpke (after 6-19-86)	F

1983

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sale of 306 3rd St.SE Wash, DC	11-86	E
Purchase of 301 Constitution Ave,NE Wash DC	6-86	F
Sale of 449 Jericho Turnpike,Huntington NY	6-86	F

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Chemical Bank-Mortgage-Centerport Home	B
Rusty Court-Mortgage Holder-Maine vacation home(until 11-86)	D
Peoples Heritage Bank-Mortgage-Maine Vacation home(from 11-86	D
Banc Plus-Mortgage- 306 3rd st. (until 11-86)	E
A. Keene Bowie-Mortgage- 301 Constitution (from 6-86)	F
American Security Bank	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

1984

[illegible]

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Pirelli Cable Corporation	Airfare and lodging for speech in Dallas 3-29-86
Medical Society of NYS	Airfare for speech in Albany NY 5-13-86
NYS Society of Surgeons	Airfare for speech in NYC 5-9-86

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature: X Robert J. Mrazek

Date: May 15, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

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Page 1 of 1

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Robert J. Mrazek
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*
IIA	New York State Society of Surgeons Honorarium 5-9-86 500.00
IIA	Medical Society of NYS Honorarium 5-13-86 1000.00
IIA	Washington Post Payment for article 5-15-86 100.00
IIA	Southland Corporation Honorarium 5-16-86 1000.00
IIA	American College of OB/GYN Honorarium 6-2-86 1000.00
IIA	Fairchild Industries Honorarium 6-20-86 1000.00
IIA	National Medical Association Honorarium 7-20-86 500.00
IIA	National Restaurant Assoc. Honorarium 10-2-86 750.00
IIA	Dow, Lohnes. Albertson Honorarium 12-9-86 1000.00
V	Citibank, N.A. D
V	Wright Patman Credit Union C
V	Chemical Bank C
V	Mary Ellen Mendelsohn C
V	Joseph A. DeVincent B
VII	National Medical Association-Airfare for speech in NYC 7-20-86

1986

INSIDE MAIL

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Austin J Murphy
(Full Name)

2210 Rayburn Bldg.
(Mailing Address)

Washington D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 22 State Penna.

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

1987

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
American Mining Conference	5-15-86	Honor.	1,000.–	
Drive Education Fund	4-24-86	Honor	1,000.–	
American Nu. Energy Council	5-16-86	Honor	1,000.–	
Florida Power & Light	12-5-86	Honor	1,000.–	
Mon Valley Hospital wife annual wage		Wage	8,740.00	
Uranium Producers of America		Honor	500.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See Attached Sheet		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO __X__ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
see Attached Sheet	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
Oppenheimer Stock Nov '86 sale	11-86	A
Jack H. France sale of legal business	annual	~~B~~ A
York Int shares sale	Nov 86	A
Steve Arnowitz sale of property Installment	annual	A
Robert Evans installment sale of property	annual	A
Wash Co Bond Matured July 86		A
Sold 78 Cadillac		A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986 -

SOURCE	BRIEF DESCRIPTION
Terry Reese constit value $200.00	Soft Drinks for meetings & office
Ray Anthony Constituent Flt to Fla	in his small plane Dec 26

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE

1989

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Safari Club Int. Attend annual Conf Las Vegas	Air & Hotel speech 3 day Conf.

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
	None

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Austin J. Murphy	5-15-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

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Page ____ of ____

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

____
Name

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	II B Income	III Holding	
II B	Robert Evans Interest (annual)	B	C	
"	Steve Minowitz " "	B	C	
"	Lincoln Bank " " $64.16	A	A	
"	Mellon Bank " Charleroi $ 582.06	A	A	
"	1st Fed S&L " Monessen $ 27.01	A	A	Ex/voc
"	Mon Valley Cr Union Monessen " 13.01	A	A	"
"	Mon Valley Community Cr. Union 3.97	A	A	"
"	Wright Altman Cr. Union Interest annual	B	B	
"	Allegheny Power annual Dividend	B	A	
"	American Elec Power " "	A	A	
"	Borg-Warner " "	A	A	
"	Bell Atlantic " "	A	A	
"	Bell South " "	A	A	
"	NY NEX $76.62 " "	A	A	
"	Pacific Telesis " "	A	A	
"	Southwest Bell " "	A	A	
"	U.S. West $70.66 " "	A	A	
"	Commonwealth Edison " "	A	A	
"	Phila. Electric " "	B	A	
"	York Int " "	A	A	
"	Oppenheimer " "	A	A	
"	Boat Leasing Rent annual	A	C	
"	Michael Luchansky Rent annual Claire Co.	Less A	D	
"	Jack France Atty pymt old Bus	A	A	
"	A.P.I. annual Dividend	A	A	
II A	Commonwealth of Penna Benefits	C		
II B	IMT Fund Municipal Interest	A	A	
"	Philadelphia School Bond "	A	A	
"	Wash Co. Bond Municipal "	A	A	
"	Prudential Insur "	A	A	
"	Federal Bank IRA "	A	A	
"	Prudential IRA "	A	A	
"	First Fed Monessen IRA " spouse	A	A	
"	Baltimore Life Policy	—	A	
"	N. West Mutual Policy	—	A	
"	Fidelity Life "	—	A	
"	State Farm "	—	A	
"	Mellon Checking Acct	—	A	
"	1st Mons Checking	—	A	
III	Equity in Real Estate Speers Pa.	B	C	
III	" " " " 44 4th St Monongahela Pa	B	C	
III	Power sail Boat Rose Haven MD	A	C	

1991

INSIDE MAIL

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

AS AMENDED

FORM A—For use by Members, officers, and employees

Austin J. Murphy
(Full Name)

2210 Rayburn Bldg.
(Mailing Address)

Washington D.C. 20515

✓ MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 22 State Penna

☐ Officer or Employee—Employing Office

☒ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.

- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.

- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

1992

[illegible] 2 1 3 [illegible] 2

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts, such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		1986	TYPE	AMOUNT	DISPOSITION
American Mining Conference	Wash D.C.	5/15	Honorarium	$1,000.00	
DRIVE Education Fund	"	4-24	"	$1,000.–	
American Nuclear Energy Council	"	5-16	"	$1,000–	
Florida Power & Light Co	Miami Fla	12/9	"	$1,000.–	
Uranium Producers Assn		10/6	"	500.–	
Mon Valley Hospital	Monon Pa.	annual	wife Salary	8,740.–	D

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See Continuation Sheet		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See Continuation Sheet	

1993

[illegible] 3 [illegible] 3

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
See Continuation Sheet		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Charleroi Fed S+L Charleroi Pa Mortgage on Clarke Co property	D
Dollar Savings Bank Mtg. on Residential property	D
Mellon Bank mortgage on Residential property	A
Student Loan Service Center (Brian)	A
" " " " (Maureen)	A

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Terry Reese under $200.00 Greensburg Pa	soft drinks
Rev. Anthony Uniontown	Ride to Fla in his small plane
Marine Engineering School	Room & Board attending school

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
Safari Club International	Jan 1986 Air & Hotel attending conference in Las Vegas

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
	NONE

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Austin J. Murphy	May 15, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

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Page 5 of 5

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Austin J Murphy
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	Classification	
		II B Income	III Holdings
II B	Robert Evans annual Interest Speers Property	B	
III	Residential Property Speers Pa. 631 Speers Ave		C
IV	" " " Sold to R. Evans March 1986		C
II B	Steve Arnowitz interest on Monongahela Prop	B	
III	Residential Real Estate 448 4th St. Monongahela Pa		C
IV	" " " Sold to Arnowitz 1/86		C
IV	Purchase Real Estate Residential Clark Co. NEV 1/86		D
II B	Rental 7242 Girard St Las Vegas Nev. to Luchansky (Loss)	B	
IV	Sold 1978 used vehicle	B	A
IV	Wash Co Pa Municipal Bond Matured June 1986	A	B
IV	U.S. Treasury Note Matured Feb 1986	A	A
II B	Lincoln Bank Interest $64.16	A	A
"	Mellon Bank " $585.06	A	A
"	1st Fed S&L Monessen " $27.01	A	A
"	Mon Valley Cr. Union " 19.01	A	A
"	Community Cr. Union " 3.97	A	A
"	Congressional CR. Union " annual	B	B
"	Allegheny Power Co Dividend	B	A
"	American Electric Power Dividend	A	A
"	Borg Warner Corp "	A	A
"	Bell Atlantic "	A	A
"	Bell South "	A	A
"	NYNEX $70.62 "	A	A
"	Pacific Telesis "	A	A
"	So West Bell "	A	A
"	AT&T "	A	A
"	U.S. West $70.06 "	A	A
"	Commonwealth Edison "	A	A
"	Philadelphia Electric "	B	A
IV	York International Stock sold July 1986 under $1,000	O	A
IV	Oppenheimer Mutual Fund sold Nov 1986 B	A	B
II B	Allegheny Hospital Bond Interest	A	A
"	Philadelphia School Bond "	A	B
"	Penna G.S.A Bond "	A	A
"	" Hwy Bond "	A	A
"	Lancaster City Authority "	A	A
"	Sail Boat Rose Haven MD Rentals	A	C
IV	Atty Jack France sale of legal files business etc.	A	A
II B	American Property Investors	A	A
"	Commonwealth of Penna.	C	E
"	I M T Penna Fund Mutual Fund Interest	A	A
"	Prudential Insurance IRA ~~under 100~~ Divd	A	A
"	Gateway Advertising under 100 Real		A
"	Perpetual Bank IRA Interest	A	A
"	1st Federal S&L Monessen wife IRA	A	B
III	Baltimore Life Insurance Policy	—	A
"	Prudential " "	—	A
"	Fidelity Life " "	—	A
"	State Farm " "	—	A
"	Northwest Mutual " "	—	A
"	Mellon Checking Acct under $1000		A
"	Sgt Arms " " " "	—	A
"	Real Estate Washington D.C. 1/3 interest	—	C

GPO 1986 61-689 (m)

1996

UNITED STATES HOUSE OF REPRESENTATIVES

POSTMARK ILLEGIBLE

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

JOHN P. MURTHA, JR.
(Full Name)

109 COLGATE AVENUE
(Mailing Address)

JOHNSTOWN, PA. 15905

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 12 State PA

☐ Officer or Employee—Employing Office ____

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
SEE CONTINUATION SHEET	HONORARIA	$22,400	NONE

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
DALE NATIONAL BANK	INTEREST	C
WESBANCO, INC.	DIVIDEND	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.** **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
SAVINGS ACCOUNT - DALE NATIONAL BANK	C

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

1999

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
X	APRIL 27, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

[illegible]

Page ____ of ____

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

JOHN P. MURTHA, JR.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II A	INCOME HONORARIA		
	ARMCO, INCORPORATED	2/12/86	$ 1,000
	BETHLEHEM STEEL CORPORATION	1/01/86	2,000
	WESTINGHOUSE ELECTRIC CORPORATION	1/23/86	2,000
	AMERICAN BROADCASTING COMPANY	1/06/86	1,000
	CHICAGO MERCANTILE EXCHANGE	1/06/86	1,000
	CHICAGO BOARD OF TRADE	1/13/86	1,000
	WASTE MANAGEMENT INCORPORATED	1/09/86	2,000
	TENNECO, INCORPORATED	1/27/86	2,000
	SINGER COMPANY	3/28/86	1,000
	PENNSYLVANIA STATE UNIVERSITY	1/07/86	1,000
	LOCKHEED SHIPBUILDING COMPANY	1/17/86	2,000
	NORTHROP CORPORATION	1/14/86	2,000
	THE MARQUARDT COMPANY	1/14/86	2,000
	AMERICAN TRUCKINC ASSOCIATIONS, INC.	1/06/86	1,000
	HUGHES AIRCRAFT COMPANY	1/30/86	1,400
	TOTAL		$ 22,400

GPO: 1986 61-688 (m)

[illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A–For use by Members, officers, and employees

John Thomas Myers
(Full Name)

2372 Rayburn HOB
(Mailing Address)

Washington, D. C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives–District 7th State Indiana

☐ Officer or Employee–Employing Office ______

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

	A. SOURCE	TYPE	AMOUNT	DISPOSITION
3/26	Ocean Spray Cranberries-Plymouth, MA	Hon.	2000.00	
4/14	Greater Pittsburgh Chamber of Commerce Pittsburgh, PA	Hon.	1000.00	
4/24	Blue Cross Blue Shield Ins.	Refund	354.00	
5/22	Amer. Nuclear Energy Council-Wash., DC	Hon.	250.00	
6/18	Hercules, Inc.-Wilmington, DE	Hon.	1000.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE		TYPE	CATEGORY
Farm		Crops	E
L. Carruthers Estate	(spouse)	Interest	B
Atteberry Oil Co.	(spouse)	Distribution	A
Trenton Gas Co.	(spouse)	Distribution	A
B & D Oil Co.	(spouse)	Distribution	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO _____ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY		CATEGORY
Fountain Co. Indiana	325 Acre Farm	F
Bank of Western Indiana	Common Stock	E
The Covington Service Corp.	Common Stock	A
American States Ins. Co.	Common Stock	A
Bank of Western Indiana	Savings Certificates	E
The Fountain Trust Co.	Common Stock	D

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
IRA Bank of Western Indiana	12/30/86	A
IRA Bank of Western Indiana (spouse)	12/30/86	A
Indiana Energy Purchased 15 1/2 Shares	12/ 1/86	A
So. Indiana Gas & Elec. Purchased 36 Shares	12/22/86	A
Wm. R. Wrigley Co. Purchased 2 Shares	4/11/86	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Bank of Western Indiana	E

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. *The source and a brief description of gifts of transportation, lodging, food, or entertainment aggregating $250 or more in value received from any source during calendar year 1986.*

SOURCE	BRIEF DESCRIPTION
None	

B. *The source, a brief description, and value of all other gifts aggregating $100 or more in value received from any source during calendar year 1986.*

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Ocean Spray Cranberries	Round Trip Travel and Lodging - Dallas, TX Self and Spouse for Seminar

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION	
Director	Bank of Western Indiana	Covington, IN
Chairman of the Board	Capitol Hill Club	Washington, DC

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
John Thomas Myers	5/13/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

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Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

John Thomas Myers
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II B	American States Ins.	Dividend	A
	Bank of Western Indiana	Dividend	C
	Bank of Western Indiana	Interest	D
	The Covington Service Corp.	Dividend	A
	The Fountain Trust Co.	Dividend	A
	General Telephone	Dividend	A
	Indiana Energy	Dividend	A
	Southern Indiana Gas & Elec.	Dividend	B
	U.S. Treasury Note	Interest	A
	Wm. R. Wrigley	Dividend	A
III	L. Carruthers Estate	Contract Sale	D
	Illinois Oil Wells		A
	Oklahoma Gas Well		A
	General Telephone	Pref. Stock	A
	General Telephone	Common Stock	A
	Indiana Energy	Common Stock	B
	National Enterprises	Common Stock	A
	Southern Ind. Gas & Elec.	Common Stock	C
	TRW, Inc.	Common Stock	E
	Wm. R. Wrigley	Common Stock	A
	U.S. Treasury Note & Bonds	Common Stock	D
	Bank of Western Indiana	IRA (spouse)	A
	Bank of Western Indiana	IRA	A

GPO 1986 61-688 (m)

INSIDE

JOHN T. MYERS
7TH DISTRICT, INDIANA

OFFICES
2372 RAYBURN BUILDING
WASHINGTON, DC 20515
TELEPHONE: 202-225-5805

107 FEDERAL BUILDING
TERRE HAUTE, IN 47808
TELEPHONE: 812-238-1619

107 HALLECK BUILDING
LAFAYETTE, IN 47901
TELEPHONE: 317-423-1581

Congress of the United States
House of Representatives
Washington, DC 20515

COMMITTEE ON APPROPRIATIONS
RANKING MINORITY MEMBER
SUBCOMMITTEE ON ENERGY AND
WATER DEVELOPMENT
SUBCOMMITTEE ON AGRICULTURE,
RURAL DEVELOPMENT AND
RELATED AGENCIES
COMMITTEE ON POST OFFICE
AND CIVIL SERVICE
RANKING MINORITY MEMBER
SUBCOMMITTEE ON COMPENSATION
AND EMPLOYEE BENEFITS
COMMITTEE ON STANDARDS
OF OFFICIAL CONDUCT

May 18, 1987

Committee on Standards of Official Conduct
HT-2, U.S. Capitol
U.S. House of Representatives
Washington, D.C. 20515

MC ✓

Gentlemen:

In filing my financial disclosure statement for 1986 I inadvertently failed to answer the question in Section III, Holdings, which pertains to income derived from a qualified blind trust or other excepted trust.

The answer is "NO" and my statement should be amended to reflect this.

Sincerely,

John Myers

John Myers

JTM:sd

2007

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

INSIDE MAIL

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

DAVID R. NAGLE
(Full Name)

214 C.H.O.B.
(Mailing Address)
Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 3 State IOWA

☐ Officer or Employee—Employing Office

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? **YES** X **NO** ____ **NA** ____

For more information, see detailed Instruction Booklet at page 7.

[illegible]

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION"
IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
David R. Nagle, P.C.	Salary	9,400.00	
David R. Nagle Pension Fund	(Pension distribution)	28,000.00	
Area Education Agency 7 (spouse)			
Sheet Metal Contractors	Honorarium	1,000.00	
Iowa Association of Letter Carriers	Honorarium	1,000.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
DPS Associates	Dividends	C

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.**
IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO __X__ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
David R. Nagle, P.c.	C
DPS Associates	C

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Peoples Bank & Trust Co.	C
Grinnell Federal Savings & Loan	C
David R. Nagle, P.C.	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
President, Sole shareholder	David R. Nagle, P.C.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	4/27/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

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UNITED STATES HOUSE OF REPRESENTATIVES

INSIDE MAIL

Committee on Standards of Official Conduct

AMENDED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

DAVID R. NAGLE
(Full Name)

214 C.H.O.B.
(Mailing Address)

Washington, DC 20515

Mo ✓
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 3 State Iowa

☐ Officer or Employee—Employing Office ____________

☒ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES X NO ____ NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION" **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
David R. Nagle, P.C.	Salary	9,400.00	
David R. Nagle Pension Fund	(Pension distribution)	28,000.00	
Area Education Agency 7 (spouse)			
Sheet Metal Contractors	Honorarium	1,000.00	
Iowa Associaton of Letter Carriers	Honorarium	1,000.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
DPS Associates	Dividends	C

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO _X_ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
David R. Nagle, P.C.	C
DPS Associates	C
Pensions and profit sharing plans	C
Approx. 4.3 acres, with house, in rural Poweshiek County, Iowa	C
Undivided 1/2 interest in 38 acres, with cabin, in rural Chickasaw County, Iowa; classified as wetland habitat and open prairie	C

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Peoples Bank & Trust Co., personal demand note for business purposes	C
Grinnell Federal Savings & Loan, mortgage on rental property	C
David R. Nagle, P.C., personal demand note	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
President, sole shareholder	David R. Nagle, P.C.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date 5/26/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987 [illegible]

INSIDE MAIL

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

WILLIAM H. NATCHER
(Full Name)

2333 Rayburn House Office Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 2nd State Kentucky

☐ Officer or Employee—Employing Office

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES XX NO ____ NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
NONE.			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY	
Auto Sales Lots 28-10-02; 28-10-4; 28-10-06	Rent	$ 900	A
Mobile Home Property 28-10-3	Rent	3300	C
American National Bank & Trust Company Bowling Green, Kentucky	Interest on Savings Acc't.	$ 191	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
ALL OF THE FOLLOWING PROPERTIES ARE LOCATED IN BOWLING GREEN, KENTUCKY	
½ int. - Property 28-10-02 728 1st Street	$ 2940 A
½ int. - Property 28-10-04 718 1st Street	4900 A
½ int. - Property 28-05-01 U.S. 31-W By Pass	33,015 C
(See Continuation Sheet)	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
William H Natcher	May 8, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

William H. Natcher
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*		
III	½ int. - Property 28-10-06 719 1st Street	$ 4,800	A
	Property 28-10-03 310 U.S. 31-W By-Pass	5,730	B
	Property 39-01-01A 310 U.S. 31-W By-Pass	12,330	B
	Property 28-10-13 310 U.S. 31-W By-Pass	9,400	B
	½ int. Property U.S. 31-W By-Pass (Drive-in)	26,353	C
	½ int. Property 28-05-002 U.S. 31-W By-Pass	30,510	C
	½ int. Property - Farm 90-60--Natcher Lane	15,000	B
	Savings Account - American National Bank and Trust Company Bowling Green, Kentucky	3,572	A

GPO : 1985 - 43-135

2020

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

STEPHEN L. NEAL
(Full Name)

1001 Wellington Road
(Mailing Address)

Winston-Salem, North Carolina 27106

MC
(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 5th State NC

☐ Officer or Employee—Employing Office

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
National Committee to Preserve Social Security (wife)	salary		
Dealer Bank Association	Honorarium	1,500	
Drexel Burnham	Honorarium	1,000	
Cambrent Financial	Honorarium	1,000	
Liberty Service Corporation	Honorarium	2,000	

(continued on attachment)

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
RJR/Nabisco	Dividends	B
Sea Land	Dividends	A
Smith Barney Mutual Fund	Dividends	C
Media General	Dividends	A
MMM	Dividends	A
North Carolina Bonds	Interest	E

(continued on attachment)

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8

IDENTITY	CATEGORY
RJR/Nabisco	D
Sea Land	A
Vanguard Index Trust	C
Business building	E
Burnip & Sims	A
Media General	A
Smith Barney Mutual Fund	D
MMM	B
NC Bonds	E

(continued on attachment)

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
In early 1986 I sold 500 RJR/Nabisco, 118 Sea Land, and 200 Burnip & Sims and used proceeds for equity in Winston-Salem building. In late 1986 I sold 700 RJR/Nabisco and bought Vanguard Index Trust. Honoraria I earned used to pay down bank loan and buy Harold Drilling 86.		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Wachovia Bank Loan	B
First Federal S&L (mortgage)	D
First Federal S&L (mortgage)	E
N. C. VA Employees Federal Credit Union	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
Liberty Service Corporation	transportation & lodging - California
CPC Products	transportation - North Carolina
Morgan Bank	transportation - New York

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Trustee	Z. Smith Reynolds Foundation
President	Sunbelt Research Coalition

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5-13-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

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Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Stephen L. Neal
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*		
II. A.	Source	Type	Amount
	Chase Bank	Honorarium	1,000
	Alliance for Cap. Access	Honorarium	500
	Coalition for Regional Banking	Honorarium	500
	CPC Products	Honorarium	1,000
	HALO	Honorarium	500
	Morgan Bank	Honorarium	2,000
	Mellon Bank	Honorarium	1,000
	American Bankers Association	Honorarium	2,000
II. B.	Source	Type	Category
	Bank Savings Account	Interest	A
	Sale of Stock	Capital Gains	E
	Neal Campaign - Gross rent of $19,503 minus: mortgage $10,607, utilities $1,044, improvement $5,042, taxes $1,034, insurance $1,287, for a total of $19,014. Net rent income for 1986 was therefore $489.		A
	RJR/Nabisco (wife)		B
	Sea Land (wife)		A
	U.S. Treasury Note (wife)		A
	The following for each of our two children:		
	RJR/Nabisco		B
	Sea Land		A
	Ford Motor Company		A
	Duke Power		A
	Mass Mutual		A
	Hubbard		A
	Bell		A
III.	Identity		Category
	U.S. Treasury Note		A
	Wachovia Savings Account		B
	Harold Drilling '86		B
	RJR/Nabisco (wife)		C
	Sea Land (wife)		A
	Sergeant at Arms account		A
	The following for each of our two children:		
	RJR/Nabisco		C
	Sea Land		A
	Ford Motor		A
	Duke Power		A
	Mass Mutual		A
	Hubbard		A
	Bell		A
	Vanguard Index Trust		C
	IRA (self)		B

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

STEPHEN L. NEAL
(Full Name)

1001 Wellington Road
(Mailing Address)

Winston-Salem, North Carolina 27106

(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 5th State NC

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

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REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
National Committee to Preserve Social Security (wife)	salary		
Dealer Bank Association	Honorarium	1,500	
Drexel Burnham	Honorarium	1,000	
Cambrent Financial	Honorarium	1,000	
Liberty Service Corporation	Honorarium	2,000	

(continued on attachment)

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
RJR/Nabisco	Dividends	B
Sea Land	Dividends	A
Smith Barney Mutual Fund	Dividends	C
Media General	Dividends	A
MMM	Dividends	A
North Carolina Bonds	Interest	E

(continued on attachment)

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO __X__ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
RJR/Nabisco	D
Sea Land	A
Vanguard Index Trust	C
Business building (4187 Patterson Avenue, Winston-Salem, NC)	E
Burnip & Sims	A
Media General	A
Smith Barney Mutual Fund	D
MMM	B
NC Bonds	E

(continued on attachment)

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
In early 1986 I sold 500 RJR/Nabisco, 118 Sea Land, and 200 Burnip & Sims and used proceeds for equity in Winston-Salem building. In late 1986 I sold 700 RJR/Nabisco and bought Vanguard Index Trust. Honoraria I earned used to pay down bank loan and buy Harold Drilling 86.		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Wachovia Bank Loan (Personal)	B
First Federal S&L (mortgage on home)	D
First Federal S&L (mortgage on business building)	E
N. C. VA Employees Federal Credit Union (Personal loan)	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Liberty Service Corporation	transportation & lodging - California
CPC Products	transportation - North Carolina
Morgan Bank	transportation - New York

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Trustee	Z. Smith Reynolds Foundation
President	Sunbelt Research Coalition

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Steve Neal Steve Neal	5-13-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

Page 5 of 5

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Stephen L. Neal
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II. A.	Source	Type	Amount
	Chase Bank	Honorarium	1,000
	Alliance for Cap. Access	Honorarium	500
	Coalition for Regional Banking	Honorarium	500
	CPC Products	Honorarium	1,000
	HALO	Honorarium	500
	Morgan Bank	Honorarium	2,000
	Mellon Bank	Honorarium	1,000
	American Bankers Association	Honorarium	2,000
II. B.	Source	Type	Category
	Bank Savings Account	Interest	A
	Sale of Stock	Capital Gains	E
	Neal Campaign - Gross rent of $19,503 minus: mortgage $10,607, utilities $1,044, improvement $5,042, taxes $1,034, insurance $1,287, for a total of $19,014. Net rent income for 1986 was therefore $489 for rent of Patterson Ave. business building.		A
	RJR/Nabisco (wife)		B
	Sea Land (wife)		A
	U.S. Treasury Note (wife)		A
	The following for each of our two children:		
	RJR/Nabisco		B
	Sea Land		A
	Ford Motor Company		A
	Duke Power		A
	Mass Mutual		A
	Hubbard		A
	Bell		A
III.	Identity		Category
	U.S. Treasury Note		A
	Wachovia Savings Account		B
	Harold Drilling '86		B
	RJR/Nabisco (wife)		C
	Sea Land (wife)		A
	Sergeant at Arms account		A
	The following for each of our two children:		
	RJR/Nabisco		C
	Sea Land		A
	Ford Motor		A
	Duke Power		A
	Mass Mutual		A
	Hubbard		A
	Bell		A
	Vanguard Index Trust		C
	IRA (self)		B

GPO 1986 61-698 (m)

[illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Bill Nelson
(Full Name)

2404 Rayburn HOB
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 11 State Florida

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

8 7 7 0 0 2 1 0 3 4 2

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
None			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A–not more than $1,000; B–$1,001-$2,500; C–$2,501-$5,000; D–$5,001-$15,000; E–$15,001-$50,000; F–$50,001-$100,000; G–over $100,000.

B. SOURCE	TYPE	CATEGORY
See Attached		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A–not more than $5,000; B–$5,001-$15,000; C–$15,001-$50,000; D–$50,001-$100,000; E–$100,001-$250,000; F–over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See Attached	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
See Atteched		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
See Attached	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Sundance Helicopter	Transportation Orlando to Melbourne High School Graduation Speech and return

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986 is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements to paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
See Attached	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
President	Bill Nelson High School Student Intern Non-Profit Corp.
Director	C.V. Griffin, Sr. Foundation, Inc. [Charitable]

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Bill Nelson	MAY 14, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

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ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

BILL NELSON

Continuation Sheet

Section	Date/Identity/Parties/Term	Category of Value
IIB	Dividends - Merrill Lynch Agency Account (Stock listed in Section III)	B
IIB	Interest Merrill Lynch Agency	
	U.S. T Bills	D
	Municipal Bonds	A
IIB	Capital Gain	
	Former Law Partnership	D
	U.S. T Bills	A
	Real Estate 7.57 Ac 24-27-37	G
	Purchase Money Mortgage, H.C. Normile, Trustee (65% Beneficial Interest)	G
IIB	Principal and Interest Real Estate Mortgage Payments Purchase Money Mortgage, C.A. Kies, Trustee	D
IIB	Rent - House, Lot 11, Colonial Estates, Unrecorded Plat, 8-29-38	B
IIB	Rent - House, Lot 7, Colonial Estates, Unrecorded Plat, 8-29-38	C
IIB	Rent - Apartments - S. 15 Ft. of Lot 2 & N. 58 Ft. of Lot 3, Blk. 10, 2-28-37	C
IIB	Income - H.C. Normile, Jr., Trustee (Irrevocable Trust, Son, C. William Nelson.)	
	1. Dividends Merrill Lynch Agency Acct. (Stock Listed in Section III)	B
	2. Capital Gain, Stocks in Merrill Lynch Agency Acct. (Stock listed in Section III)	D
	3. Interest	A
	4. Merrill Lynch Realty Partnerships	A
IIB	Income - H.C. Normile, Jr., Trustee (Irrevocable Trust, Daughter, Nan Ellen Nelson)	
	1. Dividends, Merrill Lynch Agency Acct. (Stock listed in Section III)	A
	2. Capital Gain, Stocks in Merrill Lynch Agency Acct. (Stock Listed in Section III)	D
	3. Merrill Lynch Realty Partnership	A
IIB	Income - Grace C. Nelson, Wife	
	1. Dividends - Merrill Lynch Agency Account (Stocks Listed in Section III)	C
	2. Interest	
	U.S. T Bills	D
	Municipal Bonds	B
	3. Capital Gain	
	I.U. Int'l.	G

III	Real Estate - (Property Appraiser's Values)	
	Brevard County:	
	Lot 11 Colonial Estates - Unrecorded Plat. 8-29-38	D
	Lot 7 Colonial Estates - Unrecorded Plat, 8-29-38	C
	S 15 Ft of lot 2 & N 58 Ft of Lot 3, Blk 10 2-28-37	C
	Commercial 1-A (See Attached Legal Description)	F
III	Real Estate Held in 1986 Prior to Dec. 31, 1986	
	7.575 Acres - S 250 Ft. of N 1/4 of SE 1/2 24-27-37*	E
III	Real Estate Mortgage (Fair Market Value)	
	Purchase Money First Mortgage, C.A. Kies, Trustee	D
III	Real Estate Mortgage Held in 1986 Prior to December 31, 1986	
	Purchase Money Mortgage, H.C. Normile, Trustee (65% Beneficial Interest)*	F
III	Obligations from previous law partners	C
III	Stocks & Bonds (1) - Fair Market Value as of December 31, 1986	
	U.S. T Bills* (1)	F
	Merrill Lynch Cash Management Account*	A
III	Stocks & Bonds held in 1986 Prior to Dec. 31, 1986:	
	U.S. T Bills* (1) (These Bills were purchased and sold throughout 1986, see footnote #1)	
III	Car	B
	Car	B
III	Demand Promissory Note - Evans	B
III	Demand Promissory Note held in 1986 prior to December 31, 1986	
	Demand Promissory Note - Caserta*	D
III	Bank Checking Account	A

III	Grace Nelson - Wife	
	U.S. T Bills* (1)	F
	Cash Mgt. Account (1)	-C
	Real Estate, 220 Ac. 7-29-38 (15% beneficial interest)	E
	Assets Held in 1986 & Prior to Dec. 31, 1986:	
	Stock I.U. Int'l*	E
	Cash Management Account - Merrill Lynch* (1)	
III	Assets - H.C. Normile, Trustee (Irrevocable Trust Son, C. William Nelson)	
	Real Estate: 220 AC, 7-29-38 (3.75% Beneficial Interest)	C
	E 1/2 of Lot 119, 17-22-35	B
	Lots 17 & 18, Blk 9, 14-28-37	B
	Stocks (1)	
	Harris Corp	B
	Conquest Exp. Co.	B
	Dupont	B
	Pepsico	C
	Merrill Lynch Realty Partnership IV	B
	Merrill Lynch Realty Partnership V	B
	Matrix Corp	B
	Maxicare*	A
	U.S. F.& G.*	B

III	Assets Held in 1986 and Prior to Dec. 31, 1986	
	Schering Plough*	A
	Dow*	B
	Cash Mgt. Acct.* (1)	B
	Wang Labs Bonds*	B
	Harper Group*	B
	Putnam Mutual Fund*	A

III	Assets - H.C. Normile, Trustee (Irrevocable Trust - Daughter, Nan Ellen Nelson)	
	Real Estate:	
	Govt. Lot 2 W of RR. 3-30-38	C
	Lot 19 & 20, Blk. 9, 14-28-37	B
	220 AC, 7-29-38 (1.25% Beneficial Interest*)	B
	Stocks (1)	
	Merrill Lynch Rlty PTNS IV	B
	Pepsico	A
III	Assets Held in 1986 and Prior to Dec. 31, 1986:	
	Cash Mgt. Acct.* (1)	A
	Putnam Mutual Fund*	A

IV Transactions:
Stocks and Bonds, Real Estate, Mortgages
All purchases or sales are included in Section III and are designated by an asterisk.*

V	Real Estate Mortgage, Sun 1st Nat'l Bank of Melbourne	C
	Real Estate Purchase Money Mortgage, Margaret M. Hurt	D

(1)Merrill Lynch Cash Mgt Account & U.S. T Bills were invested in periodically throughout 1986. Any unused cash in Merrill Lynch, U.S. T Bills Account was deposited to Cash Mgt. Account.

VI Reimbursements:

Source:	Brief Description:
Jacksonville Chamber of Commerce	Transportation (DC to Jacksonville and return) to participate in and speak to the 1st. Annual Florida congressional Weekend.
Greater Tacoma Christian Laymen	Transportation (DC to Seattle and return) to speak at Annual Prayer Breakfast
Boeing Company	Lodging in Seattle for Boeing Plant Briefing and Tour
Florida Trucking Assn. Convention	Transportation (DC to Ft. Lauderdale and return) to speak
Christian Leadership Prayer Breakfast, Inc.	Transportation (DC to Miami) to speak to the Miami annual Prayer Breakfast
The Forum Club of Collier County	Transportation (Miami to Naples to Jacksonville) to speak
National Council of State Governments	Transportation to Orlando and return) to speak at convention

in the County of Brevard State of Florida , to wit

Commercial No. 1-A: From the point of intersection of the East right-of-w. of State Road A1A and an Easterly projection of the North line of the South 80 acres of Fractional Section 12, Township 27 South, Range 37 East as describ in Deed Book 416, Page 111, Brevard County, Florida Public Records (said line being parallel with and 0.90 feet North of the Easterly projection of the North line of Seacoast Shores, Unit Five, Section 3, as recorded in Plat Bool 16, Page 56, aforesaid Public Records), run N 12°26'05" W along the aforesa right-of-way 499.12 feet to the Point of Beginning; run thence N 89°27'36" E parallel with the 1/4 section line of aforesaid Fractional Section 12 a distance 260 feet, more or less to the present bluff line of the Atlantic Ocean, return t the Point of Beginning and run thence N 12°26'05" W along the aforesaid right of-way 200.00 feet; thence N 89°27'56" E parallel with the aforesald 1/4 secti line 260 feet more or less to the aforesaid present bluff line, thence Southerly along said line to close on the first call aforesaid;

HAND DELIVERED

Congress
of the
United States
House of Representatives

BILL NELSON
FLORIDA
ELEVENTH DISTRICT

CHAIRMAN OF SUBCOMMITTEE
SPACE SCIENCE AND APPLICATIONS

COMMITTEES
SCIENCE, SPACE AND TECHNOLOGY
BANKING, FINANCE AND URBAN AFFAIRS

May 18, 1987

✓

MC

The Honorable Donnald K. Anderson
Clerk, House of Representatives
H-105, The Capitol
Washington, D.C. 20515

Dear Donn:

I would like to amend my 1987 Financial Disclosure Statement by adding an X by response NO under Part III Holdings--#2 Trust--Holdings do you, your spouse or dependent child receive income from or have a beneficial interest in a trust or other financial arrangement whose holdings were not reported because the trust is a "Qualified Blind Trust" or other execpted trust?

This was inadvertently left unchecked by the NO response.

Thank you for your consideration.

Sincerely,

Bill Nelson

IN RESPONSE, PLEASE REPLY TO:

WASHINGTON OFFICE
2404 RAYBURN HOUSE OFFICE BUILDING
WASHINGTON, D.C. 20515-0912
(202) 225-3671

MELBOURNE OFFICE
780 SOUTH APOLLO BLVD. SUITE 12
MELBOURNE, FLORIDA 32901-1423
(305) 676-1776

ORLANDO OFFICE
FEDERAL BUILDING, SUITE 300
ORLANDO, FLORIDA 32801-2225
(305) 841-1776

KISSIMMEE OFFICE (305) 847-1280
MERRITT ISLAND OFFICE (305) 453-9524
TITUSVILLE OFFICE (305) 268-1776
VERO BEACH OFFICE (305) 589-1978

HAND DELIVERED

Congress
of the
United States
House of Representatives

BILL NELSON
FLORIDA
ELEVENTH DISTRICT

CHAIRMAN OF SUBCOMMITTEE
SPACE SCIENCE AND APPLICATIONS

COMMITTEES
SCIENCE, SPACE AND TECHNOLOGY
BANKING, FINANCE AND URBAN AFFAIRS

May 20, 1987

✓ MC

The Honorable Donnald Anderson
Clerk of the House
H-105, The Capitol
Washington, D.C. 20515

Dear Mr. Anderson:

My 1986 Financial Disclosure Form inadvertantly omitted an asterisk as follows:

Section	Date/Identity/Parties/Terms	Category of Value
III	Holdings	
	Assets, H.C. Normile, Trustee (Irrevocable trust, daughter Nan Ellen Nelson) Pepsico*	A

Thank you for your assistance.

Sincerely,

Bill Nelson

BN:rw

IN RESPONSE PLEASE REPLY TO

WASHINGTON OFFICE
2404 RAYBURN HOUSE OFFICE BUILDING
WASHINGTON, D.C. 20515-0912
(202) 225-3671

MELBOURNE OFFICE
780 SOUTH APOLLO BLVD., SUITE 12
MELBOURNE, FLORIDA 32901-1423
(305) 676-1776

ORLANDO OFFICE
FEDERAL BUILDING, SUITE 300
ORLANDO, FLORIDA 32801-2225
(305) 841-1776

KISSIMMEE OFFICE (305) 847-1280
MERRITT ISLAND OFFICE (305) 453-9524
TITUSVILLE OFFICE (305) 268-1776
VERO BEACH OFFICE (305) 589-1978

HAND DELIVERED

Congress
of the
United States
House of Representatives

BILL NELSON
FLORIDA
ELEVENTH DISTRICT

CHAIRMAN OF SUBCOMMITTEE
SPACE SCIENCE AND APPLICATIONS

COMMITTEES
SCIENCE, SPACE AND TECHNOLOGY
BANKING, FINANCE AND URBAN AFFAIRS

May 20, 1987

The Honorable Donnald Anderson
Clerk of the House
H-105, The Capitol
Washington, D.C. 20515

✓
MC

Dear Mr. Anderson:

I have discovered that there is an additional asset that was unknown to me held in the irrevocable trust for each of my two children and, therefore, I would like to amend the Financial Disclosure Forms from 1982 to present.

Section	Date/Identity/Parties/Terms	Category of Value
III	Holdings	
	Assets, H.C. Normile Trustee (Irrevocable Trust, son, C. William Nelson) Putnam Trust	C
	Assets, H.C. Normile Trustee (Irrevocable Trust, daughter, Nan Ellen Nelson) Putnam Trust	C

The corresponding listing of Putnam Trust, Category of Value A in "Assets held in 1986 prior to December 31, 1986," should be omitted.

Thank you.

Sincerely,

Bill Nelson

BN:rw

IN RESPONSE, PLEASE REPLY TO:

WASHINGTON OFFICE
2404 RAYBURN HOUSE OFFICE BUILDING
WASHINGTON, D.C. 20515-0912
(202) 225-3671

MELBOURNE OFFICE
780 SOUTH APOLLO BLVD., SUITE 12
MELBOURNE, FLORIDA 32901-1423
(305) 676-1776

ORLANDO OFFICE
FEDERAL BUILDING, SUITE 300
ORLANDO, FLORIDA 32801-2225
(305) 841-1776

KISSIMMEE OFFICE (305) 847-1280
MERRITT ISLAND OFFICE (305) 453-9524
TITUSVILLE OFFICE (305) 268-1776
VERO BEACH OFFICE (305) 569-1976

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers and employees

BILL NICHOLS
(Full Name)

2405 Rayburn Building
(Mailing Address)

Washington, D. C. 20515

(Office Use Only)

Check the appropriate box and fill in the blanks:

☒ Member of the U.S. House of Representatives—District 3rd State Alabama

☐ Officer or Employee—Employing Office

☐ Check if amended statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO _X_ NA _■_

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Marine Machinery Association	Honorarium	$1,000	None
American Logistics Association	Honorarium	$2,000	None
Veterans Administration	Service Connected disability (approx)	$20,000.00	
Social Security		$ 800.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Home Federal Savings & Loan	Interest	A
Congressional Fed. Credit Union-William F. Nichols	Interest	A
Congressional Fed. Credit Union-Carolyn F. Nichols	Interest	A
Cash Equivalent Fund	Dividend	A
Alabama Housing Finance Authority Revenue Bond	Interest	A
Alabama Housing Finance Authority Revenue Bond	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing,** if so desired. **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO __X__ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Home Federal Savings & Loan Association	C
Congressional Fed. Credit Union-William F. Nichols	C
Congressional Fed. Credit Union-Carolyn F. Nichols	C
165 acres of timber property, Brecon Area, Talladega Co., Alabama	D
Alabama Housing Finance Authority Bonds	C
Alabama Housing Finance Authority Bonds	C

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
Alabama Housing Finance Authority--called	November	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
Auburn University	Color Photograph (Cong. & Mrs. Nichols	$ 150.00
Barganier, McKee & Sims, Architects	Watercolor Nichols ROTC Bld.	$ 150.00
Julian L. Jenkins, Architect	Bronze Eagle	$ 440.00

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Trustee	Auburn University, Auburn, Alabama

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 15, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Congressman Bill Nichols
(Name)

Continuation Sheet

Part II (Unearned Income) *Source, Type, Amount, Category, Description or Value (As Applicable)*

Alabama State General Obligation Bond	Interest	A
Auburn University Athletic Dept. Bond	Interest	A
Birmingham, Al. Industrial Development Bond	Interest	A
Enterprise, Al. General Obligation Bond	Interest	A
Montgomery, Al. General Obligation Bond	Interest	A
Opelika, Al. Industrial Development Bond	Interest	A
Opelika, Al. Waterworks Bond	Interest	A
Prattville, Al. Waterworks Bond	Interest	A
Alabama Housing Authority Revenue Bond	Interest	A
State of Al. General Obligation Bond	Interest	A
Tuskegee, Al. Utility Revenue Bond	Interest	A
Birmingham, Al., Medical Clinic Revenue Bond	Interest	A
Alabama Highway Authority General Obl. Bond	Interest	A

Part III (Holdings)

Alabama State General Obligation Bonds	B
Auburn University Athletic Dept. Bonds	B
Birmingham, Al. Industrial Development Bonds	B
Enterprise, Al., General Obligation Bonds	B
Montgomery, Al. General Obligation Bonds	B
Opelika, Al. Industrial Development Bonds	B
Opelika, Al. Waterworks Bonds	B
Prattville, Al. Waterworks Bonds	B
Alabama Housing Authority Revenue Bonds	B
State of Alabama General Obligation Bonds	B
Tuskegee, Al. Utility Revenue Bonds	C
Birmingham, Al. Medical Clinic Revenue Bonds	B
Alabama Highway Authority General Obligation Bonds	B

GPO: 1986 61-688 (m)

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

HOWARD CURTIS NIELSON
(Full Name)

1229 LONGWORTH BLDG.
(Mailing Address)

WASHINGTON, DC 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☐ Member of the U.S. House of Representatives—District 3 State UTAH

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.

- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.

- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
BERLEX LABORATORIES	2/5/86	HONORARIUM	$ 750.00	$ 75.00
NATIONAL CABLE TELEVISION ASSN	3/22/86	" "	1500.00	150.00
NATIONAL ASSN. OF BROADCASTERS	5/5/86	"	1500.00	150.00
OUTDOOR ADVERTISING ASSN	10/15/86	" "	1000.00	100.00
EDISON ELECTRIC INST	10/22/86	" "	1000.00	100.00

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
WP CREDIT UNION	INTEREST	B
FINANCIAL INVESTMENT FUND	DIVIDENDS	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY		CATEGORY
FINANCIAL INVESTMENT FUND	COMMON STOCK	B
SWEETWATER	TIMESHARE WASHINGTON	E
SPORTSMAN'S CLUB	MEMBERSHIP	B
RESIDENCE AT 1132 N. TAYLOR ST., ARLINGTON, VA.	SECOND HOME	E

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
SOVRAN MORTGAGE CO., MORTGAGE AT 416 N. TAYLOR, ARLINGTON, VA	E

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation"

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
JAPAN SOCIETY (EXPENSES FOR SELF & SPOUSE)	TRANSPORTATION - SALT LAKE-TOKYO - 6 DAYS FOOD & LODGING
BERLEX LABORATORIES (SPEECH)	" " - WASHINGTON - NEW YORK
NATIONAL CABLE TELEVISION ASSN. (SPEECH)	" " - WASHINGTON - DALLAS - 1 DAY FOOD & LODGING
NATIONAL ASSN OF BROADCASTERS (SPEECH)	" " - " " " " " "

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Howard C. Niel	May 13, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Rep. Henry J. Nowak (N.Y., 33rd)
(Full Name)

2240 Rayburn House Office Building
Washington, D.C. 20515
(Mailing Address)

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District NY State 33rd

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Rose Nowak, Hunt Real Estate Agent	Commissions		
Honoraria (See Attachment) Page One			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
M&T Bank	Interest	A
Atlantic Federal Savings & Loan	Interest	A
Goldome Savings & Loan	Interest	B
Empire Bank	Interest	A
(See Attachment) Page 2		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __XX__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
N.Y. State Municipal Bonds MIT Series 79 and Series 89	C
International Bond Fund FDQQ Mult. Curr.	B
(See Attachment) Page 3	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
(See Attachment)		
Page Four		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
(See Attachment)	
Page 5	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

[illegible]

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
(See Attachment) Page Six	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Trustee	Daemen College, Snyder, New York

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

Page One

Rep. Henry J. Nowak
Part II. Income: Continuation Sheet
A. Earned Income

Chamber of Commerce of Puerto Rico & Private Public Entrepreneurial Development Inc. Dec. 3, 1985 San Juan, P.R.	honorarium received 1/22/86	$1500
National Association of Regional Councils. Feb. 4, 1986 Washington, D.C.	honorarium	$250
National Parking Association April 9, 1986 Washington, D.C.	honorarium	$1000
National Association of Public Accountants May 20, 1986 Crystal City, Va.	honorarium	$500
National Parking Association July 31, 1986 Washington, D.C.	honorarium	$1000
National Restaurant Association Sept. 17, 1986 Washington, D.C.	honorarium	$750
Route 219 Association Oct. 31, 1986 Holiday Valley, New York	honorarium	$700
Local 17, Operating Engineers Nov. 3, 1986 Buffalo, New York	honorarium	$2000
Ecology & Environment Inc. Nov. 25, 1986 Buffalo, New York	honorarium	$2000
	TOTAL HONORARIA:	$9700

Rep. Henry J. Nowak
Part II. Income: Continuation Sheet
B. Unearned Income

SOURCE		CATEGORY
1217 Constitution Ave. N.E. Washington, D.C.	Rent	A
5-Unit Building Lauderdale Lakes, Fla.	Rent	Gross E Net C
4-Unit Building Ft. Lauderdale, Fla.	Rent	Gross E Net C
Linden Ave. Duplex Buffalo, New York	Rent	Gross D Net A
Cypress Bend Condo Pompano, Fla.	Rent	Gross B Net Loss
Bond Interest in Merrill Lynch CMA Account:		
1. N.Y. State Municipals MIT Series 79		B
2. N.Y. State Municipals MIT Series 89		B
3. N.Y. State Thruway GTD		A
4. N.Y. State Energy Res. PV		C
5. Intl.Bd. FD02 Mult. Curr.		A
Merrill Lynch CMA Account	Interest	C
Common Stock:	Dividends	
1. Baxter Travenol Labs		A
2. Brunswick Corp.		A
3. Ecology & Environment (NY)		A
4. Champion International Corp.		A
5. Norstar Bancorp Inc.		A
	Capital Gains	
CNR Larwin REIT		A
Fla. Gulf Realty, common stock		B

Rep. Henry J. Nowak
Part III. Holdings: Continuation Sheet

IDENTITY	CATEGORY
New York State Thruway Authority GTD Bonds	B
New York State Energy Research Dev. Bonds	D
Baxter Travenol Labs, common stock	B
Brunswick Corporation, common stock	B
Champion International Corp., common stock	B
Norstar Bancorp Inc., common stock	B
Research Frontiers Inc., common stock	B
Merrill Lynch CMA Account, cash	C
M& T Bank, savings account	A
Goldome Bank, savings account	C
Wedtech Corp., common stock	A
Atlantic Federal S&L, savings account	A
Sharon Wells Prog. No. 5, Ltd. Partnership	A
Sharon Wells Prog. No. 1, Ltd. Partnership	A
Ecology & Environment (NY),common stock (bk.val.)	B
Real Property:	
5-unit Rental,3030 N.W. 30th Terrace Lauderdale Lakes, Fla. Market Value: $130,000	E
4-unit Rental Joint Venture,3681-3881 3rd Avenue Ft. Lauderdale, Fla. Market Value: $120,000	E
Land Trust (Vacant Land) St. Lucie County, Fla. Market Value: $3000	A
2312 Cypress Bend (Apt. 106) Pompano Beach, Fla. Market Value: $24,000	C
Linden Avenue Duplex Buffalo, New York Market Value: $48,000	C
1217 Constitution Ave. N.E. (2-unit) Washington, D.C. 12/86 Purchase Price: $128,000	E

Rep. Henry J. Nowak
Part IV. Transactions: Continuation Sheet

DESCRIPTION		
Norstar Bancorp Inc., common stock purchase	1/15/86	B
Norstar Bancorp Inc., common stock purchase	1/17/86	B
Research Frontiers Inc.,common stock purchase	7/86	B
Baxter Travenol Labs, common stock purchase	2/7/86	B
Municipal Fund IAP, redeemed bond	7/1/86	A
Champion Intl. Corp., common stock purchase	2/7/86	B
Brunswick Corp., common stock purchase	3/19/86	B
Wedtech Corp., common stock purchase	3/19/86	B
Wedtech Corp., common stock purchase	3/19/86	B
Intl. Bond FD02 Multi Curr.,principal payment	4/30/86	A
Intl. Bond FD02 Multi Curr.,principal payment	6/30/86	A
Wedtech Corp., common stock sold	9/3/86	A
Wedtech Corp., common stock sold	9/3/86	B
Municipal Fund IAP, redeemed bond	12/8/86	A
1217 Constitution Ave. N.E., Wash., D.C. Purchased two-unit building	12/24/86	E
CNR Larwin REIT, dissolved	12/86	A
Fla, Gulf Realty, common stock Liquidating Dividend	1/6/86	A

Page Five

Rep. Henry J. Nowak
Part V. Liabilities:Continuation Sheet

MORTGAGES:		CATEGORY
4-Unit Rental, Joint Venture 3861-3881 3rd Avenue Ft. Lauderdale, Fla. Southern Federal Savings (Fla.)	$43,000*	C
J. Hauck, Ft. Lauderdale, Fla. 2d Trust on 4-Unit Rental (above)	$1750	A
5-Unit Rental 3030 NW Terrace Lauderdale Lakes, Fla. Atlantic Federal Savings & Loan (Fla.)	$38,650	C
2312 Cypress Bend Drive Pompano Beach, Fla. Coral Gables Savings & Loan (Fla.)	$11,500	B
Bridge Loan (Demand) on 2-Unit Building 1217 Constitution Ave. N.E. Washington, D.C. Chase-Lincoln Bank, Buffalo, N.Y.	$102,000	E
Jack Needleman, Washington, D.C. 2d Trust on 1217 Constitution	$13,000	B
Linden Avenue Duplex Buffalo, New York Niagara Permanent Savings, Buffalo, N.Y.	$19,108	C

(NOTE: *Approximate mortgage balances as of Dec. 1986)

Page Six

Rep. Henry J. Nowak
Part VII. Reimbursements: Continuation Sheet

Private Public Entrepreneurial Development Inc.
San Juan, Puerto Rico
Economic Development Seminar Dec. 3-4, 1985
Round trip airfare Buffalo, N.Y. to San Juan
Four days meals, lodging.
Member and spouse. Reimbursement received Feb.21 1986

City of San Lorenzo, Puerto Rico
Speech to business group
April 24-25, 1986
Round trip airfare from Baltimore, Md. to San Juan
Two days meals and lodging.
Member only.

Center for the Great Lakes
Speech to Symposium on Water Quality
Nov. 18, 1986
Round trip airfare from Washington, D.C. to Detroit,
Michigan. One night's lodging.
Member only.

2060

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Mary Rose Oakar
(Full Name)

1892 West 30th Street
(Mailing Address)

Cleveland, Ohio 44113

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 20 State Ohio

☐ Officer or Employee—Employing Office __________

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO ____ NA X

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See Attachment - "II. INCOME"			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Wright Patman Credit Union	B	
Ameritrust	A	

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO ____ NA X

For more information, see detailed Instruction Booklet at page 8.

	IDENTITY	CATEGORY
*	In Cleveland, Ohio (Part of Duplex is Home)	
	1888 West 30th	B
	3000 Carroll Avenue	C
	3008 Carroll Ct.	C

* Family Complex

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
3008 Carroll Avenue	Sept. 1986	C
(Sold)		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Ameritrust	B
Wright Patman Credit Union	E

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

See Attachment - "VII. REIMBURSEMENTS"

SOURCE	BRIEF DESCRIPTION
Foreign Policy Institute of Turkey	airfare (Wash.-Istanbul-Wash.)
Meetings, Seminars, Briefings	8 days, Food & Lodging

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Ad. Comm.	Cuyahoga Community College
Bd. Member	Society for Crippled Children
Ad. Comm.	Cleveland Ballet

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Mary Rose Oakar	May 15, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987

Attachment -- "II. INCOME"

GHA, Wash. DC	Honorarium	$1,000.00
NAP, Wash. DC	Honorarium	$1,000.00
NALC, Wash. DC	Honorarium	$1,000.00
Coalition for Regional Banking & Economic Dev. Wash. DC	Honorarium	$500.00 *
LPA, Williamsburg, VA.	Honorarium	$1,000.00
NAHC, Wash. DC	Honorarium	$2,000.00
Smithsonian Instit. Wash. DC	Honorarium	$250.00
NAPS, Wash. DC	Honorarium	$1,000.00
John Carroll Univ., Cleve.	Honorarium	$500.00 *
NEA, Wash. DC	Honorarium	$1,000.00
Am. Soc. Anesthesiologists Wash. DC	Honorarium	$2,000.00*
Women's Forum of NC, Raleigh, No. Carolina	Honorarium	$1,000.00*
Am. Podiatric Med. Assn., Columbus	Honorarium	$1,000.00*
AFGE, Baltimore, MD.	Honorarium	$1,000.00
AFGE, Cincinnati, OH	Honorarium	$1,000.00
NASW, Atlanta, GA	Honorarium	$1,000.00
Nat. Gallery of Art Wash. DC	Honorarium	$300.00
IBM, Wash. DC	Honorarium	$2,000.00*
AAM&FT, Wash. DC	Honorarium	$1,000.00
NAAA, Wash. DC	Honorarium	$1,000.00
SEIU, Wash. DC	Honorarium	$1,000.00
AFT, Chicago	Honorarium	$500.00
NALC, St. Paul, Mn.	Honorarium	$1,500.00
Demo. Women's Club, Canton	Honorarium	$50.00
APWU, San Francisco, CA	Honorarium	$2,000.00
Ohio Civil Service Assn., Columbus, OH	Honorarium	$250.00
Ohio Conf. of Teamsters, Columbus, OH	Honorarium	$2,000.00*
Ohio Muni. League Conf., Cleveland, OH	Honorarium	$250.00
BC/BS, Crystal City, VA	Honorarium	$2,000.00
NTEU, Cleveland, OH	Honorarium	$100.00
Women's Coalition, Columbus	Honorarium	$500.00 *
IMDI, Wash. DC	Honorarium	$1,000.00*
NWSE, Wash. DC	Honorarium	$250.00
Bank One, Columbus, OH	Honorarium	$500.00
Freddie Mac, San Fran., CA	Honorarium	$1,500.00*
Gannett News	Payment for Article	$125.00

* $12,000.00 - Donated to Charitable Organizations

[illegible] 1 1 3 [illegible] 7

Attachment -- "VII. REIMBURSEMENTS"

Demo. Business Council of the DNC
RT airfare, DC-Calif.-DC
Lodging
Speech & Panel Disc.

Women's Forum of No. Carolina
RT airfare, DC-No. Carolina-DC
Food & Lodging
Speech

AFT, RT airfare, DC-Chicago-DC
Food & Lodging
Speech

NALC, airfare, Cleveland-St. Paul-DC
Food & Lodging
Speech

APWU, RT airfare, DC-Calif.-DC
Food & Lodging
Speech

Jacksonville Naval Air Station Assn.,
RT airfare, DC-Florida-DC
Speech

Freddie Mac, RT airfare, DC-Calif.-DC
Food & Lodging
Speech

INSIDE MAIL

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

James L. Oberstar
(Full Name)

2351 Rayburn HOB
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 8 State Minnesota

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
2/13/86 - SimuFlite Training Int'l	Honorarium	$1,000	None
3/3/86 - National Farmers Union	"	500	None
4/8/86 - Peterson Outdoor Advertising	"	1,000	None
5/12/86 - Miller & Schroeder Financial, Inc.	"	2,000	None
6/2/86 - Outdoor Advertising Assn. of America	"	1,000	None

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Wright Patman Congressional Federal Credit Union	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Eastman Kodak Stock (Spouse) - Sold	12/31/86	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
SimuFlite Training Int'l	Airfare D.C. to Dallas; hotel; meals
Miller Schroeder Financial	Airfare D.C. to Minneapolis
National Air Controllers Association	Airfare D.C. to Chicago

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
James L. Oberstar	May 13, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987

Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

James L. Oberstar
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*
II - Income	7/16/86 - Strother & Hosking Assoc. - Honorarium - $1,000
	8/22/86 - Brotherhood of Maintenance of Way Employees - Hon. - $1,500
	9/9/86 - Food Marketing Institute - Honorarium - $1,000
	9/24/86 - Marine Engineers Beneficial Assn. - Hon. - $2,000
	10/3/86 - No. American Dist. Heating & Cooling - Hon. - $750.00
	10/15/86 - Building Owners & Managers Assn. - Honorarium - $2,000
	Centre for Legislative Exchange - Spouse - Salary

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Congressman David R. Obey
(Full Name)

2217 Rayburn House Office Building
(Mailing Address)
Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 7th State Wisconsin

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Committee For A Responsible Federal Budget (1/11)	Honoraria	1000.00	
WGA Service Corporation (2/11)	Honoraria	1000.00	
The Eagleton Institute of Politics (2/28)	Honoraria	300.00	
Joseph E. Seagram and Sons, Inc. (3/2)	Honoraria	2000.00	
National Center for Food & Agricultural Policy (3/3)	Honoraria	500.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Savings Account - GIT Investment Services	Interest	A
Rent - House in Wisconsin - 923 Ross		C
(Net loss - expenses exceed rent)		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO __X__ NA ____

For more information, see detailed Instruction Booklet at page 8

IDENTITY	CATEGORY
House in Wisconsin - 923 Ross	C
Savings Account - GIT Investment Services	B
IRA - Meritor Savings Bank - David R. Obey	B
IRA - Meritor Savings Bank - Joan T. Obey	A

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Committee For A Responsible Federal Budget (1/11)	Airfare-Duluth, MN to New York, NY to Washington, D.C.; 1 night lodging
Jewish Community Relations Council (1/21)	Roundtrip airfare- Washington, D.C. to New York, NY
The Eagleton Institute of Politics (2/28)	Roundtrip airfare-Washington, D.C. to Newark, NJ; 1 night lodging

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government, and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5-19-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

[illegible]

Page 5 of 5

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Congressman David R. Obey
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*		
IIA	National Assoc. of Community Health Centers (3/4)	Honoraria	$ 800.00
	Malcolm M. Knapp Inc. (4/4)	Honoraria	$2000.00
	Jewish Community Relations Council of Greater Boston (4/6)	Honoraria	$ 500.00
	Coalition for Employment Through Exports, Inc. (4/8)	Honoraria	$1000.00
	Graphic Communications International Union (4/15)	Honoraria	$1000.00
	American Council on Education (5/7)	Honoraria	$ 500.00
	Brookings Institution (5/14)	Honoraria	$ 300.00
	Brookings Institution (5/28)	Honoraria	$ 300.00
	National Association of Arab American (6/24)	Honoraria	$2000.00
	Paine Webber (9/10)	Honoraria	$1200.00
	The New York Times (11/9)	Honoraria	$ 100.00
VII	Joseph E. Seagram & Sons, Inc. (3/2)	Roundtrip airfare-Washington, D.C. to Naples, FL; 1 night lodging	
	Aspen Institute (4/2) (Self and Spouse-Seminar on Soviet Relations)	Self: One way airfare-Baltimore, MD to Bermuda; 2 nights lodging; Spouse: Roundtrip airfare-Baltimore, MD to Bermuda; 4 nights lodging	
	Gnereral Mills Restuarant Group (4/4)	Air travel-Bermuda to Boca Raton to Washington, D.C.; 1 night lodging	
	Jewish Community Relations Council (4/6)	Roundtrip airfare-Washington, D.C. to Boston, MA; 1 night lodging	

GPO 1986 61-698 (m)

[illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

JAMES RANDOLPH OLIN
(Full Name)

1238 LONGWORTH HOUSE OFFICE BUILDING
(Mailing Address)

WASHINGTON, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 06 State VIRGINIA

☐ Officer or Employee—Employing Office ____________________

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
General Electric Company	Pension	75,601.00	
General Electric Company	Deferred Compensation	31,712.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
City of Newport	interest	A
City of Norfolk	interest	A
Fairfax County	interest	B
City of Petersburg	interest	B
Merrill Lynch (CMA)	interest	D
Elfun Tax Exempt Fund	interest & Cap gains	E

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.** **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY		CATEGORY
General Electric Company	common stock	E
International Business Machines	common stock	D
Elfun Tax Exempt Fund		F
Elfun Tax Exempt Fund (wife)		D
Hewlett Packard	common stock	C
Catipillar Company	common stock	C

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
bought Merrill Lynch retirement fund for IRA	2/27/86	A
sold Hewlett Packard stock	3/21/86	C
sold Catipillar stock	4/4/86	C
sold Eastman Kodak stock	5/1/86	C
sold General Electric stock	5/29/86	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE BRIEF DESCRIPTION VALUE

I believe the Virginia Dairymen's Assoc donated a $600 scholarship to VPI in lieu of an honorarium to me for a speaking engagement.

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Board of Directors	Jobs for Virginia Graduates
Board of Directors	Garth Newell Music Center

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE PARTIES TO TERMS OF AGREEMENT

I retired from General Electric Company on Jan. 1, 1982
and will enjoy various benefits that accrued to me during
employment (and are provided for all General Electric retirees
of the same category) such as: pension plan, deferred
incentive compensation, medical and life insurance.

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
James R. Olin	May 14, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

Page 1 of 1

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

JAMES RANDOLPH OLIN
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II.B.	Elfun Tax Exempt Fund (Wife)	interest & cap. gains	D
	General Electric Company	dividends	D
	Merrill Lynch Stocks	dividends	B
	Montana Power Company	dividends	A
	Roanoke Gas Company	dividends	A
	Hewlett Packard Company	capital gains	B
	Catipillar Company	capital gains	D
	Eastman Kodak Company	capital gains	C
	General Electric Company	capital gains	E
	Montana Power Company	capital gains	D
	Mid South Utilities Company	capital gains	D
	City of Petersburg	capital gains	A
III.	Eastman Kodak	common stock	C
	Montana Power Company	common stock	C
	Mid South Utilities	Common stock	C
	Roanoke Gas Company	common stock	B
	City of Norfolk	bond	B
	City of Newport	bond	A
	Fairfax County	bond	B
	City of Petersburg	bond	B
	City of Virginia Beach	bond	C
	U.S. Savings	bond	A
	Merrill Lynch CMA	funds	F
	Merrill Lynch IRA	funds	C
IV	sold General Electric stock	6/18/86	C
	sold Montana Power stock	9/2/86	B
	sold Mid South Utilities stock	9/2/86	C
	bought Virginia Beach bonds	9/2/86	D
	bought IBM stock	10/7/86	C
	sold Montana Power stock	9/25/86	A
	bought IBM stock	10/30/86	C
	sold Mid South Utilities stock	12/31/86	B
	sold City of Petersburg bond	12/1/86	B
	donated General Electric stock to church	6/2/86	A

GPO: 1986 61-688 (m)

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT--FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

SOLOMON P. ORTIZ
(Full Name)

1524 LHOB
(Mailing Address)

Washington, D. C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 27 State Texas

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION"
IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
1. 1/2 Owner, Crooked Tree Apartments, Robstown	Rent	A
2. Charter Savings	Interest	A
3. Congressional Federal Credit Union	Interest	A
4. Bank of Washington	Interest	A
5. Equitable Life Insurance	Interest	A
6. AMTEX Security, Inc.	Dividends	E

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.**
IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X ____ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Bank of Washington	A
Cong. Fed Credit Union	C
Crooked Tree Apts. Robstown, TX 1/2 Interest	C
Seaside Villa Condominium, Corpus Christi, TX	B
3 Unimproved lots, E. Robstown, TX 1/2 interest	B
AMTEX Security, Inc., Corpus Christi, TX	B

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
none		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
none	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
none	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
none		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
none	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
President	AMTEX Security, Inc., Corpus Christi, TX

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	Retirement fund-Nueces Co.	Retirement benefits

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 14-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Major R. Owens
(Full Name)

978 Clarkson Avenue
(Mailing Address)

Brooklyn, N.Y. 11203

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 12 State New York

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Mid-Eastern Ass of EOP Personnel (April)-Speech	Honorarium	1,000	—
Texas Southern University (June)-Speech	Honorarium	500	—
American Library Association Federal Librarians (Sept.) Speech	"	200	—
American Assn of Law Libraries (July) - Speech	"	1,000	—
University of District of Columbia (November) - Speech	"	500	—
Fort Lauderdale Library (November - Speech	Honorarium	1,500	—

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Mortgage Payments for 335 Wyman Street	Mortgage Pymt	C

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Mortgage on 335 Wyman Street	A

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
(November) Trip to Raleigh North Carolina for Speech at Special Conference on Education Opportunity	Round-trip to Raleigh North Carolina for one day - Meals provided

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13

POSITION	NAME OF ORGANIZATION
President	Communication Associates

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Major R. Owens	May 15, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987

HAND DELIVERED

MAJOR R. OWENS
12TH DISTRICT NEW YORK

COMMITTEE ON
EDUCATION AND LABOR

COMMITTEE ON
GOVERNMENT OPERATIONS

Congreſs of the United States
House of Representatives
Washington, D.C. 20515

WASHINGTON OFFICE
114 CANNON BUILDING
WASHINGTON, DC 20515
202-225-6231

DISTRICT OFFICE
289 UTICA AVENUE
BROOKLYN, NY 11213
212-773-3100

✓

May 21, 1987

MC

Clerk
U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, DC 20515

Gentlemen:

Please accept the following information submitted to complete the Financial Disclosure Statement submitted by yours truly on May 15, 1987.

II. Income

A. The following are the dates for receipt of payment for the items listed:

Mid Eastern Association of EOP Personnel - April 28, 1986
Texas Southern University - July 22, 1986
American Library Association - September 12, 1986
American Assn. of Law Libraries - July 3, 1986
University of District of Columbia - November 18, 1986
Fort Lauderdale Library - November 24, 1986

B. The complete information for the source is as follows:
Mortgage Payments for 335 Wyona Street
Brooklyn, NY 11207

VII. Reimbursements

The source for the payment of expenses is as follows:
North Carolina Central University Health Careers Regional Center

Thank you for calling these omissions to my attention.

Sincerely yours,

Major R Owens

MAJOR R. OWENS
Member of Congress

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Wayne Owens
(Full Name)

429 N Street S.W., Apt. 202 S.
(Mailing Address)

Washington, D.C. 20024

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 2nd State Utah

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ [✓ NO] NA ______

For more information see detailed Instruction Booklet at page 7.

[illegible]

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Wayne Owens Professional Corp.	Law Practice	$120,000.	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Rental Income		D.
Interest Income and Dividends		B.

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO _x_ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Wayne Owens Professional Corporation, Common Stock	A
Boulder Ranch Partnership, One-Sixth interest	D
Rental Home, 2865 South 1500 East, Salt Lake City, UT.	D
Rental Home, 1962 Sylvan Avenue, Salt Lake City, UT.	D
One-eighth interest in home, Panguitch, UT.	B
10 acres land, Panguitch, UT.	E
CSX Common Stock	A

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Commercial Security Bank	C
Valley Mortgage	C
Kevin Rasmussen	C
Alder Wallace, Inc.	C
Boulder Ranch Partnership Liabilities - General Partner	F
Zions Bank	E
American Investment Bank	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Not applicable	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
Not applicable		

[illegible]

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Not applicable	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Officer/Director	Wayne Owens Professional Corporation
Proprietor	Wayne Owens Proprietorship
Partner	Boulder Ranch Partnership
Vice Chair	Utah State Board of Regents

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature: Wayne Owens

Date: Jan 2d, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Michael Garver Oxley
(Full Name)

1108 Longworth House Office Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 4th State Ohio

☐ Officer or Employee—Employing Office

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	*DISPOSITION
* see attached			
Patricia A. Oxley (spouse)			
Shannon & Luchs Realtors	commissions		

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
United States Treasury Notes	interest	A
Wright Patman Federal Credit Union	interest	A
Diamond Savings, Findlay, Ohio	interest	A
CSL Savings Bank, Findlay, Ohio	interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
IRA Northwestern Mutual Life	B
Wright Patman Federal Credit Union	B
Diamond Savings, Findlay, Ohio	A
United States Treasury Notes	B
CSL Savings Bank	B

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
Buy out of partnership share in law firm of		
Oxley, Malone, Fitzgerald & Hollister	7/86 *	A
301 East Main Cross Street, Findlay, OH 45840		
* buy out completed July 1, 1986		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
none	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
* see attached	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
"of counsel"	Position dissolved as a result of buy out by partners Oxley, Malone, Fitzgerald & Hollister 301 East Main Cross Street Findlay, OH 45840

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 8, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

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Page 1 of 2

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Michael Garver Oxley
(Name)

Continuation Sheet

Part II-A

Source, Type, Amount, Category, Description or Value (As Applicable)

SOURCE	YEAR	TYPE	AMOUNT
Oxley, Malone, Fitzgerald & Hollister	Jan 1, Feb. 1, March 1, Apr. 1, May 1, June 1, July 1. 1986	Legal Fees of Counsel as per buy-out agreement	$ 3,000
Tobacco Institute Washington, D.C.	Jan. 13 1986	Honorarium	1,000
Outdoor Advertising Palm Springs, CA	Jan. 13 1986	Honorarium	1,000
Preston, Thorgrimson, et. al. Washington, D.C.	Jan. 16 1986	Honorarium	1,000
U.S. Tobacco Washington, D.C.	Jan. 16 1986	Honorarium	1,000
Contact Lens Assoc. Washington, D.C.	Feb. 5 1986	Honorarium	2,000
NYNEX Washington, D.C.	Mar. 3 1986	Honorarium	750
Securities Industry Assoc. Washington, D.C.	Mar. 4 1986	Honorarium	2,000
United Technologies West Palm Beach, FL	Mar. 13 1986	Honorarium	1,000
Dealer Bank Assoc. Boca Raton, FL	Mar. 13 1986	Honorarium	1,000
Nat'l Cable T.V. Assoc. Dallas, TX	Mar. 14 1986	Honorarium	1,500
Ohio Cable T.V. Dallas, TX	Mar. 16 1986	Honorarium	1,000
Am. Pharmaceutical Assoc. San Francisco, CA	Mar. 15 1986	Honorarium	1,000
Nat'l Assoc. of Broadcasters Dallas, TX	Apr. 13 1986	Honorarium	1,500

[illegible]

Page 2 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Michael Garver Oxley
(Name)

Continuation Sheet

Part II-A

Source, Type, Amount, Category, Description or Value (As Applicable)

SOURCE	YEAR	TYPE	AMOUNT
Pfizer Chicago, IL	May 11 1986	Honorarium	$ 750.00
Ohio Bell Columbus, OH	May 16 1986	Honorarium	1,000
Bell Atlantic Charlottesville, VA	May 19 1986	Honorarium	2,000
U.S. Telecom Washington, D.C.	June 9 1986	Honorarium	500.00
		Charitable Contribution	470.00

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Page 1 of 2

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Michael Garver Oxley
(Name)

Continuation Sheet

Part VII

Source, Type, Amount, Category, Description or Value (As Applicable)

SOURCE	BRIEF DESCRIPTION
Utah Power & Light Speech Expenses self and spouse	2 days lodging /food Round-trip air fare (Washington, D.C. - Salt Lake City, UT)
Outdoor Advertising Speech Expenses self and spouse	Round-trip air fare (Washington, D.C. - Palm Springs, CA) 2 days food/lodging
Florida Power & Light Speech Expenses self and spouse	3 days lodging/food Round-trip air fare (Washington, D.C. - Miami, FL)
Grocery Manufacturers of America Charity Tennis Tourn. self and spouse	3 days lodging/food Round-trip bus fare White Sulphur Springs, W. VA
Dealer Bank Assoc. Speech Expenses self and spouse	Round-trip air fare (Washington, D.C. - Boca Raton, FL) 3 days lodging/food
Nat'l Cable T.V. Assoc. Speech Expenses	Round-trip air fare (Washington, D.C. - Dallas, TX) 3 days lodging/food
Am. Pharmaceutical Assoc. Speech Expenses	Round-trip air fare (Washington, D.C. - San Francisco, CA) 1 day lodging/food
U.S. Telephone Assoc. Speech Expenses self and spouse	5 days lodging/food Round-trip air fare (Washington, D.C. - Savannah, GA)
Nat'l Assoc. of Broadcasters Speech Expenses	Round-trip air fare (Washington, D.C. - Dallas, TX) 1 day lodging/food
Pfizer Speech Expenses	Round-trip air fare (Washington, D.C. - Chicago, IL) 2 days lodging/food
Bell Atlantic Speech Expenses	Round-trip air fare (Washington, D.C. - Charlottesville, VA) 1 day lodging/food
Bogie Busters Charity Golf Tourn.	3 days lodging/food Dayton, OH
Pine Valley, NJ Charity Golf Tourn.	2 days lodging/food Pine Valley, NJ
Danny Thompson Golf Tourn Leukemia Charity Golf Tournament self and spouse	2 days lodging/food Round-trip air fare (Washington, D.C. - Boise, ID)

GPO 1988 41-508 040

Page 2 of 2

ETHICS IN GOVERNMENT ACT--FINANCIAL DISCLOSURE STATEMENT

Michael Garver Oxley
Name

Continuation Sheet

Part SOURCE	Source, Type, Amount, Category, Description or Value (As Applicable) BRIEF DESCRIPTION
New England Life	2 days food/lodging
Golf & Tennis Tournament	Carrabassett Valley, ME
to benefit Carrabassett Valley Academy Fund	
self and spouse	
U.S. Telephone	3 days food/lodging
Association	White Sulphur Springs, W. VA
Speech Expenses	
self and spouse	
Grocery Manufacturers	3 days food/lodging
of America	White Sulphur Springs, W. VA.
Charity Golf Tourn.	
self and spouse	
American Gas Assoc.	2 days food/lodging
Speech Expenses	Williamsburg, VA
Chiang Kai Shek	Round-trip air fare (Washington, D.C. - Taipei, Taiwan)
Memorial Tourn.	5 days food/lodging
Puerto Rico Economic	Round-trip air fare (Washington, D.C. - San Juan, Puerto Rico)
Development Adm.	6 days food/lodging
Speech Expenses	Ayerst-Wyeth Pharmaceutical, Inc.
self and spouse	

GPO: 1986 61-688 (m)

INSIDE MAIL

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A–For use by Members, officers, and employees

Ronald C. Packard
(Full Name)

3001 Veazey Terrace, NW, #408
(Mailing Address)

Washington, D.C. 20008

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives–District 43 State California

☐ Officer or Employee–Employing Office

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Rancho Bernardo Savings Bank	Director Fee	$1250	
Amer. Veterinary Medical Assn.	Honorarium	$ 500	
McDonnell Douglas Corporation	Honorarium	$1000	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
La Jolla Bank	Interest	A
Wright Patman Federal Credit Union	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
TD on 180 acres-San Luis Rey Valley, Oceanside, California	F
Stock on 1st National Bank of North County	E
Investment diamonds	C
Silver coins	B
Gold krugerands	B
Stock in Rancho Bernardo Savings Bank	C

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Joint venture of Pepper Tree project, Oceanside, CA	Aug. 1986	E

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT, or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Danny Thompson Memorial Golf Tournament	3 days food & lodging
United Grocers Assn. Golf and Tennis Tournaments	Roundtrip bus from Washington, D.C. to
	The Greenbrier, West Virginia, 4 days
	food & lodging
Conference on Economic Competi- - The Homestead,	Hot Springs, VA - 2 days travel and
tiveness, spons. by Business Higher Educ. Forum	lodging for business conference

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director	Rancho Bernardo Savings Bank, California

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Ronald C Packard	5/13/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 69-038 (a)

Page 1 of 1

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Ronald C. Packard
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
III. Holdings	IRA-Oppenheimer Mutual Fund Stock (self) A
	IRA-Oppenheimer Mutual Fund Stock (spouse) A

GPO: 1985 81-688 (m)

3 7 7 7 1 1 2 3 5 5

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

INSIDE MAIL

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A (For use by Members, officers, and employees)

Ronald C. Packard
(Full Name)

3001 Veazey Terrace, NW, #408
(Mailing Address)

Washington, D.C. 20008

✓ MC (Office Use Only)

Check the appropriate box and fill in the blanks

X Member of the U.S. House of Representatives—District 43 State CA

☐ Officer or Employee—Employing Office ____

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
Rancho Bernardo Savings Bank		Director Fee	$1250	
Amer. Veterinary Medical Assn.	3/10/86	Honorarium	500	
McDonnell Douglas Corporation	11/26/86	Honorarium	1000	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
La Jolla Bank	Interest	A
Wright Patman Federal Credit Union	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
TD on 180 acres - San Luis Rey Valley, Oceanside, California	F
Stock in 1st National Bank of North County	E
Investment Diamonds	C
Silver coins	B
Gold krugerands	B
Stock in Rancho Bernardo Savings Bank	C

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Exchange - join venture of Pepper Tree project, Oceanside, CA	Aug. 1986	E

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
Danny Thompson Memorial Golf Tournament	3 days food & lodging
United Grocers Assn. Golf & Tennis Tournaments	Roundtrip bus from Washington D.C. to The Greenbrier, West Virginia, 4 days food & lodging
Conference on Economic Competitiveness, Spons. by Business Higher Educ. Forum - The Homestead, Hot Springs, VA	2 days travel and lodging for business conference

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13

POSITION	NAME OF ORGANIZATION
Director	Rancho Bernardo Savings Bank, California

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Ronald C Packard	5/18/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

[illegible]

Page 1 of 1

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Ronald C. Packard
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*
III. Holdings	IRA-Oppenheimer Mutual Fund Stock (self) A
	IRA-Oppenheimer Mutual Fund Stock (Spouse) A

GPO 1986 61-686 (m)

2112

U[illegible]] 2 1 2 7 2 1ATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Leon E. Panetta
(Full Name)

15 Panetta Road
(Mailing Address)

Carmel Valley, California 93924

MC (Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 16th State California

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __x__ NA ______

For more information, see detailed Instruction Booklet at page 7.

[illegible]

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions, the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION." **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
1. Honorariums - See Attached List	Honorarium	$19,750	
2. Citizens for Congressman Panetta (Spouse)	Fees	2,000	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY	
Commercial rental income. 50% interest in			
commercial rental 232 Madison Street		Gross	B
Monterey, California	Rentals	Net	A
Banks and Credit Unions - See Attached	Interest		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.** **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from, (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
50% interest in office building 232 Madison Street,	
Monterey, California Office rentals	E
Savings accounts - See Attached Schedule	

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GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
No transactions		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Bank of America, personal loan, Monterey, California	[illegible]
Congressional Federal Credit Union, personal loan, Washington, DC	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

[illegible]

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Travel and lodging in connection with	
honorariums - See Attached Schedule	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Spouse - - Board Member	Foundation to Support the Monterey Peninsula
	Unified School District

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 11, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 69-232 (a)

Hon. Leon E. Panetta

ATTACHMENT

I. INCOME - EARNED INCOME PART II

			TITLE	AMOUNT
A.	01-22-86	American Association of Railroads	Honorarium	$ 500.00
	01-23-86	Congressional Quarterly	Honorarium	800.00
	02-03-86	Brookings Institute	Honorarium	300.00
	02-13-86 to 02-16-86	SIT Investment Association	Honorarium	2,000.00
	03-04-86	U.S. Feed and Grain Council	Honorarium	500.00
	03-26-86	Wine Institute	Honorarium	1,000.00
	04-18-86	Waste Management Association	Honorarium	2,000.00
	05-05-86	Food Marketing Institute	Honorarium	2,000.00
	06-04-86	American Health Care	Honorarium	500.00
	06-14-86	Porter College - UCSC	Honorarium	1,000.00*
	06-18-86	Dow, Lonnes and Albertson	Honorarium	1,000.00
	08-04-86	Massachusetts Mutual Agents	Honorarium	2,000.00
	09-02-86	Radiologists Business Managers Association	Honorarium	1,500.00
	09-23-86	Vacca Group	Honorarium	500.00
	09-29-86	Building Owners and Managers International	Honorarium	2,000.00
	10-29-86	Latinos	Article	150.00
	01-86	National Retirement Association	Honorariums	2,000.00
		Total Income		19,750.00
		Total Air and Accomodations		3,388.11
				$ 23,138.11

* Assigned to Charity

[illegible]

Hon. Leon E. Panetta

ATTACHMENT

UNEARNED INCOME PART II

SOURCE	TYPE	CATEGORY
Congressional Federal Credit Union	Interest income	A
Bank of America	Interest income	A
Mony Financial Services	Interest income	A

Below listed bank accounts are in joint tenancy with Sylvia Panetta (spouse) and Sylvia Panetta's mother. Sylvia Panetta's name is listed on the accounts for convenience only and she has no beneficial or equitable interest in the income or principal of the bank accounts.

Bank of America - Monterey	Interest income	C
Wells Fargo - Monterey	Interest income	D
Coast Savings and Loan - Monterey	Interest income	D

HOLDINGS, PART III

Bank of America	Checking account	A
Mony Financial Services	Savings account	A
Congressional Federal Credit Union	Savings	A

Below listed bank accounts are in joint tenancy with Sylvia Panetta (spouse) and Sylvia Panetta's mother. Sylvia Panetta's name is listed on the accounts for convenience only and she has no beneficial or equitable interest in the income or principal of the bank accounts.

Bank of America - Monterey		D
Wells Fargo - Monterey		E
Coast Savings and Loan - Monterey		E

Hon. Leon E. Par

ATTACHMENT

II. GIFTS AND REIMBURSEMENTS PART VII

02-13-86 to 02-16-86	Air Fares, Sylvia, Leon and Jim Palm Springs and return	$ 1,050.00
	Rental Car	101.11
	Hotel	375.00
04-18-86	Air Fare DC-ORD-SF	786.00
05-05-86	Air Fare SF-ORD-DC	786.00
	Limo Service	15.00
	Hotel	125.00
09-22-86	Hotel	150.00
		$ 3,388.11

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Hon. Stanford E. Parris
(Full Name)

1526 Longworth House Office Building
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 8th State Virginia

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.

- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.

- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Virginia Society of CPA'S 9/10/86	Honorarium	$250.00	
Conference of State Bank Supervisors 4/20/86	"	$2,000.00	
Tayler Group 6/86 through 12/86	fees	$10,500.00	
Spousal Income, Webster Realty	sales commissions		
Trustee fees, Private client estate	fees	$1,587.83	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
First Virginia Bank	interest	C
Fairfax Bank & Trust Company	interest	C
listed and unlisted securities	dividends	E
sale of listed securities	capital gains	G
sale of farm cattle	ordinary income	D
Burke & Herbert Bank & Trust	interest	D
(continued)		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See attached continuation sheet	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

(see attached statement)

BRIEF DESCRIPTION	DATE	CATEGORY
Purchase and sale of various listed securities	throughout year	F
sale of improved farm property, Amissville, VA	4/86	F
sale of condo unit (Moonspinner)	10/86	E
purchase of partnership interest, Park Center Assoc II	11/86	E
purchase of partnership interest, Lee Street Assoc	6/86	E
purchase of unlisted securities, A&J Tool & Die, Inc.	3/86	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Fidelity Federal Savings & Loan, mortgage	C
Chevy Chase Savings Bank, mortgage	C
First Federal Savings & Loan of Panama City, mortgage	D
D.C. National Bank, personal	C
First Savings & Loan Assn of Penns Grove, N.J., mortgage	D
Fauquier National Bank, mortgage	E

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
Foreign Policy Institute, Ankara, Turkey	Roundtrip air fare, lodging and meals for self and spouse

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
General Partner	Thoroughfare Properties
Limited Partner	Stone Tract Associates (Land Holding, Bldg IV, Kiosk Bldg.
Limited Partner	Urban Improvement Fund
Limited Partner	Reddy Drive Limited Partnership
Limited Partner	2nd Carr Properties
Officer/Consultant	Fayler Group
Limited Partner	Park Center Associates II
General Partner	Lee Street Associates

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Stanford Parris	5/15/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987

[illegible]

Page 1 of 1

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Hon. Stanford E. Parris
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*	
III	Investment Retirement Account	B
	Quarterdeck Condo Properties, Panama City, Florida	D
	Ramsgate Condo Properties, Panama City, Florida	E
	Common stock, Colt Industries	E
	Common stock, First Commonwealth Corp.	C
	Common stock, Texaco	C
	Shares, Federated Exchange Fund	F
	Common stock, Mobil Oil	C
	Common stock, IBM	D
	Common stock, Weyerhauser Lumber	C
	Common stock, Pacific Gas & Electric	B
	Shares, Washington D.C. Mutual Fund	C
	Common stock, ITV	A
	Common stock, Raytheon	C
	Common stock, Dominion Bankshares Corp.	C
	Common stock, Atlantic Research Corp.	C
	Thoroughfare Properties,Warrenton,VA Partnership Interest - F	
	Condominium, Huntington Ave.,Alexandria,VA	D
	Single family residence, Gas City,Indiana	D
	U.S. Government Bonds and other securities	C
	Stone Tract Assocs., Land Holding Venture	F
	" " " Building IV	E
	" " " Kiosk Building	E
	2nd Carr Properties, partnership interest	C
	Urban Improvement Fund, partnership interest	C
	Reddy Drive Partnership, partnership interest	F
	Farm property, Amissville, VA	F
	Single family residence, Alexandria, VA	F
	Common stock, Tayler Group	C
	Park Center II, partnership interest	E
	Lee Street Associates, partnership interest	E
	Common stock, A&J Tool & Die, Inc.	A
II	Bank of Alexandria, interest	C
	Quarterdeck Condo Unit, rent	D
	Moonspinner Condo Unit, rent	D
	Ramsgate Condo Unit, rent	C
	Huntington Condo Unit, rent	C

One North Jefferson
St. Louis, Missouri 63103
(314) 289-3000

A.G. Edwards & Sons, Inc.
INVESTMENTS SINCE 1887

SIPC

099-DIV, 1099-INT,
099-OID, 1099-B

his is important tax information and is eing furnished to the Internal Revenue ervice. If you are required to file a eturn, a negligence penalty will be im-osed on you if this income is taxable nd the IRS determines that it has not een reported.

STANFORD E PARRIS
7121 MARINE DRIVE
ALEXANDRIA VA 22307

PERIOD ENDING	PAGE	ACCOUNT NUMBER
12/31/86	1	071 281-582 01

TAXPAYER I D NUMBER
347-20-6358

A.G. EDWARDS & SONS I D NUMBER
43-0895447

YEAR-TO-DATE INFORMATION
REPORTABLE INCOME

8,485.35 (1) DIVIDENDS	7,495.00 (2) DIVIDENDS QUALIFIED FOR EXCLUSION	990.35 (3) DIVIDENDS NOT QUALIFIED	(4) CAPITAL GAINS
(5) CASH LIQUIDATIONS	(6) NON-CASH LIQUIDATIONS	(7) TAXABLE INTEREST	(8) ORIGINAL ISSUE DISCOUNT

WITHHOLDING

(9) DIVIDENDS	(10) INTEREST	(11) ORIGINAL ISSUE DISCOUNT	(12) GROSS PROCEEDS	(13) TOTAL

NON-REPORTABLE ITEMS

(14) NON-TAXABLE INTEREST	480.71 (15) MARGIN INTEREST CHARGED	(16) NON-TAXABLE DIVIDENDS

DATE	ENTRY	QUANTITY	DESCRIPTION	CUSIP	AMOUNT
			TRANSACTIONS.		
0410	SOLD	1,000-	COLT INDUSTRIES INC	196864102	69,202.70CR
0430	BOUGHT	500	DOMINION BANKSHARES CORP	257183103	23,106.56
0528	BOUGHT	1,000	ATLANTIC RESEARCH CORP	048816102	28,605.80
0723	SOLD	1,000-	ATLANTIC RESEARCH CORP	048816102	27,645.95CR
1014	MERGER	1,100-	COLT INDUSTRIES INC REORGANIZED 9-29-86	196864102	93,500.00CR
			TOTAL PROCEEDS REPORTED		190,348.65CR
			END OF STATEMENT.		

SEE INSTRUCTIONS ON BACK

OMB NO. 1545-0110 1545-0112 1545-0117 1545-0715

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Charles Pashayan, Jr.
(Full Name)

129 Cannon House Office Building
(Mailing Address)

Washington, D. C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 17th State California

☐ Officer or Employee—Employing Office __________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
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WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Shawstone Investment Co., Inc.	Director's fees	$ 4,800	
Allirish Co.	Partnership income	330	
Wine Institute	Honorarium	1,000	Amer Cancer Society

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
The Manufacturers Life	Interest	A
New York Life Insurance Co.	Interest	A
National Permanent Savings & Loan	Interest	A
Congressional Federal Credit Union	Dividends	A
Shawstone Investment Co.,Inc.	Dividends	A
Monturah Co.	Income	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO x NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Congressional Federal Credit Union	C
25% interest in Monturah Co. (a partnership)	F (1)
.2160% interest in Saxon Oil Fund 1981-1982 (a partnership)	B (2)
12.5% interest in Allirish Co. - Partnership-Investor	B (2)
Stock in Shawstone Investment Co.-Fresno-Investment Company	C

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
New York Life Insurance	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director	Shawstone Investment Co., Inc.
Partner	Monturah Co.
Partner	Allirish Co.
Partner (Limited)	Saxon Oil Company Fund 1981-82

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 15, 1987

1) Value based on Appraisal
2) Value based on ending capital account

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

CHARLES PASHAYAN, JR.
17TH DISTRICT, CALIFORNIA

129 CANNON BUILDING
WASHINGTON, D.C. 20515
(202) 225-3341

COMMITTEE ON
INTERIOR AND INSULAR
AFFAIRS

COMMITTEE ON
POST OFFICE AND CIVIL
SERVICE

CONGRESS OF THE UNITED STATES
HOUSE OF REPRESENTATIVES

INSIDE MAIL

May 26, 1987

Office of Records and Registration
1036 Longworth Building
Washington, D. C. 20515

mc

Dear Sirs:

I wish to amend my financial statement for 1986 to reflect rental income from a portion of my private residence, in value of Category C.

Sincerely yours,

Charles Pashayan Jr.

Member of Congress

PLEASE REPLY TO:

☐ WASHINGTON OFFICE

☐ HOME OFFICE FRESNO COUNTY
1702 EAST BULLARD AVE. SUITE 103
FRESNO CALIFORNIA 93710
(209) 487-5500

☐ HOME OFFICE KINGS COUNTY
804 NORTH IRWIN
HANFORD CALIFORNIA 93230
(209) 582-2896

☐ HOME OFFICE TULARE COUNTY
831 WEST CENTER STREET
VISALIA CALIFORNIA 93291
(209) 627-2700

☐ HOME OFFICE KERN COUNTY
201 HIGH STREET
DELANO CALIFORNIA 93215
(805) 725-7371

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Elizabeth J. Patterson
(Full Name)

1022 Longworth House Office Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 4th State SC

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	DATE	TYPE	AMOUNT	DISPOSITION
S.C. State Senate	Jan. 1986	salary	$10,000	None
Committee for Olin D. Johnston	Nov. 1986	commission	1,348	None
Perrin,Perrin,Mann&Patterson(Spouse)	Monthly 1986	partnership	income	None
Liberty Corp.	Oct. 1986	honorarium	2,000	None
Library St. Title Ins. Agency(Spouse)	Dec. 1986	partnership	income	None

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Winn Dixie Stores, Inc.	Dividends	B
Pride Pipeline Company	Royalty	A
The Palmetto Bank	Dividends	A
The Palmetto Bank	Interest	A
The Palmetto Bank (Spouse & Children)	Dividends	B
The Palmetto Bank (Spouse & Children)	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
The Palmetto Bank - Stock	C
The Palmetto Bank - Stock (Spouse & Children)	F
NBSC Corporation - Stock (Child)	A
Seibels Bruce Group, Inc. - Stock (Child)	A
Pride Pipeline Company - Lease	A
Winn Dixie Stores, Inc. - Stock	E

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Spouse sold timber on Laurens County Farm	11-13-86	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
The Palmetto Bank - Two unsecured notes by spouse	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None - Not a Member in 1986	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None - Not a member in 1986		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None - Not a Member in 1986	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Trustee	Board of Trustees, Wofford College
Director	Board of Directors, Spartanburg Boys Home

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
1986	S.C. Retirement System	Vested interest in State retirement system

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Elizabeth J. Patterson	May 14, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

[illegible]

Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Elizabeth J. Patterson
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*
II. B.	The Seibels Bruce Group, Inc. (children) - dividends - A
"	NBSC Corporation (child) - dividends - A
"	General Partners (spouse) - rents - B
"	W & W Logging (spouse) - sale of timber - C
III.	1/3 interest in 237 acre farm, Laurens Co., S.C. (spouse) - D
"	1/2 interest in vacant lot, Spartanburg, S.C. - A
"	1/4 interest in General Partners - Real Estate Partnership (spouse) - E
"	1/5 interest in Perrin, Perrin, Mann, and Patterson - Law Firm (spouse) - C
"	1/5 interest in Library St. Title Insurance Agency - Partnership (spouse) - A
"	The Palmetto Bank Savings Accounts (children) - C

GPO: 1986 51-[illegible] (m)

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A–For use by Members, officers, and employees

Donald J. Pease
(Full Name)

140 Elm St.
(Mailing Address)

Oberlin, Ohio 44074

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives–District 13th State Ohio

☐ Officer or Employee–Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and
(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and
(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See continuation sheet			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
First Variable Rate Fund	interest	A
Wright Patman Credit Union	"	B
Dreyfus Tax-exempt Bond Fund	"	A
Lorain National Bank Agency Account	interest/dividends	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See continuation sheet	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Lehman Capital Fund (sold)	6-6-86	A
Federated Growth Trust (bought)	6-9-86	A
State of Ohio Higher Education Bonds (matured)	5-1-86	A
Tax-Free Instruments Trust (bought)	6-24-86	A
NOTE: All of above are part of Lorain National Bank Agency Account		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13

POSITION	NAME OF ORGANIZATION
Member, Board of Directors	International Labor Rights Education and Research Fund

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5-14-86

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

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Page ____ of ____

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Donald J. Pease
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)				
				Date of Receipt	
II A	Source	Type	Amount	↓	Disposition
	National Assn of Life Companies	honorarium	$500	9/86	(charity-$500)
	Health Insurance Assn of America	"	$1,000	9/86	
	American Die Casting Institute	"	$ 250	7/86	
	Committee on Pipe and Tube	"	$ 2,000	9/86	
	Jones, Day, Reavis and Pogue	"	$ 1,000	9/86	
	Washington Post	writing fee	$ 100	7/86	
	Christian Science Monitor	" "	$ 85	3/86	
III	Holdings			Category	
	Wright Patman Credit Union			B	
	Dreyfus Tax-exempt Bond Fund			B	
	First Variable Rate Fund			B	
	Lorain National Bank Agency Account			B	
	(a) Daily Interest Fund				
	(b) Wright Money Market Fund				
	(c) Hamilton County Hospital Bonds				
	(d) Tax-free Instruments Trust				
	(e) Federated Growth Trust				

GPO 1986 61-668 (m)

HAND DELIVERED [illegible]

DON J. PEASE
13TH DISTRICT, OHIO

1127 LONGWORTH BUILDING
WASHINGTON, D.C. 20515
(202) 225-3401

COMMITTEE ON
WAYS AND MEANS

SUBCOMMITTEE ON TRADE

SUBCOMMITTEE ON
PUBLIC ASSISTANCE AND
UNEMPLOYMENT COMPENSATION

Congress of the United States
House of Representatives
Washington, D.C. 20515

ADMINISTRATIVE ASSISTANT
BILL GOOLD

DISTRICT OFFICE

MRS. NANCY HOLD
1936 COOPER FOSTER PARK ROAD LORAIN
(216) 282-5003

PART TIME OFFICES

MRS. BARBARA FLOWERS
COUNTY ADMINISTRATION BUILDING, MEDINA
(216) 725-8120

MOIC BUILDING, MANSFIELD
(419) 526-6663

MR. JOHN WALKER
THE CENTRE BUILDING
(419) 325-4184

COUNTY ADMINISTRATION BUILDING, NORWALK
(419) 668-0206

May 19, 1987

✓
MC

Mr. Julian Dixon, Chairman
Mr. Floyd Spence, Ranking
Minority Member
Committee on Standards of
Official Conduct
U.S. Capitol

Dear Messrs. Dixon and Spence:

I have received your letter of May 15, 1987 regarding deficiencies in my annual financial disclosure statement.

Upon my request, Mr. Keith Giese of your staff checked my statement and concluded that my statement regarding date of receipt of honoraria (showing month and year) was satisfactory.

Regarding the other point you raised -- disposition of a T. Rowe Price Prime Reserve Fund account which I showed as an asset in 1985 but not in 1986 -- I offer this explanation. It was an account of my daughter's (with me as the second signatory). The account was closed out on October 9, 1986. I did not show that action as a transaction because (a) the account had in it less than your threshold of $1,000 when closed out, (b) my daughter was not claimed as a dependent of mine in 1986; and (c) your instructions ask for reporting of transactions in "real property, stocks, bonds, commodities futures, as other forms of securities."

Regarding the latter, it would be helpful in the future if your instructions were to make clear that Wall Street money market funds are included in the list of investments to be regarded.

I hope this explanation will be satisfactory. If not, please let me know.

Sincerely yours,

D J Pease
DON J. PEASE
Member of Congress

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

TIMOTHY JOE PENNY
(Full Name)

416 CANNON HOUSE OFFICE BUILDING
(Mailing Address)

WASHINGTON, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 1ST State MN

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
Farm Credit Services	12/1/86	Honorarium	$500	
Land O' Lakes	12/9/86	Honorarium	$500	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Residential Property -215 Birch Street, New Richland, MN	Rent	A
Americana Bank, Alden, MN	Interest	A
Wright-Patman Cong. Credit Union, -Washington, D.C. 20515	Interest	A
New Richland State Bank, New Richland, MN	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO __X__ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Residential Property - 215 Birch, New Richland, MN	C
Wright-Patman Cong. Credit Union, Washington, D.C. 20515	B

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Residential Property - 215 Birch, New Richland, MN	C
owed to estate of Joe Stadulski	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Manville Corporation	Travel & lodging in conjunction with fact finding event (One round trip Washington, D.C. to Denver)

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
X Timothy J. Penny	5/13/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987 69-232 (a)

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ND DELIVER

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

CLAUDE D. PEPPER
(Full Name)

2121 N. Bayshore Drive #419
(Mailing Address)

Miami, Florida 33137

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

XX Member of the U.S. House of Representatives—District 18 State Florida

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.

- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.

- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA X

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
SEE SCHEDULED ATTACHED			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
SEE SCHEDULED ATTACHED		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA X

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
SEE SCHEDULE ATTACHED	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sold shares of Jefferson Bancorp Stock	2/86 thru	D
Sold shares of Florida Commercial Stock	12/86	C
Sale of Big Pine Acreage	12/86	F

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Southwestern Life Insurance	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Mrs. Moser - Personal family friend - Xmas and Birthday Gifts - $6,500.00	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986:

SOURCE	BRIEF DESCRIPTION
Mount Sinai Hospital	Reimbursement of Travel Expenses
Daschle, Pickle, Adams, et al	re: Speaking Engagements
American Bar Association	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Advisory Board of First Nationwide Savings (Resigned Early 1986)	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
12/31/78	Clements, Hopkins & Weaver - Buy-out No Income 1986	agreement (Former Law Partnership)

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature x Claude Pepper

Date 5/13/87.

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987 69-238

Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

CLAUDE D. PEPPER
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
II-A	EARNED INCOME:	
	Goldfarb & Singer - Royalty (Excluded, Rule 47, Sec. D)	40,000.00
	U.S. Government - Social Security Benefits	10,142.00
	First Nationwide Savings - Directors Fee	2,000.00
	Mershon, Sawyer - Professional Fee	12,500.00
	HONORARIUMS:	
February	Edison Electric	1,000.00
March	Insight - Washington Times	1,000.00
March	U.S. League of Savings Instit.	1,500.00
May	PFIZER, Inc.	2,000.00
June	Dow Jones	250.00
June	Coalition For Reg.	2,000.00
October	New York Times	150.00
II-B	INTEREST:	
	Southeast Bank	A
	DIVIDENDS:	
	Equitable	A
	Woodmen of the World	A
	Florida National Bank	B
	Jefferson National	[illegible]
	U.S. Government	A
	Pershing Industries	A
	CAPITAL GAINS:	
	Sale of Property	Gross G Net F
	Sale of Stocks	Gross F Net F
III	HOLDINGS:	
	Southeast Bank	E
	LaGorce Country Club	A
	Florida Commercial Banks	E
	Jefferson Bancorp	C
	Peoples Hialeah National Bank (EASTERN NAT'L. BK.)	A
	Pershing Industries	A
	Thor Corporation	A
	State of Israel Bond	A
	48½ Acres, Big Pine Key, Florida Sold 12/86	
	Lot Wakulla County, Florida	A
	Cottage, Lake Bradford Shores, Florida	A
	Note Receivable - Investment Mortgage Co. Loss 1986 Uncollectable	

GPO: 1986 [illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Carl C. Perkins
(Full Name)

1004 Longworth Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 7th State Kentucky

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Nondisclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and
(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and
(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
DO NOT ACCEPT HONORARIUMS			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Bank of Hindman	Dividend	B
Gross Income - Sale of Horses		F
Gross Income - Sale of Cattle		E
Gross Income Sale of Tobacco		E

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.** **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Bank of Hindman Stock	E
269 Acre farm--Georgetown, Kentucky	F
1/2 undivided int. in 30 acres at Hollybush, KY	A
1/3 undivided int. in 15 acres at Dyer Branch	A
Hanover Townhouse Subdivision in Fayette County, KY	E
1/2 undivided int. in 35 acres at Dick Hall Branch	A

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Federal Land Bank Assoc. of Lexington	E
Commerce National Bank	E
Liberty National Bank	C
1st Nat'l. Bank & Trust Co. of Georgetown	D
Verna J. Perkins	E
Bank of Hindman	F

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Administrator	Estate of Carl D. Perkins

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 1, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

Page ____ of ____

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Carl C. Perkins
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
III	63.82 acre tract located in Georgetown, KY	Category D
III	143.26 acre tract Ammerman Road Scott Co.	Category E
III	1/2 interest in 88 acre tract Leburn, Knott Co., KY	Category C
III	Lot 243, Indian Hills, Georgetown, KY	Category B
III	Estate of Jim Perkins Trust	Category A
III	Horses	Category E
III	Cattle	Category C
III	Tobacco	Category B
V	Citizens Deposit Bank	Category E
V	Estate of Carl D. Perkins	Category A
V	Peoples Bank & Trust	Category C
V	1st Commonwealth Bank	Category C
V	PCA	Category E

ADDITIONAL INFORMATION:

This financial disclosure statement includes a partial distribution of property inherited with the recent passing of Congressman Carl D. Perkins. To date, limited distribution of assets has been made.

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Carl C. Perkins
(Full Name)

1004 Longworth Building
(Mailing Address)

Washington, D.C. 20515

mc
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 7th State KY

☐ Officer or Employee—Employing Office ______

☒ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to [illegible] SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
DO NOT ACCEPT HONORARIUMS			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Bank of Hindman	Dividend	B
Gross Income - Sale of Horses		F
Gross Income - Sale of Cattle		E
Gross Income - Sale of Tobacco		E

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Bank of Hindman Stock	E
269 Acre farm--Georgetown, KY	F
1/2 undivided int. in 30 acres, Hollybush, KY	A
1/3 undivided int. in 15 acres at Dyer Branch	A
Hanover Townhouse Subdivision in Fayette Co., KY	E
1/2 undivided int. in 35 acres at Dick Hall Branch	A

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
The Leburn property was acquired as a part of the Estate of Carl D. Perkins. There was no additional acquisition of property in Hollybush or Dick Hall Branch. The previous statements listed the acreage incorrectly, such statements have now been corrected.		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Federal Land Bank Assn. of Lexington--Mortgage	E
Commerce National Bank--Mortgage	E
Liberty Nat'l. Bank--Personal & Business	C
1st Nat'l. Bank & Trust of Georgetown--Mortgage	D
Verna J. Perkins--Business	E
Bank of Hindman--Business	F

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Administrator	Estate of Carl D. Perkins

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	June 10, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987

Page _____ of _____

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Carl C. Perkins
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
III	63.82 acre tract located in Georgetown, KY	Category D
III	143.26 acre tract Ammerman Lane Scott County	Category E
III	1/2 int. in 88 acre tract, Leburn, KY	Category C
III	Lot 248, Indian Hills, Georgetown, KY	Category B
III	Estate of Jim Perkins Trust	Category A
III	Horses	Category E
III	Cattle	Category C
III	Tobacco	Category B
V	Citizens Deposit Bank--Business	Category E
V	Estate of Carl D. Perkins--Business	Category A
V	Peoples Bank & Trust Co.--Business	Category C
V	1st Commonwealth Bank--Business	Category C
V	Central KY Production Credit Assn.--Business	Category E

ADDITIONAL INFORMATION: This financial disclosure statement includes a partial distribution of property inherited with the recent passing of Congressman Carl D. Perkins. To date, limited distributin of assets has been made.

UNITED STATES HOUSE OF REPRESENTATIVES

INSIDE MAIL
INSIDE MAIL

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

RECEIVED
FEB 22

FORM A—For use by Members, officers, and employees

THOMAS E. PETRI
(Full Name)

RT. # 3
(Mailing Address)

FOND DU LAC, WIS. 54935

✓
MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☑ Member of the U.S. House of Representatives—District 6 State WISC.

☐ Officer or Employee—Employing Office ______

☑ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ✓ NA ______

For more information, see detailed Instruction Booklet at page 7.

[illegible]

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting **honoraria**, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
WILEY, REIN & FIELDING — WIFE'S EMPLOYER	WAGES	N.A.	
PETRI INSURANCE INC.	DIRECTOR'S FEE	$125	
WESTVIEW PRESS, DATED 12/31/84, RECEIVED FALL '86.	ROYALTY	185.86	DONATED TO CHARITY
LUTHERAN ACADEMY, FEB. 13, 1986.	HONORARIUM	250	" " "
WISCONSIN ROADBUILDERS, FEB. 28, 1986	"	500	" " "

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
WISCONSIN RETIREMENT ACCOUNT	INTEREST & DIVIDENDS	B
WRIGHT PATMAN CREDIT UNION	INTEREST	B
FOX VALLEY SAVINGS & LOAN	INTEREST	A
FLOOD AGENCY, RENTAL AGENT FOR BLDG. AT 43 S. MAIN	ST. FOND DU LAC - RENT.	C
BAIRD & CO. MARGIN ACCT.	DIVIDENDS & INTEREST	D
RIGGS BANK	INTEREST	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.** **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO ✓ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
BUILDING 43 S. MAIN ST. F.D.L. WIS.	D
R&P DEVELOPMENT, RENTAL REAL ESTATE, FOND DU LAC WIS.	D
H&P DEVELOPMENT, RENTAL REAL ESTATE, FOND DU LAC WIS	D
1ST WISCONSIN NAT'L BANK - STOCK	F
1st. WISCONSIN NAT'L BANK - FOND DU LAC WIS. STOCK	B
WALGREEN CO. STOCK	F

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Valley Bancorporation Stock Purchase	Dividend Reinvestment Thru Year	A
Walgreen Co. Stock Purchase	" " "	B
Building at 43 S. Main St., Fond du Lac Wis. Sale	10/15/86	D

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Baird & Co. Margin Account	E
1st Wisconsin National Bank - Milwaukee, Loan Secured by Stock	F

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
~~Former Members of Co~~ Former Members of Congress -	Meals & Lodging at Conference in Frankfurt, Ger.
Woodrow Wilson Int'l Center for Scholars	Meals & Lodging at Conference in [illegible]

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
WOODROW WILSON INT'L CENTER FOR SCHOLARS	TRAVEL TO-FROM GERMAN ACTION CONFERENCE
FORMER MEMBERS OF CONGRESS	TRAVEL TO-FROM GERMAN CONFERENCE PROGRAM.

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
DIRECTOR	PETRI INS, INC.
PARTNER	M & P DEVELOPMENT, RENTAL REAL ESTATE, FOND DU LAC, WIS
"	R & P DEVELOPMENT RENTAL REAL ESTATE, FOND DU LAC, WIS
"	LLOYDS, UNDERWRITING INSURANCE RISKS, LONDON, ENGLAND

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	April 21, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

Page 5 of 5

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

T. E. PETRI
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
II A.	LUTHERAN CHURCH IN AMERICA	HONORARIUM	$300 AUG ~~FEB~~ 26/1986	DONATED TO CHARITY
II A.	MIDWEST DAIRY PROD. ASSN.	AUG. 15, 1986	500	" " "
II B	VALLEY BANK	DIVIDENDS	B	
II B	WALGREENS	"	D	
II B	LLOYDS	INTEREST	E	
II B	1ST WISCONSIN NAT'L BK.-F.D.L.	DIVIDENDS	A	
II B	SOO LINE	"	A	
II B	WARNER COMMUNICATIONS	INTEREST	A	
II B	1ST. NAT'L BK. OF BOSTON (GUERNSEY)	INTEREST	A	
II B	1ST. WISC. CORPORATION	DIVIDENDS	D	
III	BOEING STOCK		D	
III	CASTLE & COOKE STOCK		C	
III	LLOYDS & 1ST NAT'L BK. OF BOSTON (GUERNSEY)		C	
III	OVERSEAS SHIPHOLDING GROUP STOCK		D	
III	SOO LINE STOCK		B	
III	COMMERCE CLEARING HOUSE STOCK		D	
III	WARNER COMMUNICATIONS BOND		B	
III	WISCONSIN RETIREMENT ACCOUNT		B	
III	WRIGHT PATMAN CREDIT UNION IRA ACCT		B	
III	FOX VALLEY SAVINGS & LOAN KEOGH ACC.		A	
III	VALLEY BANK STOCK		D	
III	FEDERAL RETIREMENT PENSION FUND		C	
III	AIR WISCONSIN STOCK		C	

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Hon. Owen Bradford Pickett, M.C.
(Full Name)
1429 Longworth House Office Building
(Mailing Address)
Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 2d State VA

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

3 7 1 0 7 2 2 3 5 7 5

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting **honoraria**, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
[See attached continuation sheet.]			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
[See attached continuation sheet.]		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO __X__ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
[See attached continuation sheet.]	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
[See attached continuation sheet.]		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
[See attached continuation sheet.]	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
none.	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
none.		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
none.	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
[See attached continuation sheet.]	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
[See attached continuation sheet.]		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5-13-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

Hon. Owen B. Pickett, M.C.

Continuation Sheet

Part	Source	Type	Amount
II.A.	Pickett, Lyle, Siegel, Drescher & Croshaw	Salary	$126,769
	Commonwealth of Virginia	Salary	9,128
	Dominion Bank	Dir. Fees	2,500

[illegible]

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

Hon. Owen B. Pickett, M.C.

Continuation Sheet

Part II.B.	Source	Type	Category
	J. B. Taylor	Interest	C
	Brass Circle Associates	Interest	D
	Central Fidelity Bank	Interest	A
	Scotland Square Associates	Interest	D
	Princess Anne Commercial Bank	Interest	A
	Williams Corp. of Virginia	Interest	A
	Dominion Bank	Interest	E
	Beverly Enterprises	Interest	D
	Sovran Bank	Interest	A
	Bank of Virginia	Interest	A
	Woods Green Run, Inc.	Interest	B
	Independent Banks of Virginia	Interest	A
	Princess Anne Commercial Bank	Dividend	D
	Seaboard Savings & Loan	Dividend	A
	Independent Banks of Virginia	Dividend	A
	Dominion Bankshares	Dividend	A
	R. J. Reynolds	Dividend	A
	Grayson Avenue Associates	Rent	D
	Lynnhaven Station Company	Rent	F
	Harrell Farm Company	Rent	B
	First Plaza Associates	Rent	C

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

Hon. Owen B. Pickett, M.C.

Continuation Sheet

Part			
II.B.	Source	Type	Category
	Kolonial Investment Group	Rent	D
	S. T. Lane	Rent	A
	MCI Telecommunications Corp.	Rent	C
	Woods Corner Associates	DSPI*	D
	Charlestowne Lakes South	DSPI	F
	Scotland Square Associates	DSPI	E
	Williams Corp. of Virginia	DSPI	D
	Sandbridge Development Co.	DSPI	B
	R. J. Reynolds	Capital gain	D
	Best Buy, Inc.	Capital gain	E
	Lot 10, Great Neck Meadows Virginia Beach, VA	Capital gain	D
	From all partnerships listed in Part IV (total)	Capital gain	E

* DSPI = Distributive share of partnership income from sale of land.

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

Hon. Owen B. Pickett, M.C.

Continuation Sheet

Part

III.

Identity	Category
Dominion Bank - savings	E
Central Fidelity Bank - savings	B
Princess Anne Commercial Bank - savings	E
Bank of Virginia - escrow account	B
Sovran Bank - money market account	A
Payne Webber - money market account	A
J. B. Taylor - note	C
Scotland Square Associates - note	D
Williams Corp. of Virginia - note	D
Dominion Bank - account receivable	C
Beverly Enterprises - mortgage receivable	F
Seaboard Savings & Loan Assn. - stock	D
Independent Banks of Virginia - stock	C
The Commonwealth Bank - stock	B
Dominion Bank - stock	B
Best Buy, Inc. - stock	C
R. J. Reynolds, Inc. - stock	C
TNC, Inc. - stock	B
Magnolia Meadows (Business: Mobile Home Park, Virginia Beach, VA)	A
Woods Corner Associates, Ltd. (Business: Lot improvement & sale, Virginia Beach, VA)	B

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

Hon. Owen B. Pickett, M.C.

Continuation Sheet

Part	Identity	Category
III.	Pickett, Lyle, Siegel, Drescher & Croshaw, P.C. (Business: Law firm, Virginia Beach, VA)	C
	Woods Green Run, Inc. (Business: Lot improvement & sale Virginia Beach, VA)	B
	Charlestowne Lakes South, Inc. (Business: Lot improvement & sale Virginia Beach, VA)	A
	Harrell Farm Company (Business: Farm Investments, Virginia Beach, VA)	D
	Toll Cross Company (Business: Inactive, Virginia Beach, VA)	A
	Lynnhaven Station Company (Business: Rental of Commercial Offices Virginia Beach, VA)	F
	Hampton Development Associates (Business: Lot improvement & sale Virginia Beach, VA)	D
	GRA Associates (Business: Apartment rental, Virginia Beach, VA)	C
	Cranston Lane Associates (Business: Ownership, rental, improvement and sale of real estate, Virginia Beach, VA)	F
	Brass Circle Associates (Business: Ownership, rental, improvement and sale of real estate, Virginia Beach, VA)	F
	Act B Partnership (Business: Ownership, rental, improvement and sale of real estate, Virginia Beach, VA)	C

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

Hon. Owen B. Pickett, M.C.

Continuation Sheet

Part	Identity	Category
III.	Toll South Associates (Business: Ownership, rental, improvement, and sale of real estate, Virginia Beach, VA)	E
	Shipps Corner Nursery Company (Business: Plant nursery, Virginia Beach, VA)	D
	Sandbridge Development Company (Business: Lot improvement and sale Virginia Beach, VA)	C
	GRA Independence Associates (Business: Ownership, rental, improvement, and sale of real estate, Virginia Beach, VA)	C
	Condominium Unit, Cape Henry Towers Virginia Beach, VA	D
	Acreage, Caroline County, Virginia (500 acres + 325 acres [half-interest])	F
	Acreage, Hanover County, Virginia (26 acres [half-interest])	E
	Sylakama, Inc. (Business: Ownership, rental, improvement, and sale of real estate, Virginia Beach, VA)	E
	Kolonial Investment Group (Business: Rental of commercial offices Virginia Beach, VA)	D
	Grayson Avenue Associates (Business: Rental of commercial offices Virginia Beach, VA)	D
	First Plaza Associates (Business: Rental of commercial offices Virginia Beach, VA)	F

[illegible]

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

Hon. Owen B. Pickett, M.C.

Continuation Sheet

Part	Identity	Category
III.	Centocor Partners II (Business: Pharmaceuticals, Malvern, PA)	A
	Sherwood Crossing Associates (Business: Apartment construction and rental, Richmond, VA)	A
	DOGS (Real estate partnership, Virginia Beach, VA)	A
	GROC (Real estate partnership, Virginia Beach, VA)	A

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

Hon. Owen B. Pickett, M.C.

Continuation Sheet

Part

IV.	Brief description	Date	Category
	Partnership interests in Grayson Avenue Associates and Kolonial Investment Group transferred to Sylakama, Inc. in exchange for stock.	12/31	E
	First Plaza Associates liquidated; mortgage note from Beverly Enterprises held as distributive share of partnership liquidation.	12/31	F
	Sale of R. J. Reynolds stock	03/11	C
	Sale of Best Buy, Inc., stock	12/17	D
	Sale of TNC, Inc., stock	12/26	B
	Sale of Lot 10, Great Neck Meadows Virginia Beach, VA	12/30	C
	Sale of various partnership interests:		
	Shipps Corner Nursery Company	12/31	C
	Sandbridge Development Company	12/31	A
	First Plaza Associates	12/31	B
	GROC (completely liquidated)	12/31	A

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

Hon. Owen B. Pickett, M.C.

Continuation Sheet

Part	Brief description	Category
V.	Dominion Bank of Greater Hampton Roads, N.A. - Business loan	F
	E. D. & C. D. Proffitt - Mortgage	E
	VNB Mortgage Corp. - Mortgage	C
	Stuart & Mary Lewis - Mortgage	C
	Princess Anne Commercial Bank - Business loan	D

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

Hon. Owen B. Pickett, M.C.

Continuation Sheet

Part	Positions	Name of Organization
VIII.	Director	Lyle, Siegel, Drescher & Croshaw
	Officer & Director	Woods Corner Associates, Ltd.
	Officer & Director	Woods Green Run, Inc.
	Officer & Director	Charlestowne Lakes South, Inc.
	Officer & Director	Sylakama, Inc.
	Officer & Director	GRA - Virginia, Inc.
	Partner	Harrell Farm Company
	Partner	Toll Cross Company
	Partner	Lynnhaven Station Company
	Partner	Hampton Development Associates
	Partner	GRA Associates
	Partner	Brass Circle Associates
	Partner	Act B Partnership
	Partner	Toll South Associates
	Partner	Shipps Corner Nursery Company
	Partner	Sandbridge Development Company
	Partner	GRA Independence Associates
	Partner	Magnolia Meadows Associates
	Partner	Centocor Partners II
	Partner	Sherwood Crossing Associates

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ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

Hon. Owen B. Pickett, M.C.

Continuation Sheet

Part			
IX.	Date	Parties to	Terms of agreement
	12/31	Lyle, Siegel, Drescher & Croshaw	Continued payments during the next five years to buy out Member's interest in law firm.

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

J. J. Pickle
(Full Name)

242 Cannon HOB, Washington, D.C.
(Mailing Address)

MC
(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 10 State Texas

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and
(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and
(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Social Security benefits		$12,707	
Process Equipment Manufacturers	Honorarium	500	
Natl. Fraternal Congress	"	500	
College of American Pathologists	"	500	
IBM Corp.	"	2,000	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
SEE ATTACHMENT A		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
SEE ATTACHMENT B	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
SEE ATTACHMENT C		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
InterFirst Bank Loan	F
Note payable to Nolan Braun	D
Note payable to John Joseph	E

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
Houston Boat Show	air fare to deliver speech

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Member, Bd of Trustees	Southwestern University, Georgetown, Texas
Member, Bd of Directors	U.S. Capitol Historical Society

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature: J.J. Pickle

Date: May 12, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

Congressman J. J. Pickle

ATTACHMENT A

II. INCOME

B. Unearned Income

1. Interest:

-First City Natl Bank CD	B
-First Texas Savings	A
-Veterans Admin. Life Insurance Policy	A
-InterFirst Money Market	D
-InterFirst CD	A
-InterFirst Investment Fund	D
-Texas Commerce Bank CD	D
-Texas Commerce Bank CD	D
-InterFirst IRAs	A
-U.S. Treasury Bill	A
-U.S. Treasury Bill	E
-Bexar Co. Health Facility Bond	D
-State of Texas Bond	E
-University of Texas Bond	E
-RMA Tax Free Fund	D
-Trust Southwest	B
-North Central Austin Bond	A
-Royce City School Bond	D
-Eanes ISD Bond	E
-Lake Travis ISD Bond	D
-Congression Federal Credit Union	A
-Paine Webber Tax Exempt Fund	B
-Rotan Mosle Money Market Fund	E
-Paine Webber Cashfund	A

2. Dividends:

-InterFirst Bank Stock	A
-Okla. Gas & Electric	E
-Halliburton	A
-Texas Eastern Corp.	A
-Tracor	A

3. Other:

-Koch Oil Royalty	A
-Damson Oil Royalty	A
-J.B. Pickle-Hilger Estate Royalty	A

JJ Pickle May 12, 1987

Congressman J. J. Pickle ATTACHMENT B

III. HOLDINGS

-InterFirst Money Market Savings	D
-First City Bank CD	C
-First Texas Savings	B
-U.S. Treasury Bill (matured 5-29-86)	B
-U.S. Treasury Bill (matured 4-17-86)	F
-Paine Webber Cashfund	A
-InterFirst Investment Fund	E
-Congressional Federal Credit Union	A
-2 Texas Commerce CDs	E
-InterFirst IRA BBP	B
-InterFirst IRA JJP	A
-InterFirst Bank Stock (1350 shares)	B
-Okla. Gas & Electric (800 shares)	C
-Texas Eastern (100 shares)	A
-Halliburton (215 shares)	B
-Tracor (200 shares)	A
-Trust Southwest (10 units)	B
-RMA Tax Free Fund	E
-North Central Austin Bond	B
-Royce City School Bond	D
-Eanes ISD Bond	E
-Bexar Co. Health Facility Bond	E
-University of Texas Bond	E
-State of Texas G.O. Bond	F
-Lake Travis ISD Bond	D
-Koch Oil Royalty Interest	A
-Dawson Oil Royalty Interest	A
-J.B. Pickle-Hilger Estate Royalty Interest	A
-Lot near Lake LBJ	C
-81.95 acres near Niederwald, Tx.	E
-41.3 acres near Niederwald, Tx.	D
-1/2 interest in 49.8 acres near Niederwald, Tx.	C
-Paine Webber Tax Exempt Fund	E
-InterFirst CD	B

Congressman J. J. Pickle ATTACHMENT C

IV. TRANSACTIONS

Sold:

-Bexar Co. Health Facility Bond (5-29-86)	E
-U.S. Treasury Bill (matured 5-29-86)	B
-U.S. Treasury Bill (matured 4-17-86)	F

Purchased:

-41.3 acres near Niederwald (4/7/86)	D
-1/2 interest in 49.8 acres near Niederwald (4/7/86)	C
-Paine Webber Tax Exempt Fund (11-3-86)	E
-InterFirst CD (6-6-86)	B

HAND DELIVERED [illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

John Edward Porter
(Full Name)

1099 Merril Street
(Mailing Address)

Winnetka, Illinois 60093

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 10 State Illinois

☐ Officer or Employee—Employing Office ____

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987 and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See attached sheet			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Individual retirement accounts	Interest, exempt from taxation	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Law practice - inactive (furniture and equipment only)	A
Individual retirement savings accounts	C

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Investment in individual retirement savings accounts	4-15-86 Purchase	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Congressional Federal Credit Union, secured notes, line of credit	B
Continental Bank - Deerfield (successor to First National Bank of Deerfield), unsecured note	C
Margaret C. Brown, line of credit	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
Kemper Open Pro-Am Golf Tournament	Golf equipment and miscellaneous items	$275

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Population Resource Center	Travel & lodging, NYC Board Meetin
Chicagoland Assn of Savings Institutions	Travel & lodging, Lake Geneva, Wis
Estes Park Institute	Lodging & food, Kona, Hawaii
Chinese Cultural University	Travel,lodging & food, Taipei,Twn.
Physician Insurers of America	Travel,lodging & food, New Orleans
Danny Thompson Leukemia Fund	Travel, lodging & food, Sun Valley, Idaho charity golf tournament
(contd)	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Board Member	Population Resource Center
Board Member	Gallaudet College for the Deaf
President & Chrm	General Services Corporation
Owner	Furniture, equipment, files and library of law practice - inactive

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5-15-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987 [illegible]

[illegible]

Page 5 of 5

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

John Edward Porter
(Name)

Continuation Sheet

Part II. A.	Source, Type, Amount, Category, Description or Value (As Applicable)		
1-22-86	Chinese Cultural University	Honorarium	$2,000
2-7-86	Estes Park Institute	Honorarium	2,000
3-4-86	Juvenile Diabetes Foundation	Honorarium	500
6-3-86	Metropolitan Chicago Health Care Council	Honorarium	200
6-3-86	Physician Insurers of America	Honorarium	2,500*
6-10-86	Lane Industries, Inc.	Honorarium	1,000
6-18-86	Christian Science Monitor	Article	45
6-24-86	Chicagoland Assn. of Savings Institutions	Honorarium	2,000
7-17-86	American Dental Hygienists Assn.	Honorarium	500
7-29-86	Taiwan Benevolent Assn. of America	Honorarium	1,000
9-11-86	American Society of Assn. Executives	Honorarium	1,000
12-12-86	American Trucking Assn.	Honorarium	1,000
	Law Practice, amounts earned in 1986, gross (guardian fee only)		1,500

*$500 of this honorarium was donated to charity

VI. contd		
	Inter-American Parliamentary Group on Polulation and Development	Travel & lodging, NYC meeting
	Population Resource Center	Travel, Wilmette, IL sponsored meeting

GPO 1986 61-688 (m)

HAND DELIVERED [illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

John Edward Porter
(Full Name)

1099 Merril Street
(Mailing Address)

Winnetka, Illinois 60093

MC
(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 10 State Illinois

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See attached sheet			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Individual retirement accounts	Interest, exempt from taxation	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X ____ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Law practice - inactive (furniture and equipment only)	A
Individual retirement savings accounts	C

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Investment in individual retirement savings accounts	4-15-86 Purchase	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Congressional Federal Credit Union, secured notes, line of credit	B
Continental Bank - Deerfield (successor to First National Bank of Deerfield), unsecured note	C
Margaret C. Brown, line of credit	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
Kemper Open Pro-Am Golf Tournament	Golf equipment and miscellaneous items	$275

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
Population Resource Center	Travel & lodging, NYC Board Meetin
Chicagoland Assn of Savings Institutions	Travel & lodging, Lake Geneva, Wis
Estes Park Institute	Lodging & food, Kona, Hawaii
Chinese Cultural University	Travel, lodging & food, Taipei, Twn.
Physician Insurers of America	Travel, lodging & food, New Orleans
Danny Thompson Leukemia Fund	Travel, lodging & food, Sun Valley
(contd)	Idaho charity golf tournament

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Board Member	Population Resource Center
Board Member	Gallaudet College for the Deaf
President & Chrm	General Services Corporation
Owner	Furniture, equipment, files and library of law practice - inactive

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5-15-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE [illegible]

Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

John Edward Porter
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II. A.			
1-22-86	Chinese Cultural University	Honorarium	$2,000
2-7-86	Estes Park Institute	Honorarium	2,000
3-4-86	Juvenile Diabetes Foundation	Honorarium	500
6-3-86	Metropolitan Chicago Health Care Council	Honorarium	200
6-3-86	Physician Insurers of America	Honorarium	2,500*
6-10-86	Lane Industries, Inc.	Honorarium	1,000
6-18-86	Christian Science Monitor	Article	45
6-24-86	Chicagoland Assn. of Savings Institutions	Honorarium	2,000
7-17-86	American Dental Hygienists Assn.	Honorarium	500
7-29-86	Taiwan Benevolent Assn. of America	Honorarium	1,000
9-11-86	American Society of Assn. Executives	Honorarium	1,000
12-12-86	American Trucking Assn.	Honorarium	1,000
	Law Practice, amounts earned in 1986, gross (guardian fee only)		1,500
	*$500 of this honorarium was donated to charity		
VI. contd			
	Inter-American Parliamentary Group on Polulation and Development	Travel & lodging, NYC meetin	
	Population Resource Center	Travel, Wilmette, IL sponsor meeting	

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

David E. Price
(Full Name)

106 Colluns Road
(Mailing Address)

Chapel Hill, N.C. 27514

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 4 State N.C.

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: *Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.*

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Duke University	Salary	$19,957.50	
Congressional Quarterly Press	Royalty	952.44	
Interfaith Council (Spouse)	Salary		

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Home Savings & Loan	Interest	C
National Liquid Reserves	Dividends	A
N.C. Eastern Mun. Power Agency	Dividends	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO __x__ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Certificates of Deposit - Home Savings & Loan	C
National Liquid Reserves - Units	B
American Precision Industries - Common Stock	A
N.C. Eastern Municipal Power Agency - Revenue Bond	B

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Home Savings and Loan (Loan for addition to home)	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Professor (on sabbatical leave)	Duke University

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
1987-88	Duke University	Leave of Absence (unpaid)

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
David E Price	5/15/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

MELVIN PRICE
(Full Name)

2110 RAYBURN HOUSE OFFICE BUILDING
(Mailing Address)

WASHINGTON, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 21 State IL

☐ Officer or Employee—Employing Office

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.

- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.

- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
None			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Mid-America Bank	Interest	A
National Capital Bank	Interest	A
Keystone Custodian	Dividend	A
Midamerica Bancsystem	"	A
Colonial Growth Shares	"	A
Bank of Virginia	"	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO _X_ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
General Bancshares Corp.	A
Keystone Custodian	A
Canadian Fund	A
Centel Corp.	A
Colonial Growth	A
Concord Fund	A

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5/14/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE [illegible]

Page 2 of 2

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Melvin Price
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*		
II-B	Source	Type	Category
	General Bancshares Corp	Dividend	A
	Wheat First Securities	"	A
	Technology Fund	"	D
	Canadian Fund	"	A
	Centel Corp.	"	D
	Texas Utilities	"	A
	Southwestern Energy	"	B
	Concord Fund	"	A
III	Source		Category
	Southwestern Energy		A
	Technology Fund		A
	Value Line Income Fund		A
	Wheat First Securities		A
	Texas Utilities		A
	MidAmerica Bancsystem		C
	House 426 North 8th Street		Rent
	Bank of Virginia		A

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Carl D. Pursell
(Full Name)

1414 Longworth Building
(Mailing Address)

Washington D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 2 State Michigan

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
wife, teacher, Livonia, Michigan Public Schools			
Honorarium see continuation page			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
none		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO _x__ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
home and six acres of land 46200 North Territorial Road Plymouth, Michigan	F

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
none		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
none	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
none	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
none		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
none	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
none	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
none		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Carl D.	May 4, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

[illegible]

Page 1 of 1

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Carl D. Pursell
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II A		Honorarium	
	5-16-86	American Trucking Association Inc.	$1000.00
	6-20-86	National Assn. of Rehabilitation Agnc.	$1500.00
	8-26-86	Eastern Michigan University	$ 200.00
	9-25-86	Michigan Road Builders Association	$2000.00
	10-1-86	American Public Transit Association	$1000.00

UNITED STATES HOUSE OF REPRESENTATIVES

AND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A–For use by Members, officers, and employees

James H. Quillen
(Full Name)

102 Cannon House Office Building
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives–District 1st State Tennessee

☐ Officer or Employee–Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X______ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
State of Tennessee		Retirement Pension	3,996	
Honoraria-Schedule Attached		Honoraria	22,000	
Kingsport Development Co., Insurance, Inc.	Spouse	Salary		
Johnson City Insurance Agency, Inc.	Spouse	Salary		
Model City Investment Corp.	Spouse	Salary		

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Citizens Union Corp.	Dividends	C
Model City Investment Corp.	Dividends	C
Through Grantor Trust-First American Trust Corp.	Interest	E
Heritage Federal Savings & Loan Association	Interest	F
Home Federal Savings & Loan Association	Interest	E
-Continued on continuation sheet-		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY			CATEGORY
700 Shares	Citizens Union Corporation		E
160 Shares	Kingsport Development Co., Insurance, Inc.	(Book Value)	E
1,000 Shares	Model City Investment Corporation	(Book Value)	F
56 Shares	Johnson City Insurance Agency, Inc.	(Book Value)	F
12 Shares	Kingsport Development Co., Real Estate-Loans	(Book Value)	D
-Continued on continuation sheet-			

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sold Lots, Memorial Blvd., Kingsport, TN	12/18/86	B
Sold Lot, City View Addition, Kingsport, TN	12/18/86	B
Sold Lot, Highland Hills, Washington County, TN	12/01/86	B
Sold Lots, Highland Hills, Washington County, TN	12/18/86	B
-Continued on continuation sheet-		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Citizens Union Bank, Rogersville, TN	F

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Schedule	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Schedule	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Board Chairman	Kingsport Development Co., Insurance, Inc.
Board Chairman	Johnson City Insurance Agency, Inc.
Board Chairman	Model City Investment Corporation
Board Chairman	Kingsport Development Co., Real Estate-Loans, Inc.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
James H. Quillen	May 13, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

[illegible]

Page 1 of 1

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

James H. Quillen
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	TYPE	CATEGORY
II, B	First Tennessee Bank	Interest	B
II, B	Executive Park National Bank	Interest	D
II, B	Model City Investment Corporation	Interest	B
II, B	50% Share Joint Venture Gross Rental, Johnson City	Rent	E
II, B	Gross Rental, Real Estate, Johnson City	Rent	D
II, B	Gross Rental of Computers and Printers	Rent	E
II, B	Gross Income from Oklahoma Oil Properties	Royalties	B
III	125 Shares Executive Park National Bank		A
III	10,000 Shares LEGUME		A
III	60,000 Shares Home Federal Savings and Loan		F
III	18,000 Shares Thomas Nelson, Inc., Publishers - Class A		E
III	900 Shares Thomas Nelson, Inc., Publishers - Class B		B
III	10,000 Shares Profit Technology, Inc.		D
III	10,000 Shares Ice Dreams, Inc.		A
III	Land Preston Forest Area, Kingsport, Tn		F
III	Business Lot, Colonial Hills, Johnson City, TN		C
III	50% Joint Venture Interest, Lots 1 & 2, Block B, Johnson City, TN		E
III	Savings, Heritage Federal Savings & Loan		F
III	Savings, Executive Park National Bank		E
III	Savings, Home Federal Savings and Loan - Spouse		E
III	Checking Account, First Tennessee Bank		B
III	Checking Account, First Tennessee Bank - Spouse		B
III	Assets in Grantor Trust, First American Trust Co.		F
III	Note Receivable, Vernon G. and Tammy Martzin (Land Sale)		B
III	2 Computers (ARCOMIMOD) and Printers		A
III	Interest in Oil Properties - Oklahoma		D
III	Individual Retirement Accounts		B
III	Individual Retirement Accounts - Spouse		C
III	Keogh Retirement Plan		B
IV	Purchased Stock, Home Federal Savings & Loan of Upper E. TN		F
IV	Purchased Stock, Citizens Union Corp.		D
IV	Purchased Stock, Profit Technology, Inc.		D
IV	Purchased Stock, Ice Dreams, Inc.		A

FINANCIAL DISCLOSURE STATEMENT FOR 1986

I. INCOME

A. The source, type and amount of income (including honoraria and date received) aggregating $100 or more in value received from any source during calendar year 1985. Exclude income from current U.S. Government employment. DO NOT INCLUDE HERE INCOME reported in part I-B below.

SOURCE	TYPE	DATE	AMOUNT
National Association of Home Builders	Honorarium	1-14-86	$2,000.00
Barnett Banks of Florida, Inc.	Honorarium	1-28-86	$2,000.00
Florida Bankers Association	Honorarium	1-28-86	$2,000.00
Building Owners and Managers Association International	Honorarium	4-20-86	$2,000.00
Sears Roebuck and Company	Honorarium	6-30-86	$2,000.00
American Trucking Associations	Honorarium	7-23-86	$2,000.00
Outdoor Advertising Association of America, Inc.	Honorarium	7-31-86	$1,000.00
Tennessee Outdoor Advertising Association	Honorarium	8-20-86	$2,000.00
The Lamar Corporation Executive Group	Honorarium	8-20-86	$ 500.00
Raytheon Company	Honorarium	8-25-86	$2,000.00
American Maritime Officers Service	Honorarium	9-15-86	$2,000.00
Aerojet-General Corporation	Honorarium	10-01-86	$2,000.00
Tennessee Cable Television Association	Honorarium	12-15-86	$ 500.00

FINANCIAL DISCLOSURE STATEMENT FOR 1986

II. GIFTS AND REIMBURSEMENTS

C. The source and brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
National Association of Home Builders	Speech (Roundtrip airfare from Kingsport, Tennessee to Dallas, Texas, food & lodging 1-14-86 to 1-15-86) Member only
Barnett Banks of Florida, Inc.	Speech (Roundtrip airfare from Kingsport, Tennessee to Ft. Lauderdale, Florida, 1-22-86) Member & Spouse
Building Owners and Managers Association International	Speech (Roundtrip airfare from Kingsport, Tennessee to Chattanooga, Tennessee, 4-20-86) Member only
Tennessee Outdoor Advertising Association	Speech (One-way airfare from Kingsport, Tennessee to Nashville, Tennessee, 8-20-86) Member only

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

NICK JOE RAHALL, II
(Full Name)

1801 Harper Road
(Mailing Address)

Beckley, West Virginia 25801

mc
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 4 State WV

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

[illegible] 7 1 [illegible] 0 2 2 0 0 [illegible] 3

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
1. Consumers United for Rail Equity 2/6/86	Speech Honorarium	$ 500.00	In part to various charities and/ or educational institutions
2. Mobile Oil rig inspection 3/17/86	Honorarium	$2,000.00	"

See attached continuation sheet II-A

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Investment Property - 1655A S. Hayes St. Arlington, VA	Rental	D
Investment Property-Grandview, Shady Spring, Ral. County	Captital Gains	E
" " Cr. Harper Rd. and Carriage Dr. Beckley,WV	Rental	D
SBR, Inc. Parkersburg,WV-Pt.owner bldg.&land,&7-11's in. Ral.Co.	Rental & % of sales	D
Smith, Barney, Harris,&Upham - Stock Broker	Dividend and Interest Income	C
Shearson, Loeb and Rhoades, Inc.-Stock Broker	Dividend and Interest Inc.	D

See attached continuation Sheet II-B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.** **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Business Property and Bldgs. Cr. Carriage Dr. and Harper Rd. Beckley,WV plus lot and bldg. of 7-11 (all ½ interest)	E
Business Property-Shady Spring, Ral. County, WV (½interest)7-11	C
Business Property-Grandview, Shady Spring, Ral. County, WV land	C
Real Estate-Flat Top Lake, Raleigh County, WV - Lot	C

See attached continuation Page 2 Part III

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
½ Business Property corner Carriage Dr. and Harper Rd. - Beckley, WV and Bldg.	Final in 8/86	E
Smith, Barney, Harris & Upham (See Attached statement for complete details)		
Wheat First Securities (See attached statement for complete details)		
Shearson/American Express, Inc. (See attached Statement for complete details)		
Shearson/American Express IRA (See Attached Statement for complete details)		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Raleigh County National Bank	D
Cardinal State Bank	C
Bank of Raleigh	B
Beckley National Bank	B
Smith, Barney, Harris & Upham-Margin Account	C
Wheat First Securities	C

See Attached Schedule V

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
1. Holiday Inn University Area Huntington, WV	Lodging
2. WV Broadcasting/WTNJ Radio	Personal Use of Company Car
3. Rep. Jim Wright (D-TX) March,April Dallas/Ft. Worth lodging and tickets - NCAA Final Four	with two of my children
4. American Coal Enterprises -transportation(train)	Roundtrip, lodging,food, July 1986 The Greenbriar in WV with Children

See attached continuation sheet page 2 part VI

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
Employees-WTNJ/WV Broadcasting, Inc.	Swede Sports Jacket	$250.00
Employees of my Congressional Staff	Golf Bag	200.00

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
1. Waste Management, Inc. Airfare from Wash. DC to	Milwaukee, WI to Kansas City, MO
	$447.00
2. National Association of Arab Americans airfare	from Blfd. WV to Dallas, TX to
Charleston, WV	$474.00
3. Bonneville Group airfare from Wash. to Phoenix,	AZ and return $721.28
and related travel expenses with guest	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
President	WV Broadcasting Corporation
Vice President	Rahall Realty
Trustee	Edward G. Rahall Trust
Trustee	N. Joe Rahall Trust
Trustee	Victoria Lynn Rahall Hassler

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Nick Joe Rahall II	6/1/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 1 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

NICK JOE RAHALL, II
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
II-A		
	3. American Arab Affairs Council 3/31/86 Speech Honorarium DEPOSITION: In part to various charities and/ or educational institutions	$1,000.00
	4. National Council on U. S. Arab Relations 4/7/86 Speech Honorarium in New York, NY DEPOSITION: In part to various charities and/or educational institutions	$ 200.00
	5. American Arab Affairs Council 4/21/86 Honorarium DEPOSITION: In part to various charities and/or educational institutions	$ 500.00
	6. American Mining Congress 5/15/86 Honorarium DEPOSITION: Same as Above	$1,000.00
	7. WV State Assoc. of Letters Carriers 5/29/86 Honorarium DEPOSITION: Same as Above	$ 200.00
	8. Waste Management 6/30/86 Speech Honorarium in Chicago DEPOSITION: Same as Above	$2,000.00
	9. Outdoor Advertising 7/14/86 Honorarium DEPOSITION: Same as Above	$1,000.00
	10. American Council of Highway Advertisers 7/16/86 Honorarium DEPOSITION: Same as Above	$1,000.00
	11. American Trucking Association 7/16/86 Honorarium DEPOSITION: Same as Above	$1,000.00
	12. National Federation of the Blind 8/12/86 Honorarium DEPOSITION: Same as Above	$1,000.00
	13. American Arab Affairs Council 8/18/86 Honorarium DEPOSITION: Same as Above	$1,000.00
	14. Commonwealth Aluminum 9/22/86 Honorarium DEPOSITION: Same as Above	$1,000.00
	15. West Virginia University Energy Research Pennsylvania State Speech 11/20/86 DEPOSITION: Same as Above	$1,000.00
	16. Ft. Worth National Arab American Association Speech in Dallas,TX Honorarium 10/10/86 DEPOSITION: Same as Above	$1,500.00
	17. Lamare Advertising 10/24/86 Honorarium Speech DEPOSITION: Same as Above	$1,000.00
	18. Outdoor Advertising 10/24/86 Honorarium DEPOSITION: Same as Above	$1,000.00
	19. National Association of Arab American 10/2/86 Honorarium DEPOSITION: Same as Above	$1,000.00

Continue on Page 2 II-A

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Page 2 of 2

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

NICK J. RAHALL, II
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
II-A	20. WINJ - WV Broadcasting, Inc. Bonus	$ 400.00
	DEPOSITION: In part to various charities and/or educational Institutions	
	21. Hercules Corporation 12/23/86 Honorarium	$1,000.00
	DEPOSITION: In part to various charities and/or educational institutions	
II-B		
	Wheat First Securities Div. Income and Cap. Gains	D
	Santa Fe Industries - Stock Div. Income	A
	First National Bank - Stock Div. Income	A
	Raleigh County National Bank - Stock Div. Income	B
	Bank of Raleigh-Stock Interest Income	A
	Southern Bancshares - Stock Dividend Income	B
	N.J. Rahall, II Trust (Edward Rahall Trustee) Div. Income	D
	Electro-Nucleionics, Inc. Interest Income	A
	Smith, Barney, Harris & Upham Interest Income	A
	Smith, Barney, Harris & Upham- Broker Capital Gains	C
	Wheat First Securities-Broker Capital Gains	C
	Shearson, Loeb, & Rhoades, Inc. - Broker Capital Gains	D
	WV Broadcasting/WINJ Lease of land for Antenna	C
III		
	Hilton Head,SC-Property,Time Sharing Plan (2 wks.Peak Season)	C
	Glade Spring, Raleigh County, WV Lot	B
	Smith, Barney, Harris & Upham - Stock See attached list	D
	Wheat First Securities - Stock "	F
	Shearson, Loeb and Rhoades Stock (Including Trust) (See attached list)	F
	WV Broadcasting-Station WINJ-FM, Mt. Hope, WV - Stock	E
	Raleigh County National Bank - 43 Shares of Stock	A
	Southern Bancshares 1060 Shares	C
	First National Bank of Beckley-600 Shares of Stock	A
	Beckley National Bank - 20 Shares of Stock	A
	Santa Fe Southern Pacific Corp. - 180 Shares	B
	Gulf United Corp. plus Broker	C
	Electro-Nucleonics	B
	Shearson/American Express, Inc. Indiv. Retirement Acct.	B
	Investment Property-1655 A S. Hayes St. Arlington, VA (Townhouse)	E
	Small Plot of land-Fayette Co., WV leased to WINJ radio for antenna	B
V	Shearson, Loeb and Rhoades, Inc. - Margin Account	C
	Columbia First Federal Savings and Loan - Mortgage	E
	Hill Financial Savings Association - Mortgage	E
	Misc. Credit Lines, Charge Accounts, Personal Notes, Etc.	C
VI	5. WINJ/WV Broadcasting (Trade out for advertising) Lodging, 7 days Waters Edge, Myrtle Beach, SC with Children	

GPO [illegible]

SMITH BARNEY
CLIENT
STATEMENT

6.6

covers:
II B Income
III Holdings
IV Transactions

Charter Account

YOUR YEAR-END-REPORT FOR 1986 *	
REALIZED GAIN/LOSS SHORT TERM	3,282.49CR
REALIZED GAIN/LOSS LONG TERM	1,773.47CR
REALIZED GAIN/LOSS: NET TOTAL	5,055.96CR
DIVIDENDS ON MONEY MARKET FUNDS	
DIVIDENDS ON COMMON & PREF STOCKS	858.90CR
INTEREST ON CORPORATE BONDS	
INTEREST ON MUNICIPAL BONDS	
INTEREST ON U.S. GOVT. BONDS	
TOTAL DIVIDENDS & INTEREST	858.90CR
DISTRIBUTIONS FROM DIRECT INVESTMENTS	
RETURNS OF PRINCIPAL	
OTHER INCOME	
INTEREST CALCULATED ON OID INSTRUMENTS	
ACCRUED INTEREST PAID ON BOND PURCHASES	
MARGIN INTEREST PAID	1,932.92DR
CHARTER FEES PAID	146.55DR

PLEASE REFER TO THE ENCLOSED PAMPHLET AND TO THE BOOKLET THAT YOU RECEIVED IN NOVEMBER FOR ITEMS THAT MAY REQUIRE SPECIAL ATTENTION IN YOUR TAX PREPARATION

THIS REPORT COVERS
1986

YOUR ACCOUNT NUMBER	YOUR TAXPAYER NUMBER
04E-631309	236-78-8645

YOUR ACCOUNT EXECUTIVE

ERICSON, MARY B.
CHARLESTON NATIONAL PLAZA
P O BOX 671 MAIN FLOOR
CHARLESTON WV 25323-0671

304-344-9601

N JOE RAHALL II

216 1/2 MAIN STREET

BECKLEY WV 25801-4613

THIS IS YOUR 1986 YEAR-END CHARTER ACCOUNT SUMMARY. WHILE IT IS INTENDED TO PROVIDE MUCH OF THE INFORMATION REQUIRED BY CURRENT TAX LAW, SMITH BARNEY, HARRIS UPHAM & CO., INC. DOES NOT PROVIDE TAX ADVICE. WE RECOMMEND THAT YOU CONSULT YOUR TAX ADVISOR FOR FURTHER GUIDANCE.

* THIS REPORT MAY NOT CONTAIN INFORMATION PERTAINING TO TRANSACTIONS WHICH OCCURED PRIOR TO THE MONTH DURING WHICH YOU SUBSCRIBED TO THE CHARTER ACCOUNT SERVICE.

RECEIVED FEB 0 2 [illegible]

SMITH BARNEY
CLIENT
STATEMENT

Charter Account

ACCOUNT NO.	TAXPAYER	STATEMENT PERIOD	PAGE
04E-631809	236-78-8645	1986	2 OF 6

N JOE RAHALL II
216 1/2 MAIN STREET
BECKLEY WV 25801-4613

SHORT TERM CAPITAL GAINS OR LOSSES REALIZED DURING 1986

QUANTITY	SECURITY DESCRIPTION	DATE SOLD	SALES PROCEEDS	DATE ACQUIRED	COST	GAIN/LOSS SHORT TERM
100	PHILADELPHIA ELECTRIC CO	02-12-86	1924.53	10-14-85	1462.70	461.83
200	PHILADELPHIA ELECTRIC CO	02-12-86	3849.07	10-15-85	2946.30	902.77
100	GLAXO HLDGS LTD ADR	02-24-86	1499.44	11-20-85	1143.60	355.84
200	GLAXO HLDGS LTD ADR	02-24-86	2998.86	11-12-85	2223.90	774.96
100	GLAXO HLDGS LTD ADR	04-03-86	1523.30	11-20-85	1143.60	379.70
25	FORD MOTOR CO	07-23-86	1395.56	06-12-86	1365.75	29.81
75	FORD MOTOR CO	07-23-86	4186.65	04-03-86	4168.10	18.55
500	EL PASO ELEC CO	07-25-86	8873.30	06-13-86	7626.70	1246.60
100	MARION LABORATORIES	08-12-86	4450.34	07-08-86	4170.50	279.84
100	MARION LABORATORIES	08-26-86	4412.85	07-29-86	4170.50	242.35
100	MANOR CARE INC	09-02-86	2317.17	06-12-86	2477.10	159.93-
100	MANOR CARE INC	09-02-86	2317.17	06-13-86	2502.90	185.73-
200	NAVISTAR INTL CORP	09-04-86	1527.42	05-19-86	1934.30	406.88-
100	NAVISTAR INTL CORP	09-04-86	763.70	04-22-86	1171.20	407.50-
100	TEXAS AIR CORP	09-24-86	3267.24	08-14-86	3084.30	182.94
100	TEXAS AIR CORP	09-24-86	3267.23	04-03-86	3311.70	44.47-
4	CALL E F HUTTON JAN 50 100 SHS	10-13-86	1239.87	09-29-86	929.30	310.57
200	MARION LABORATORIES	11-03-86	8042.62	10-15-86	7523.30	519.32
100	GTE CORP	11-07-86	6069.69	09-02-86	6017.60	52.09

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SHORT TERM CAPITAL GAINS OR LOSSES REALIZED DURING 1986

QUANTITY	SECURITY DESCRIPTION	DATE SOLD	SALES PROCEEDS	DATE ACQUIRED	COST	GAIN/LOSS SHORT TERM
100	UPJOHN COMPANY	11-12-86	9544.57	07-29-86	8680.10	864.47
100	BLACK & DECKER CORP	11-13-86	1682.44	11-04-86	1830.80	148.36-
100	MARRIOTT CORP	11-24-86	3003.09	08-12-86	3311.70	308.61-
5	CALL E F HUTTON NOV 55 100 SHS	11-26-86	.00	10-31-86	746.30	746.30-
100	HONDA MOTORS LTD ADR NEW	11-28-86	7594.64	11-06-86	7680.10	85.46-
200	MARION LABORATORIES	12-04-86	8141.82	11-20-86	7599.10	542.72
200	CHEVRON CORP	12-15-86	9339.15	11-13-86	9343.30	4.15-
100	CHEVRON CORP	12-15-86	4669.57	09-12-86	4384.60	284.97
200	MIDDLE SOUTH UTIL	12-23-86	2704.40	08-19-86	2971.30	266.90-
100	SUBARU AMER INC	12-23-86	1998.30	07-09-86	3400.85	1402.55-
	NET REALIZED GAIN/LOSS SHORT TERM....................................					3282.49

LONG TERM CAPITAL GAINS OR LOSSES REALIZED DURING 1986

QUANTITY	SECURITY DESCRIPTION	DATE SOLD	SALES PROCEEDS	DATE ACQUIRED	COST	GAIN/LOSS LONG TERM
500	PIONEER SVGS BANK CLEARWATER FLA	02-05-86	4578.60	07-18-85	3975.90	602.70
300	WICKES COS INC NEW	04-08-86	1735.84	03-25-85	1329.50	406.34
300	NORTHERN IND PUB SVC CO	05-19-86	3440.78	08-26-85	3562.92	122.14-
100	ALLIANCE TECHNOLOGY FUND INC PORTFOLIO ONE	05-28-86	2483.00	05-16-83	2402.00	81.00

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LONG TERM CAPITAL GAINS OR LOSSES REALIZED DURING 1986

QUANTITY	SECURITY DESCRIPTION	DATE SOLD	SALES PROCEEDS	DATE ACQUIRED	COST	GAIN/LOSS LONG TERM
200	GLAXO HLDGS LTD ADR	05-28-86	2898.30	11-21-85	2312.20	586.10
25000	LOUISIANA HSG FIN AGY MTG RV MULTI FAM FHA INSD MTG LN REGISTERED CALL JJ1 0.000% 07/01/2002	05-28-86	3692.50	07-08-82	250.00	3442.50
368	SMITH BARNEY FDS INC U S GOVT SECS PORT	05-28-86	4997.44	11-29-84	5004.80	7.36-
200	TRANS WORLD AIRLINES PFD CUM $2.25	05-29-86	2803.60	11-30-82	3000.00	196.40-
210	WTS HORN & HARDART EXP 12/27/87 $18.75	06-13-86	1167.55	02-16-84	1754.40	586.85-
190	WTS HORN & HARDART EXP 12/27/87 $18.75	06-13-86	1056.37	05-15-84	1310.09	253.72-
20	WTS HORN & HARDART EXP 12/27/87 $18.75	06-13-86	98.79	05-15-84	137.91	39.12-
200	NORTHERN IND PUB SVC CO	06-13-86	2249.96	08-26-85	2375.28	125.32-
300	NORTHERN IND PUB SVC CO	06-13-86	3374.94	09-23-85	3562.92	187.98-
300	NORTHERN IND PUB SVC CO	06-30-86	3448.98	10-08-85	3344.20	104.78
200	NORTHERN IND PUB SVC CO	06-30-86	2299.32	09-23-85	2375.28	75.96-
100	INTEL CORP	07-07-86	2149.15	12-05-85	3101.70	952.55-
100	INTEL CORP	07-07-86	2149.15	12-12-85	3051.70	902.55-
	NET REALIZED GAIN/LOSS LONG TERM					1773.47

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LONG TERM CAPITAL GAINS OR LOSSES REALIZED DURING 1986

QUANTITY	SECURITY DESCRIPTION	DATE SOLD	SALES PROCEEDS	DATE ACQUIRED	COST	GAIN/LOSS LONG TERM
	NET REALIZED GAIN/LOSS		....	....	....	5055.96

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SUMMARY OF YOUR DIVIDENDS & INTEREST FOR 1986

DESCRIPTION		AMOUNT	
DIVIDENDS ON MONEY MARKET FUNDS	NONE		
DIVIDENDS ON COMMON & PREFERRED STOCKS			
CHEVRON CORP		60.00	
FORD MOTOR CO		41.25	
GLAXO HLDGS LTD ADR		82.70	
GREAT ATLANTIC & PAC TEA		160.00	
HONDA MOTORS LTD ADR NEW		31.50	
HOUSTON INDS INC		140.00	
MANOR CARE INC		6.00	
MARRIOTT CORP		3.20	
SUBARU AMER INC		33.25	
TRANS WORLD AIRLINES PFD CUM $2.25		225.00	
UPJOHN COMPANY		76.00	
TOTAL DIVIDENDS			858.90
CORPORATE BOND INTEREST	NONE		
MUNICIPAL BOND INTEREST	NONE		
U.S. GOVERNMENT SECURITIES INTEREST	NONE		
OTHER INCOME	NONE		
TOTAL DIVIDENDS & INTEREST			858.90

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YOUR PORTFOLIO COMPOSITION & INVESTMENT INCOME

PORTFOLIO COMPOSITION	MARKET VALUE AS OF 12-31-86	% OF TOTAL SECURITIES	INCOME THIS MONTH	INCOME YEAR-TO-DATE
MONEY MARKET FUNDS				
COMMON & PREFERRED STOCKS	59,712.50CR	100.00	250.50CR	858.90CR
CORPORATE BONDS				
MUNICIPAL BONDS				
U.S. GOVERNMENT SECURITIES				
OTHER SECURITIES				
TOTAL	59,712.50CR	100.00	250.50CR	858.90CR

OTHER ITEMS	THIS MONTH	YEAR-TO-DATE
DISTRIBUTIONS FROM DIRECT INVESTMENTS		
RETURNS OF PRINCIPAL		
ACCRUED INTEREST PAID ON BOND PURCHASES		
MARGIN LOAN INTEREST PAID	278.24DR	1,932.92DR

CAPITAL GAINS OR LOSSES REALIZED DURING 1986

QUANTITY	SECURITY DESCRIPTION	DATE SOLD	SALES PROCEEDS	DATE ACQUIRED	COST	GAIN/LOSS LONG TERM	GAIN/LOSS SHORT TERM
500	PIONEER SVGS BANK CLEARWATER FLA	02-05-86	4578.60	07-18-85	3975.90	602.70	
100	PHILADELPHIA ELECTRIC CO	02-12-86	1924.53	10-14-85	1462.70		461.83
200	PHILADELPHIA ELECTRIC CO	02-12-86	3849.07	10-15-85	2946.30		902.77
100	GLAXO HLDGS LTD ADR	02-24-86	1499.44	11-20-85	1143.60		355.84
200	GLAXO HLDGS LTD ADR	02-24-86	2998.86	11-12-85	2223.90		774.96
100	GLAXO HLDGS LTD ADR	04-03-86	1523.30	11-20-85	1143.60		379.70
300	WICKES COS INC NEW	04-08-86	1735.84	03-25-85	1329.50	406.34	

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CAPITAL GAINS OR LOSSES REALIZED DURING 1986

QUANTITY	SECURITY DESCRIPTION	DATE SOLD	SALES PROCEEDS	DATE ACQUIRED	COST	GAIN/LOSS LONG TERM	GAIN/LOSS SHORT TERM
300	NORTHERN IND PUB SVC CO	05-19-86	3440.78	08-26-85	3562.92	122.14-	
100	ALLIANCE TECHNOLOGY FUND INC PORTFOLIO ONE	05-28-86	2483.00	05-16-83	2402.00	81.00	
200	GLAXO HLDGS LTD ADR	05-28-86	2898.30	11-21-85	2312.20	586.10	
368	SMITH BARNEY FDS INC U S GOVT SECS PORT	05-28-86	4997.44	11-29-84	5004.80	7.36-	
25000	LOUISIANA HSG FIN AGY MTG RV MULTI FAM FHA INSD MTG LN REGISTERED CALL JJ1 0.000% 07/01/2002	05-28-86	3692.50	07-08-82	250.00	3442.50	
200	TRANS WORLD AIRLINES PFD CUM $2.25	05-29-86	2803.60	11-30-82	3000.00	196.40-	
300	NORTHERN IND PUB SVC CO	06-13-86	3374.94	09-23-85	3562.92	187.98-	
210	WTS HORN & HARDART EXP 12/27/87 $18.75	06-13-86	1167.55	02-16-84	1754.40	586.85-	
20	WTS HORN & HARDART EXP 12/27/87 $18.75	06-13-86	98.79	05-15-84	137.91	39.12-	
200	NORTHERN IND PUB SVC CO	06-13-86	2249.96	08-26-85	2375.28	125.32-	
190	WTS HORN & HARDART EXP 12/27/87 $18.75	06-13-86	1056.37	05-15-84	1310.09	253.72-	
200	NORTHERN IND PUB SVC CO	06-30-86	2299.32	09-23-85	2375.28	75.96-	
300	NORTHERN IND PUB SVC CO	06-30-86	3448.98	10-08-85	3344.20	104.78	
100	INTEL CORP	07-07-86	2149.15	12-12-85	3051.70	902.55-	

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QUANTITY	SECURITY DESCRIPTION	DATE SOLD	SALES PROCEEDS	DATE ACQUIRED	COST	GAIN/LOSS LONG TERM	GAIN/LOSS SHORT TERM
100	INTEL CORP	07-07-86	2149.15	12-05-85	3101.70	952.55-	
25	FORD MOTOR CO	07-23-86	1395.56	06-12-86	1365.75		29.81
75	FORD MOTOR CO	07-23-86	4186.65	04-03-86	4168.10		18.55
500	EL PASO ELEC CO	07-25-86	8873.30	06-13-86	7626.70		1246.60
100	MARION LABORATORIES	08-12-86	4450.34	07-08-86	4170.50		279.84
100	MARION LABORATORIES	08-26-86	4412.85	07-29-86	4170.50		242.35
100	MANOR CARE INC	09-02-86	2317.17	06-12-86	2477.10		159.93-
100	MANOR CARE INC	09-02-86	2317.17	06-13-86	2502.90		185.73-
200	NAVISTAR INTL CORP	09-04-86	1527.42	05-19-86	1934.30		406.88-
100	NAVISTAR INTL CORP	09-04-86	763.70	04-22-86	1171.20		407.50-
100	TEXAS AIR CORP	09-24-86	3267.23	04-03-86	3311.70		44.47-
100	TEXAS AIR CORP	09-24-86	3267.24	08-14-86	3084.30		182.94
4	CALL E F HUTTON JAN 50 100 SHS	10-13-86	1239.87	09-29-86	929.30		310.57
200	MARION LABORATORIES	11-03-86	8042.62	10-15-86	7523.30		519.32
100	GTE CORP	11-07-86	6069.69	09-02-86	6017.60		52.09
100	UPJOHN COMPANY	11-12-86	9544.57	07-29-86	8680.10		864.47
100	BLACK & DECKER CORP	11-13-86	1682.44	11-04-86	1830.80		148.36-
100	MARRIOTT CORP	11-24-86	3003.09	08-12-86	3311.70		308.61-
5	CALL E F HUTTON NOV 55 100 SHS	11-26-86	.00	10-31-86	746.30		746.30-
100	HONDA MOTORS LTD ADR NEW	11-28-86	7594.64	11-06-86	7680.10		85.46-
200	MARION LABORATORIES	12-04-86	8141.82	11-20-86	7599.10		542.72

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QUANTITY	SECURITY DESCRIPTION	DATE SOLD	SALES PROCEEDS	DATE ACQUIRED	COST	GAIN/LOSS LONG TERM	GAIN/LOSS SHORT TERM
100	CHEVRON CORP	12-15-86	4669.57	09-12-86	4384.60		284.97
200	CHEVRON CORP	12-15-86	9339.15	11-13-86	9343.30		4.15-
100	SUBARU AMER INC	12-23-86	1998.30	07-09-86	3400.85		1402.55-
200	MIDDLE SOUTH UTIL	12-23-86	2704.40	08-19-86	2971.30		266.90-
	NET REALIZED GAIN/LOSS YEAR-TO-DATE					1773.47	3282.49

PORTFOLIO POSITIONS AS OF 12-31-86

TYPE	QUANTITY	SECURITY DESCRIPTION	DATE ACQUIRED	COST	CURRENT PRICE	CURRENT VALUE	UNREALIZED GAIN/LOSS	CURRENT EST ANNUAL $INCOME	%YIELD
COMM & PFD STOCKS									
2	100	ALZA CORP	08-28-86	2,261.40	18.625	1,862.50	399-ST		
2	200	ALZA CORP	11-24-86	4,083.30		3,725.00	358-ST		
TOT	300	ALZA CORP		6,344.70	18.625	5,587.50	757-		
2	4	CALL BELLSOUTH COPR JAN 60 100 SHS	10-14-86	929.30		50.00	879-ST		
2	10	CALL BELLSOUTH COPR JAN 60 100 SHS	12-02-86	1,475.00		125.00	1350-ST		
TOT	14	CALL BELLSOUTH COPR JAN 60 100 SHS		2,404.30	.125	175.00	2229-		
1	100	COCA COLA ENTERPRISES INC	11-21-86	1,651.70	14.250	1,425.00	227-ST		
2	200	CORDIS CORP	01-31-84	4,050.00		3,275.00	775-LT		
2	200	CORDIS CORP	05-15-84	3,275.00		3,275.00			
2	100	CORDIS CORP	09-08-86	1,301.70		1,637.50	336+ST		
2	100	CORDIS CORP	09-23-86	1,501.70		1,637.50	136+ST		
TOT	600	CORDIS CORP		10,128.40	16.375	9,825.00	303-		
2	200	GREAT ATLANTIC & PAC TEA	06-14-84	3,111.80		4,700.00	1588+LT	80.00	

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PORTFOLIO POSITIONS AS OF 12-31-86

TYPE	QUANTITY	SECURITY DESCRIPTION	DATE ACQUIRED	COST	CURRENT PRICE	CURRENT VALUE	UNREALIZED GAIN/LOSS	CURRENT EST ANNUAL $INCOME	%YIELD
2	200	GREAT ATLANTIC & PAC TEA	07-20-84	3,070.40		4,700.00	1630+LT	80.00	
TOT	400	GREAT ATLANTIC & PAC TEA		6,182.20	23.500	9,400.00	3218+	160.00	1.7
2	100	HOUSTON INDS INC	08-15-86	3,513.30		3,475.00	38-ST	280.00	
2	100	HOUSTON INDS INC	08-25-86	3,703.20		3,475.00	228-ST	280.00	
TOT	200	HOUSTON INDS INC		7,216.50	34.750	6,950.00	266-	560.00	8.1
2	200	MARION LABORATORIES	12-08-86	8,028.90		7,550.00	479-ST	56.00	
2	200	MARION LABORATORIES	12-18-86	7,852.30		7,550.00	302-ST	56.00	
TOT	400	MARION LABORATORIES		15,881.20	37.750	15,100.00	781-	112.00	.7
2	100	SUBARU AMER INC	07-09-86	3,400.85	19.250	1,925.00	1476-ST	38.00	2.0
2	100	UPJOHN COMPANY	09-16-86	8,455.10	93.250	9,325.00	870+ST	152.00	1.6
		SUBTOTAL............		61,664.95	....	59,712.50	2443+LT 4394-ST	1,022.00	1.7
		* CLOSING VALUE OF SECURITIES *		61,664.95	....	59,712.50	2443+LT 4394-ST	1,022.00	1.7
		CLOSING CASH BALANCE		....		28,855.11-			
		CLOSING PORTFOLIO VALUE		....		30,857.39			

SUMMARY OF YOUR INVESTMENT INCOME

TYPE	TRNS	DATE PAID	DESCRIPTION		AMOUNT
			DIVIDENDS ON MONEY MARKET FUNDS	NONE	
			DIVIDENDS ON COMMON & PREFERRED STOCKS		
9	DIV	12-08	DIV. ON 100SHRS HONDA MOTORS LTD ADR NEW		31.50
9	DIV	12-10	DIV. ON 100SHRS CHEVRON CORP		60.00
9	DIV	12-10	DIV. ON 200SHRS HOUSTON INDS INC		140.00

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SUMMARY OF YOUR INVESTMENT INCOME

TYPE	TRNS	DATE PAID	DESCRIPTION	AMOUNT	
9	DIV	12-31	DIV. ON 200SHRS SUBARU AMER INC	19.00	
			TOTAL DIVIDENDS		250.50

CORPORATE BOND INTEREST	NONE	
MUNICIPAL BOND INTEREST	NONE	
U.S. GOVERNMENT SECURITIES INTEREST	NONE	
OTHER INCOME	NONE	
TOTAL INCOME		250.50

PURCHASES AND SALES

DATE	TYPE	TRANS	QUANTITY	SECURITY DESCRIPTION			PRICE	DEBIT	CREDIT
12-02	2	BOT	200	ALZA CORP			20.00	4083.30	
12-02	1	SLD	-100	MARRIOTT CORP			30 5/8		3003.09
12-03	2	BOT	10	CALL BELLSOUTH COPR	JAN 60	100 SHS	1 3/8	1475.00	
12-05	2	SLD	-100	HONDA MOTORS LTD ADR	NEW		76 3/4		7594.64
12-11	2	SLD	-200	MARION LABORATORIES			41 3/8		8141.82
12-15	2	BOT	200	MARION LABORATORIES			39 1/2	8028.90	
12-22	2	SLD	-300	CHEVRON CORP			47 3/8		14008.72
12-26	2	BOT	200	MARION LABORATORIES			38 5/8	7852.30	
12-31	2	SLD	-200	MIDDLE SOUTH UTIL			13 7/8		2704.40
12-31	2	SLD	-100	SUBARU AMER INC			20.00		1998.30
				TOTAL PURCHASES				21439.50	
				TOTAL SALES					37450.97

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CHRONOLOGICAL RECAP OF ACCOUNT ACTIVITY

DATE	TRN	QUANTITY	DESCRIPTION	TYPE	PRICE	DEBIT	CREDIT
12-02	BOT	200	ALZA CORP PREFERENTIAL RATE	2	20.00	4083.30	
12-02	SLD	-100	MARRIOTT CORP PREFERENTIAL RATE PREFERENTIAL RATE CORR AS TO QTY	1	30 5/8		3003.09
12-03	BOT	10	CALL BELLSOUTH COPR JAN 60 100 SHS OPENING TRANSACTION PREFERENTIAL RATE UNSOL	2	1 3/8	1475.00	
12-05	SLD	-100	HONDA MOTORS LTD ADR NEW PREFERENTIAL RATE	2	76 3/4		7594.64
12-08	DIV		DIV. ON 100SHRS HONDA MOTORS LTD ADR NEW	9			31.50
12-10	DIV		DIV. ON 100SHRS CHEVRON CORP	9			60.00
12-10	DIV		DIV. ON 200SHRS HOUSTON INDS INC	9			140.00
12-11	SLD	-200	MARION LABORATORIES PREFERENTIAL RATE	2	41 3/8		8141.82
12-15	BOT	200	MARION LABORATORIES PREFERENTIAL RATE DIVIDEND R/D 12/19	2	39 1/2	8028.90	
12-22	SLD	-300	CHEVRON CORP PREFERENTIAL RATE	2	47 3/8		14008.72
12-26	BOT	200	MARION LABORATORIES PREFERENTIAL RATE	2	38 5/8	7852.30	
12-31	INT		INTEREST FOR 33 DAYS AT 8.0761% AVG RATE AV BAL 37583.99DR 11/27 - 12/02 7.75% 12/03 - 12/08 8.25% 12/09 - 12/17 8.00%	2		278.24	
12-31	SLD	-200	MIDDLE SOUTH UTIL PREFERENTIAL RATE	2	13 7/8		2704.40
12-31	SLD	-100	SUBARU AMER INC WE MAKE MKT IN SECUR	2	20.00		1998.30
12-31	DIV		DIV. ON 200SHRS SUBARU AMER INC	9			19.00

SMITH BARNEY
CLIENT
STATEMENT

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Charter Account

YOUR PORTFOLIO PERFORMANCE AS OF 12-31-86	THIS MONTH FROM 11-29-86	YEAR-TO-DATE FROM 01-01-86
OPENING VALUE OF SECURITIES	81,975.00CR	65,034.24CR
+ OPENING CASH BALANCE	44,838.84DR	26,303.65DR
= OPENING PORTFOLIO VALUE	37,136.16CR	38,730.59CR
SECURITIES DEPOSIT/WDRAW NET		
CAPITAL DEPOSITED/WDRAWN NET		10,000.00DR
ADJUSTED OPENING VALUE	37,136.16CR	28,730.59CR
CLOSING VALUE OF SECURITIES	59,712.50CR	59,712.50CR
+ CLOSING CASH BALANCE	28,855.11DR	28,855.11DR
= CLOSING PORTFOLIO VALUE	30,857.39CR	30,857.39CR
CAPITAL GAIN/LOSS REALIZED		
SHORT-TERM (NET)	....	3,282.49CR
LONG -TERM (NET)	....	1,773.47CR
TOTAL INVESTMENT INCOME	250.50CR	858.90CR
TOTAL EQUITY	30,857.39CR	
BUYING POWER	18,506.00CR	
SEGREGATED CASH		
SHORT ACCOUNT BALANCE		

CASH FLOW IN YOUR ACCOUNT THIS MONTH * 11-29-86 TO 12-31-86	DEBIT	CREDIT
OPENING BALANCE		
SECURITIES PURCHASED		
SECURITIES SOLD		
FUNDS DEPOSITED		
FUNDS WITHDRAWN		
INCOME RECEIVED		
MARGIN LOAN INTEREST		
VANTAGE CHECKS PAID		
GOLD CARD PAYMENT		
GOLD CARD DRAFTS		
EXECUTIVE LINE ADVANCE		
OTHER ACTIVITY		
CLOSING BALANCE		

* DATA APPEARS IN THIS SECTION ONLY IF YOU ALSO SUBSCRIBE TO OUR VANTAGE ACCOUNT SERVICE. ASK YOUR ACCOUNT EXECUTIVE FOR MORE DETAILS.

HOW DOES THE NEW TAX LAW AFFECT YOUR IRA? WHAT ARE THE BENEFITS OF CONSOLIDATING YOUR IRAS? SEE ENCLOSED TOPICS FOR ANSWERS TO THESE AND OTHER IRA QUESTIONS.

THIS STATEMENT COVERS
11-29-86 TO 12-31-86

YOUR ACCOUNT NUMBER	YOUR TAXPAYER NUMBER
04E-631809	236-78-8645

YOUR ACCOUNT EXECUTIVE

ERICSON, MARY B.
CHARLESTON NATIONAL PLAZA
P O BOX 671 MAIN FLOOR
CHARLESTON WV 25323-0671

304-344-9601

N JOE RAHALL II

216 1/2 MAIN STREET

BECKLEY WV 25801-4613

**Smith Barney
Funds, Inc.**

U.S. GOVERNMENT SECURITIES PORTFOLIO

FUND ACCOUNT NUMBER	SOCIAL SECURITY OR TAXPAYER IDENTIFICATION NO	ACCOUNT EXECUTIVE		BRANCH NUMBER
00002036849	236-78-8645	003 ERICSON	0000084	1000004E

N JOE RAHALL II
SBHU 04E 631809 1
343 CANNON BLDG
WASHINGTON DC 20515

BRANCH OFFICE SERVICING YOU

SMITH BARNEY HARRIS UPHAM & CO
CHARLESTON NATIONAL PLAZA
MAIN FLOOR
CHARLESTON WV 25301

SUMMARY OF YOUR ACCOUNT

STATEMENT DATE	INCOME DIVIDENDS PAID THIS YEAR	CAPITAL GAINS DISTRIBUTION PAID THIS YEAR	CERTIFICATE SHARES HELD BY YOU/BROKER	+	SHARES HELD BY THE BANK	=	TOTAL SHARES OWNED
11/12/86	$347.78	$16.25	0.000		63.865		63.865
*REINV INCDIV	*REINV CAPGN						

TRANSACTION DATE	TRANSACTION		DOLLAR AMOUNT OF TRANSACTION	SHARE PRICE	SHARES THIS TRANSACTION	TOTAL SHARES OWNED
			BALANCE	FORWARD		406.305
02/07/86	CAPGAIN DIST RATE	$0.0400	16.25	13.59	1.196	407.501
02/14/86	INC DIVIDEND RATE	$0.3800	154.40	14.36	10.752	418.253
05/14/86	INC DIVIDEND RATE	$0.3600	150.57	14.21	10.596	428.849
05/28/86	DEPOSIT CERT		0.00		368.000-	428.849
05/28/86	CONFIRMED LIQUIDATION		4997.44	13.58	368.000-	60.849
08/13/86	INC DIVIDEND RATE	$0.3600	21.91	14.16	1.547	62.396
11/12/86	INC DIVIDEND RATE	$0.3350	20.90	14.23	1.469	63.865

PLEASE REFER TO ABOVE ACCOUNT NO. IN ALL CORRESPONDENCE AND MAIL TO **PROVIDENT FINANCIAL PROCESSING CORP. P.O. BOX 8950, WILMINGTON, DE 19899**

[illegible]

FUND NO 1066 US GOVT SEC
ACCOUNT NO 00002036849

**Smith Barney
Funds, Inc.**

$

AMOUNT ENCLOSED

NAME N JOE RAHALL II
ADDRESS SBHU 04E 631809 1
343 CANNON BLDG
WASHINGTON DC 20515

YOU MAY ADD TO YOUR
ACCOUNT AT ANY TIME

FOR IRA/KEOGH PLANS ONLY
THIS CONTRIBUTION APPLIES TO ______ TAX YEAR

[illegible]

ADDRESS CHANGES/CORRECTIONS

[illegible]

SMITH BARNEY
CLIENT
STATEMENT

Charter Account

ACCOUNT NO.	TAXPAYER	STATEMENT PERIOD	PAGE
04E-631809	236-78-8645	11-01-86 TO 11-28-86	7 OF 8

N JOE RAHALL II
216 1/2 MAIN STREET
BECKLEY WV 25801-4613

PURCHASES AND SALES

DATE	TYPE	TRANS	QUANTITY	SECURITY DESCRIPTION		PRICE	DEBIT	CREDIT
11-03	2	BOT	5	CALL E F HUTTON	NOV 55 100 SHS	1 3/8	746.30	
11-10	2	SLD	-200	MARION LABORATORIES		40 7/8		8042.62
11-12	2	BOT	100	BLACK & DECKER CORP		17 7/8	1830.80	
11-14	2	BOT	100	HONDA MOTORS LTD ADR	NEW	76.00	7680.10	
11-17	2	SLD	-100	GTE CORP		61 1/2		6069.69
11-19	2	SLD	-100	UPJOHN COMPANY		96 1/4		9544.57
11-20	2	SLD	-100	BLACK & DECKER CORP		17 1/4		1682.44
11-20	2	BOT	200	CHEVRON CORP		46.00	9343.30	
11-28	1	BOT	100	COCA COLA	ENTERPRISES INC	16.50	1651.70	
11-28	2	BOT	200	MARION LABORATORIES		37 3/8	7599.10	

TOTAL PURCHASES................. 28851.30

TOTAL SALES..................................... 25339.32

CHRONOLOGICAL RECAP OF ACCOUNT ACTIVITY

DATE	TRN	QUANTITY	DESCRIPTION		TYPE	PRICE	DEBIT	CREDIT
1-03	DIV		DIV. ON 400SHRS TEA	GREAT ATLANTIC & PAC	9			40.00
1-03	BOT	5	CALL E F HUTTON OPENING TRANSACTION UNSOL	NOV 55 100 SHS PREFERENTIAL RATE	2	1 3/8	746.30	
1-03	DIV		DIV. ON 200SHRS	UPJOHN COMPANY	9			76.00
1-10	SLD	-200	MARION LABORATORIES	PREFERENTIAL RATE	2	40 7/8		8042.62
1-12	BOT	100	BLACK & DECKER CORP	PREFERENTIAL RATE	2	17 7/8	1830.80	
1-14	BOT	100	HONDA MOTORS LTD ADR PREFERENTIAL RATE	NEW	2	76.00	7680.10	
1-17	SLD	-100	GTE CORP	PREFERENTIAL RATE	2	61 1/2		6069.69
1-19	SLD	-100	UPJOHN COMPANY	PREFERENTIAL RATE	2	96 1/4		9544.57
1-20	SLD	-100	BLACK & DECKER CORP	PREFERENTIAL RATE	2	17 1/4		1682.44
1-20	BOT	200	CHEVRON CORP	PREFERENTIAL RATE	2	46.00	9343.30	

SMITH BARNEY
CLIENT
STATEMENT

Charter Account

ACCOUNT NO.	TAXPAYER	STATEMENT PERIOD	PAGE
04E-631809	236-78-8645	11-01-86 TO 11-28-86	8 OF 8

N JOE RAHALL II
216 1/2 MAIN STREET
BECKLEY WV 25801-4613

CHRONOLOGICAL RECAP OF ACCOUNT ACTIVITY

DATE	TRN	QUANTITY	DESCRIPTION	TYPE	PRICE	DEBIT	CREDIT
11-26	DEL	-5	CALL E F HUTTON NOV 55 100 SHS EXPIRED OPTION	2			
11-28	INT		INTEREST FOR 27 DAYS AT 7.5755% AVG RATE AV BAL 38346.41DR 10/31 - 11/17 7.50% 11/18 - 11/26 7.75%	2		217.87	
11-28	BOT	100	COCA COLA ENTERPRISES INC PROSPECTUS MAILED UNDER SEPARATE COVER	1	16.50	1651.70	
11-28	BOT	200	MARION LABORATORIES PREFERENTIAL RATE	2	37 3/8	7599.10	

SMITH BARNEY
CLIENT
STATEMENT

Charter Account

YOUR PORTFOLIO PERFORMANCE AS OF 11-28-86	THIS MONTH FROM 11-01-86	YEAR-TO-DATE FROM 01-01-86
OPENING VALUE OF SECURITIES	77,575.00CR	65,034.24CR
+ OPENING CASH BALANCE	41,224.99DR	26,303.65DR
= OPENING PORTFOLIO VALUE	36,350.01CR	38,730.59CR
SECURITIES DEPOSIT/WDRAW NET		
CAPITAL DEPOSITED/WDRAWN NET		10,000.00DR
ADJUSTED OPENING VALUE	36,350.01CR	28,730.59CR
CLOSING VALUE OF SECURITIES	81,975.00CR	81,975.00CR
+ CLOSING CASH BALANCE	44,838.84DR	44,838.84DR
= CLOSING PORTFOLIO VALUE	37,136.16CR	37,136.16CR
CAPITAL GAIN/LOSS REALIZED		
SHORT-TERM (NET)	....	4,522.47CR
LONG -TERM (NET)	....	1,773.47CR
TOTAL INVESTMENT INCOME	116.00CR	608.40CR
TOTAL EQUITY	37,136.16CR	
BUYING POWER	11,442.64CR	
SEGREGATED CASH		
SHORT ACCOUNT BALANCE		

CASH FLOW IN YOUR ACCOUNT THIS MONTH * 11-01-86 TO 11-28-86	DEBIT	CREDIT
OPENING BALANCE		
SECURITIES PURCHASED		
SECURITIES SOLD		
FUNDS DEPOSITED		
FUNDS WITHDRAWN		
INCOME RECEIVED		
MARGIN LOAN INTEREST		
VANTAGE CHECKS PAID		
GOLD CARD PAYMENT		
GOLD CARD DRAFTS		
EXECUTIVE LINE ADVANCE		
OTHER ACTIVITY		
CLOSING BALANCE		

* DATA APPEARS IN THIS SECTION ONLY IF YOU ALSO SUBSCRIBE TO OUR VANTAGE ACCOUNT SERVICE. ASK YOUR ACCOUNT EXECUTIVE FOR MORE DETAILS.

TAX REFORM IS ALMOST HERE. PLEASE SPEAK TO YOUR ACCOUNT EXECUTIVE ABOUT YEAR-END INVESTMENT STRATEGIES.

THIS STATEMENT COVERS
11-01-86 TO 11-28-86

YOUR ACCOUNT NUMBER	YOUR TAXPAYER NUMBER
04E-631809	236-78-8645

YOUR ACCOUNT EXECUTIVE

ERICSON, MARY B.
CHARLESTON NATIONAL PLAZA
P O BOX 671 MAIN FLOOR
CHARLESTON WV 25323-0671

304-344-9601

N JOE RAHALL II
216 1/2 MAIN STREET
BECKLEY WV 25801-9613

mail to
243 Cannon Bldg
Wash, DC 20515

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SMITH BARNEY
CLIENT
STATEMENT

Charter Account

ACCOUNT NO.	TAXPAYER	STATEMENT PERIOD	PAGE
04E-631809	236-78-8645	11-01-86 TO 11-28-86	2 OF 8

N JOE RAHALL II
216 1/2 MAIN STREET
BECKLEY WV 25801-4613

YOUR PORTFOLIO COMPOSITION & INVESTMENT INCOME

PORTFOLIO COMPOSITION	MARKET VALUE AS OF 11-28-86	% OF TOTAL SECURITIES	INCOME THIS MONTH	INCOME YEAR-TO-DATE
MONEY MARKET FUNDS				
COMMON & PREFERRED STOCKS	81,975.00CR	100.00	116.00CR	608.40CR
CORPORATE BONDS				
MUNICIPAL BONDS				
U.S. GOVERNMENT SECURITIES				
OTHER SECURITIES				
TOTAL	81,975.00CR	100.00	116.00CR	608.40CR

OTHER ITEMS	THIS MONTH	YEAR-TO-DATE
DISTRIBUTIONS FROM DIRECT INVESTMENTS		
RETURNS OF PRINCIPAL		
ACCRUED INTEREST PAID ON BOND PURCHASES		
MARGIN LOAN INTEREST PAID	217.87DR	1,654.68DR

CAPITAL GAINS OR LOSSES REALIZED DURING 1986

QUANTITY	SECURITY DESCRIPTION	DATE SOLD	SALES PROCEEDS	DATE ACQUIRED	COST	GAIN/LOSS LONG TERM	GAIN/LOSS SHORT TERM
500	PIONEER SVGS BANK CLEARWATER FLA	02-05-86	4578.60	07-18-85	3975.90	602.70	
100	PHILADELPHIA ELECTRIC CO	02-12-86	1924.53	10-14-85	1462.70		461.83
200	PHILADELPHIA ELECTRIC CO	02-12-86	3849.07	10-15-85	2946.30		902.77
200	GLAXO HLDGS LTD ADR	02-24-86	2998.86	11-12-85	2223.90		774.96
100	GLAXO HLDGS LTD ADR	02-24-86	1499.44	11-20-85	1143.60		355.84
100	GLAXO HLDGS LTD ADR	04-03-86	1523.30	11-20-85	1143.60		379.70
300	WICKES COS INC NEW	04-08-86	1735.84	03-25-85	1329.50	406.34	

SMITH BARNEY
CLIENT
STATEMENT

Charter Account

ACCOUNT NO.	TAXPAYER	STATEMENT PERIOD	PAGE
04E-631809	236-78-8645	11-01-86 TO 11-28-86	3 OF 8

N JOE RAHALL II
216 1/2 MAIN STREET
BECKLEY WV 25801-4613

CAPITAL GAINS OR LOSSES REALIZED DURING 1986

QUANTITY	SECURITY DESCRIPTION	DATE SOLD	SALES PROCEEDS	DATE ACQUIRED	COST	GAIN/LOSS LONG TERM	GAIN/LOSS SHORT TERM
300	NORTHERN IND PUB SVC CO	05-19-86	3440.78	08-26-85	3562.92	122.14-	
25000	LOUISIANA HSG FIN AGY MTG RV MULTI FAM FHA INSD MTG LN REGISTERED CALL JJ1 0.000% 07/01/2002	05-28-86	3692.50	07-08-82	250.00	3442.50	
100	ALLIANCE TECHNOLOGY FUND INC PORTFOLIO ONE	05-28-86	2483.00	05-16-83	2402.00	81.00	
200	GLAXO HLDGS LTD ADR	05-28-86	2898.30	11-21-85	2312.20	586.10	
368	SMITH BARNEY FDS INC U S GOVT SECS PORT	05-28-86	4997.44	11-29-84	5004.80	7.36-	
200	TRANS WORLD AIRLINES PFD CUM $2.25	05-29-86	2803.60	11-30-82	3000.00	196.40-	
300	NORTHERN IND PUB SVC CO	06-13-86	3374.94	09-23-85	3562.92	187.98-	
210	WTS HORN & HARDART EXP 12/27/87 $18.75	06-13-86	1167.55	02-16-84	1754.40	586.85-	
190	WTS HORN & HARDART EXP 12/27/87 $18.75	06-13-86	1056.37	05-15-84	1310.09	253.72-	
200	NORTHERN IND PUB SVC CO	06-13-86	2249.96	08-26-85	2375.28	125.32-	
20	WTS HORN & HARDART EXP 12/27/87 $18.75	06-13-86	98.79	05-15-84	137.91	39.12-	
300	NORTHERN IND PUB SVC CO	06-30-86	3448.98	10-08-85	3344.20	104.78	
200	NORTHERN IND PUB SVC CO	06-30-86	2299.32	09-23-85	2375.28	75.96-	
100	INTEL CORP	07-07-86	2149.15	12-05-85	3101.70	952.55-	

SMITH BARNEY
CLIENT
STATEMENT

Charter Account

ACCOUNT NO.	TAXPAYER	STATEMENT PERIOD	PAGE
04E-631809	236-78-8645	11-01-86 TO 11-28-86	4 OF 8

N JOE RAHALL II
216 1/2 MAIN STREET
BECKLEY WV 25801-4613

CAPITAL GAINS OR LOSSES REALIZED DURING 1986

QUANTITY	SECURITY DESCRIPTION	DATE SOLD	SALES PROCEEDS	DATE ACQUIRED	COST	GAIN/LOSS LONG TERM	GAIN/LOSS SHORT TERM
100	INTEL CORP	07-07-86	2149.15	12-12-85	3051.70	902.55-	
75	FORD MOTOR CO	07-23-86	4186.65	04-03-86	4168.10		18.55
25	FORD MOTOR CO	07-23-86	1395.56	06-12-86	1365.75		29.81
500	EL PASO ELEC CO	07-25-86	8873.30	06-13-86	7626.70		1246.60
100	MARION LABORATORIES	08-12-86	4450.34	07-08-86	4170.50		279.84
100	MARION LABORATORIES	08-26-86	4412.85	07-29-86	4170.50		242.35
100	MANOR CARE INC	09-02-86	2317.17	06-13-86	2502.90		185.73-
100	MANOR CARE INC	09-02-86	2317.17	06-12-86	2477.10		159.93-
200	NAVISTAR INTL CORP	09-04-86	1527.42	05-19-86	1934.30		406.88-
100	NAVISTAR INTL CORP	09-04-86	763.70	04-22-86	1171.20		407.50-
100	TEXAS AIR CORP	09-24-86	3267.24	08-14-86	3084.30		182.94
100	TEXAS AIR CORP	09-24-86	3267.23	04-03-86	3311.70		44.47-
4	CALL E F HUTTON JAN 50 100 SHS	10-13-86	1239.87	09-29-86	929.30		310.57
200	MARION LABORATORIES	11-03-86	8042.62	10-15-86	7523.30		519.32
100	GTE CORP	11-07-86	6069.69	09-02-86	6017.60		52.09
100	UPJOHN COMPANY	11-12-86	9544.57	07-29-86	8680.10		864.47
100	BLACK & DECKER CORP	11-13-86	1682.44	11-04-86	1830.80		148.36-
5	CALL E F HUTTON NOV 55 100 SHS	11-26-86	.00	10-31-86	746.30		746.30-
	NET REALIZED GAIN/LOSS YEAR-TO-DATE					1773.47	4522.47

SMITH BARNEY
CLIENT
STATEMENT

Charter Account

ACCOUNT NO.	TAXPAYER	STATEMENT PERIOD	PAGE
04E-631809	236-78-8645	11-01-86 TO 11-28-86	6 OF 8

N JOE RAHALL II
216 1/2 MAIN STREET
BECKLEY WV 25801-4613

PORTFOLIO POSITIONS AS OF 11-28-86

TYPE	QUANTITY	SECURITY DESCRIPTION	DATE ACQUIRED	COST	CURRENT PRICE	CURRENT VALUE	UNREALIZED GAIN/LOSS	CURRENT EST $INCOME	ANNUAL %YIELD
		SUBTOTAL............		78,916.40	....	81,975.00	3643+LT 584-ST	1,798.20	2.2
		* CLOSING VALUE OF SECURITIES *		78,916.40	....	81,975.00	3643+LT 584-ST	1,798.20	2.2
		CLOSING CASH BALANCE		....	....	44,838.84-			
		CLOSING PORTFOLIO VALUE		....	....	37,136.16			

SUMMARY OF YOUR INVESTMENT INCOME

TYPE	TRNS	DATE PAID	DESCRIPTION		AMOUNT	
			DIVIDENDS ON MONEY MARKET FUNDS	NONE		
			DIVIDENDS ON COMMON & PREFERRED STOCKS			
9	DIV	11-03	DIV. ON 400SHRS GREAT ATLANTIC & PAC TEA		40.00	
9	DIV	11-03	DIV. ON 200SHRS UPJOHN COMPANY		76.00	
			TOTAL DIVIDENDS..............................			116.00
			CORPORATE BOND INTEREST	NONE		
			MUNICIPAL BOND INTEREST	NONE		
			U.S. GOVERNMENT SECURITIES INTEREST	NONE		
			OTHER INCOME	NONE		
			TOTAL INCOME..............................		116.00	

SMITH BARNEY
CLIENT
STATEMENT

Charter Account

YOUR PORTFOLIO PERFORMANCE AS OF 10-31-86	THIS MONTH FROM 09-27-86	YEAR-TO-DATE FROM 01-01-86
OPENING VALUE OF SECURITIES	68,212.50CR	65,034.24CR
+ OPENING CASH BALANCE	37,816.21DR	26,303.65DR
= OPENING PORTFOLIO VALUE	30,396.29CR	38,730.59CR
SECURITIES DEPOSIT/WDRAW NET		
CAPITAL DEPOSITED/WDRAWN NET		10,000.00DR
ADJUSTED OPENING VALUE	30,396.29CR	28,730.59CR
CLOSING VALUE OF SECURITIES	77,575.00CR	77,575.00CR
+ CLOSING CASH BALANCE	41,224.99DR	41,224.99DR
= CLOSING PORTFOLIO VALUE	36,350.01CR	36,350.01CR
CAPITAL GAIN/LOSS REALIZED		
SHORT-TERM (NET)	....	3,981.25CR
LONG -TERM (NET)	....	1,773.47CR
TOTAL INVESTMENT INCOME	3.20CR	492.40CR
TOTAL EQUITY	36,350.01CR	
BUYING POWER	11,381.40CR	
SEGREGATED CASH		
SHORT ACCOUNT BALANCE		

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FOR AN IN-DEPTH LOOK AT THE NEW TAX LAW AND THE IMPLICATIONS FOR INVESTORS, ASK YOUR ACCOUNT EXECUTIVE FOR SMITH BARNEY'S TAX REFORM UPDATE KIT.

THIS STATEMENT COVERS
09-27-86 TO 10-31-86

YOUR ACCOUNT NUMBER	YOUR TAXPAYER NUMBER
04E-631809	236-78-8645

YOUR ACCOUNT EXECUTIVE

ERICSON, MARY B.
CHARLESTON NATIONAL PLAZA
P O BOX 671 MAIN FLOOR
CHARLESTON WV 25323-0671

304-344-9601

COPIES SENT PER INSTRUCTIONS

CASH FLOW IN YOUR ACCOUNT THIS MONTH * 09-27-86 TO 10-31-86	DEBIT	CREDIT
OPENING BALANCE		
SECURITIES PURCHASED		
SECURITIES SOLD		
FUNDS DEPOSITED		
FUNDS WITHDRAWN		
INCOME RECEIVED		
MARGIN LOAN INTEREST		
VANTAGE CHECKS PAID		
GOLD CARD PAYMENT		
GOLD CARD DRAFTS		
EXECUTIVE LINE ADVANCE		
OTHER ACTIVITY		
CLOSING BALANCE		

* DATA APPEARS IN THIS SECTION ONLY IF YOU ALSO SUBSCRIBE TO OUR VANTAGE ACCOUNT SERVICE. ASK YOUR ACCOUNT EXECUTIVE FOR MORE DETAILS.

N JOE RAHALL II
216 1/2 MAIN STREET
BECKLEY WV 25801-4613

SMITH BARNEY
CLIENT
STATEMENT

Charter Account

ACCOUNT NO.	TAXPAYER	STATEMENT PERIOD	PAGE
04E-631809	236-78-8645	09-27-86 TO 10-31-86	2 OF 7

N JOE RAHALL II
216 1/2 MAIN STREET
BECKLEY WV 25801-4613

YOUR PORTFOLIO COMPOSITION & INVESTMENT INCOME

PORTFOLIO COMPOSITION	MARKET VALUE AS OF 10-31-86	% OF TOTAL SECURITIES	INCOME THIS MONTH	INCOME YEAR-TO-DATE
MONEY MARKET FUNDS COMMON & PREFERRED STOCKS CORPORATE BONDS MUNICIPAL BONDS U.S. GOVERNMENT SECURITIES OTHER SECURITIES	77,575.00CR	100.00	3.20CR	492.40CR
TOTAL	77,575.00CR	100.00	3.20CR	492.40CR

OTHER ITEMS	THIS MONTH	YEAR-TO-DATE
DISTRIBUTIONS FROM DIRECT INVESTMENTS RETURNS OF PRINCIPAL ACCRUED INTEREST PAID ON BOND PURCHASES MARGIN LOAN INTEREST PAID	266.39DR	1,436.81DR

CAPITAL GAINS OR LOSSES REALIZED DURING 1986

QUANTITY	SECURITY DESCRIPTION	DATE SOLD	SALES PROCEEDS	DATE ACQUIRED	COST	GAIN/LOSS LONG TERM	GAIN/LOSS SHORT TERM
500	PIONEER SVGS BANK CLEARWATER FLA	02-05-86	4578.60	07-18-85	3975.90	602.70	
100	PHILADELPHIA ELECTRIC CO	02-12-86	1924.53	10-14-85	1462.70		461.83
200	PHILADELPHIA ELECTRIC CO	02-12-86	3849.07	10-15-85	2946.30		902.77
200	GLAXO HLDGS LTD ADR	02-24-86	2998.86	11-12-85	2223.90		774.96
100	GLAXO HLDGS LTD ADR	02-24-86	1499.44	11-20-85	1143.60		355.84
100	GLAXO HLDGS LTD ADR	04-03-86	1523.30	11-20-85	1143.60		379.70
300	WICKES COS INC NEW	04-08-86	1735.84	03-25-85	1329.50	406.34	

SMITH BARNEY
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N JOE RAHALL II
216 1/2 MAIN STREET
BECKLEY WV 25801-4613

CAPITAL GAINS OR LOSSES REALIZED DURING 1986

QUANTITY	SECURITY DESCRIPTION	DATE SOLD	SALES PROCEEDS	DATE ACQUIRED	COST	GAIN/LOSS LONG TERM	GAIN/LOSS SHORT TERM
300	NORTHERN IND PUB SVC CO	05-19-86	3440.78	08-26-85	3562.92	122.14-	
100	ALLIANCE TECHNOLOGY FUND INC PORTFOLIO ONE	05-28-86	2483.00	05-16-83	2402.00	81.00	
200	GLAXO HLDGS LTD ADR	05-28-86	2898.30	11-21-85	2312.20	586.10	
25000	LOUISIANA HSG FIN AGY MTG RV MULTI FAM FHA INSD MTG LN REGISTERED CALL JJ1 0.000% 07/01/2002	05-28-86	3692.50	07-08-82	250.00	3442.50	
368	SMITH BARNEY FDS INC U S GOVT SECS PORT	05-28-86	4997.44	11-29-84	5004.80	7.36-	
200	TRANS WORLD AIRLINES PFD CUM $2.25	05-29-86	2803.60	11-30-82	3000.00	196.40-	
190	WTS HORN & HARDART EXP 12/27/87 $18.75	06-13-86	1056.37	05-15-84	1310.09	253.72-	
210	WTS HORN & HARDART EXP 12/27/87 $18.75	06-13-86	1167.55	02-16-84	1754.40	586.85-	
20	WTS HORN & HARDART EXP 12/27/87 $18.75	06-13-86	98.79	05-15-84	137.91	39.12-	
300	NORTHERN IND PUB SVC CO	06-13-86	3374.94	09-23-85	3562.92	187.98-	
200	NORTHERN IND PUB SVC CO	06-13-86	2249.96	08-26-85	2375.28	125.32-	
200	NORTHERN IND PUB SVC CO	06-30-86	2299.32	09-23-85	2375.28	75.96-	
300	NORTHERN IND PUB SVC CO	06-30-86	3448.98	10-08-85	3344.20	104.78	
100	INTEL CORP	07-07-86	2149.15	12-12-85	3051.70	902.55-	

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ACCOUNT NO.	TAXPAYER	STATEMENT PERIOD	PAGE
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CAPITAL GAINS OR LOSSES REALIZED DURING 1986

QUANTITY	SECURITY DESCRIPTION	DATE SOLD	SALES PROCEEDS	DATE ACQUIRED	COST	GAIN/LOSS LONG TERM	GAIN/LOSS SHORT TERM
100	INTEL CORP	07-07-86	2149.15	12-05-85	3101.70	952.55-	
25	FORD MOTOR CO	07-23-86	1395.56	06-12-86	1365.75		29.81
75	FORD MOTOR CO	07-23-86	4186.65	04-03-86	4168.10		18.55
500	EL PASO ELEC CO	07-25-86	8873.30	06-13-86	7626.70		1246.60
100	MARION LABORATORIES	08-12-86	4450.34	07-08-86	4170.50		279.84
100	MARION LABORATORIES	08-26-86	4412.85	07-29-86	4170.50		242.35
100	MANOR CARE INC	09-02-86	2317.17	06-13-86	2502.90		185.73-
100	MANOR CARE INC	09-02-86	2317.17	06-12-86	2477.10		159.93-
100	NAVISTAR INTL CORP	09-04-86	763.70	04-22-86	1171.20		407.50-
200	NAVISTAR INTL CORP	09-04-86	1527.42	05-19-86	1934.30		406.88-
100	TEXAS AIR CORP	09-24-86	3267.24	08-14-86	3084.30		182.94
100	TEXAS AIR CORP	09-24-86	3267.23	04-03-86	3311.70		44.47-
4	CALL E F HUTTON JAN 50 100 SHS	10-13-86	1239.87	09-29-86	929.30		310.57
	NET REALIZED GAIN/LOSS YEAR-TO-DATE					1773.47	3981.25

PORTFOLIO POSITIONS AS OF 10-31-86

TYPE	QUANTITY	SECURITY DESCRIPTION	DATE ACQUIRED	COST	CURRENT PRICE	CURRENT VALUE	UNREALIZED GAIN/LOSS	CURRENT EST ANNUAL $INCOME	%YIELD
COMM & PFD STOCKS									
2	100	ALZA CORP	08-28-86	2,261.40	20.375	2,037.50	224-ST		
2	4	CALL BELLSOUTH COPR JAN 60 100 SHS	10-14-86	929.30	1.000	400.00	529-ST		

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PORTFOLIO POSITIONS AS OF 10-31-86

TYPE	QUANTITY	SECURITY DESCRIPTION	DATE ACQUIRED	COST	CURRENT PRICE	CURRENT VALUE	UNREALIZED GAIN/LOSS	CURRENT EST ANNUAL $INCOME	%YIELD
2	100	CHEVRON CORP	09-12-86	4,384.60	44.625	4,462.50	78+ST	240.00	5.4
2	200	CORDIS CORP	01-31-84	4,050.00		3,550.00	500-LT		
2	200	CORDIS CORP	05-15-84	3,275.00		3,550.00	275+LT		
2	100	CORDIS CORP	09-08-86	1,301.70		1,775.00	473+ST		
2	100	CORDIS CORP	09-23-86	1,501.70		1,775.00	273+ST		
TOT	600	CORDIS CORP		10,128.40	17.750	10,650.00	521+		
2	100	GTE CORP	09-02-86	6,017.60	61.000	6,100.00	82+ST	324.00	5.3
2	200	GREAT ATLANTIC & PAC TEA	06-14-84	3,111 80		4,450.00	1338+LT	80.00	
2	200	GREAT ATLANTIC & PAC TEA	07-20-84	3,070.40		4,450.00	1380+LT	80.00	
TOT	400	GREAT ATLANTIC & PAC TEA		6,182.20	22.250	8,900.00	2718+	160.00	1.8
2	100	HOUSTON INDS INC	08-15-86	3,513.30		3,462.50	51-ST	280.00	
2	100	HOUSTON INDS INC	08-25-86	3,703.20		3,462.50	241-ST	280.00	
TOT	200	HOUSTON INDS INC		7,216.50	34.625	6,925.00	292-	560.00	8.1
2	200	MARION LABORATORIES	10-15-86	7,523.30	40.625	8,125.00	602+ST	40.00	.5
2	100	MARRIOTT CORP	08-12-86	3,311.70	30.250	3,025.00	287-ST	12.80	.4
2	200	MIDDLE SOUTH UTIL	08-19-86	2,971.30	13.625	2,725.00	246-ST		
2	200	SUBARU AMER INC	07-09-86	6,801.70	25.000	5,000.00	1802-ST	76.00	1.5
2	100	UPJOHN COMPANY	07-29-86	8,680.10		9,612.50	932+ST	152.00	
2	100	UPJOHN COMPANY	09-16-86	8,455.10		9,612.50	1157+ST	152.00	
TOT	200	UPJOHN COMPANY		17,135.20	96.125	19,225.00	2089+	304.00	1.6
		SUBTOTAL............		74,863.20	....	77,575.00	2493+LT 217+ST	1,716.80	2.2
		* CLOSING VALUE OF SECURITIES *		74,863.20	....	77,575.00	2493+LT 217+ST	1,716.80	2.2
		CLOSING CASH BALANCE		....	....	41,224.99-			

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PORTFOLIO POSITIONS AS OF 10-31-86

TYPE	QUANTITY	SECURITY DESCRIPTION	DATE ACQUIRED	COST	CURRENT PRICE	CURRENT VALUE	UNREALIZED GAIN/LOSS	CURRENT EST ANNUAL $INCOME	%YIELD
		CLOSING PORTFOLIO VALUE				36,350.01			

SUMMARY OF YOUR INVESTMENT INCOME

TYPE	TRNS	DATE PAID	DESCRIPTION		AMOUNT
			DIVIDENDS ON MONEY MARKET FUNDS	NONE	
			DIVIDENDS ON COMMON & PREFERRED STOCKS		
	DIV	10-20	DIV. ON 100SHRS MARRIOTT CORP		3.20
			CORPORATE BOND INTEREST	NONE	
			MUNICIPAL BOND INTEREST	NONE	
			U.S. GOVERNMENT SECURITIES INTEREST	NONE	
			OTHER INCOME	NONE	
			TOTAL INCOME..		3.20

PURCHASES AND SALES

DATE	TYPE	TRANS	QUANTITY	SECURITY DESCRIPTION				PRICE	DEBIT	CREDIT
09-30	2	BOT	100	CORDIS CORP				15.00	1501.70	
09-30	2	BOT	4	CALL E F HUTTON	JAN	50	100 SHS	2 3/16	929.30	
10-01	2	SLD	-200	TEXAS AIR CORP				33 1/4		6554.67
10-14	2	SLD	-4	CALL E F HUTTON	JAN	50	100 SHS	3 1/4		1239.87
10-15	2	BOT	4	CALL BELLSOUTH COPR	JAN	60	100 SHS	2 3/16	929.30	
10-22	2	BOT	200	MARION LABORATORIES				37.00	7523.30	
					TOTAL PURCHASES.................				10883.60	

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PURCHASES AND SALES

DATE	TYPE	TRANS	QUANTITY	SECURITY DESCRIPTION	PRICE	DEBIT	CREDIT
				TOTAL SALES........................			7774.34

CHRONOLOGICAL RECAP OF ACCOUNT ACTIVITY

DATE	TRN	QUANTITY	DESCRIPTION		TYPE	PRICE	DEBIT	CREDIT
09-30	BOT	100	CORDIS CORP	WE MAKE MKT IN SECUR	2	15.00	1501.70	
09-30	BOT	4	CALL E F HUTTON OPENING TRANSACTION	JAN 50 100 SHS PREFERENTIAL RATE	2	2 3/16	929.30	
10-01	SLD	-200	TEXAS AIR CORP	PREFERENTIAL RATE	2	33 1/4		6534.47
10-14	SLD	-4	CALL E F HUTTON CLOSING TRANSACTION	JAN 50 100 SHS PREFERENTIAL RATE	2	3 1/4		1239.87
10-15	BOT	4	CALL BELLSOUTH COPR OPENING TRANSACTION	JAN 60 100 SHS PREFERENTIAL RATE	2	2 3/16	929.30	
10-20	DIV		DIV. ON 100SHRS	MARRIOTT CORP	9			3.20
10-22	BOT	200	MARION LABORATORIES	PREFERENTIAL RATE	2	37.00	7523.30	
10-29	JNL		CHARTER ACCOUNT FEE		1		36.33	
10-31	INT		INTEREST FOR 35 DAYS AVG BAL 36126.63DR	AT 7.5844% AVG RATE 09/26 - 10/07 7.75%	2		266.39	

SMITH BARNEY
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Charter Account

YOUR PORTFOLIO PERFORMANCE AS OF 09-26-86	THIS MONTH FROM 08-30-86	YEAR-TO-DATE FROM 01-01-86
OPENING VALUE OF SECURITIES	56,462.50CR	65,034.24CR
+ OPENING CASH BALANCE	22,886.92DR	26,303.65DR
= OPENING PORTFOLIO VALUE	33,575.58CR	38,730.59CR
SECURITIES DEPOSIT/WDRAW NET		
CAPITAL DEPOSITED/WDRAWN NET		10,000.00DR
ADJUSTED OPENING VALUE	33,575.58CR	28,730.59CR
CLOSING VALUE OF SECURITIES	68,212.50CR	68,212.50CR
+ CLOSING CASH BALANCE	37,816.21DR	37,816.21DR
= CLOSING PORTFOLIO VALUE	30,396.29CR	30,396.29CR
CAPITAL GAIN/LOSS REALIZED		
SHORT-TERM (NET)	....	3,532.21CR
LONG -TERM (NET)	....	1,773.47CR
TOTAL INVESTMENT INCOME	14.25CR	489.20CR
TOTAL EQUITY	30,396.29CR	
BUYING POWER	21,634.00CR	
SHORT ACCOUNT BALANCE		

CASH FLOW IN YOUR ACCOUNT THIS MONTH *

08-30-86 TO 09-26-86	DEBIT	CREDIT
OPENING BALANCE		
SECURITIES PURCHASED		
SECURITIES SOLD		
FUNDS DEPOSITED		
FUNDS WITHDRAWN		
INCOME RECEIVED		
MARGIN LOAN INTEREST		
VANTAGE CHECKS PAID		
GOLD CARD PAYMENT		
GOLD CARD DRAFTS		
EXECUTIVE LINE ADVANCE		
OTHER ACTIVITY		
CLOSING BALANCE		

* DATA APPEARS IN THIS SECTION ONLY IF YOU ALSO SUBSCRIBE TO OUR VANTAGE ACCOUNT SERVICE. ASK YOUR ACCOUNT EXECUTIVE FOR MORE DETAILS.

SMITH BARNEY WILL NOW BE MAILING DIVIDEND AND INTEREST CHECKS TWICE MONTHLY. PLEASE SEE ENCLOSED ANNOUNCEMENT FOR MORE DETAILS.

THIS STATEMENT COVERS
08-30-86 TO 09-26-86

YOUR ACCOUNT NUMBER	YOUR TAXPAYER NUMBER
04E-631809	236-78-8645

YOUR ACCOUNT EXECUTIVE

ERICSON, MARY B.
CHARLESTON NATIONAL PLAZA
P O BOX 671 MAIN FLOOR
CHARLESTON WV 25323-0671

304-344-9601

COPIES SENT PER INSTRUCTIONS

W JOE RA[illegible] II

216 1/2 MAIN STREET

BECKLEY WV 25801-4613

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SMITH BARNEY
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YOUR PORTFOLIO COMPOSITION & INVESTMENT INCOME

PORTFOLIO COMPOSITION	MARKET VALUE AS OF 09-26-86	% OF TOTAL SECURITIES	INCOME THIS MONTH	INCOME YEAR-TO-DATE
MONEY MARKET FUNDS				
COMMON & PREFERRED STOCKS	68,212.50CR	100.00	14.25CR	489.20CR
CORPORATE BONDS				
MUNICIPAL BONDS				
U.S. GOVERNMENT SECURITIES				
OTHER SECURITIES				
TOTAL	68,212.50CR	100.00	14.25CR	489.20CR

OTHER ITEMS	THIS MONTH	YEAR-TO-DATE
DISTRIBUTIONS FROM DIRECT INVESTMENTS		
RETURNS OF PRINCIPAL		
ACCRUED INTEREST PAID ON BOND PURCHASES		
MARGIN LOAN INTEREST PAID	158.25DR	1,170.42DR

CAPITAL GAINS OR LOSSES REALIZED DURING 1986

QUANTITY	SECURITY DESCRIPTION	DATE SOLD	SALES PROCEEDS	DATE ACQUIRED	COST	GAIN/LOSS LONG TERM	GAIN/LOSS SHORT TERM
500	PIONEER SVGS BANK CLEARWATER FLA	02-05-86	4578.60	07-18-85	3975.90	602.70	
100	PHILADELPHIA ELECTRIC CO	02-12-86	1924.53	10-14-85	1462.70		461.83
200	PHILADELPHIA ELECTRIC CO	02-12-86	3849.07	10-15-85	2946.30		902.77
100	GLAXO HLDGS LTD ADR	02-24-86	1499.44	11-20-85	1143.60		355.84
200	GLAXO HLDGS LTD ADR	02-24-86	2998.86	11-12-85	2223.90		774.96
100	GLAXO HLDGS LTD ADR	04-03-86	1523.30	11-20-85	1143.60		379.70
300	WICKES COS INC NEW	04-08-86	1735.84	03-25-85	1329.50	406.34	

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CAPITAL GAINS OR LOSSES REALIZED DURING 1986

QUANTITY	SECURITY DESCRIPTION	DATE SOLD	SALES PROCEEDS	DATE ACQUIRED	COST	GAIN/LOSS LONG TERM	GAIN/LOSS SHORT TERM
300	NORTHERN IND PUB SVC CO	05-19-86	3440.78	08-26-85	3562.92	122.14-	
100	ALLIANCE TECHNOLOGY FUND INC PORTFOLIO ONE	05-28-86	2483.00	05-16-83	2402.00	81.00	
368	SMITH BARNEY FDS INC U S GOVT SECS PORT	05-28-86	4997.44	11-29-84	5004.80	7.36-	
25000	LOUISIANA HSG FIN AGY MTG RV MULTI FAM FHA INSD MTG LN REGISTERED CALL JJ1 0.000% 07/01/2002	05-28-86	3692.50	07-08-82	250.00	3442.50	
200	GLAXO HLDGS LTD ADR	05-28-86	2898.30	11-21-85	2312.20	586.10	
200	TRANS WORLD AIRLINES PFD CUM $2.25	05-29-86	2803.60	11-30-82	3000.00	196.40-	
20	WTS HORN & HARDART EXP 12/27/87 $18.75	06-13-86	98.79	05-15-84	137.91	39.12-	
200	NORTHERN IND PUB SVC CO	06-13-86	2249.96	08-26-85	2375.28	125.32-	
300	NORTHERN IND PUB SVC CO	06-13-86	3374.94	09-23-85	3562.92	187.98-	
210	WTS HORN & HARDART EXP 12/27/87 $18.75	06-13-86	1167.55	02-16-84	1754.40	586.85-	
190	WTS HORN & HARDART EXP 12/27/87 $18.75	06-13-86	1056.37	05-15-84	1310.09	253.72-	
200	NORTHERN IND PUB SVC CO	06-30-86	2299.32	09-23-85	2375.28	75.96-	
300	NORTHERN IND PUB SVC CO	06-30-86	3448.98	10-08-85	3344.20	104.78	
100	INTEL CORP	07-07-86	2149.15	12-05-85	3101.70	952.55-	

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CAPITAL GAINS OR LOSSES REALIZED DURING 1986

QUANTITY	SECURITY DESCRIPTION	DATE SOLD	SALES PROCEEDS	DATE ACQUIRED	COST	GAIN/LOSS LONG TERM	GAIN/LOSS SHORT TERM
100	INTEL CORP	07-07-86	2149.15	12-12-85	3051.70	902.55-	
75	FORD MOTOR CO	07-23-86	4186.65	04-03-86	4168.10		18.55
25	FORD MOTOR CO	07-23-86	1395.56	06-12-86	1365.75		29.81
500	EL PASO ELEC CO	07-25-86	8873.30	06-13-86	7626.70		1246.60
100	MARION LABORATORIES	08-12-86	4450.34	07-08-86	4170.50		279.84
100	MARION LABORATORIES	08-26-86	4412.85	07-29-86	4170.50		242.35
100	MANOR CARE INC	09-02-86	2317.17	06-13-86	2502.90		185.73-
100	MANOR CARE INC	09-02-86	2317.17	06-12-86	2477.10		159.93-
100	NAVISTAR INTL CORP	09-04-86	763.70	04-22-86	1171.20		407.50-
200	NAVISTAR INTL CORP	09-04-86	1527.42	05-19-86	1934.30		406.88-
	NET REALIZED GAIN/LOSS YEAR-TO-DATE					1773.47	3532.21

PORTFOLIO POSITIONS AS OF 09-26-86

TYPE	QUANTITY	SECURITY DESCRIPTION	DATE ACQUIRED	COST	CURRENT PRICE	CURRENT VALUE	UNREALIZED GAIN/LOSS	CURRENT EST ANNUAL $INCOME	%YIELD
COMM & PFD STOCKS									
2	100	ALZA CORP	08-28-86	2,261.40	18.500	1,850.00	411-ST		
2	100	CHEVRON CORP	09-12-86	4,384.60	43.000	4,300.00	85-ST	240.00	5.6
2	200	CORDIS CORP	01-31-84	4,050.00		3,075.00	975-LT		
2	200	CORDIS CORP	05-15-84	3,275.00		3,075.00	200-LT		
2	100	CORDIS CORP	09-08-86	1,301.70		1,537.50	236+ST		
TOT	500	CORDIS CORP		8,626.70	15.375	7,687.50	939-		
2	100	GTE CORP	09-02-86	6,017.60	53.875	5,387.50	630-ST	324.00	6.0

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PORTFOLIO POSITIONS AS OF 09-26-86

TYPE	QUANTITY	SECURITY DESCRIPTION	DATE ACQUIRED	COST	CURRENT PRICE	CURRENT VALUE	UNREALIZED GAIN/LOSS	CURRENT EST $INCOME	ANNUAL %YIELD
2	200	GREAT ATLANTIC & PAC TEA	06-14-84	3,111.80		4,375.00	1263+LT	80.00	
2	200	GREAT ATLANTIC & PAC TEA	07-20-84	3,070.40		4,375.00	1305+LT	80.00	
TOT	400	GREAT ATLANTIC & PAC TEA		6,182.20	21.875	8,750.00	2568+	160.00	1.8
2	100	HOUSTON INDS INC	08-15-86	3,513.30		3,250.00	263-ST	280.00	
2	100	HOUSTON INDS INC	08-25-86	3,703.20		3,250.00	453-ST	280.00	
TOT	200	HOUSTON INDS INC		7,216.50	32.500	6,500.00	716-	560.00	8.6
2	100	MARRIOTT CORP	08-12-86	3,311.70	29.375	2,937.50	374-ST	12.80	.4
2	200	MIDDLE SOUTH UTIL	08-19-86	2,971.30	12.875	2,575.00	396-ST		
2	200	SUBARU AMER INC	07-09-86	6,801.70	23.250	4,650.00	2152-ST	57.00	1.2
2	100	TEXAS AIR CORP	04-03-86	3,311.70		3,225.00	87-ST		
2	100	TEXAS AIR CORP	08-14-86	3,084.30		3,225.00	141+ST		
TOT	200	TEXAS AIR CORP		6,396.00	32.250	6,450.00	54+		
2	100	UPJOHN COMPANY	07-29-86	8,680.10		8,562.50	118-ST	152.00	
2	100	UPJOHN COMPANY	09-16-86	8,455.10		8,562.50	107+ST	152.00	
TOT	200	UPJOHN COMPANY		17,135.20	85.625	17,125.00	11-	304.00	1.8
		SUBTOTAL............		71,304.90	....	68,212.50	1393+LT 4485-ST	1,657.80	2.4
		* CLOSING VALUE OF SECURITIES *		71,304.90	....	68,212.50	1393+LT 4485-ST	1,657.80	2.4
		CLOSING CASH BALANCE		....	....	37,816.21-			
		CLOSING PORTFOLIO VALUE		....	....	30,396.29			

SMITH BARNEY
CLIENT
STATEMENT

Charter Account

ACCOUNT NO.	TAXPAYER	STATEMENT PERIOD	PAGE
04E-631809	236-78-8645	08-30-86 TO 09-26-86	6 OF 7

N JOE RAHALL II
216 1/2 MAIN STREET
BECKLEY WV 25801-4613

SUMMARY OF YOUR INVESTMENT INCOME

TYPE	TRNS	DATE PAID	DESCRIPTION		AMOUNT
			DIVIDENDS ON MONEY MARKET FUNDS	NONE	
			DIVIDENDS ON COMMON & PREFERRED STOCKS		
9	DIV	09-19	DIV. ON 200SHRS SUBARU AMER INC		14.25
			CORPORATE BOND INTEREST	NONE	
			MUNICIPAL BOND INTEREST	NONE	
			U.S. GOVERNMENT SECURITIES INTEREST	NONE	
			OTHER INCOME	NONE	
			TOTAL INCOME		14.25

PURCHASES AND SALES

DATE	TYPE	TRANS	QUANTITY	SECURITY DESCRIPTION	PRICE	DEBIT	CREDIT
09-02	2	BOT	100	HOUSTON INDS INC	36 3/8	3703.20	
09-03	2	SLD	-100	MARION LABORATORIES	44 7/8		4412.85
09-05	2	BOT	100	ALZA CORP	22 1/8	2261.40	
09-09	2	BOT	100	GTE CORP	59 3/8	6017.60	
09-09	2	SLD	-200	MANOR CARE INC	23 5/8		4634.34
09-11	2	SLD	-300	NAVISTAR INTL CORP	7 7/8		2291.12
09-15	2	BOT	100	CORDIS CORP	13.00	1301.70	
09-19	2	BOT	100	CHEVRON CORP	43 1/8	4384.60	
09-23	2	BOT	100	UPJOHN COMPANY	83 3/4	8455.10	
				TOTAL PURCHASES		26123.60	
				TOTAL SALES			11338.31

SMITH BARNEY
CLIENT
STATEMENT

Charter Account

ACCOUNT NO.	TAXPAYER	STATEMENT PERIOD	PAGE
04E-631809	236-78-8645	08-30-86 TO 09-26-86	7 OF 7

N JOE RAHALL II
216 1/2 MAIN STREET
BECKLEY WV 25801-4613

CHRONOLOGICAL RECAP OF ACCOUNT ACTIVITY

DATE	TRN	QUANTITY	DESCRIPTION		TYPE	PRICE	DEBIT	CREDIT
9-02	BOT	100	HOUSTON INDS INC	PREFERENTIAL RATE	2	36 3/8	3703.20	
9-03	SLD	-100	MARION LABORATORIES	PREFERENTIAL RATE	2	44 7/8		4412.85
9-05	BOT	100	ALZA CORP	PREFERENTIAL RATE	2	22 1/8	2261.40	
9-09	BOT	100	GTE CORP	PREFERENTIAL RATE	2	59 3/8	6017.60	
9-09	SLD	-200	MANOR CARE INC	PREFERENTIAL RATE	2	23 5/8		4634.34
9-11	SLD	-300	NAVISTAR INTL CORP	PREFERENTIAL RATE	2	7 7/8		2291.12
9-15	BOT	100	CORDIS CORP	WE MAKE MKT IN SECUR	2	13.00	1301.70	
9-19	BOT	100	CHEVRON CORP	PREFERENTIAL RATE	2	43 1/8	4384.60	
9-19	DIV		DIV. ON 200SHRS	SUBARU AMER INC	9			14.25
9-23	BOT	100	UPJOHN COMPANY	PREFERENTIAL RATE	2	83 3/4	8455.10	
9-26	INT		INTEREST FOR 28 DAYS	AT 7.7496% AVG RATE	2		158.25	
			AVG BAL 26254.62DR	08/29 - 09/23 7.75%				

**Smith Barney
Funds, Inc.**

U.S. GOVERNMENT SECURITIES PORTFOLIO

1

FUND ACCOUNT NUMBER	SOCIAL SECURITY OR TAXPAYER IDENTIFICATION NO	ACCOUNT EXECUTIVE	BRANCH NUMBER
00002036849	236-78-8645	0D3 ERICSON	0000088 1000004E

N JOE RAHALL II
SBHU 04E 631809 1
343 CANNON BLDG
WASHINGTON DC 20515

BRANCH OFFICE SERVICING YOU

SMITH BARNEY HARRIS UPHAM & CO
CHARLESTON NATIONAL PLAZA
MAIN FLOOR
CHARLESTON WV 25301

SUMMARY OF YOUR ACCOUNT

STATEMENT DATE	INCOME DIVIDENDS PAID THIS YEAR	CAPITAL GAINS DISTRIBUTION PAID THIS YEAR	CERTIFICATE SHARES HELD BY YOU/BROKER	+	SHARES HELD BY THE BANK	=	TOTAL SHARES OWNED
08/13/86	$326.88	$16.25	0.000		62.396		62.396

*REINV INCDIV*REINV CAPGN

TRANSACTION DATE	TRANSACTION		DOLLAR AMOUNT OF TRANSACTION	SHARE PRICE	SHARES THIS TRANSACTION	TOTAL SHARES OWNED
			BALANCE	FORWARD		406.305
02/07/86	CAPGAIN DIST RATE	$0.0400	16.25	13.59	1.196	407.501
02/14/86	INC DIVIDEND RATE	$0.3800	154.40	14.36	10.752	418.253
05/14/86	INC DIVIDEND RATE	$0.3600	150.57	14.21	10.596	428.849
05/28/86	DEPOSIT CERT		0.00		368.000-	428.849
05/28/86	CONFIRMED LIQUIDATION		4997.44	13.58	368.000-	60.849
08/13/86	INC DIVIDEND RATE	$0.3600	21.91	14.16	1.547	62.396

WHY NOT ADD TO YOUR ACCOUNT? THE ENVELOPE AND
PRINTED STUB ARE INCLUDED FOR YOUR CONVENIENCE.

PLEASE REFER TO ABOVE ACCOUNT NO IN ALL CORRESPONDENCE AND MAIL TO **PROVIDENT FINANCIAL PROCESSING CORP** P O BOX 8950, WILMINGTON, DE 19899

HE REMITTANCE FORM
THE RIGHT SHOULD
E ATTACHED AND
AILED WITH YOUR
EXT INVESTMENT

DDRESS CHANGES
UBMITTED WILL ONLY
PPLY TO THIS ACCOUNT

-6530 Ed 6 85

**Smith Barney
Funds, Inc.**

FUND NO 1066 US GOVT SEC
ACCOUNT NO 00002036849

$ ______
AMOUNT ENCLOSED

NAME N JOE RAHALL II
ADDRESS SBHU 04E 631809 1
343 CANNON BLDG
WASHINGTON DC 20515

YOU MAY ADD TO YOUR
ACCOUNT AT ANY TIME

FOR IRA KEOGH PLANS ONLY
THIS CONTRIBUTION APPLIES TO ______ (TAX YEAR)

ADDRESS CHANGES/CORRECTIONS

SMITH BARNEY
CLIENT
STATEMENT

file

Charter Accoun

YOUR PORTFOLIO PERFORMANCE AS OF 08-29-86	THIS MONTH FROM 07-26-86	YEAR-TO-DATE FROM 01-01-86
OPENING VALUE OF SECURITIES	69,725.00CR	65,034.24CR
+ OPENING CASH BALANCE	15,995.72DR	26,303.65DR
= OPENING PORTFOLIO VALUE	33,729.28CR	38,730.59CR
SECURITIES DEPOSIT/WDRAW NET		
CAPITAL DEPOSITED/WDRAWN NET		10,000.00DR
ADJUSTED OPENING VALUE	33,729.28CR	28,730.59CR
CLOSING VALUE OF SECURITIES	56,462.50CR	56,462.50CR
+ CLOSING CASH BALANCE	22,886.92DR	22,886.92DR
= CLOSING PORTFOLIO VALUE	33,575.58CR	33,575.58CR
CAPITAL GAIN/LOSS REALIZED		
SHORT-TERM (NET)	....	4,449.90CR
LONG -TERM (NET)	....	1,773.47CR
TOTAL INVESTMENT INCOME	46.00CR	474.95CR
TOTAL EQUITY	33,575.58CR	
BUYING POWER	29,812.00CR	
SHORT ACCOUNT BALANCE		

CASH FLOW IN YOUR ACCOUNT THIS MONTH * 07-26-86 TO 08-29-86	DEBIT	CREDIT
OPENING BALANCE		
SECURITIES PURCHASED		
SECURITIES SOLD		
FUNDS DEPOSITED		
FUNDS WITHDRAWN		
INCOME RECEIVED		
MARGIN LOAN INTEREST		
VANTAGE CHECKS PAID		
GOLD CARD PAYMENT		
GOLD CARD DRAFTS		
EXECUTIVE LINE ADVANCE		
OTHER ACTIVITY		
CLOSING BALANCE		

* DATA APPEARS IN THIS SECTION ONLY IF YOU ALSO SUBSCRIBE TO OUR VANTAGE ACCOUNT SERVICE. ASK YOUR ACCOUNT EXECUTIVE FOR MORE DETAILS.

RECEIVED SEP 8 1986

MUNICIPAL BOND OWNERS;
NOW GET PROMPT NOTIFICATION
OF EARLY CALLS, REFUNDINGS OR
REDEMPTIONS THROUGH SMITH
BARNEY'S FREE CALLED BOND
SERVICE. SEE ENCLOSED TOPICS.

THIS STATEMENT COVERS
07-26-86 TO 08-29-86

YOUR ACCOUNT NUMBER	YOUR TAXPAYER NUMBER
04E-631809	236-78-8645

YOUR ACCOUNT EXECUTIVE

ERICSON, MARY B
CHARLESTON NATIONAL PLAZA
P O BOX 671 MAIN FLOOR
CHARLESTON WV 25323-0671

304-344-9601

COPIES SENT PER INSTRUCTIONS

N JOE RAHALL II
216 1/2 MAIN STREET
BECKLEY WV 25801-4613

SMITH BARNEY
CLIENT
STATEMENT

Charter Account

ACCOUNT NO.	TAXPAYER	STATEMENT PERIOD	PAGE
04E-631809	236-78-8645	07-26-86 TO 08-29-86	2 OF 7

N JOE RAHALL II
216 1/2 MAIN STREET
BECKLEY WV 25801-4613

YOUR PORTFOLIO COMPOSITION & INVESTMENT INCOME

PORTFOLIO COMPOSITION	MARKET VALUE AS OF 08-29-86	% OF TOTAL SECURITIES	INCOME THIS MONTH	INCOME YEAR-TO-DATE
MONEY MARKET FUNDS COMMON & PREFERRED STOCKS CORPORATE BONDS MUNICIPAL BONDS U.S. GOVERNMENT SECURITIES OTHER SECURITIES	56,462.50CR	100.00	46.00CR	474.95CR
TOTAL	56,462.50CR	100.00	46.00CR	474.95CR

OTHER ITEMS	THIS MONTH	YEAR-TO-DATE
DISTRIBUTIONS FROM DIRECT INVESTMENTS RETURNS OF PRINCIPAL ACCRUED INTEREST PAID ON BOND PURCHASES MARGIN LOAN INTEREST PAID	111.85DR	1,012.17DR

CAPITAL GAINS OR LOSSES REALIZED DURING 1986

QUANTITY	SECURITY DESCRIPTION	DATE SOLD	SALES PROCEEDS	DATE ACQUIRED	COST	GAIN/LOSS LONG TERM	GAIN/LOSS SHORT TERM
500	PIONEER SVGS BANK CLEARWATER FLA	02-05-86	4578.60	07-18-85	3975.90	602.70	
100	PHILADELPHIA ELECTRIC CO	02-12-86	1924.53	10-14-85	1462.70		461.83
200	PHILADELPHIA ELECTRIC CO	02-12-86	3849.07	10-15-85	2946.30		902.77
200	GLAXO HLDGS LTD ADR	02-24-86	2998.86	11-12-85	2223.90		774.96
100	GLAXO HLDGS LTD ADR	02-24-86	1499.44	11-20-85	1143.60		355.84
100	GLAXO HLDGS LTD ADR	04-03-86	1523.30	11-20-85	1143.60		379.70
300	WICKES COS INC NEW	04-08-86	1735.84	03-25-85	1329.50	406.34	

SMITH BARNEY
CLIENT
STATEMENT

Charter Account

ACCOUNT NO.	TAXPAYER	STATEMENT PERIOD	PAGE
04E-631809	236-78-8645	07-26-86 TO 08-29-86	3 OF 7

N JOE RAHALL II
216 1/2 MAIN STREET
BECKLEY WV 25801-4613

CAPITAL GAINS OR LOSSES REALIZED DURING 1986

QUANTITY	SECURITY DESCRIPTION	DATE SOLD	SALES PROCEEDS	DATE ACQUIRED	COST	GAIN/LOSS LONG TERM	GAIN/LOSS SHORT TERM
300	NORTHERN IND PUB SVC CO	05-19-86	3440.78	08-26-85	3562.92	122.14-	
368	SMITH BARNEY FDS INC U S GOVT SECS PORT	05-28-86	4997.44	11-29-84	5004.80	7.36-	
100	ALLIANCE TECHNOLOGY FUND INC PORTFOLIO ONE	05-28-86	2483.00	05-16-83	2402.00	81.00	
200	GLAXO HLDGS LTD ADR	05-28-86	2898.30	11-21-85	2312.20	586.10	
25000	LOUISIANA HSG FIN AGY MTG RV MULTI FAM FHA INSD MTG LN REGISTERED CALL JJ1 0.000% 07/01/2002	05-28-86	3692.50	07-08-82	250.00	3442.50	
200	TRANS WORLD AIRLINES PFD CUM $2.25	05-29-86	2803.60	11-30-82	3000.00	196.40-	
210	WTS HORN & HARDART EXP 12/27/87 $18.75	06-13-86	1167.55	02-16-84	1754.40	586.85-	
300	NORTHERN IND PUB SVC CO	06-13-86	3374.94	09-23-85	3562.92	187.98-	
190	WTS HORN & HARDART EXP 12/27/87 $18.75	06-13-86	1056.37	05-15-84	1310.09	253.72-	
200	NORTHERN IND PUB SVC CO	06-13-86	2249.96	08-26-85	2375.28	125.32-	
20	WTS HORN & HARDART EXP 12/27/87 $18.75	06-13-86	98.79	05-15-84	137.91	39.12-	
300	NORTHERN IND PUB SVC CO	06-30-86	3448.98	10-08-85	3344.20	104.78	
200	NORTHERN IND PUB SVC CO	06-30-86	2299.32	09-23-85	2375.28	75.96-	
100	INTEL CORP	07-07-86	2149.15	12-12-85	3051.70	902.55-	

SMITH BARNEY
CLIENT STATEMENT

Charter Accoun

ACCOUNT NO.	TAXPAYER	STATEMENT PERIOD	PAGE
04E-631809	236-78-8645	07-26-86 TO 08-29-86	4 OF 7

N JOE RAHALL II
216 1/2 MAIN STREET
BECKLEY WV 25801-4613

CAPITAL GAINS OR LOSSES REALIZED DURING 1986

QUANTITY	SECURITY DESCRIPTION	DATE SOLD	SALES PROCEEDS	DATE ACQUIRED	COST	GAIN/LOSS LONG TERM	GAIN/LOSS SHORT TERM
100	INTEL CORP	07-07-86	2149.15	12-05-85	3101.70	952.55-	
75	FORD MOTOR CO	07-23-86	4186.65	04-03-86	4168.10		18.55
25	FORD MOTOR CO	07-23-86	1395.56	06-12-86	1365.75		29.81
500	EL PASO ELEC CO	07-25-86	8873.30	06-13-86	7626.70		1246.60
100	MARION LABORATORIES	08-12-86	4450.34	07-08-86	4170.50		279.84
	NET REALIZED GAIN/LOSS YEAR-TO-DATE					1773.47	4449.90

PORTFOLIO POSITIONS AS OF 08-29-86

TYPE	QUANTITY	SECURITY DESCRIPTION	DATE ACQUIRED	COST	CURRENT PRICE	CURRENT VALUE	UNREALIZED GAIN/LOSS	CURRENT EST ANNUA $INCOME	%YIELD
COMM & PFD STOCKS									
2	200	CORDIS CORP	01-31-84	4,050.00	11.000	2,200.00	1850-LT		
2	200	CORDIS CORP	05-15-84	3,275.00		2,200.00	1075-LT		
TOT	400	CORDIS CORP		7,325.00	11.000	4,400.00	2925-		
2	200	GREAT ATLANTIC & PAC TEA	06-14-84	3,111.80		5,100.00	1988+LT	80.00	
2	200	GREAT ATLANTIC & PAC TEA	07-20-84	3,070.40		5,100.00	2030+LT	80.00	
TOT	400	GREAT ATLANTIC & PAC TEA		6,182.20	25.500	10,200.00	4018+	160.00	1.6
2	100	HOUSTON INDS INC	08-15-86	3,513.30	36.250	3,625.00	112+ST	280.00	7.7
2	100	MANOR CARE INC	06-12-86	2,477.10		2,375.00	102-ST	12.00	
2	100	MANOR CARE INC	06-13-86	2,502.90		2,375.00	128-ST	12.00	
TOT	200	MANOR CARE INC		4,980.00	23.750	4,750.00	230-	24.00	.5
2	100	MARION LABORATORIES	07-29-86	4,170.50	44.000	4,400.00	230+ST	20.00	.5
2	100	MARRIOTT CORP	08-12-86	3,311.70	32.500	3,250.00	62-ST	12.80	.4

SMITH BARNEY
CLIENT
STATEMENT

Charter Accoun

ACCOUNT NO.	TAXPAYER	STATEMENT PERIOD	PAGE
04E-631809	236-78-8645	07-26-86 TO 08-29-86	5 OF 7

N JOE RAHALL II
216 1/2 MAIN STREET
BECKLEY WV 25801-4613

PORTFOLIO POSITIONS AS OF 08-29-86

TYPE	QUANTITY	SECURITY DESCRIPTION	DATE ACQUIRED	COST	CURRENT PRICE	CURRENT VALUE	UNREALIZED GAIN/LOSS	CURRENT EST ANNUA $INCOME	%YIELD
2	200	MIDDLE SOUTH UTIL	08-19-86	2,971.30	14.250	2,850.00	121-ST		
2	100	NAVISTAR INTL CORP	04-22-86	1,171.20		787.50	384-ST		
2	200	NAVISTAR INTL CORP	05-19-86	1,934.30		1,575.00	359-ST		
TOT	300	NAVISTAR INTL CORP		3,105.50	7.875	2,362.50	743-		
2	200	SUBARU AMER INC	07-09-86	6,801.70	23.750	5,750.00	1052-ST	57.00	1.0
2	100	TEXAS AIR CORP	04-01-86	3,311.70		3,112.50	199-ST		
2	100	TEXAS AIR CORP	08-14-86	3,084.30		3,112.50	28+ST		
TOT	200	TEXAS AIR CORP		6,396.00	31.125	6,225.00	171-		
2	100	UPJOHN COMPANY	07-29-86	8,686.10	86.500	8,650.00	36-ST	152.00	1.8
		SUBTOTAL............		57,437.30	....	56,462.50	1093+LT 2067-ST	705.83	1.3
		* CLOSING VALUE OF SECURITIES *		57,437.30	....	56,462.50	1093+LT 2067-ST	705.80	1.3
		CLOSING CASH BALANCE		....	....	22,886.92-			
		CLOSING PORTFOLIO VALUE		....	....	33,575.58			

SUMMARY OF YOUR INVESTMENT INCOME

TYPE	TRNS	DATE PAID	DESCRIPTION		AMOUNT
			DIVIDENDS ON MONEY MARKET FUNDS	NONE	
			DIVIDENDS ON COMMON & PREFERRED STOCKS		
9	DIV	08-01	DIV. ON 400SHRS GREAT ATLANTIC & PAC TEA		48.00
9	DIV	08-27	DIV. ON 200SHRS MANOR CARE INC		6.00

SMITH BARNEY
CLIENT
STATEMENT

Charter Account

ACCOUNT NO.	TAXPAYER	STATEMENT PERIOD	PAGE
04E-631809	236-78-8645	07-26-86 TO 08-29-86	6 OF 7

N JOE RAHALL II
216 1/2 MAIN STREET
BECKLEY WV 25801-4613

SUMMARY OF YOUR INVESTMENT INCOME

TYPE	TRNS	DATE PAID	DESCRIPTION		AMOUNT
			TOTAL DIVIDENDS		46.0
			CORPORATE BOND INTEREST	NONE	
			MUNICIPAL BOND INTEREST	NONE	
			U.S. GOVERNMENT SECURITIES INTEREST	NONE	
			OTHER INCOME	NONE	
			TOTAL INCOME		46.00

PURCHASES AND SALES

DATE	TYPE	TRANS	QUANTITY	SECURITY DESCRIPTION	PRICE	DEBIT	CREDIT
07-30	2	SLD	-100	FORD MOTOR CO	56 5/8		5582.21
08-01	2	SLD	-500	EL PASO ELEC CO	17 3/4		8873.30
08-05	2	BOT	100	MARION LABORATORIES	41.00	4170.50	
08-05	2	BOT	100	UPJOHN COMPANY	86.00	8680.10	
08-19	2	SLD	-100	MARION LABORATORIES	45 1/4		4450.34
08-19	2	BOT	100	MARRIOTT CORP	32 1/2	3311.70	
08-21	2	BOT	100	TEXAS AIR CORP	30 1/4	3084.30	
08-22	2	BOT	100	HOUSTON INDS INC	34 1/2	3513.30	
08-26	2	BOT	200	MIDDLE SOUTH UTIL	14 1/2	2971.30	
				TOTAL PURCHASES		25731.20	
				TOTAL SALES			18905.85

CHRONOLOGICAL RECAP OF ACCOUNT ACTIVITY

DATE	TRN	QUANTITY	DESCRIPTION		TYPE	PRICE	DEBIT	CREDIT
07-30	SLD	-100	FORD MOTOR CO	PREFERENTIAL RATE	2	56 5/8		5582.21

SMITH BARNEY
CLIENT
STATEMENT

Charter Account

ACCOUNT NO.	TAXPAYER	STATEMENT PERIOD	PAGE
04E-631809	236-78-8645	07-26-86 TO 08-29-86	7 OF 7

N JOE RAHALL II
216 1/2 MAIN STREET
BECKLEY WV 25801-4613

CHRONOLOGICAL RECAP OF ACCOUNT ACTIVITY

DATE	TRN	QUANTITY	DESCRIPTION		TYPE	PRICE	DEBIT	CREDIT
08-01	SLD	-500	EL PASO ELEC CO	WE MAKE MKT IN SECUR	2	17 3/4		8873.50
08-01	DIV		DIV. ON 400SHRS TEA	GREAT ATLANTIC & PAC	9			40.00
08-05	BOT	100	MARION LABORATORIES	PREFERENTIAL RATE	2	41.00	4170.50	
08-05	BOT	100	UPJOHN COMPANY	PREFERENTIAL RATE	2	86.00	8680.10	
08-19	SLD	-100	MARION LABORATORIES	PREFERENTIAL RATE	2	45 1/4		4450.34
08-19	BOT	100	MARRIOTT CORP	PREFERENTIAL RATE	2	32 1/2	3311.70	
08-21	BOT	100	TEXAS AIR CORP	PREFERENTIAL RATE	2	30 1/4	3084.30	
08-22	BOT	100	HOUSTON INDS INC	PREFERENTIAL RATE	2	34 1/2	3513.30	
08-26	BOT	200	MIDDLE SOUTH UTIL	PREFERENTIAL RATE	2	14 1/2	2971.30	
08-27	DIV		DIV. ON 200SHRS	MANOR CARE INC	9			6.00
08-29	INT		INTEREST FOR 35 DAYS AVG BAL 14228.82DR	AT 8.0854% AVG RATE 07/25 - 08/20 8.25%	2		111.85	

SMITH BARNEY
CLIENT
STATEMENT

Charter Account

YOUR PORTFOLIO PERFORMANCE AS OF 07-25-86	THIS MONTH FROM 06-28-86	YEAR-TO-DATE FROM 01-01-86
OPENING VALUE OF SECURITIES	50,687.51CR	65,034.24CR
+ OPENING CASH BALANCE	14,958.56DR	26,303.65DR
= OPENING PORTFOLIO VALUE	35,728.95CR	38,730.59CR
SECURITIES DEPOSIT/WDRAW NET		
CAPITAL DEPOSITED/WDRAWN NET		10,000.00DR
ADJUSTED OPENING VALUE	35,728.95CR	28,730.59CR
CLOSING VALUE OF SECURITIES	49,725.00CR	49,725.00CR
+ CLOSING CASH BALANCE	15,995.72DR	15,995.72DR
= CLOSING PORTFOLIO VALUE	33,729.28CR	33,729.28CR
CAPITAL GAIN/LOSS REALIZED		
SHORT-TERM (NET)	....	2,875.10CR
LONG -TERM (NET)	....	1,773.47CR
TOTAL INVESTMENT INCOME	12.20CR	428.95CR
TOTAL EQUITY	33,729.28CR	
BUYING POWER	52,561.30CR	
SHORT ACCOUNT BALANCE		

CASH FLOW IN YOUR ACCOUNT THIS MONTH *

06-28-86 TO 07-25-86	DEBIT	CREDIT
OPENING BALANCE		
SECURITIES PURCHASED		
SECURITIES SOLD		
FUNDS DEPOSITED		
FUNDS WITHDRAWN		
INCOME RECEIVED		
MARGIN LOAN INTEREST		
VANTAGE CHECKS PAID		
GOLD CARD PAYMENT		
GOLD CARD DRAFTS		
EXECUTIVE LINE ADVANCE		
OTHER ACTIVITY		
CLOSING BALANCE		

* DATA APPEARS IN THIS SECTION ONLY IF YOU ALSO SUBSCRIBE TO OUR VANTAGE ACCOUNT SERVICE. ASK YOUR ACCOUNT EXECUTIVE FOR MORE DETAILS.

SPECIAL SERIES OF REPORTS ON TAX REFORM BEGINS THIS MONTH IN ENCLOSED TOPICS. KEEP CURRENT WITH FOLLOW-UP REPORTS IN COMING ISSUES.

THIS STATEMENT COVERS
06-28-86 TO 07-25-86

YOUR ACCOUNT NUMBER	YOUR TAXPAYER NUMBER
04E-631809	236-78-8645

YOUR ACCOUNT EXECUTIVE

ERICSON, MARY B.
CHARLESTON NATIONAL PLAZA
P O BOX 671 MAIN FLOOR
CHARLESTON WV 25323-0671

304-344-9601

COPIES SENT PER INSTRUCTIONS

N JOE RAHALL II

216 1/2 MAIN STREET

BECKLEY WV 25801-4613

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SMITH BARNEY
CLIENT
STATEMENT

Charter Account

ACCOUNT NO.	TAXPAYER	STATEMENT PERIOD	PAGE
04E-631809	236-78-8645	06-28-86 TO 07-25-86	2 OF 6

N JOE RAHALL II
216 1/2 MAIN STREET
BECKLEY WV 25801-4613

YOUR PORTFOLIO COMPOSITION & INVESTMENT INCOME

PORTFOLIO COMPOSITION	MARKET VALUE AS OF 07-25-86	% OF TOTAL SECURITIES	INCOME THIS MONTH	INCOME YEAR-TO-DATE
MONEY MARKET FUNDS				
COMMON & PREFERRED STOCKS	49,725.00CR	100.00	12.20CR	428.95CR
CORPORATE BONDS				
MUNICIPAL BONDS				
U.S. GOVERNMENT SECURITIES				
OTHER SECURITIES				
TOTAL	49,725.00CR	100.00	12.20CR	428.95CR

OTHER ITEMS	THIS MONTH	YEAR-TO-DATE
DISTRIBUTIONS FROM DIRECT INVESTMENTS		
RETURNS OF PRINCIPAL		
ACCRUED INTEREST PAID ON BOND PURCHASES		
MARGIN LOAN INTEREST PAID	87.14DR	900.32DR

CAPITAL GAINS OR LOSSES REALIZED DURING 1986

QUANTITY	SECURITY DESCRIPTION	DATE SOLD	SALES PROCEEDS	DATE ACQUIRED	COST	GAIN/LOSS LONG TERM	GAIN/LOSS SHORT TERM
500	PIONEER SVGS BANK CLEARWATER FLA	02-05-86	4578.60	07-18-85	3975.90	602.70	
200	PHILADELPHIA ELECTRIC CO	02-12-86	3849.07	10-15-85	2946.30		902.77
100	PHILADELPHIA ELECTRIC CO	02-12-86	1924.53	10-14-85	1462.70		461.83
100	GLAXO HLDGS LTD ADR	02-24-86	1499.44	11-20-85	1143.60		355.84
200	GLAXO HLDGS LTD ADR	02-24-86	2998.86	11-12-85	2223.90		774.96
100	GLAXO HLDGS LTD ADR	04-03-86	1523.30	11-20-85	1143.60		379.70
300	WICKES COS INC NEW	04-08-86	1735.84	03-25-85	1329.50	406.34	

SMITH BARNEY
CLIENT
STATEMENT

Charter Account

ACCOUNT NO.	TAXPAYER	STATEMENT PERIOD	PAGE
04E-631809	236-78-8645	06-28-86 TO 07-25-86	3 OF 6

N JOE RAHALL II
216 1/2 MAIN STREET
BECKLEY WV 25801-4613

CAPITAL GAINS OR LOSSES REALIZED DURING 1986

QUANTITY	SECURITY DESCRIPTION	DATE SOLD	SALES PROCEEDS	DATE ACQUIRED	COST	GAIN/LOSS LONG TERM	GAIN/LOSS SHORT TERM
300	NORTHERN IND PUB SVC CO	05-19-86	3440.78	08-26-85	3562.92	122.14-	
25000	LOUISIANA HSG FIN AGY MTG RV MULTI FAM FHA INSD MTG LN REGISTERED CALL JJ1 0.000% 07/01/2002	05-28-86	3692.50	07-08-82	250.00	3442.50	
368	SMITH BARNEY FDS INC U S GOVT SECS PORT	05-28-86	4997.44	11-29-84	5004.80	7.36-	
200	GLAXO HLDGS LTD ADR	05-28-86	2898.30	11-21-85	2312.20	586.10	
100	ALLIANCE TECHNOLOGY FUND INC PORTFOLIO ONE	05-28-86	2483.00	05-16-83	2402.00	81.00	
200	TRANS WORLD AIRLINES PFD CUM $2.25	05-29-86	2803.60	11-30-82	3000.00	196.40-	
190	WTS HORN & HARDART EXP 12/27/87 $18.75	06-13-86	1056.37	05-15-84	1310.09	253.72-	
200	NORTHERN IND PUB SVC CO	06-13-86	2249.96	08-26-85	2375.28	125.32-	
20	WTS HORN & HARDART EXP 12/27/87 $18.75	06-13-86	98.79	05-15-84	137.91	39.12-	
210	WTS HORN & HARDART EXP 12/27/87 $18.75	06-13-86	1167.55	02-16-84	1754.40	586.85-	
300	NORTHERN IND PUB SVC CO	06-13-86	3374.94	09-23-85	3562.92	187.98-	
300	NORTHERN IND PUB SVC CO	06-30-86	3448.98	10-08-85	3344.20	104.78	
200	NORTHERN IND PUB SVC CO	06-30-86	2299.32	09-23-85	2375.28	75.96-	
100	INTEL CORP	07-07-86	2149.15	12-05-85	3101.70	952.55-	

SMITH BARNEY
CLIENT
STATEMENT

Charter Account

ACCOUNT NO.	TAXPAYER	STATEMENT PERIOD	PAGE
04E-631809	236-78-8645	06-28-86 TO 07-25-86	4 OF 6

N JOE RAHALL II
216 1/2 MAIN STREET
BECKLEY WV 25801-4613

CAPITAL GAINS OR LOSSES REALIZED DURING 1986

QUANTITY	SECURITY DESCRIPTION	DATE SOLD	SALES PROCEEDS	DATE ACQUIRED	COST	GAIN/LOSS LONG TERM	GAIN/LOSS SHORT TERM
100	INTEL CORP	07-07-86	2149.15	12-12-85	3051.70	902.55-	
	NET REALIZED GAIN/LOSS YEAR-TO-DATE					1773.47	2875.10

PORTFOLIO POSITIONS AS OF 07-25-86

TYPE	QUANTITY	SECURITY DESCRIPTION	DATE ACQUIRED	COST	CURRENT PRICE	CURRENT VALUE	UNREALIZED GAIN/LOSS	CURRENT EST ANNUAL $INCOME	%YIELD
COMM & PFD STOCKS									
2	200	CORDIS CORP	01-31-84	4,050.00	11.375	2,275.00	1775-LT		
2	200	CORDIS CORP	05-15-84	3,275.00		2,275.00	1000-LT		
TOT	400	CORDIS CORP		7,325.00	11.375	4,550.00	2775-		
2	500	EL PASO ELEC CO	06-13-86	7,626.70	18.500	9,250.00	1623+ST	760.00	8.2
2	75	FORD MOTOR CO	04-03-86	4,168.10		4,275.00	107+ST	165.00	
2	25	FORD MOTOR CO	06-12-86	1,365.75		1,425.00	59+ST	55.00	
TOT	100	FORD MOTOR CO		5,533.85	57.000	5,700.00	166+	220.00	3.9
2	200	GREAT ATLANTIC & PAC TEA	06-14-84	3,111.80		5,100.00	1988+LT	80.00	
2	200	GREAT ATLANTIC & PAC TEA	07-20-84	3,070.40		5,100.00	2030+LT	80.00	
TOT	400	GREAT ATLANTIC & PAC TEA		6,182.20	25.500	10,200.00	4018+	160.00	1.6
2	100	MANOR CARE INC	06-12-86	2,477.10		2,462.50	15-ST	12.00	
2	100	MANOR CARE INC	06-13-86	2,502.90		2,462.50	40-ST	12.00	
TOT	200	MANOR CARE INC		4,980.00	24.625	4,925.00	55-	24.00	.5
2	100	MARION LABORATORIES	07-08-86	4,170.50	42.750	4,275.00	105+ST	20.00	.5
2	100	NAVISTAR INTL CORP	04-22-86	1,171.20		762.50	409-ST		
2	200	NAVISTAR INTL CORP	05-19-86	1,934.30		1,525.00	409-ST		
TOT	300	NAVISTAR INTL CORP		3,105.50	7.625	2,287.50	818-		
2	200	SUBARU AMER INC	07-09-86	6,801.70	28.250	5,650.00	1152-ST	57.00	1.0

SMITH BARNEY
CLIENT
STATEMENT

Charter Account

ACCOUNT NO.	TAXPAYER	STATEMENT PERIOD	PAGE
04E-631809	236-78-8645	06-28-86 TO 07-25-86	5 OF 6

N JOE RAHALL II
216 1/2 MAIN STREET
BECKLEY WV 25801-4613

PORTFOLIO POSITIONS AS OF 07-25-86

TYPE	QUANTITY	SECURITY DESCRIPTION	DATE ACQUIRED	COST	CURRENT PRICE	CURRENT VALUE	UNREALIZED GAIN/LOSS	CURRENT EST ANNUAL $INCOME	%YIELD
2	100	TEXAS AIR CORP	04-03-86	3,311.70	28.875	2,887.50	424-ST		
		SUBTOTAL...........		49,037.15	....	49,725.00	1243+LT 555-ST	1,241.00	2.5
		* CLOSING VALUE OF SECURITIES *		49,037.15	....	49,725.00	1243+LT 555-ST	1,241.00	2.5
		CLOSING CASH BALANCE		....		15,995.72-			
		CLOSING PORTFOLIO VALUE		....		33,729.28			

SUMMARY OF YOUR INVESTMENT INCOME

TYPE	TRNS	DATE PAID	DESCRIPTION		AMOUNT
			DIVIDENDS ON MONEY MARKET FUNDS	NONE	
			DIVIDENDS ON COMMON & PREFERRED STOCKS		
9	DIV	07-10	DIV. ON 200SHRS GLAXO HLDGS LTD ADR		12.20
			CORPORATE BOND INTEREST	NONE	
			MUNICIPAL BOND INTEREST	NONE	
			U.S. GOVERNMENT SECURITIES INTEREST	NONE	
			OTHER INCOME	NONE	
			TOTAL INCOME..		12.20

SMITH BARNEY
CLIENT
STATEMENT

Charter Account

ACCOUNT NO.	TAXPAYER	STATEMENT PERIOD	PAGE
04E-631809	236-78-8645	06-28-86 TO 07-25-86	6 OF 6

N JOE RAHALL II
216 1/2 MAIN STREET
BECKLEY WV 25801-4613

PURCHASES AND SALES

DATE	TYPE	TRANS	QUANTITY	SECURITY DESCRIPTION		PRICE	DEBIT	CREDIT
07-08	2	SLD	-500	NORTHERN IND PUB	SVC CO	11 3/4		5748.30
07-14	1	SLD	-200	INTEL CORP		21 1/2		4298.30
07-15	2	BOT	100	MARION LABORATORIES		41.00	4170.50	
07-16	2	BOT	200	SUBARU AMER INC		34.00	6801.70	
					TOTAL PURCHASES.................		10972.20	
					TOTAL SALES....................................			10046.60

CHRONOLOGICAL RECAP OF ACCOUNT ACTIVITY

DATE	TRN	QUANTITY	DESCRIPTION		TYPE	PRICE	DEBIT	CREDIT
07-08	SLD	-500	NORTHERN IND PUB PREFERENTIAL RATE	SVC CO	2	11 3/4		5748.30
07-10	DIV		DIV. ON 200SHRS	GLAXO HLDGS LTD ADR	9			12.20
07-14	SLD	-200	INTEL CORP	WE MAKE MKT IN SECUR	1	21 1/2		4298.30
07-15	BOT	100	MARION LABORATORIES	PREFERENTIAL RATE	2	41.00	4170.50	
07-16	BOT	200	SUBARU AMER INC	WE MAKE MKT IN SECUR	2	34.00	6801.70	
07-23	JNL		CHARTER ACCOUNT FEE		1		36.62	
07-25	INT		INTEREST FOR 28 DAYS AVG BAL 13144.68DR	AT 8.5233% AVG RATE 06/27 - 07/11 8.75%	2		87.14	

SMITH BARNEY
CLIENT
STATEMENT

ACCOUNT NO.	TAXPAYER	STATEMENT PERIOD	PAGE
04E-631809	236-78-8645	04/26/86 TO 05/30/86	1 OF 2

WHAT'S AHEAD FOR THE MARKET? STAY IN TOUCH WITH THE TECHNICAL OUTLOOK. READ MARKET INTERPRE- TATIONS, SMITH BARNEY'S WEEKLY TECHNICAL REPORT, AVAILABLE THROUGH YOUR ACCOUNT EXECUTIVE.

COPY SENT PER INSTRUCTIONS

N JOE RAHALL II
216 1/2 MAIN STREET
BECKLEY WV 25801-4613

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FINANCIAL SUMMARY

OPENING CASH BALANCE	16100.60DR
CLOSING CASH BALANCE	25757.23DR
PRICED PORTFOLIO	56984.62
EQUITY	31227.39
BUYING POWER	
SHORT ACCOUNT	
LOAN INTEREST YEAR TO DATE	720.60
DIST FROM DIRECT INVEST	

SUMMARY OF YOUR INCOME

	THIS MONTH	YEAR TO DATE
CASH PORTFOLIO DIVIDENDS		
GOVT PORTFOLIO DIVIDENDS		
TAX FREE FUND DIVIDENDS		
DIVIDENDS	152.50	375.50
GOVERNMENT BOND INTEREST		
BOND INTEREST		
MUNICIPAL BOND INTEREST		
OTHER INCOME		
TOTAL INCOME	152.50	375.50

YOUR ACCOUNT EXECUTIVE AND SERVICING OFFICE

304-344-9601
ERICSON, MARY B.
CHARLESTON NATIONAL PLAZA
P O BOX 671 MAIN FLOOR
CHARLESTON WV 25323-0671

PORTFOLIO POSITIONS

TYPE	QUANTITY	SECURITY DESCRIPTION	SYMBOL	PRICE (AS OF 05/30/86)	VALUE	CURRENT EST. ANNUAL INCOME	CURRENT EST. ANNUAL % YIELD
		PRICED PORTFOLIO					
1	100	ALLIANCE TECHNOLOGY FUND INC PORTFOLIO ONE		25.070	2507.00	1	.0
2	400	CORDIS CORP	CORD	13 1/4	5300.00		
2	50	FORD MOTOR CO	F	80 1/2	4025.00	165	4.0
1	200	GLAXO HLDGS LTD ADR	GLXOY	14 15/16	2987.40	38	1.2
2	400	GREAT ATLANTIC & PAC TEA	GAP	24 7/8	9950.00	160	1.6
2	420	WTS HORN & HARDART EXP 12/27/87 $18.75	HORWS	15/16	393.54		
2	100	INTEL CORP	INTC	27 1/4	2725.00		
1	100	INTEL CORP	INTC	27 1/4	2725.00		
2	300	NAVISTAR INTL CORP	NAV	9 3/4	2925.00		
2	1000	NORTHERN IND PUB SVC CO	NI	11 3/4	11750.00		
1	368	SMITH BARNEY FDS INC U S GOVT SECS PORT		13.510	4971.68	559	11.2
2	100	TEXAS AIR CORP	TEX	38 1/4	3825.00		
1	200	TRANS WORLD AIRLINES INC PFD 2.25	TWAPR	14 1/2	2900.00	450	15.5
		TOTAL PRICED PORTFOLIO..................................			56984.62	1373	2.4
		UNPRICED PORTFOLIO					
1	25000	LOUISIANA HSG FIN AGY MTG RV MULTI FAM					

See reverse side for important information

SMITH BARNEY
CLIENT
STATEMENT

ACCOUNT NO.	TAXPAYER	STATEMENT PERIOD	PAGE
04E-631809	236-78-8645	04/26/86 TO 05/30/86	2 OF 2

N JOE RAMALL II
216 1/2 MAIN STREET

PORTFOLIO POSITIONS

TYPE	QUANTITY	SECURITY DESCRIPTION		SYMBOL	PRICE	VALUE (AS OF 05/30/86)	CURRENT EST. ANNUAL INCOME	CURRENT EST. ANNUAL % YIELD
		UNPRICED PORTFOLIO FHA INSD MTG LN 0.000% 07/01/2002	REGISTERED CALL JJ1					

MONTHLY INCOME ACTIVITY

TYPE	DATE	TRNS	QUANTITY	SECURITY DESCRIPTION		DEBIT	CREDIT
9	05-01	DIV		DIV. ON	400SHRS GREAT ATLANTIC & PAC TEA		40.00
9	05-30	DIV		DIV. ON	200SHRS TRANS WORLD AIRLINES PFD CUM $2.25		112.50
		TOTAL INCOME..					152.50

DAILY ACCOUNT ACTIVITY

TYPE	DATE	TRN	QUANTITY	DESCRIPTION	PRICE	DEBIT	CREDIT
2	04-29	BOT	100	NAVISTAR INTL CORP PREFERENTIAL RATE	11 3/8	1171.20	
2	05-27	BOT	200	NAVISTAR INTL CORP PREFERENTIAL RATE	9 3/8	1934.30	
2	05-27	SLD	-300	NORTHERN IND PUB SVC CO PREFERENTIAL RATE	11 3/4		3440.78
2	05-30	INT		INTEREST FOR 35 DAYS AT 8.7499% AVG RATE AVG BAL 16975.67DR 04/25 - 05/22 8.75% 05/23 - 05/29 8.75%		144.41	
2	05-30	CKP		CHECK PAID		10000.00	

* * * * * * END OF STATEMENT * * * * * *

See reverse side for important information

AT MAY 30 1986

Charter Account

Smith Barney, Harris Upham's Charter Account for the Private Investor

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***************************************************
*                                                 *
*          YEAR-TO-DATE CHANGES                   *
*                                                 *
*      38,730.59  VALUE OF HOLDINGS               *
*                 IN OUR CARE ON 01/01/86         *
*                                                 *
*      10,000.00- NET CAPITAL CHANGE **           *
*  ---------------                                *
*      28,730.59  ADJUSTED OPENING MARKET VALUE   *
*                                                 *
*      35,958.64  VALUE OF HOLDINGS               *
*                 IN OUR CARE ON 05/30/86         *
*                                                 *
*       7,646.75  SECURITY VALUE GAIN/LOSS        *
*                                                 *
*       16.917    % CHANGE                        *
*                                                 *
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YOUR ACCOUNT EXECUTIVE
MARYBETH ERICSON
CHARLESTON
(304) 344-9601

ACCOUNT NUMBER 04E 631809

N. JOE RAHALL II
216 1/2 MAIN STREET
BECKLEY WV 25801

RECEIVED JUN

CHECK YOUR OWN RECORDS TO VERIFY THE ACCURACY OF THE INFORMATION CONTAINED HEREIN. IT HAS BEEN OBTAINED FROM SOURCES BELIEVED TO BE RELIABLE BUT ITS ACCURACY AND COMPLETENESS CANNOT BE GUARANTEED.

** NET CAPITAL CHANGE INCLUDES MARKET VALUE OF SECURITIES/CASH DEPOSITED LESS MARKET VALUE OF ALL SECURITIES/CASH WITHDRAWN

Charter Account

Smith Barney Harris Upham's Charter Account for the Private Investor

CAPITAL GAINS STATUS

ACCOUNT NUMBER IS : 04E-631809

AT MAY 30 1986
N.JOE RAHALL II

CAPITAL GAIN/LOSS- REALIZED DURING 1986

UNITS OR PAR	SECURITY DESCRIPTION	DATE SOLD	SALES PRICE	DATE ACQUIRED	COST	LONG-TERM GAIN/LOSS-	SHORT-TERM GAIN/LOSS-
500	PIONEER SVGS BANK CLEARHATER FLA	02-05-86	4,578.60	07-18-85	3,975.90	602.70	
200	PHILADELPHIA ELECTRIC CO	02-12-86	3,849.07	10-15-85	2,946.30		902.77
100	PHILADELPHIA ELECTRIC CO	02-12-86	1,924.53	10-14-85	1,462.70		461.83
200	GLAXO HLDGS LTD ADR	02-24-86	2,998.86	11-12-85	2,223.90		774.96
100	GLAXO HLDGS LTD ADR	02-24-86	1,499.44	11-20-85	1,143.60		355.84
100	GLAXO HLDGS LTD ADR	04-03-86	1,523.30	11-20-85	1,143.60		379.70
300	WICKES COS INC NEW	04-08-86	1,735.84	03-25-85	1,329.50	406.34	
300	NORTHERN IND PUB SVC CO	05-19-86	3,440.78	08-26-85	3,562.92	122.14-	
	NET REALIZED GAIN/LOSS-					886.90	2,875.10

** ATTRIBUTABLE TO PRIOR TAX YEAR.

CAPITAL GAIN/LOSS- UNREALIZED TO DATE

UNITS OR PAR	SECURITY DESCRIPTION	DATE ACQUIRED	COST	CURRENT VALUE	UNREALIZED LONG-TERM GAIN/LOSS	UNREALIZED SHORT-TERM GAIN/LOSS
100	ALLIANCE TECHNOLOGY FUND INC PORTFOLIO ONE	05-16-83	2,402.00	2,507.00	105.00	
200	CORDIS CORP	01-31-84	4,050.00	2,650.00	1,400.00-	

Charter Account
Smith Barney, Harris Upham's Charter Account for the Private Investor

CAPITAL GAINS STATUS
PAGE 2

ACCOUNT NUMBER IS : 04E-631809

AT MAY 30 1986
N.JOE RAHALL II

CAPITAL GAIN/LOSS- UNREALIZED TO DATE

UNITS OR PAR	SECURITY DESCRIPTION	DATE ACQUIRED	COST	CURRENT VALUE	UNREALIZED LONG-TERM GAIN/LOSS	UNREALIZED SHORT-TERM GAIN/LOSS
200	CORDIS CORP	05-15-84	3,275.00	2,650.00	625.00-	
50	FORD MOTOR CO	04-03-86	4,168.10	4,025.00		143.10-
200	GLAXO HLDGS LTD ADR	11-21-85	2,312.20	2,987.40	675.20	
200	GREAT ATLANTIC & PAC TEA	06-14-84	3,111.80	4,975.00	1,863.20	
200	GREAT ATLANTIC & PAC TEA	07-20-84	3,070.40	4,975.00	1,904.60	
210	WTS HORN & HARDART EXP 12/27/87 $18.75	02-16-84	1,754.40	196.77	1,557.63-	
210	WTS HORN & HARDART EXP 12/27/87 $18.75	05-15-84	1,448.00	196.77	1,251.23-	
100	INTEL CORP	12-05-85	3,101.70	2,725.00		376.70-
100	INTEL CORP	12-12-85	3,051.70	2,725.00		326.70-
$25.0M	LOUISIANA HSG FIN AGY MTG RV MULTI FAM FHA INSD MTG LN REGISTERED CALL JJ1 0.000% 07/01/2002	07-08-82	250.00	4,731.25	4,481.25	
100	NAVISTAR INTL CORP	04-22-86	1,171.20	975.00		196.20-
200	NAVISTAR INTL CORP	05-19-86	1,934.30	1,950.00		15.70

CAPITAL GAINS STATUS
PAGE 3

ACCOUNT NUMBER IS : 04E-63180'

AT MAY 30 1986
N.JOE RAHALL II

Charter Account

Smith Barney, Harris Upham's Charter Account for the Private Investor

CAPITAL GAIN/LOSS- UNREALIZED TO DATE

UNITS OR PAR	SECURITY DESCRIPTION	DATE ACQUIRED	COST	CURRENT VALUE	UNREALIZED LONG-TERM GAIN/LOSS	UNREALIZED SHORT-TERM GAIN/LOSS
200	NORTHERN IND PUB SVC CO	08-26-85	2,375.28	2,350.00	25.28-	
500	NORTHERN IND PUB SVC CO	09-23-85	5,938.20	5,875.00	63.20-	
300	NORTHERN IND PUB SVC CO	10-08-85	3,344.20	3,525.00	180.80	
368	SMITH BARNEY FDS INC U S GOVT SECS PORT	11-29-84	5,004.80	4,971.68	33.12-	
100	TEXAS AIR CORP	04-03-86	3,311.70	3,825.00		513.30
200	TRANS WORLD AIRLINES PFD CUM $2.25	11-30-82	3,000.00	2,900.00	100.00-	
	NET UNREALIZED LONG-TERM GAIN/LOSS-				4,154.59	
	NET UNREALIZED SHORT-TERM GAIN/LOSS-					513.70-

Charter Account

Smith Barney, Harris Upham's Charter Account for the Private Investor

APPRAISAL OF INVESTMENTS

ACCOUNT NUMBER IS : 04E-631809

AT MAY 30 1986
N.JOE RAHALL II

2280

HOLDINGS IN OUR CARE:

UNITS OR PAR	SECURITY DESCRIPTION	COST	UNIT COST	CURRENT VALUE	UNIT PRICE	UNREALIZED GAIN/LOSS-		ANNUAL RATE DIV/INT	YIELD	ANNUAL INCOME
	COMMON STOCKS									
100	ALLIANCE TECHNOLOGY FUND INC PORTFOLIO ONE	2,402.00	24.020	2,507.00	25.070	105.00		0.01	0.0	1.20
400	CORDIS CORP	7,325.00	18.313	5,300.00	13.250	2,025.00-		0.00	0.0	0.00
50	FORD MOTOR CO	4,168.10	83.362	4,025.00	80.500	143.10-	ST	3.30	4.1	165.00
200	GLAXO HLDGS LTD ADR	2,312.20	11.561	2,987.40	14.937	675.20		0.19	1.3	38.00
400	GREAT ATLANTIC & PAC TEA	6,182.20	15.456	9,950.00	24.870	3,767.80		0.40	1.6	160.00
420	WTS HORN & HARDART EXP 12/27/87 $18.75	3,202.40	7.625	393.54	0.930	2,808.86-		0.00	0.0	0.00
200	INTEL CORP	6,153.40	30.767	5,450.00	27.250	703.40-	ST	0.00	0.0	0.00
300	NAVISTAR INTL CORP	3,105.50	10.352	2,925.00	9.750	180.50-	ST	0.00	0.0	0.00
1000	NORTHERN IND PUB SVC CO	11,657.68	11.658	11,750.00	11.750	92.32		0.00	0.0	0.00
368	SMITH BARNEY FDS INC U S GOVT SECS PORT	5,004.80	13.600	4,971.68	13.510	33.12-		1.44	10.7	529.92
100	TEXAS AIR CORP	3,311.70	33.117	3,825.00	38.250	513.30	ST	0.00	0.0	0.00
	SUB TOTAL	54,824.98		54,084.62		226.66- 513.70-	 ST			894.12

APPRAISAL OF INVESTMENTS
PAGE 2

ACCOUNT NUMBER IS : 04E-631809

AT MAY 30 1986
N JOE RAHALL II

Charter Account
Smith Barney, Harris Upham's Charter Account for the Private Investor

HOLDINGS IN OUR CARE:

UNITS OR PAR	SECURITY DESCRIPTION	COST	UNIT' COST	CURRENT VALUE	UNIT PRICE	UNREALIZED GAIN/LOSS-	ANNUAL RATE DIV/INT	YIELD	ANNUAL INCOME
	PREFERRED STOCKS								
200	TRANS WORLD AIRLINES PFD CUM $2.25	3,000.00	15.000	2,900.00	14.500	100.00-	2.25	15.5	450.00
	SUB TOTAL	3,000.00		2,900.00		100.00-			450.00
	TOTAL EQUITY	57,824.98		56,984.62		326.66- 513.70- ST			1,344.12
	MUNICIPAL BONDS								
$25.0M	LOUISIANA HSG FIN AGY MTG RV MULTI FAM FHA INSD MTG LN REGISTERED CALL JJ1 0.000% 07/01/2002	250.00	1.000	4,731.25	18.925	4,481.25	0.000	0.0	0.00
	SUB TOTAL	250.00		4,731.25		4,481.25			0.00
	TOTAL FIXED INCOME	250.00		4,731.25		4,481.25			0.00
	TOTAL SECURITIES	58,074.98		61,715.87		4,154.59 513.70- ST		2.2	1,344.12

SPECIAL HOLDINGS:

ASSETS	COST	CURRENT VALUE	ANNUAL INCOME

APPRAISAL OF INVESTMENTS
PAGE 3

ACCOUNT NUMBER IS : 04E-631809

AT MAY 30 1986
N.JOE RAHALL II

Charter Account
Smith Barney Harris Upham's Charter Account for the Private Investor

SPECIAL HOLDINGS:

ASSETS	COST	CURRENT VALUE	ANNUAL INCOME
4556 GULF UTD 1.20 CV PFD	59,228.00	0.00	0.00
TOTAL	59,228.00	NONE	NONE
LIABILITIES			
TOTAL	NONE	NONE	NONE
CASH BALANCE:		$25,757.23-	

TOTAL VALUE OF YOUR HOLDINGS THIS DATE 35,958.64

ACCOUNT NUMBER IS 04E-631809

INVESTMENT DIARY
N.JOE RAHALL II

Charter Account
Smith Barney Harris Upham's Charter Account for the Private Investor

SUMMARY OF ACCOUNT ACTIVITY
FOR THE 5 WEEKS ENDING MAY 30, 1986

	YOUR ACCOUNT WAS CHARGED	YOUR ACCOUNT WAS CREDITED	NET RESULT	YEAR-TO-DATE NET RESULT
CASH BALANCE AS OF 04/25/86			16,100.60-	
PURCHASES	3,105.50		3,105.50-	
SALES		3,440.78	3,440.78	
DEPOSITS/WITHDRAWALS	10,000.00		10,000.00-	10,000.00-
DIVIDENDS		152.50	152.50	375.50
MARGIN INTEREST PAID	144.41		144.41-	720.60-
CHARTER ACCOUNT FEES			.00	73.60-
CASH BALANCE AS OF 05/30/86			25,757.23-	

Charter Account
Smith Barney, Harris Upham's Charter Account for the Private Investor

DIVIDEND AND INTEREST STATEMENT

ACCOUNT NUMBER 04E-631809

FOR THE 5 WEEKS ENDING MAY 30, 1986

N.JOE RAHALL II

SECURITY DESCRIPTION		AMOUNT
DIVIDENDS		
DIV. ON 400SHRS GREAT ATLANTIC & PAC TEA	$	40.00
DIV. ON 200SHRS TRANS WORLD AIRLINES PFD CUM $2.25	$	112.50
TOTAL DIVIDENDS	$	152.50

INTEREST

CORPORATE BOND INTEREST
NONE

MUNICIPAL BOND INTEREST
NONE

U.S. GOVERNMENT SECURITIES INTEREST
NONE

*** TOTAL INCOME *** $ 152.50

SMITH BARNEY
CLIENT
STATEMENT

file

ACCOUNT NO.	TAXPAYER	STATEMENT PERIOD	PAGE
04E-631809	236-78-8645	03/28/86 TO 04/25/86	1 OF 2

FIXED-INCOME INVESTORS: ARE YOU OVERLOOKING OPPORTUNITIES IN THE TAX-EXEMPT MARKET? SEE ENCLOSED TOPICS FOR INFORMATION ON MUNICIPAL BONDS AND UNIT TRUSTS.

COPY SENT PER INSTRUCTIONS

N JOE RAHALL II
216 1/2 MAIN STREET
BECKLEY WV 25801-4613

FINANCIAL SUMMARY

OPENING CASH BALANCE	11738.39DR
CLOSING CASH BALANCE	16100.60DR
PRICED PORTFOLIO	55447.60
EQUITY	39347.00
BUYING POWER	14971.23
SHORT ACCOUNT	
LOAN INTEREST YEAR TO DATE	576.19
DIST FROM DIRECT INVEST	

SUMMARY OF YOUR INCOME

	THIS MONTH	YEAR TO DATE
CASH PORTFOLIO DIVIDENDS		
GOVT PORTFOLIO DIVIDENDS		
TAX FREE FUND DIVIDENDS		
DIVIDENDS		223.00
GOVERNMENT BOND INTEREST		
BOND INTEREST		
MUNICIPAL BOND INTEREST		
OTHER INCOME		
TOTAL INCOME		223.00

YOUR ACCOUNT EXECUTIVE AND SERVICING OFFICE

304-344-9601
ERICSON, MARY B.
CHARLESTON NATIONAL PLAZA
P O BOX 671 MAIN FLOOR
CHARLESTON WV 25323-0671

PORTFOLIO POSITIONS

TYPE	QUANTITY	SECURITY DESCRIPTION	SYMBOL	PRICE	VALUE (AS OF 04/25/86)	CURRENT EST. ANNUAL INCOME	CURRENT EST. ANNUAL % YIELD
		PRICED PORTFOLIO					
1	100	ALLIANCE TECHNOLOGY FUND INC PORTFOLIO ONE		25.070	2507.00	1	.0
2c	400	CORDIS CORP	CORD	11 1/2	4600.00		
2	50	FORD MOTOR CO	F	80 3/4	4037.50	165	4.0
1	200	GLAXO HLDGS LTD ADR	GLXOY	15 11/16	3137.40	38	1.2
2	400	GREAT ATLANTIC & PAC TEA	GAP	22 7/8	9150.00	160	1.7
2	420	WTS HORN & HARDART EXP 12/27/87 $18.75	HORWS	13/16	341.04		
2	100	INTEL CORP	INIC	31	3100.00		
1	100	INTEL CORP	INIC	31	3100.00		
2	1300	NORTHERN IND PUB SVC CO	NI	11 1/4	14625.00		
1	368	SMITH BARNEY FDS INC U S GOVT SECS PORT		13.620	5012.16	559	11.1
2	100	TEXAS AIR CORP	TEX	30 3/8	3037.50		
1	200	TRANS WORLD AIRLINES INC PFD 2.25	TWAPR	14	2800.00	450	16.0
		TOTAL PRICED PORTFOLIO................................			55447.60	1373	2.4
		UNPRICED PORTFOLIO					
1	25000	LOUISIANA HSG FIN AGY MTG RV MULTI FAM FHA INSD MTG LN REGISTERED CALL JJ1					

See reverse side for important information

SMITH BARNEY
CLIENT
STATEMENT

ACCOUNT NO.	TAXPAYER	STATEMENT PERIOD	PAGE
04E-631809	236-78-8645	03/28/86 TO 04/25/86	2 OF 2

N JOE RAHALL II
216 1/2 MAIN STREET

P O R T F O L I O   P O S I T I O N S

TYPE	QUANTITY	SECURITY DESCRIPTION	SYMBOL	PRICE (AS OF 04/25/86)	VALUE	CURRENT EST. ANNUAL INCOME	CURRENT EST. ANNUAL % YIELD
		UNPRICED PORTFOLIO 0.000% 07/01/2002					

D A I L Y   A C C O U N T   A C T I V I T Y

TYPE	DATE	TRN	QUANTITY	DESCRIPTION		PRICE	DEBIT	CREDIT
2	04-10	BOT	50	FORD MOTOR CO ODD LOT DIFF CHARGED	PREFERENTIAL RATE	82.00	4168.10	
1	04-10	SLD	-100	GLAXO HLDGS LTD ADR	WE MAKE MKT IN SECUR	15 1/4		1523.30
2	04-10	BOT	100	TEXAS AIR CORP	PREFERENTIAL RATE	32 1/2	3311.70	
2	04-15	SLD	-300	WICKES COS PREFERENTIAL RATE	INC NEW	6.00		1735.84
1	04-18	JNL		CHARTER ACCOUNT FEE			38.52	
2	04-25	INT		INTEREST FOR 29 DAYS AT 8.9879% AVG RATE AVG BAL 14230.06DR 03/27 - 04/07 9.25% 04/08 - 04/14 9.00% 04/15 - 04/21 8.75% 04/22 - 04/24 8.75%			103.03	

* * * * * * END OF STATEMENT * * * * * *

See reverse side for important information

AT APRIL 25 1986

Charter Account

Smith Barney, Harris Upham's Charter Account for the Private Investor

YEAR-TO-DATE CHANGES

38,730.59	VALUE OF HOLDINGS IN OUR CARE ON 01/01/86
0.00	NET CAPITAL CHANGE **
38,730.59	ADJUSTED OPENING MARKET VALUE
47,266.38	VALUE OF HOLDINGS IN OUR CARE ON 04/25/86
8,962.58	SECURITY VALUE GAIN/LOSS
19.217	% CHANGE

YOUR ACCOUNT EXECUTIVE
MARYBETH ERICSON
CHARLESTON
(304) 344-9601

ACCOUNT NUMBER 04E 631809

N. JOE RAHALL II
216 1/2 MAIN STREET
BECKLEY WV 25801

CHECK YOUR OWN RECORDS TO VERIFY THE ACCURACY OF THE INFORMATION CONTAINED HEREIN. IT HAS BEEN OBTAINED FROM SOURCES BELIEVED TO BE RELIABLE BUT ITS ACCURACY AND COMPLETENESS CANNOT BE GUARANTEED.

RECEIVED MAY 0 2 1986

** NET CAPITAL CHANGE INCLUDES MARKET VALUE OF SECURITIES/CASH DEPOSITED LESS MARKET VALUE OF ALL SECURITIES/CASH WITHDRAWN

Charter Account

Smith Barney, Harris Upham's Charter Account for the Private Investor

CAPITAL GAINS STATUS

ACCOUNT NUMBER IS : 04E-631809

AT APRIL 25 1986
N.JOE RAHALL II

CAPITAL GAIN/LOSS- REALIZED DURING 1986

UNITS OR PAR	SECURITY DESCRIPTION	DATE SOLD	SALES PRICE	DATE ACQUIRED	COST	LONG-TERM GAIN/LOSS-	SHORT-TERM GAIN/LOSS-
500	PIONEER SVGS BANK CLEARWATER FLA	02-05-86	4,578.60	07-18-85	3,975.90	602.70	
100	PHILADELPHIA ELECTRIC CO	02-12-86	1,924.53	10-14-85	1,462.70		461.83
200	PHILADELPHIA ELECTRIC CO	02-12-86	3,849.07	10-15-85	2,946.30		902.77
200	GLAXO HLDGS LTD ADR	02-24-86	2,998.86	11-12-85	2,223.90		774.96
100	GLAXO HLDGS LTD ADR	02-24-86	1,499.44	11-20-85	1,143.60		355.84
100	GLAXO HLDGS LTD ADR	04-03-86	1,523.30	11-20-85	1,143.60		379.70
300	WICKES COS INC NEW	04-08-86	1,735.84	03-25-85	1,329.50	406.34	
	NET REALIZED GAIN/LOSS-					1,009.04	2,875.10

** ATTRIBUTABLE TO PRIOR TAX YEAR.

CAPITAL GAIN/LOSS- UNREALIZED TO DATE

UNITS OR PAR	SECURITY DESCRIPTION	DATE ACQUIRED	COST	CURRENT VALUE	UNREALIZED LONG-TERM GAIN/LOSS	UNREALIZED SHORT-TERM GAIN/LOSS
100	ALLIANCE TECHNOLOGY FUND INC PORTFOLIO ONE	05-16-83	2,402.00	2,623.50	221.50	
200	CORDIS CORP	01-31-84	4,050.00	2,300.00	1,750.00-	
200	CORDIS CORP	05-15-84	3,275.00	2,300.00	975.00-	

CAPITAL GAINS STATUS
PAGE 2

ACCOUNT NUMBER IS : 04E-631809

AT APRIL 25 1986
N.JOE RAHALL II

Charter Account
Smith Barney, Harris Upham's Charter Account for the Private Investor

CAPITAL GAIN/LOSS- UNREALIZED TO DATE

UNITS OR PAR	SECURITY DESCRIPTION	DATE ACQUIRED	COST	CURRENT VALUE	UNREALIZED LONG-TERM GAIN/LOSS	UNREALIZED SHORT-TERM GAIN/LOSS
50	FORD MOTOR CO	04-03-86	4,168.10	4,037.50		130.60-
200	GLAXO HLDGS LTD ADR	11-21-85	2,312.20	3,137.40		825.20
200	GREAT ATLANTIC & PAC TEA	06-14-84	3,111.80	4,575.00	1,463.20	
200	GREAT ATLANTIC & PAC TEA	07-20-84	3,070.40	4,575.00	1,504.60	
210	WTS HORN & HARDART EXP 12/27/87 $18.75	02-16-84	1,754.40	170.52	1,583.88-	
210	WTS HORN & HARDART EXP 12/27/87 $18.75	05-15-84	1,448.00	170.52	1,277.48-	
100	INTEL CORP	12-05-85	3,101.70	3,100.00		1.70-
100	INTEL CORP	12-12-85	3,051.70	3,100.00		48.30
$25.0M	LOUISIANA MSG FIN AGY MTG RV MULTI FAM FHA INSD MTG LN REGISTERED CALL JJ1 0.000% 07/01/2002	07-08-82	250.00	7,698.00	7,448.00	
500	NORTHERN IND PUB SVC CO	08-26-85	5,938.20	5,625.00	313.20-	
500	NORTHERN IND PUB SVC CO	09-23-85	5,938.20	5,625.00	313.20-	
300	NORTHERN IND PUB SVC CO	10-08-85	3,344.20	3,375.00	30.80	

CAPITAL GAINS STATUS
PAGE 3

ACCOUNT NUMBER IS : 04E-631809

AT APRIL 25 1986
M.JOE RAHALL II

Charter Account
Smith Barney, Harris Upham's Charter Account for the Private Investor

CAPITAL GAIN/LOSS- UNREALIZED TO DATE

UNITS OR PAR	SECURITY DESCRIPTION	DATE ACQUIRED	COST	CURRENT VALUE	UNREALIZED LONG-TERM GAIN/LOSS	UNREALIZED SHORT-TERM GAIN/LOSS
368	SMITH BARNEY FDS INC U S GOVT SECS PORT	11-29-84	5,004.80	5,117.04	112.24	
100	TEXAS AIR CORP	04-03-86	3,311.70	3,037.50		274.20-
200	TRANS WORLD AIRLINES PFD CUM $2.25	11-30-82	3,000.00	2,800.00	200.00-	
	NET UNREALIZED LONG-TERM GAIN/LOSS-				4,367.58	
	NET UNREALIZED SHORT-TERM GAIN/LOSS-					467.00

Charter Account

Smith Barney, Harris Upham's Charter Account for the Private Investor

APPRAISAL OF INVESTMENTS

ACCOUNT NUMBER IS : 04E-631809

AT APRIL 25 1986
N.JOE RAHALL II

HOLDINGS IN OUR CARE:

UNITS OR PAR	SECURITY DESCRIPTION	COST	UNIT COST	CURRENT VALUE	UNIT PRICE	UNREALIZED GAIN/LOSS-		ANNUAL RATE DIV/INT	YIELD	ANNUAL INCOME
	COMMON STOCKS									
100	ALLIANCE TECHNOLOGY FUND INC PORTFOLIO ONE	2,402.00	24.020	2,623.50	26.235	221.50		0.01	0.0	1.20
400	CORDIS CORP	7,325.00	18.313	4,600.00	11.500	2,725.00-		0.00	0.0	0.00
50	FORD MOTOR CO	4,168.10	83.362	4,037.50	80.750	130.60-	ST	3.30	4.1	165.00
200	GLAXO HLDGS LTD ADR	2,312.20	11.561	3,137.40	15.687	825.20	ST	0.19	1.2	38.00
400	GREAT ATLANTIC & PAC TEA	6,182.20	15.456	9,150.00	22.870	2,967.80		0.40	1.7	160.00
420	WIS HORN & HARDART EXP 12/27/87 $18.75	3,202.40	7.625	341.04	0.810	2,861.36-		0.00	0.0	0.00
200	INTEL CORP	6,153.40	30.767	6,200.00	31.000	46.60	ST	0.00	0.0	0.00
1300	NORTHERN IND PUB SVC CO	15,220.60	11.708	14,625.00	11.250	595.60-		0.00	0.0	0.00
368	SMITH BARNEY FDS INC U S GOVT SECS PORT	5,004.80	13.600	5,117.04	13.905	112.24		1.44	10.4	529.92
100	TEXAS AIR CORP	3,311.70	33.117	3,037.50	30.375	274.20-	ST	0.00	0.0	0.00
	SUB TOTAL	55,282.40		52,868.98		2,880.42- 467.00	 ST			894.12

Charter Account

Smith Barney Harris Upham's Charter Account for the Private Investor

APPRAISAL OF INVESTMENTS
PAGE 2

ACCOUNT NUMBER IS : 04E-631809

AT APRIL 25 1986
M.JOE RAHALL II

HOLDINGS IN OUR CARE:

UNITS OR PAR	SECURITY DESCRIPTION	COST	UNIT COST	CURRENT VALUE	UNIT PRICE	UNREALIZED GAIN/LOSS-		ANNUAL RATE DIV/INT	YIELD	ANNUAL INCOME
	PREFERRED STOCKS									
200	TRANS WORLD AIRLINES PFD CUM $2.25	3,000.00	15.000	2,800.00	14.000	200.00-		2.25	16.1	450.00
	SUB TOTAL	3,000.00		2,800.00		200.00-				450.00
	TOTAL EQUITY	58,282.40		55,668.98		3,080.42- 467.00	 ST			1,344.12
	MUNICIPAL BONDS									
$25.0M	LOUISIANA HSG FIN AGY MTG RV MULTI FAM FHA INSD MTG LN REGISTERED CALL JJ1 0.000% 07/01/2002	250.00	1.000	7,698.00	30.792	7,448.00		0.000	0.0	0.00
	SUB TOTAL	250.00		7,698.00		7,448.00				0.00
	TOTAL FIXED INCOME	250.00		7,698.00		7,448.00				0.00
	TOTAL SECURITIES	58,532.40		63,366.98		4,367.58 467.00	 ST		2.1	1,344.12

SPECIAL HOLDINGS:

ASSETS	COST	CURRENT VALUE	ANNUAL INCOME

APPRAISAL OF INVESTMENTS
PAGE 3

ACCOUNT NUMBER IS : 04E-631809

AT APRIL 25 1986
N.JOE RAHALL II

Charter Account
Smith Barney, Harris Upham's Charter Account for the Private Investor

SPECIAL HOLDINGS:

	ASSETS	COST	CURRENT VALUE	ANNUAL INCOME
4556	GULF UTD 1.20 CV PFD	59,228.00	0.00	0.00
	TOTAL	59,228.00	NONE	NONE
	LIABILITIES			
	TOTAL	NONE	NONE	NONE
		CASH BALANCE:	$16,100.60-	
	TOTAL VALUE OF YOUR HOLDINGS THIS DATE		47,266.38	

ACCOUNT NUMBER IS : 04E-631809

Charter Account

Smith Barney, Harris Upham's Charter Account for the Private Investor

INVESTMENT DIARY
N.JOE RAHALL II

SUMMARY OF ACCOUNT ACTIVITY
FOR THE 4 WEEKS ENDING APR. 25, 1986

	YOUR ACCOUNT HAS CHARGED	YOUR ACCOUNT HAS CREDITED	NET RESULT	YEAR-TO-DATE NET RESULT
CASH BALANCE AS OF 03/27/86			11,738.39-	
PURCHASES	7,479.80		7,479.80-	
SALES		3,259.14	3,259.14	
DIVIDENDS			.00	223.00
MARGIN INTEREST PAID	103.03		103.03-	576.19-
CHARTER ACCOUNT FEES	38.52		38.52-	73.60-
CASH BALANCE AS OF 04/25/86			16,100.60-	

SMITH BARNEY
CLIENT
STATEMENT

ACCOUNT NO.	TAXPAYER	STATEMENT PERIOD	PAGE
04E-631809	236-78-8645	03/01/86 TO 03/27/86	1 OF 2

AN EARLY IRA CONTRIBUTION LETS YOU TAKE ADVANTAGE OF A WHOLE YEAR'S WORTH OF TAX DEFERRAL. CONTRIBUTE NOW AND GET A JUMP ON YOUR 1986 TAX BITE.

COPY SENT PER INSTRUCTIONS

N JOE RAHALL II
216 1/2 MAIN STREET
BECKLEY WV 25801-4613

RECEIVED APR 0 3 1986

FINANCIAL SUMMARY

OPENING CASH BALANCE	16151.32DR
CLOSING CASH BALANCE	11738.39DR
PRICED PORTFOLIO	52080.98
EQUITY	40342.59
BUYING POWER	22378.00
SHORT ACCOUNT	
LOAN INTEREST YEAR TO DATE	473.16
DIST FROM DIRECT INVEST	

YOUR ACCOUNT EXECUTIVE AND SERVICING OFFICE

304-344-9601

ERICSON, MARY B.
CHARLESTON NATIONAL PLAZA
P O BOX 671 MAIN FLOOR
CHARLESTON WV 25323-0671

SUMMARY OF YOUR INCOME

	THIS MONTH	YEAR TO DATE
CASH PORTFOLIO DIVIDENDS		
GOVT PORTFOLIO DIVIDENDS		
TAX FREE FUND DIVIDENDS		
DIVIDENDS		223.00
GOVERNMENT BOND INTEREST		
BOND INTEREST		
MUNICIPAL BOND INTEREST		
OTHER INCOME		
TOTAL INCOME		223.00

PORTFOLIO POSITIONS

TYPE	QUANTITY	SECURITY DESCRIPTION		SYMBOL	PRICE (AS OF 03/27/86)	VALUE	CURRENT EST. ANNUAL INCOME	CURRENT EST. ANNUAL % YIELD
		PRICED PORTFOLIO						
1	100	ALLIANCE TECHNOLOGY PORTFOLIO ONE	FUND INC		22.610	2261.00	1	.0
2	400	CORDIS CORP		CORD	12 3/8	4950.00		
1	300	GLAXO HLDGS LTD ADR		GLXOY	15 13/16	4743.60	48	1.0
2	400	GREAT ATLANTIC & PAC	TEA	GAP	24	9600.00	160	1.6
2	420	WTS HORN & HARDART	EXP 12/27/87 $18.75	HORWS	1	420.00		
1	100	INTEL CORP		INTC	26 1/4	2625.00		
2	100	INTEL CORP		INTC	26 1/4	2625.00		
2	1300	NORTHERN IND PUB	SVC CO	NI	11 3/8	14787.50		
1	368	SMITH BARNEY FDS INC	U S GOVT SECS PORT		13.910	5118.88	559	10.9
1	200	TRANS WORLD AIRLINES	INC PFD 2.25	TWAPR	15 3/4	3150.00	450	14.2
2	300	WICKES COS	INC NEW	WIX	6	1800.00		
		TOTAL PRICED PORTFOLIO....................................				52080.98	1218	2.3
		UNPRICED PORTFOLIO						
1	25000	LOUISIANA HSG FIN FHA INSD MTG LN 0.000% 07/01/2002	AGY MTG RV MULTI FAM REGISTERED CALL JJ1					

See reverse side for important information

SMITH BARNEY
CLIENT
STATEMENT

ACCOUNT NO.	TAXPAYER	STATEMENT PERIOD	PAGE
04E-631809	236-78-8645	03/01/86 TO 03/27/86	2 OF 2

N JOE RAHALL II
216 1/2 MAIN STREET

DAILY ACCOUNT ACTIVITY

TYPE	DATE	TRN	QUANTITY	DESCRIPTION	PRICE	DEBIT	CREDIT
1	03-03	SLD	-300	GLAXO HLDGS LTD ADR WE MAKE MKT IN SECUR	15.00		4498.30
2	03-27	INT		INTEREST FOR 27 DAYS AT 9.3662% AVG RATE AVG BAL 12152.82DR 02/28 - 03/11 9.50% 03/12 - 03/26 9.25%		85.37	

* * * * * * END OF STATEMENT * * * * * *

See reverse side for important information.

AT MARCH 27 1986

Charter Account

Smith Barney, Harris Upham's Charter Account for the Private Investor

YOUR ACCOUNT EXECUTIVE
MARYBETH ERICSON
CHARLESTON
(304) 344-9601

ACCOUNT NUMBER 04E 631809

N. JOE RAHALL II
216 1/2 MAIN STREET
BECKLEY WV 25801

YEAR-TO-DATE CHANGES

38,730.59	VALUE OF HOLDINGS IN OUR CARE ON 01/01/86
0.00	NET CAPITAL CHANGE **
38,730.59	ADJUSTED OPENING MARKET VALUE
48,149.06	VALUE OF HOLDINGS IN OUR CARE ON 03/27/86
9,703.71	SECURITY VALUE GAIN/LOSS
21.667	% CHANGE

RECEIVED APR 0 3 1986

CHECK YOUR OWN RECORDS TO VERIFY THE ACCURACY OF THE INFORMATION CONTAINED HEREIN. IT HAS BEEN OBTAINED FROM SOURCES BELIEVED TO BE RELIABLE BUT ITS ACCURACY AND COMPLETENESS CANNOT BE GUARANTEED.

** NET CAPITAL CHANGE INCLUDES MARKET VALUE OF SECURITIES/CASH DEPOSITED LESS MARKET VALUE OF ALL SECURITIES/CASH WITHDRAWN

Charter Account
Smith Barney Harris Upham's Charter Account for the Private Investor

CAPITAL GAINS STATUS

ACCOUNT NUMBER IS : 04E-631809

AT MARCH 27 1986
N.JOE RAHALL II

CAPITAL GAIN/LOSS- REALIZED DURING 1986

UNITS OR PAR	SECURITY DESCRIPTION	DATE SOLD	SALES PRICE	DATE ACQUIRED	COST	LONG-TERM GAIN/LOSS-	SHORT-TERM GAIN/LOSS-
500	PIONEER SVGS BANK CLEARWATER FLA	02-05-86	4,578.60	07-18-85	3,975.90	602.70	
200	PHILADELPHIA ELECTRIC CO	02-12-86	3,849.07	10-15-85	2,946.30		902.77
100	PHILADELPHIA ELECTRIC CO	02-12-86	1,924.53	10-14-85	1,462.70		461.83
100	GLAXO HLDGS LTD ADR	02-24-86	1,499.44	11-20-85	1,143.60		355.84
200	GLAXO HLDGS LTD ADR	02-24-86	2,998.86	11-12-85	2,223.90		774.96
	NET REALIZED GAIN/LOSS-					602.70	2,495.40

** ATTRIBUTABLE TO PRIOR TAX YEAR.

CAPITAL GAIN/LOSS- UNREALIZED TO DATE

UNITS OR PAR	SECURITY DESCRIPTION	DATE ACQUIRED	COST	CURRENT VALUE	UNREALIZED LONG-TERM GAIN/LOSS	UNREALIZED SHORT-TERM GAIN/LOSS
100	ALLIANCE TECHNOLOGY FUND INC PORTFOLIO ONE	05-16-83	2,402.00	2,366.00	36.00-	
200	CORDIS CORP	01-31-84	4,050.00	2,475.00	1,575.00-	
200	CORDIS CORP	05-15-84	3,275.00	2,475.00	800.00-	
100	GLAXO HLDGS LTD ADR	11-20-85	1,143.60	1,581.20		437.60
200	GLAXO HLDGS LTD ADR	11-21-85	2,312.20	3,162.40		850.20

CAPITAL GAINS STATUS
PAGE 2

ACCOUNT NUMBER IS : 04E-631809

AT MARCH 27 1986
N.JOE RAHALL II

Charter Account
Smith Barney, Harris Upham's Charter Account for the Private Investor

CAPITAL GAIN/LOSS- UNREALIZED TO DATE

UNITS OR PAR	SECURITY DESCRIPTION	DATE ACQUIRED	COST	CURRENT VALUE	UNREALIZED LONG-TERM GAIN/LOSS	UNREALIZED SHORT-TERM GAIN/LOSS
200	GREAT ATLANTIC & PAC TEA	06-14-84	3,111.80	4,800.00	1,688.20	
200	GREAT ATLANTIC & PAC TEA	07-20-84	3,070.40	4,800.00	1,729.60	
210	WTS HORN & HARDART EXP 12/27/87 $18.75	02-16-84	1,754.40	210.00	1,544.40-	
210	WTS HORN & HARDART EXP 12/27/87 $18.75	05-15-84	1,448.00	210.00	1,238.00-	
100	INTEL CORP	12-05-85	3,101.70	2,625.00		476.70-
100	INTEL CORP	12-12-85	3,051.70	2,625.00		426.70-
$25.0M	LOUISIANA HSG FIN AGY MTG RV MULTI FAM FHA INSD MTG LN REGISTERED CALL JJ1 0.000% 07/01/2002	07-08-82	250.00	7,594.75	7,344.75	
500	NORTHERN IND PUB SVC CO	08-26-85	5,938.20	5,687.50	250.70-	
500	NORTHERN IND PUB SVC CO	09-23-85	5,938.20	5,687.50	250.70-	
300	NORTHERN IND PUB SVC CO	10-08-85	3,344.20	3,412.50		68.30
368	SMITH BARNEY FDS INC U S GOVT SECS PORT	11-29-84	5,004.80	5,225.60	220.80	
200	TRANS WORLD AIRLINES PFD CUM $2.25	11-30-82	3,000.00	3,150.00	150.00	

CAPITAL GAINS STATUS
PAGE 3

ACCOUNT NUMBER IS : 04E-631809

AT MARCH 27 1986
M.JOE RAHALL II

Charter Account

Smith Barney Harris Upham's Charter Account for the Private Investor

CAPITAL GAIN/LOSS- UNREALIZED TO DATE

UNITS OR PAR	SECURITY DESCRIPTION	DATE ACQUIRED	COST	CURRENT VALUE	UNREALIZED LONG-TERM GAIN/LOSS	UNREALIZED SHORT-TERM GAIN/LOSS
300	WICKES COS INC NEW	03-25-85	1,329.50	1,800.00	470.50	
	NET UNREALIZED LONG-TERM GAIN/LOSS-				5,909.05	
	NET UNREALIZED SHORT-TERM GAIN/LOSS-					452.70

APPRAISAL OF INVESTMENTS

ACCOUNT NUMBER IS : 04E-63180

AT MARCH 27 1986
N.JOE RAHALL II

Charter Account
Smith Barney, Harris Upham's Charter Account for the Private Investor

HOLDINGS IN OUR CARE:

UNITS OR PAR	SECURITY DESCRIPTION	COST	UNIT COST	CURRENT VALUE	UNIT PRICE	UNREALIZED GAIN/LOSS-		ANNUAL RATE DIV/INT	YIELD	ANNUAL INCOME
	COMMON STOCKS									
100	ALLIANCE TECHNOLOGY FUND INC PORTFOLIO ONE	2,402.00	24.020	2,366.00	23.660	36.00-		0.01	0.1	1.20
400	CORDIS CORP	7,325.00	18.313	4,950.00	12.370	2,375.00-		0.00	0.0	0.00
300	GLAXO HLDGS LTD ADR	3,455.80	11.519	4,743.60	15.810	1,287.80	ST	0.16	1.0	48.00
400	GREAT ATLANTIC & PAC TEA	6,182.20	15.456	9,600.00	24.000	3,417.80		0.40	1.7	160.00
420	WTS HORN & HARDART EXP 12/27/87 $18.75	3,202.40	7.625	420.00	1.000	2,782.40-		0.00	0.0	0.00
200	INTEL CORP	6,153.40	30.767	5,250.00	26.250	903.40-	ST	0.00	0.0	0.00
1000	NORTHERN IND PUB SVC CO	11,876.40	11.876	11,375.00	11.370	501.40-		1.56	13.7	1,560.00
300	NORTHERN IND PUB SVC CO	3,344.20	11.147	3,412.50	11.375	68.30	ST	1.56	13.7	468.00
368	SMITH BARNEY FDS INC U S GOVT SECS PORT	5,004.80	13.600	5,225.60	14.200	220.80		1.52	10.7	559.36
300	WICKES COS INC NEW	1,329.50	4.432	1,800.00	6.000	470.50		0.00	0.0	0.00
	SUB TOTAL	50,275.70		49,142.70		1,585.70- 452.70	 ST			2,796.56

APPRAISAL OF INVESTMENTS
PAGE 2

ACCOUNT NUMBER IS : 04E-631809

AT MARCH 27 1986
N.JOE RAHALL II

Charter Account

Smith Barney Harris Upham's Charter Account for the Private Investor

HOLDINGS IN OUR CARE:

UNITS OR PAR	SECURITY DESCRIPTION	COST	UNIT COST	CURRENT VALUE	UNIT PRICE	UNREALIZED GAIN/LOSS-		ANNUAL RATE DIV/INT	YIELD	ANNUAL INCOME
	PREFERRED STOCKS									
200	TRANS WORLD AIRLINES PFD CUM $2.25	3,000.00	15.000	3,150.00	15.750	150.00		2.25	14.3	450.00
	SUB TOTAL	3,000.00		3,150.00		150.00				450.00
	TOTAL EQUITY	53,275.70		52,292.70		1,435.70- 452.70	 ST			3,246.56
	MUNICIPAL BONDS									
$25.0M	LOUISIANA HSG FIN AGY MTG RV MULTI FAM FHA INSD MTG LN REGISTERED CALL JJ1 0.000% 07/01/2002	250.00	1.000	7,594.75	30.379	7,344.75		0.000	0.0	0.00
	SUB TOTAL	250.00		7,594.75		7,344.75				0.00
	TOTAL FIXED INCOME	250.00		7,594.75		7,344.75				0.00
	TOTAL SECURITIES	53,525.70		59,887.45		5,909.05 452.70	 ST		5.4	3,246.56

SPECIAL HOLDINGS:

ASSETS	COST	CURRENT VALUE	ANNUAL INCOME

APPRAISAL OF INVESTMENTS
PAGE 3

ACCOUNT NUMBER IS : 04E-631809

AT MARCH 27 1986
N.JOE RAHALL II

Charter Account
Smith Barney, Harris Upham's Charter Account for the Private Investor

SPECIAL HOLDINGS:

ASSETS	COST	CURRENT VALUE	ANNUAL INCOME
4556 GULF UTD 1.20 CV PFD	59,228.00	0.00	0.00
TOTAL	59,228.00	NONE	NONE
LIABILITIES			
TOTAL	NONE	NONE	NONE

CASH BALANCE: $11,738.39-

TOTAL VALUE OF YOUR HOLDINGS THIS DATE 48,149.06

ACCOUNT NUMBER IS : 04E-631809

Charter Account
Smith Barney, Harris Upham's Charter Account for the Private Investor

INVESTMENT DIARY
N.JOE RAHALL II

SUMMARY OF ACCOUNT ACTIVITY
FOR THE 4 WEEKS ENDING MAR. 27, 1986

	YOUR ACCOUNT WAS CHARGED	YOUR ACCOUNT WAS CREDITED	NET RESULT	YEAR-TO-DATE NET RESULT
CASH BALANCE AS OF 02/28/86			16,151.32-	
SALES		4,498.30	4,498.30	
DIVIDENDS			.00	223.00
MARGIN INTEREST PAID	85.37		85.37-	473.16-
CHARTER ACCOUNT FEES			.00	35.08-
CASH BALANCE AS OF 03/27/86			11,738.39-	

SMITH BARNEY
CLIENT
STATEMENT

ACCOUNT NO.	TAXPAYER	STATEMENT PERIOD	PAGE
04E-631809	236-78-8645	02/01/86 TO 02/28/86	1 OF 2

LOOKING FOR NEW IDEAS IN EQUI- TIES? ASK YOUR ACCOUNT EXECUTIVE FOR THE CURRENT EDITION OF PERSPECTIVE: AN EQUITY INVESTMENT GUIDE.

COPY SENT PER INSTRUCTIONS

N JOE RAHALL II
216 1/2 MAIN STREET
BECKLEY WV 25801-4613

F I N A N C I A L   S U M M A R Y

OPENING CASH BALANCE	26561.41DR
CLOSING CASH BALANCE	16151.32DR
PRICED PORTFOLIO	53779.42
EQUITY	37428.10
BUYING POWER	19441.43
SHORT ACCOUNT	
LOAN INTEREST YEAR TO DATE	387.79
DIST FROM DIRECT INVEST	

S U M M A R Y   O F   Y O U R   I N C O M E

	THIS MONTH	YEAR TO DATE
CASH PORTFOLIO DIVIDENDS		
GOVT PORTFOLIO DIVIDENDS		
TAX FREE FUND DIVIDENDS		
DIVIDENDS	223.00	223.00
GOVERNMENT BOND INTEREST		
BOND INTEREST		
MUNICIPAL BOND INTEREST		
OTHER INCOME		
TOTAL INCOME	223.00	223.00

YOUR ACCOUNT EXECUTIVE AND SERVICING OFFICE

304-344-9601
ERICSON, MARY B.
CHARLESTON NATIONAL PLAZA
P O BOX 671 MAIN FLOOR
CHARLESTON WV 25323-0671

P O R T F O L I O   P O S I T I O N S

TYPE	QUANTITY	SECURITY DESCRIPTION	SYMBOL	PRICE (AS OF 02/28/86)	VALUE	CURRENT EST. ANNUAL INCOME	CURRENT EST. ANNUAL % YIELD
		PRICED PORTFOLIO					
1	100	ALLIANCE TECHNOLOGY FUND INC PORTFOLIO ONE		22 1/2	2250.00	22	.9
2	400	CORDIS CORP	CORD	10	4000.00		
1	600	GLAXO HLDGS LTD ADR	GLXOY	14 7/16	8662.20	96	1.1
2	400	GREAT ATLANTIC & PAC TEA	GAP	22 7/8	9150.00	160	1.7
2	420	WTS HORN & HARDART EXP 12/27/87 $18.75	HORWS	13/16	341.04		
2	100	INTEL CORP	INTC	27 3/4	2775.00		
1	100	INTEL CORP	INTC	27 3/4	2775.00		
2	1300	NORTHERN IND PUB SVC CO	NI	11 1/8	14462.50		
1	368	SMITH BARNEY FDS INC U S GOVT SECS PORT		13.760	5063.68	559	11.0
1	200	TRANS WORLD AIRLINES INC PFD 2.25	TWAPR	14 3/8	2875.00	450	15.6
2	300	WICKES COS INC NEW	WIX	4 3/4	1425.00		
		TOTAL PRICED PORTFOLIO			53779.42	1287	2.3
		UNPRICED PORTFOLIO					
1	25000	LOUISIANA HSG FIN AGY MTG RV MULTI FAM FHA INSD MTG LN REGISTERED CALL JJ1 0.000% 07/01/2002					

RECEIVED MAR 07 1986

See reverse side for important information

SMITH BARNEY
CLIENT
STATEMENT

ACCOUNT NO.	TAXPAYER	STATEMENT PERIOD	PAGE
04E-631809	236-78-8645	02/01/86 TO 02/28/86	2 OF 2

N JOE RAHALL II
216 1/2 MAIN STREET

MONTHLY INCOME ACTIVITY

TYPE	DATE	TRNS	QUANTITY	SECURITY DESCRIPTION	DEBIT	CREDIT
9	02-03	DIV		DIV. ON 300SHRS GLAXO HLDGS LTD ADR		70.50
9	02-03	DIV		DIV. ON 400SHRS GREAT ATLANTIC & PAC TEA		40.00
9	02-28	DIV		DIV. ON 200SHRS TRANS WORLD AIRLINES PFD CUM $2.25		112.50
			TOTAL INCOME..			223.00

DAILY ACCOUNT ACTIVITY

TYPE	DATE	TRN	QUANTITY	DESCRIPTION	PRICE	DEBIT	CREDIT
1	02-10	DST	300	GLAXO HLDGS LTD ADR			
2	02-12	SLD	-500	PIONEER SVGS BANK CLEARWATER FLA PREFERENTIAL RATE	9 3/8		4578.60
2	02-20	SLD	-300	PHILADELPHIA ELECTRIC CO PREFERENTIAL RATE	19 5/8		5773.60
2	02-28	INT		INTEREST FOR 28 DAYS AT 9.5637% AVG RATE AVG BAL 22196.80DR 01/31 - 02/05 9.75% 02/06 - 02/27 9.50%		165.11	

* * * * * * END OF STATEMENT * * * * * *

See reverse side for important information

AT FEBRUARY 28 1986

Charter Account

Smith Barney, Harris Upham's Charter Account for the Private Investor

YOUR ACCOUNT EXECUTIVE
MARYBETH ERICSON
CHARLESTON
(304) 344-9601

ACCOUNT NUMBER 04E 631809

N. JOE RAHALL II
216 1/2 MAIN STREET
BECKLEY WV 25801

YEAR-TO-DATE CHANGES

38,730.59	VALUE OF HOLDINGS IN OUR CARE ON 01/01/86
0.00	NET CAPITAL CHANGE **
38,730.59	ADJUSTED OPENING MARKET VALUE
45,084.73	VALUE OF HOLDINGS IN OUR CARE ON 02/28/86
6,554.01	SECURITY VALUE GAIN/LOSS
15.449	% CHANGE

CHECK YOUR OWN RECORDS TO VERIFY THE ACCURACY OF THE INFORMATION CONTAINED HEREIN. IT HAS BEEN OBTAINED FROM SOURCES BELIEVED TO BE RELIABLE BUT ITS ACCURACY AND COMPLETENESS CANNOT BE GUARANTEED.

RECEIVED MAR 0 7 1986

** NET CAPITAL CHANGE INCLUDES MARKET VALUE OF SECURITIES/CASH DEPOSITED LESS MARKET VALUE OF ALL SECURITIES/CASH WITHDRAWN

Charter Account

Smith Barney Harris Upham's Charter Account for the Private Investor

CAPITAL GAINS STATUS

ACCOUNT NUMBER IS : 04E-631809

AT FEBRUARY 28 1986
H.JOE RAHALL II

CAPITAL GAIN/LOSS- REALIZED DURING 1986

UNITS OR PAR	SECURITY DESCRIPTION	DATE SOLD	SALES PRICE	DATE ACQUIRED	COST	LONG-TERM GAIN/LOSS-	SHORT-TERM GAIN/LOSS-
500	PIONEER SVGS BANK CLEARWATER FLA	02-05-86	4,578.60	07-18-85	3,975.90	602.70	
200	PHILADELPHIA ELECTRIC CO	02-12-86	3,849.07	10-15-85	2,946.30		902.77
100	PHILADELPHIA ELECTRIC CO	02-12-86	1,924.53	10-14-85	1,462.70		461.83
	NET REALIZED GAIN/LOSS-					602.70	1,364.60

** ATTRIBUTABLE TO PRIOR TAX YEAR.

CAPITAL GAIN/LOSS- UNREALIZED TO DATE

UNITS OR PAR	SECURITY DESCRIPTION	DATE ACQUIRED	COST	CURRENT VALUE	UNREALIZED LONG-TERM GAIN/LOSS	UNREALIZED SHORT-TERM GAIN/LOSS
100	ALLIANCE TECHNOLOGY FUND INC PORTFOLIO ONE	05-16-83	2,402.00	2,354.50	47.50-	
200	CORDIS CORP	01-31-84	4,050.00	2,000.00	2,050.00-	
200	CORDIS CORP	05-15-84	3,275.00	2,000.00	1,275.00-	
200	GLAXO HLDGS LTD ADR	11-12-85	2,223.90	2,887.40		663.50
200	GLAXO HLDGS LTD ADR	11-20-85	2,287.20	2,887.40		600.20
200	GLAXO HLDGS LTD ADR	11-21-85	2,312.20	2,887.40		575.20
200	GREAT ATLANTIC & PAC TEA	06-14-84	3,111.80	4,575.00	1,463.20	

Charter Account

Smith Barney, Harris Upham's Charter Account for the Private Investor

CAPITAL GAINS STATUS
PAGE 2

ACCOUNT NUMBER IS : 04E-631809

AT FEBRUARY 28 1986
N.JOE RAHALL II

CAPITAL GAIN/LOSS- UNREALIZED TO DATE

UNITS OR PAR	SECURITY DESCRIPTION	DATE ACQUIRED	COST	CURRENT VALUE	UNREALIZED LONG-TERM GAIN/LOSS	UNREALIZED SHORT-TERM GAIN/LOSS
200	GREAT ATLANTIC & PAC TEA	07-20-84	3,070.40	4,575.00	1,504.60	
210	WTS HORN & HARDART EXP 12/27/87 $18.75	02-16-84	1,754.40	170.52	1,583.88-	
210	WTS HORN & HARDART EXP 12/27/87 $18.75	05-15-84	1,448.00	170.52	1,277.48-	
100	INTEL CORP	12-05-85	3,101.70	2,775.00		326.70-
100	INTEL CORP	12-12-85	3,051.70	2,775.00		276.70-
$25.0M	LOUISIANA HSG FIN AGY MTG RV MULTI FAM FHA INSD MTG LN REGISTERED CALL JJ1 0.000% 07/01/2002	07-08-82	250.00	7,247.25	6,997.25	
500	NORTHERN IND PUB SVC CO	08-26-85	5,938.20	5,562.50	375.70-	
500	NORTHERN IND PUB SVC CO	09-23-85	5,938.20	5,562.50		375.70-
300	NORTHERN IND PUB SVC CO	10-08-85	3,344.20	3,337.50		6.70-
368	SMITH BARNEY FDS INC U S GOVT SECS PORT	11-29-84	5,004.80	5,168.56	163.76	
200	TRANS WORLD AIRLINES PFD CUM $2.25	11-30-82	3,000.00	2,875.00	125.00-	
300	WICKES COS INC NEW	03-25-85	1,329.50	1,425.00	95.50	
	NET UNREALIZED LONG-TERM GAIN/LOSS-				3,489.75	
	NET UNREALIZED SHORT-TERM GAIN/LOSS-					853.10

Charter Account

Smith Barney, Harris Upham's Charter Account for the Private Investor

APPRAISAL OF INVESTMENTS

ACCOUNT NUMBER IS : 04E-631809

AT FEBRUARY 28 1986
N.JOE RAHALL II

HOLDINGS IN OUR CARE:

UNITS OR PAR	SECURITY DESCRIPTION	COST	UNIT COST	CURRENT VALUE	UNIT PRICE	UNREALIZED GAIN/LOSS-		ANNUAL RATE DIV/INT	YIELD	ANNUAL INCOME
	COMMON STOCKS									
100	ALLIANCE TECHNOLOGY FUND INC PORTFOLIO ONE	2,402.00	24.020	2,354.50	23.545	47.50-		0.22	0.9	22.20
400	CORDIS CORP	7,325.00	18.313	4,000.00	10.000	3,325.00-		0.00	0.0	0.00
600	GLAXO HLDGS LTD ADR	6,823.30	11.372	8,662.20	14.430	1,838.90	ST	0.16	1.1	96.00
400	GREAT ATLANTIC & PAC TEA	6,182.20	15.456	9,150.00	22.870	2,967.80		0.40	1.7	160.00
420	WTS HORN & HARDART EXP 12/27/87 $18.75	3,202.40	7.625	341.04	0.810	2,861.36-		0.00	0.0	0.00
200	INTEL CORP	6,153.40	30.767	5,550.00	27.750	603.40-	ST	0.00	0.0	0.00
500	NORTHERN IND PUB SVC CO	5,938.20	11.876	5,562.50	11.125	375.70-		1.56	14.0	780.00
800	NORTHERN IND PUB SVC CO	9,282.40	11.603	8,900.00	11.120	382.40-	ST	1.56	14.0	1,248.00
368	SMITH BARNEY FDS INC U S GOVT SECS PORT	5,004.80	13.600	5,168.56	14.045	163.76		1.52	10.8	559.36
300	WICKES COS INC NEW	1,329.50	4.432	1,425.00	4.750	95.50		0.00	0.0	0.00
	SUB TOTAL	53,643.20		51,113.80		3,382.50- 853.10	 ST			2,865.56

Charter Account
Smith Barney, Harris Upham's Charter Account for the Private Investor

APPRAISAL OF INVESTMENTS
PAGE 2

ACCOUNT NUMBER IS : 04E-631809

AT FEBRUARY 28 1986
N.JOE RAHALL II

HOLDINGS IN OUR CARE:

UNITS OR PAR	SECURITY DESCRIPTION	COST	UNIT COST	CURRENT VALUE	UNIT PRICE	UNREALIZED GAIN/LOSS-	ANNUAL RATE DIV/INT	YIELD	ANNUAL INCOME
	PREFERRED STOCKS								
200	TRANS WORLD AIRLINES PFD CUM $2.25	3,000.00	15.000	2,875.00	14.375	125.00-	2.25	15.7	450.00
	SUB TOTAL	3,000.00		2,875.00		125.00-			450.00
	TOTAL EQUITY	56,643.20		53,988.80		3,507.50- 853.10 ST			3,315.56
	MUNICIPAL BONDS								
$25.0M	LOUISIANA HSG FIN AGY MTG RV MULTI FAM FHA INSD MTG LN REGISTERED CALL JJ1 0.000% 07/01/2002	250.00	1.000	7,247.25	28.989	6,997.25	0.000	0.0	0.00
	SUB TOTAL	250.00		7,247.25		6,997.25			0.00
	TOTAL FIXED INCOME	250.00		7,247.25		6,997.25			0.00
	TOTAL SECURITIES	56,893.20		61,236.05		3,489.75 853.10 ST		5.4	3,315.56

SPECIAL HOLDINGS:

ASSETS	COST	CURRENT VALUE	ANNUAL INCOME

Charter Account
Smith Barney, Harris Upham's Charter Account for the Private Investor

APPRAISAL OF INVESTMENTS
PAGE 3
ACCOUNT NUMBER IS : 04E-631809

AT FEBRUARY 28 1986
N.JOE RAHALL II

SPECIAL HOLDINGS:

	ASSETS	COST	CURRENT VALUE	ANNUAL INCOME
4556	GULF UTD 1.20 CV PFD	59,228.00	0.00	0.00
	TOTAL	59,228.00	NONE	
	LIABILITIES			NONE
	TOTAL	NONE	NONE	NONE
		CASH BALANCE:	$16,151.32-	

TOTAL VALUE OF YOUR HOLDINGS THIS DATE 45,084.73

ACCOUNT NUMBER IS : 04E-631809

Charter Account
Smith Barney, Harris Upham's Charter Account for the Private Investor

INVESTMENT DIARY
N.JOE RAHALL II

SUMMARY OF ACCOUNT ACTIVITY
FOR THE 4 WEEKS ENDING FEB. 28, 1986

	YOUR ACCOUNT WAS CHARGED	YOUR ACCOUNT WAS CREDITED	NET RESULT	YEAR-TO-DATE NET RESULT
CASH BALANCE AS OF 01/31/86			26,561.41-	
SALES		10,352.20	10,352.20	
DIVIDENDS		223.00	223.00	223.00
MARGIN INTEREST PAID	165.11		165.11-	387.79-
CHARTER ACCOUNT FEES			.00	35.08-
CASH BALANCE AS OF 02/28/86			16,151.32-	

Charter Account

Smith Barney Harris Upham's Charter Account for the Private Investor

DIVIDEND AND INTEREST STATEMENT

ACCOUNT NUMBER 04E-631809

FOR THE 4 WEEKS ENDING FEB. 28, 1986

N.JOE RAHALL II

	SECURITY DESCRIPTION		AMOUNT		
DIVIDENDS					
	DIV. ON 300SHRS GLAXO HLDGS LTD ADR	$	70.50		
	DIV. ON 400SHRS GREAT ATLANTIC & PAC TEA	$	40.00		
	DIV. ON 200SHRS TRANS WORLD AIRLINES PFD CUM $2.25	$	112.50		
	TOTAL DIVIDENDS			$	223.00

INTEREST

CORPORATE BOND INTEREST

NONE

MUNICIPAL BOND INTEREST

NONE

U.S. GOVERNMENT SECURITIES INTEREST

NONE

*** TOTAL INCOME ***	$	223.00

AT JANUARY 31 1986

Charter Account

Smith Barney Harris Upham's Charter Account for the Private Investor

RECEIVED FEB 14 1986

YOUR ACCOUNT EXECUTIVE
MARYBETH ERICSON
CHARLESTON
(304) 344-9601

ACCOUNT NUMBER 04E 631809

N OE RAHALL II
216 1/2 MAIN STREET
BECKLEY, WV 25801

YEAR-TO-DATE CHANGES

38,730.59	VALUE OF HOLDINGS IN OUR CARE ON 01/01/86
0.00	NET CAPITAL CHANGE **
38,730.59	ADJUSTED OPENING MARKET VALUE
37,175.62	VALUE OF HOLDINGS IN OUR CARE ON 01/31/86
1,297.21-	SECURITY VALUE GAIN/LOSS
4.097-	% CHANGE

CHECK YOUR OWN RECORDS TO VERIFY THE ACCURACY OF THE INFORMATION CONTAINED HEREIN. IT HAS BEEN OBTAINED FROM SOURCES BELIEVED TO BE RELIABLE BUT ITS ACCURACY AND COMPLETENESS CANNOT BE GUARANTEED.

** NET CAPITAL CHANGE INCLUDES MARKET VALUE OF SECURITIES/CASH DEPOSITED LESS MARKET VALUE OF ALL SECURITIES/CASH WITHDRAWN

Charter Account

Smith Barney, Harris Upham's Charter Account for the Private Investor

CAPITAL GAINS STATUS

ACCOUNT NUMBER IS : 04E-631809

AT JANUARY 31 1986
N.JOE RAHALL II

CAPITAL GAIN/LOSS- REALIZED DURING 1986

UNITS OR PAR	SECURITY DESCRIPTION	DATE SOLD	SALES PRICE	DATE ACQUIRED	COST	LONG-TERM GAIN/LOSS-	SHORT-TERM GAIN/LOSS-
	NET REALIZED GAIN/LOSS-					0.00	0.00

** ATTRIBUTABLE TO PRIOR TAX YEAR.

CAPITAL GAIN/LOSS- UNREALIZED TO DATE

UNITS OR PAR	SECURITY DESCRIPTION	DATE ACQUIRED	COST	CURRENT VALUE	UNREALIZED LONG-TERM GAIN/LOSS	UNREALIZED SHORT-TERM GAIN/LOSS
100	ALLIANCE TECHNOLOGY FUND INC PORTFOLIO ONE	05-16-83	2,402.00	2,197.50	204.50-	
200	CORDIS CORP	01-31-84	4,050.00	2,000.00	2,050.00-	
200	CORDIS CORP	05-15-84	3,275.00	2,000.00	1,275.00-	
100	GLAXO HLDGS LTD ADR	11-12-85	2,223.90	1,231.20		992.70-
100	GLAXO HLDGS LTD ADR	11-20-85	2,287.20	1,231.20		1,056.00-
100	GLAXO HLDGS LTD ADR	11-21-85	2,312.20	1,231.20		1,081.00-
200	GREAT ATLANTIC & PAC TEA	06-14-84	3,111.80	4,175.00	1,063.20	
200	GREAT ATLANTIC & PAC TEA	07-20-84	3,070.40	4,175.00	1,104.60	
210	WTS HORN & HARDART EXP 12/27/87 $18.75	02-16-84	1,754.40	157.29	1,597.11-	
210	WTS HORN & HARDART EXP 12/27/87 $18.75	05-15-84	1,448.00	157.29	1,290.71-	

Charter Account

Smith Barney Harris Upham's Charter Account for the Private Investor

CAPITAL GAINS STATUS
PAGE 2

ACCOUNT NUMBER IS : 04E-631809

AT JANUARY 31 1986
N.JOE RAHALL II

CAPITAL GAIN/LOSS- UNREALIZED TO DATE

UNITS OR PAR	SECURITY DESCRIPTION	DATE ACQUIRED	COST	CURRENT VALUE	UNREALIZED LONG-TERM GAIN/LOSS	UNREALIZED SHORT-TERM GAIN/LOSS
100	INTEL CORP	12-05-85	3,101.70	2,825.00		276.70-
100	INTEL CORP	12-12-85	3,051.70	2,825.00		226.70-
$25.0M	LOUISIANA HSG FIN AGY MTG RV MULTI FAM FHA INSD MTG LN REGISTERED CALL JJ1 0.000% 07/01/2002	07-08-82	250.00	6,222.75	5,972.75	
500	NORTHERN IND PUB SVC CO	08-26-85	5,938.20	5,312.50		625.70-
500	NORTHERN IND PUB SVC CO	09-23-85	5,938.20	5,312.50		625.70-
300	NORTHERN IND PUB SVC CO	10-08-85	3,344.20	3,187.50		156.70-
100	PHILADELPHIA ELECTRIC CO	10-14-85	1,462.70	1,900.00		437.30
200	PHILADELPHIA ELECTRIC CO	10-15-85	2,946.30	3,800.00		853.70
500	PIONEER SVGS BANK CLEARWATER FLA	07-18-85	3,975.90	4,562.50	586.60	
368	SMITH BARNEY FDS INC U S GOVT SECS PORT	11-29-84	5,004.80	5,133.60	128.80	
200	TRANS WORLD AIRLINES PFD CUM $2.25	11-30-82	3,000.00	2,675.00	325.00-	
300	WICKES COS INC NEW	03-25-85	1,329.50	1,425.00	95.50	
	NET UNREALIZED LONG-TERM GAIN/LOSS-				2,209.13	
	NET UNREALIZED SHORT-TERM GAIN/LOSS-					3,750.20-

Charter Account

Smith Barney, Harris Upham's Charter Account for the Private Investor

APPRAISAL OF INVESTMENTS

ACCOUNT NUMBER IS : 04E-631809

AT JANUARY 31 1986
N.JOE RAHALL II

HOLDINGS IN OUR CARE:

UNITS OR PAR	SECURITY DESCRIPTION	COST	UNIT COST	CURRENT VALUE	UNIT PRICE	UNREALIZED GAIN/LOSS-		ANNUAL RATE DIV/INT	YIELD	ANNUAL INCOME
	COMMON STOCKS									
100	ALLIANCE TECHNOLOGY FUND INC PORTFOLIO ONE	2,402.00	24.020	2,197.50	21.975	204.50-		0.22	1.0	22.20
400	CORDIS CORP	7,325.00	18.313	4,000.00	10.000	3,325.00-		0.00	0.0	0.00
300	GLAXO HLDGS LTD ADR	6,823.30	22.744	3,693.60	12.310	3,129.70-	ST	0.16	1.3	48.00
400	GREAT ATLANTIC & PAC TEA	6,182.20	15.456	8,350.00	20.870	2,167.80		0.40	1.9	160.00
420	WTS HORN & HARDART EXP 12/27/87 $18.75	3,202.40	7.625	314.58	0.740	2,887.82-		0.00	0.0	0.00
200	INTEL CORP	6,153.40	30.767	5,650.00	28.250	503.40-	ST	0.00	0.0	0.00
1300	NORTHERN IND PUB SVC CO	15,220.60	11.708	13,812.50	10.620	1,408.10-	ST	1.56	14.7	2,028.00
300	PHILADELPHIA ELECTRIC CO	4,409.00	14.697	5,700.00	19.000	1,291.00	ST	2.20	11.6	660.00
500	PIONEER SVGS BANK CLEARWATER FLA	3,975.90	7.952	4,562.50	9.125	586.60		0.00	0.0	0.00
368	SMITH BARNEY FDS INC U S GOVT SECS PORT	5,004.80	13.600	5,133.60	13.950	128.80		1.62	11.6	596.16
300	WICKES COS INC NEW	1,329.50	4.432	1,425.00	4.750	95.50		0.00	0.0	0.00
	SUB TOTAL	62,028.10		54,839.28		3,438.62- 3,750.20-	 ST			3,514.36

Charter Account

Smith Barney, Harris Upham's Charter Account for the Private Investor

APPRAISAL OF INVESTMENTS
PAGE 2

ACCOUNT NUMBER IS : 04E-631809

AT JANUARY 31 1986
N.JOE RAHALL II

HOLDINGS IN OUR CARE:

UNITS OR PAR	SECURITY DESCRIPTION	COST	UNIT COST	CURRENT VALUE	UNIT PRICE	UNREALIZED GAIN/LOSS-		ANNUAL RATE DIV/INT	YIELD	ANNUAL INCOME
	PREFERRED STOCKS									
200	TRANS WORLD AIRLINES PFD CUM $2.25	3,000.00	15.000	2,675.00	13.375	325.00-		2.25	16.8	450.00
	SUB TOTAL	3,000.00		2,675.00		325.00-				450.00
	TOTAL EQUITY	65,028.10		57,514.28		3,763.62- 3,750.20-	 ST			3,964.36
	MUNICIPAL BONDS									
$25.0M	LOUISIANA HSG FIN AGY MTG RV MULTI FAM FHA INSD MTG LN REGISTERED CALL JJ1 0.000% 07/01/2002	250.00	1.000	6,222.75	24.891	5,972.75		0.000	0.0	0.00
	SUB TOTAL	250.00		6,222.75		5,972.75				0.00
	TOTAL FIXED INCOME	250.00		6,222.75		5,972.75				0.00
	TOTAL SECURITIES	65,278.10		63,737.03		2,209.13 3,750.20-	 ST		6.2	3,964.36

SPECIAL HOLDINGS:

ASSETS	COST	CURRENT VALUE	ANNUAL INCOME

ACCOUNT NUMBER IS : 04E-631809

AT JANUARY 31 1986
N.JOE RAHALL II

Charter Account

Smith Barney, Harris Upham's Charter Account for the Private Investor

SPECIAL HOLDINGS:

	ASSETS	COST	CURRENT VALUE	ANNUAL INCOME
4556	GULF UTD 1.20 CV PFD	59,228.00	0.00	0.00
	TOTAL	59,228.00	NONE	NONE
	LIABILITIES			
	TOTAL	NONE	NONE	NONE

CASH BALANCE: $26,561.41-

TOTAL VALUE OF YOUR HOLDINGS THIS DATE 37,175.62

ACCOUNT NUMBER IS : 04E-631809

INVESTMENT DIARY
N.JOE RAHALL II

Charter Account

Smith Barney, Harris Upham's Charter Account for the Private Investor

SUMMARY OF ACCOUNT ACTIVITY
FOR THE 5 WEEKS ENDING JAN. 31, 1986

	YOUR ACCOUNT WAS CHARGED	YOUR ACCOUNT WAS CREDITED	NET RESULT	YEAR-TO-DATE NET RESULT
CASH BALANCE AS OF 12/31/85			26,303.65-	
MARGIN INTEREST PAID	222.68		222.68-	222.68-
CHARTER ACCOUNT FEES	35.08		35.08-	35.08-
CASH BALANCE AS OF 01/31/86			26,561.41-	

SMITH BARNEY
CLIENT
STATEMENT

ACCOUNT NO.	TAXPAYER	STATEMENT PERIOD	PAGE
04E-631809	236-78-8645	01/01/86 TO 01/31/86	1 OF 2

DO YOU HAVE MORE THAN ONE IRA? TRANSFERRING TO ONE SMITH BARNEY IRA CAN OFFER MAXIMUM INVESTMENT FLEXIBILITY AND LOWER FEES. SEE ENCLOSED TOPICS FOR MORE DETAILS AND IRA IDEAS.

COPY SENT PER INSTRUCTIONS

N JOE RAHALL II
1801 HARPER ROAD
BECKLEY WV 25801-3330

FINANCIAL SUMMARY

OPENING CASH BALANCE	26303.65DR
CLOSING CASH BALANCE	26561.41DR
PRICED PORTFOLIO	57287.98
EQUITY	30726.57
BUYING POWER	5420.30
SHORT ACCOUNT	
LOAN INTEREST YEAR TO DATE	222.68
DIST FROM DIRECT INVEST	

SUMMARY OF YOUR INCOME

	THIS MONTH	YEAR TO DATE
CASH PORTFOLIO DIVIDENDS		
GOVT PORTFOLIO DIVIDENDS		
TAX FREE FUND DIVIDENDS		
DIVIDENDS		
GOVERNMENT BOND INTEREST		
BOND INTEREST		
MUNICIPAL BOND INTEREST		
OTHER INCOME		
TOTAL INCOME		

YOUR ACCOUNT EXECUTIVE AND SERVICING OFFICE

304-344-9601
ERICSON, MARY B.
CHARLESTON NATIONAL PLAZA
P O BOX 671 MAIN FLOOR
CHARLESTON WV 25323-0671

PORTFOLIO POSITIONS

YPE	QUANTITY	SECURITY DESCRIPTION	SYMBOL	PRICE (AS OF 01/31/86)	VALUE	CURRENT EST. ANNUAL INCOME	CURRENT EST. ANNUAL % YIELD
		PRICED PORTFOLIO					
1	100	ALLIANCE TECHNOLOGY FUND INC PORTFOLIO ONE		21	2100.00	22	1.0
2	400	CORDIS CORP	CORD	10	4000.00		
1	300	GLAXO HLDGS LTD ADR	GLXOY	12 5/16	3693.60	48	1.2
2	400	GREAT ATLANTIC & PAC TEA	GAP	20 7/8	8350.00	160	1.9
2	420	WTS HORN & HARDART EXP 12/27/87 $18.75	HORWS	.749	314.58		
1	100	INTEL CORP	INTC	28 1/4	2825.00		
2	100	INTEL CORP	INTC	28 1/4	2825.00		
2	1300	NORTHERN IND PUB SVC CO	NI	10 5/8	13812.50		
2	300	PHILADELPHIA ELECTRIC CO	PE	19	5700.00	660	11.5
2	500	PIONEER SVGS BANK CLEARWATER FLA	PSBF	9 1/8	4562.50		
1	368	SMITH BARNEY FDS INC U S GOVT SECS PORT		13.600	5004.80	559	11.1
1	200	TRANS WORLD AIRLINES INC PFD 2.25	TWAPR	13 3/8	2675.00	450	16.8
2	300	WICKES COS INC NEW	WIX	4 3/4	1425.00		
		TOTAL PRICED PORTFOLIO....................................			57287.98	1899	3.3
		UNPRICED PORTFOLIO					
1	25000	LOUISIANA HSG FIN AGY MTG RV MULTI FAM					

ee reverse side for important information.

SMITH BARNEY
CLIENT
STATEMENT

ACCOUNT NO.	TAXPAYER	STATEMENT PERIOD	PAGE
04E-631809	236-78-8645	01/01/86 TO 01/31/86	2 OF 2

N JOE RAHALL II
1801 HARPER ROAD

PORTFOLIO POSITIONS

YPE	QUANTITY	SECURITY DESCRIPTION		SYMBOL	PRICE (AS OF 01/31/86)	VALUE	CURRENT EST. ANNUAL INCOME	CURRENT EST. ANNUAL % YIELD
		UNPRICED PORTFOLIO FHA INSD MTG LN 0.000% 07/01/2002	REGISTERED CALL JJ1					

DAILY ACCOUNT ACTIVITY

TYPE	DATE	TRN	QUANTITY	DESCRIPTION	PRICE	DEBIT	CREDIT
1	01-30	JNL		CHARTER ACCOUNT FEE		35.08	
2	01-31	INT		INTEREST FOR 31 DAYS AT 9.8307% AVG RATE AVG BAL 26304.77DR 12/31 - 01/02 9.75% 01/03 - 01/07 10.25% 01/08 - 01/30 9.75%		222.68	

* * * * * * END OF STATEMENT * * * * * *

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ee reverse side for important information.

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Wheat First Securities, Inc.

STATEMENT

NICK JOE RAHALL II
1801 HARPER ROAD
BECKLEY WV 25801

Covers: II B. Income
III Holdings
II Transactions

DUPLICATE

PERIOD ENDED:	JANUARY 31, 1986
PREVIOUS STATEMENT:	DECEMBER 31, 1985
ACCOUNT NUMBER:	18-73645-315-R
ACCOUNT EXECUTIVE:	EDWARD G. RAHALL
TELEPHONE NUMBER:	304-252-6221
ADDRESS:	BECKLEY, W.VA.
TAX I.D. NUMBER:	236-78-8645
PAGE	1

INVESTMENT IDEA
WHEAT SELF-DIRECTED IRA: NO SET-UP OR MAINTENANCE FEES FOR TAX YEAR IF MAXIMUM ALLOWABLE CONTRIBUTION IS MADE. ASK YOUR INV. BROKER.

SUMMARY INFORMATION

ACCOUNT ACTIVITY	MMF ACCOUNT(S)	SECURITY ACCOUNT
OPENING BALANCES	68,163.98	15,200.13
NET SECURITIES PURCHASE & SALES		14,808.80
MONEY MARKET FUND PURCHASES, SALES & TRANSFERS	30,483.34	30,483.34 DR
DIVIDEND & INTEREST INCOME		552.25
OTHER TRANSACTIONS		
CLOSING BALANCES	**98,647.32**	**77.84**

INCOME	CURRENT	YEAR-TO-DATE
DIVIDENDS	64.41	64.41
INTEREST	77.84	77.84
CEF REG PORTFOLIO	410.00	410.00
TOTAL	**552.25**	**552.25**

ACCOUNT EQUITY		
CASH		$77.84
MONEY MARKET FUND BALANCE & YIELD		
CEF REG PORTFOLIO 7 DAY AVERAGE YIELD	7.28%	98,647.32
VALUE OF PRICED SECURITIES		$149,469.00
TOTAL NET RESOURCES (EXCLUDING UNPRICED SECURITIES)		**$248,194.16**

DETAIL OF ACCOUNT ACTIVITY

SECURITIES PURCHASES & SALES

DATE	ACTIVITY	QUANTITY	DESCRIPTION	PRICE	AMOUNT
01/21	SOLD	200	AMER GENL CORP $2.64 CUM CV PFD	75	14,808.80
			NET AMOUNT OF BUYS & SELLS		**14,808.80**

MONEY MARKET PURCHASES SALES & TRANSFERS

DATE	ACTIVITY	DESCRIPTION	AMOUNT
01/15	BOUGHT	CEF REG PORTFOLIO	410.00 DR
01/24	BOUGHT	WCEF BUY	30,073.34 DR
		NET AMOUNT OF ACTIVITY	**30,483.34** DR

DIVIDEND & INTEREST INCOME

DATE	ACTIVITY		DESCRIPTION	AMOUNT
01/02	DIVIDEND ON	100	EASTN GAS&FUEL ASSOC	32.50
01/10	DIVIDEND ON	100	FREEPORT MCMORAN OIL & GAS RTY TR UBI	16.91
01/15	CEF REG DIVIDEND		CEF REG DIVIDEND	410.00
01/17	DIVIDEND ON	100	SANDERS ASSOCIATES	15.00
01/29	INTEREST		AVG QUALIFIED CREDIT BAL OF $16,680.48 TO 01/29 @ 6.00 %	77.84
			NET DIVIDEND & INTEREST INCOME	**552.25**

OTHER TRANSACTIONS

DATE	ACTIVITY	QUANTITY	DESCRIPTION	AMOUNT
01/23	JOURNAL ENTRY		TRANS BTW TYPOES	2,020.23
01/23	JOURNAL ENTRY		TF BETW TYPES	13,189.85
01/23	JOURNAL ENTRY		TF BETW TYPES	13,189.85 DR
01/23	JOURNAL ENTRY		TRANS BTW TYPES	2,020.23 DR
			NET AMOUNT OF ACTIVITY	**.00**

707 East Main Street, Richmond, Virginia, 23219

[illegible]

Wheat, First Securities, Inc.

STATEMENT

NICK JOE RAHALL II
1801 HARPER ROAD
BECKLEY WV 25801

DUPLICATE

PERIOD ENDED: JANUARY 31, 1986
PREVIOUS STATEMENT: DECEMBER 31, 1985
ACCOUNT NUMBER: 18-73645-315-R
ACCOUNT EXECUTIVE: EDWARD G. RAHALL
TELEPHONE NUMBER: 304-252-6221
ADDRESS: BECKLEY, W.VA.
TAX I.D. NUMBER: 236-78-8645
PAGE 2

SECURITY HOLDINGS

PRICED SECURITIES

QUANTITY	DESCRIPTION	PRICE	EST. YIELD%	EST. ANNUAL INCOME	VALUE
1,300	AMER GENL CORP $2.64 CUM CV PFD	73.75			95,875.00
100	COMMUNICTN SATELLITE	35.875	3%	120.00	3,587.50
300	DATAPOINT CORP COM $.25 PAR VALUE	5.125			1,537.50
100	EASTN GAS&FUEL ASSOC	23.75	5%	130.00	2,375.00
100	FREEPORT MCMORAN OIL & GAS RTY TR UBI	6.875	28%	193.00	687.50
500	FREEPORT-MC-MORAN INC	16.375	3%	300.00	8,187.50
1,700	GULF BROADCAST CO	.75			1,275.00
300	INTELOGIC TRACE INC	15.25			4,575.00
600	M C I COMMUNICATIONS	12.375			7,425.00
300	PHILLIPS PETROLEUM	10.875	9%	300.00	3,262.50
16	PHILLIPS PETE CO PFD SER A ADJ RATE	21.50			344.00
100	SANDERS ASSOCIATES	34.75	1%	60.00	3,475.00
500	TRANS WORLD AIRLINES $2.25 CUM PFD STK	13.375			6,687.50
10,000	NATL MEDICAL ENT 12 1/8% LEVEL DEBT SER BONDS DUE 11/15/1999 DTD 12/27/1984	101.75			10,175.00
	TOTAL MARKET VALUE PRICED SECURITIES				**149,469.00**

UNPRICED SECURITIES

QUANTITY	DESCRIPTION		
100	FIRST NATIONAL BANK	BECKLFY W VA	
400	WINTHROP INSD MTG	INVS II UT LTD	PARTN INT
50,000	ALABAMA SPL CARE FAC DUE 4-1-06	FING AUTH MONTGOMERY DTD 6-1-83	9.75% MTG REV
5,000	MAGNET BANK F S B DUE 10/06/95	ZERO COUPON DTD 03/29/84	CERT OF DEPOSIT FSLIC INSURED
35,000	OAK RIDGE TN IDB 0% DUE 6/1/25 OY=10%	MTG REV FHA INSRD CPN COMM 6/1/10@10%	BRITISH WOODS APTS DTD 6/26/1985-REGSTD
30,000	TAMPA FLA WTR & DUE 10-1-05	SWR SYS DTD 5-1-82	0%
50,000	WEST VA HSG DEV FUND 0% DUE 1/01/2015	SGLE FAM MORT REV DTD 9/28/1983	SER B CAP APPR BDS EXT CALL REGSTD

707 East Main Street, Richmond, Virginia, 23219

Wheat, First Securities, Inc. STATEMENT

NICK JOE RAHALL II
307 BAIR BUILDING
BECKLEY WV 25801

PERIOD ENDED:	FEBRUARY 28, 1986
PREVIOUS STATEMENT:	JANUARY 31, 1986
ACCOUNT NUMBER:	18-73645-315-R
ACCOUNT EXECUTIVE:	EDWARD G. RAHALL
TELEPHONE NUMBER:	304-252-6221
ADDRESS:	BECKLEY, W.VA.
TAX I.D. NUMBER:	236-78-8645
	PAGE 1

DUPLICATE

INVESTMENT IDEA

WHEAT SELF-DIRECTED IRA: NO SET-UP OR MAINTENANCE FEES FOR TAX YEAR IF MAXIMUM ALLOWABLE CONTRIBUTION IS MADE. ASK YOUR INV. BROKER.

SUMMARY INFORMATION

ACCOUNT ACTIVITY	MMF ACCOUNT(S)	SECURITY ACCOUNT
OPENING BALANCES	98,647.32	77.84
NET SECURITIES PURCHASE & SALES		3,026.62 DR
MONEY MARKET FUND PURCHASES, SALES & TRANSFERS	619.00	619.00 DR
DIVIDEND & INTEREST INCOME		1,280.12
OTHER TRANSACTIONS		1,027.82 DR
CLOSING BALANCES	**99,266.32**	**3,315.48 DR**

INCOME	CURRENT	YEAR-TO-DATE
DIVIDENDS	358.00	422.41
INTEREST	303.12	380.96
CEF REG PORTFOLIO	619.00	1,029.00
TOTAL	**1,280.12**	**1,832.37**

ACCOUNT EQUITY		
CASH		$3,315.48 DR
MONEY MARKET FUND BALANCE & YIELD		
CEF REG PORTFOLIO 7 DAY AVERAGE YIELD	7.18%	99,266.32
VALUE OF PRICED SECURITIES		$163,225.50
TOTAL NET RESOURCES (EXCLUDING UNPRICED SECURITIES)		**$259,176.34**

DETAIL OF ACCOUNT ACTIVITY

SECURITIES PURCHASES & SALES

DATE	ACTIVITY	QUANTITY	DESCRIPTION	PRICE	AMOUNT
02/11	BOUGHT	100	BRISTOL MYERS CO	64.125	6,507.85 DR
02/12	SOLD	100	SANDERS ASSOCIATES	35.625	3,481.23
			NET AMOUNT OF BUYS & SELLS		**3,026.62 DR**

MONEY MARKET PURCHASES SALES & TRANSFERS

DATE	ACTIVITY	DESCRIPTION	AMOUNT
02/19	BOUGHT	CEF REG PORTFOLIO	619.00 DR
		NET AMOUNT OF ACTIVITY	**619.00 DR**

DIVIDEND & INTEREST INCOME

DATE	ACTIVITY		DESCRIPTION		AMOUNT
02/05	DIVIDEND		FREEPORT-MC-MORAN	INC	46.45
02/06	DIVIDEND		FREEPORT MCMORAN OIL	& GAS RTY TR UBI	8.10
02/07	DIVIDEND		FREEPORT MCMORAN OIL	& GAS RTY TR UBI	13.24
02/18	DIVIDEND ON	16	PHILLIPS PETE CO	PFD SER A ADJ RATE	8.96
02/18	INTEREST		NATL MEDICAL ENT SER BONDS DTD 12/27/1984	12 1/8% LEVEL DEBT DUE 11/15/1999	303.12
02/19	CEF REG DIVIDEND		CEF REG DIVIDEND		619.00
02/28	DIVIDEND ON	500	TRANS WORLD AIRLINES	$2.25 CUM PFD STK	281.25
			NET DIVIDEND & INTEREST INCOME		**1,280.12**

OTHER TRANSACTIONS

DATE	ACTIVITY	QUANTITY	DESCRIPTION	AMOUNT
02/12	JOURNAL ENTRY		TFR TC FUTURES	1,010.00 DR
02/26	INTEREST		INT TO FEB 2611 0/0% 2,083.55 ADB OCB 3,578.91 CCB	17.82 DR
			NET AMOUNT OF ACTIVITY	**1,027.82 DR**

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Wheat, First Securities, Inc.

STATEMENT

NICK JOE RAHALL II
307 BAIR BUILDING
BECKLEY WV 25801

PERIOD ENDED:	FEBRUARY 28, 1986
PREVIOUS STATEMENT:	JANUARY 31, 1986
ACCOUNT NUMBER:	18-73645-315-R
ACCOUNT EXECUTIVE:	EDWARD G. RAHALL
TELEPHONE NUMBER:	304-252-6221
ADDRESS:	BECKLEY, W.VA.
TAX I.D. NUMBER:	236-78-8645

DUPLICATE

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SECURITY HOLDINGS

PRICED SECURITIES

QUANTITY	DESCRIPTION	PRICE	EST. YIELD%	EST. ANNUAL INCOME	VALUE
1,300	AMER GENL CORP $2.64 CUM CV PFD	80.25			104,325.00
100	BRISTOL MYERS CO	70.50	2%	188.00	7,050.00
100	COMMUNICTN SATELLITE	39.25	3%	120.00	3,925.00
300	DATAPOINT CORP COM $.25 PAR VALUE	5.25			1,575.00
100	EASTN GAS&FUEL ASSOC	25.00	5%	130.00	2,500.00
100	FREEPORT MCMORAN OIL & GAS RTY TR UBI	7.50	24%	182.00	750.00
500	FREEPORT-MC-MORAN INC	15.875	3%	300.00	7,937.50
1,700	GULF BROADCAST CO	.75			1,275.00
300	INTELOGIC TRACE INC	18.00			5,400.00
600	M C I COMMUNICATIONS	12.50			7,500.00
300	PHILLIPS PETROLEUM	10.75	9%	300.00	3,225.00
16	PHILLIPS PETE CO PFD SER A ADJ RATE	21.125			338.00
500	TRANS WORLD AIRLINES $2.25 CUM PFD STK	14.375			7,187.50
10,000	NATL MEDICAL ENT 12 1/8% LEVEL DEBT SER BONDS DUE 11/15/1999 DTD 12/27/1984	102.375			10,237.50
	TOTAL MARKET VALUE PRICED SECURITIES				163,225.50

UNPRICED SECURITIES

QUANTITY	DESCRIPTION		
100	FIRST NATIONAL BANK	BECKLEY W VA	
400	WINTHROP INSD MTG	INVS II UT LTD	PARTN INT
50,000	ALABAMA SPL CARE FAC DUE 4-1-06	FING AUTH MONTGOMERY DTD 6-1-83	7.75% MTG REV
5,000	MAGNET BANK F S B DUE 10/06/95	ZERO COUPON DTD 03/29/84	CERT OF DEPOSIT FSLIC INSURED
35,000	OAK RIDGE TN IDB 0% DUE 6/1/25 OY=10%	MTG REV FHA INSRD CPN COMM 6/1/10@10%	BRITISH WOODS APTS DTD 6/26/1985-REGSTD
30,000	TAMPA FLA WTR & DUE 10-1-05	SWR SYS DTD 5-1-82	0%
50,000	WEST VA HSG DEV FUND 0% DUE 1/01/2015	SGLE FAM MORT REV DTD 9/28/1983	SER B CAP APPR BDS EXT CALL REGSTD

Wheat, First Securities, Inc.

STATEMENT

NICK JOE RAHALL II
307 BAIR BUILDING
BECKLEY WV 25801

PERIOD ENDED: MARCH 31, 1986
PREVIOUS STATEMENT: FEBRUARY 28, 1986
ACCOUNT NUMBER: 18-73645-315-R
ACCOUNT EXECUTIVE: EDWARD G. RAHALL
TELEPHONE NUMBER: 304-252-6221
ADDRESS: BECKLEY, W.VA.
TAX I.D. NUMBER: 236-78-8645
PAGE 1

DUPLICATE

INVESTMENT IDEA
ARE YOUR INVESTMENTS ON TARGET IN LIGHT OF
TODAY'S MARKET OPPORTUNITIES? ASK YOUR BROKER
TO REVIEW YOUR PORTFOLIO.

SUMMARY INFORMATION

ACCOUNT ACTIVITY	MMF ACCOUNT(S)	SECURITY ACCOUNT
OPENING BALANCES	99,266.32	3,315.48 DR
NET SECURITIES PURCHASE & SALES		20,049.10
MONEY MARKET FUND PURCHASES, SALES & TRANSFERS	524.00	524.00 DR
DIVIDEND & INTEREST INCOME		1,682.70
OTHER TRANSACTIONS		1,293.91 DR
CLOSING BALANCES	**99,790.32**	**16,598.41**

INCOME	CURRENT	YEAR-TO-DATE
DIVIDENDS	1,158.70	1,581.11
INTEREST		380.96
CEF REG PORTFOLIO	524.00	1,553.00
TOTAL	**1,682.70**	**3,515.07**

ACCOUNT EQUITY		
CASH		$16,598.41
MONEY MARKET FUND BALANCE & YIELD		
CEF REG PORTFOLIO 7 DAY AVERAGE YIELD	6.93%	99,790.32
VALUE OF PRICED SECURITIES		$147,966.75
TOTAL NET RESOURCES (EXCLUDING UNPRICED SECURITIES)		**$264,355.48**

DETAIL OF ACCOUNT ACTIVITY

SECURITIES PURCHASES & SALES

DATE	ACTIVITY	QUANTITY	DESCRIPTION	PRICE	AMOUNT
03/12	SOLD	100	BRISTOL MYERS CO	70.625	6,966.91
03/13	SOLD	100	EASTN GAS&FUEL ASSOC	25	2,433.51
03/18	SOLD	100	COMMUNICTN SATELLITE	37.125	3,629.14
03/27	SOLD	70	AMER GENL CORP $2.64 CUM CV PFD	83.875	5,773.70
03/31	SOLD	100	M C I COMMUNICATIONS	12.875	1,243.84
			NET AMOUNT OF BUYS & SELLS		**20,049.10**

MONEY MARKET PURCHASES SALES & TRANSFERS

DATE	ACTIVITY	DESCRIPTION	AMOUNT
03/17	BOUGHT	CEF REG PORTFOLIO	524.00 DR
		NET AMOUNT OF ACTIVITY	**524.00 DR**

DIVIDEND & INTEREST INCOME

DATE	ACTIVITY		DESCRIPTION	AMOUNT
03/03	DIVIDEND ON	300	PHILLIPS PETROLEUM	75.00
03/05	DIVIDEND ON	500	FREEPORT-MC-MCRAN INC	75.00
03/10	DIVIDEND ON	100	COMMUNICTN SATELLITE	30.00
03/17	CEF REG DIVIDEND		CEF REG DIVIDEND	524.00
03/19	DIVIDEND		FREEPORT MCMORAN ENERGY PARTNERS LTD DEPOSITORY UNITS	120.70
03/31	DIVIDEND ON	1300	AMER GENL CORP $2.64 CUM CV PFD	858.00
			NET DIVIDEND & INTEREST INCOME	**1,682.70**

OTHER TRANSACTIONS

DATE	ACTIVITY	QUANTITY	DESCRIPTION	AMOUNT
03/07	ADJUSTMENT	630	AMERICAN GENL CORP $2.64 CONV PFD CALLED FOR RDP CALLED FOR RDP	

Wheat, First Securities, Inc.

STATEMENT

NICK JOE RAHALL II
307 BAIR BUILDING
BECKLEY WV 25801

PERIOD ENDED:	MARCH 31, 1986
PREVIOUS STATEMENT:	FEBRUARY 28, 1986
ACCOUNT NUMBER:	18-73645-315-R
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	PAGE 2

DUPLICATE

DETAIL OF ACCOUNT ACTIVITY CONT'D

OTHER TRANSACTIONS

DATE	ACTIVITY	QUANTITY	DESCRIPTION	AMOUNT
03/07	ADJUSTMENT	630-	AMER GENL CORP $2.64 CUM CV PFD CALLED FOR RDP	
03/11	INTEREST		INT TO MAR 1111 0/0% 3,274.30 ADB 3,578.91 OCB 3,135.48 CCB	13.00 DR
03/13	EXCHANGE	1,260	AMERICAN GENL CORP FR PFD	
03/13	EXCHANGE	630-	AMERICAN GENL CORP $2.64 CONV PFD CALLED FOR RDP CONVERT TO COMMON	
03/26	INTEREST		INT TO MAR 2610 1/2% 209.03 ADB 3,135.48 OCB 10,001.78CR CCB	.91 DR
03/31	JOURNAL ENTRY		TFR TO FUTURES	1,280.00 DR
			NET AMOUNT OF ACTIVITY	**1,293.91** DR

SECURITY HOLDINGS

PRICED SECURITIES

QUANTITY	DESCRIPTION	PRICE	EST. YIELD%	EST. ANNUAL INCOME	VALUE
1,260	AMERICAN GENL CORP	42.25	2%	1,411.20	53,235.00
600	AMER GENL CORP $2.64 CUM CV PFD	84.25			50,550.00
300	DATAPOINT CORP COM $.25 PAR VALUE	5.375			1,612.50
100	FREEPORT MCMORAN OIL & GAS RTY TR UBI	6.875	25%	173.00	687.50
500	FREEPORT-MC-MORAN INC	17.875	3%	300.00	8,937.50
1,700	GULF BROADCAST CO	.1875			318.75
300	INTELOGIC TRACE INC	18.00			5,400.00
500	M C I COMMUNICATIONS	11.50			5,750.00
300	PHILLIPS PETROLEUM	9.875	10%	300.00	2,962.50
16	PHILLIPS PETE CO PFD SER A ADJ RATE	21.125			338.00
500	TRANS WORLD AIRLINES $2.25 CUM PFD STK	15.75			7,875.00
10,000	NATL MEDICAL ENT 12 1/8% LEVEL DEBT SER BONDS DUE 11/15/1999 DTD 12/27/1984	103.00			10,300.00
	TOTAL MARKET VALUE PRICED SECURITIES				**147,966.75**

UNPRICED SECURITIES

QUANTITY	DESCRIPTION		
100	FIRST NATIONAL BANK	BECKLEY W VA	
400	WINTHROP INSD MTG	INVS II UT LTD	PARTN INT
50,000	ALABAMA SPL CARE FAC DUE 4-1-06	FING AUTH MONTGOMERY DTD 6-1-83	9.75% MTG REV
5,000	MAGNET BANK F S B DUE 10/06/95	ZERO COUPON DTD 03/29/84	CERT OF DEPOSIT FSLIC INSURED
35,000	OAK RIDGE TN IDB 0% DUE 6/1/25 OY=10%	MTG REV FHA INSRD CPN COMM 6/1/10010%	BRITISH WOODS APTS DTD 6/26/1985-REGSTD

Wheat, First Securities, Inc.

STATEMENT

NICK JOE RAHALL II
307 BAIR BUILDING
BECKLEY WV 25801

PERIOD ENDED: MARCH 31, 1986
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DUPLICATE

SECURITY HOLDINGS CONT'D

UNPRICED SECURITIES

QUANTITY	DESCRIPTION		
30,000	TAMPA FLA WTR & DUE 10-1-05	SWR SYS DTD 5-1-82	0%
50,000	WEST VA HSG DEV FUND 0% DUE 1/01/2015	SGLE FAM MORT REV DTD 9/28/1983	SER B CAP APPR BDS EXT CALL REGSTD

Wheat, First Securities, Inc.

STATEMENT

NICK JOE RAHALL II
307 BAIR BUILDING
BECKLEY WV 25801

PERIOD ENDED: APRIL 30, 1986
PREVIOUS STATEMENT: MARCH 31, 1986
ACCOUNT NUMBER: 18-73645-315-R
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PAGE 1

DUPLICATE

INVESTMENT IDEA
PROFESSIONAL MANAGEMENT, DIVERSIFICATION,
NATIONAL RANKING, UP 40 THE PAST YEAR: THE
SOUTHEASTERN GROWTH FUND. ASK US.

SUMMARY INFORMATION

ACCOUNT ACTIVITY	MMF ACCOUNT($)	SECURITY ACCOUNT
OPENING BALANCES	99,790.32	16,598.41
NET SECURITIES PURCHASE & SALES		13,494.19
MONEY MARKET FUND PURCHASES, SALES & TRANSFERS	11,735.92	11,735.92 DR
DIVIDEND & INTEREST INCOME		4,147.80
OTHER TRANSACTIONS		985.00 DR
CLOSING BALANCES	**111,526.24**	**21,519.48**

INCOME	CURRENT	YEAR-TO-DATE
DIVIDENDS	1,065.71	2,646.82
INTEREST	2,517.09	2,898.05
CEF REG PORTFOLIO	565.00	2,118.00
TOTAL	**4,147.80**	**7,662.87**

ACCOUNT EQUITY		
CASH		$21,519.48
MONEY MARKET FUND BALANCE & YIELD		
CEF REG PORTFOLIO 7 DAY AVERAGE YIELD	6.58%	111,526.24
VALUE OF PRICED SECURITIES		$135,603.29
TOTAL NET RESOURCES (EXCLUDING UNPRICED SECURITIES)		**$268,648.97**

DETAIL OF ACCOUNT ACTIVITY

SECURITIES PURCHASES & SALES

DATE	ACTIVITY	QUANTITY	DESCRIPTION	PRICE	AMOUNT
04/02	SOLD	60	AMERICAN GENL CORP	40.125	2,342.61
04/03	SOLD	100	AMER GENL CORP $2.64 CUM CV PFD	80	7,904.38
04/09	SOLD	300	DATAPOINT CORP COM $.25 PAR VALUE	5.125	1,465.62
04/15	BOUGHT	300	FLORIDA FEDERAL SAV & LN ASSN	18.125	5,569.44 DR
04/16	SOLD	100	AMER GENL CORP $2.64 CUM CV PFD	84.375	8,341.86
04/21	BOUGHT	15,000	SHONEY'S INNS GROUP IV INC REV PART BDS 1ST YEAR PAYMENT 9% DUE 4/15/2001 DTD 4/15/1986	100	15,022.50 DR
04/22	SOLD	4	FREEPORT MCMORAN GOLD CO	9.375	33.74
04/24	BOUGHT	500	FIRST AUSTRALIA INCOME FUND	10	5,000.00 DR
04/24	SOLD	8	FREEPORT MCMORAN ENERGY PARTNERS LTD DEPOSITORY UNITS	16.375	117.89
04/29	SOLD	400	WINTHROP INSD MTG INVS II UT LTD PARTN INT	21	8,219.95
04/30	SOLD	10,000	NATL MEDICAL ENT 12 1/8% LEVEL DEBT SER BONDS DUE 11/15/1999 DTD 12/27/1984 YTM=11.39	105	10,660.10
			NET AMOUNT OF BUYS & SELLS		**13,494.19**

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Wheat First Securities, Inc.

STATEMENT

NICK JOE RAHALL II
307 BAIR BUILDING
BECKLEY WV 25801

PERIOD ENDED:	APRIL 30, 1986
PREVIOUS STATEMENT:	MARCH 31, 1986
ACCOUNT NUMBER:	18-73645-315-R
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PAGE	2

DUPLICATE

DETAIL OF ACCOUNT ACTIVITY CONT'D

MONEY MARKET PURCHASES SALES & TRANSFERS

DATE	ACTIVITY	DESCRIPTION	AMOUNT
04/11	BOUGHT	WCEF BUY	22,851.56 DR
04/16	BOUGHT	CEF REG PORTFOLIO	565.00 DR
04/21	SOLD	WCEF SELL	11,680.64
		NET AMOUNT OF ACTIVITY	**11,735.92 DR**

DIVIDEND & INTEREST INCOME

DATE	ACTIVITY		DESCRIPTION	AMOUNT
04/01	INTEREST		ALABAMA SPL CARE FAC FING AUTH MONTGOMERY 9.75% MTG REV DUE 4-1-06 DTD 6-1-83	2,437.50
04/01	DIVIDEND ON	100	EASTN GAS&FUEL ASSOC	32.50
04/10	DIVIDEND ON	100	FREEPORT MCMORAN OIL & GAS RTY TR UBI	13.21
04/15	DIVIDEND ON	1700	GULF BROADCAST CO	1,020.00
04/16	CEF REG DIVIDEND		CEF REG DIVIDEND	565.00
04/28	INTEREST		AVG QUALIFIED CREDIT BAL OF $14,470.82 TO 04/28 @ 6.00 %	79.59
			NET DIVIDEND & INTEREST INCOME	**4,147.80**

OTHER TRANSACTIONS

DATE	ACTIVITY	QUANTITY	DESCRIPTION	AMOUNT
04/01	JOURNAL ENTRY		TFR TO FUTURES	2,360.00 DR
04/10	JOURNAL ENTRY		TSF BTWN TYPES	4,101.33
04/10	JOURNAL ENTRY		TF B/T TYPES	2,649.70
04/10	JOURNAL ENTRY		TF B/T TYPES	2,649.70 DR
04/10	JOURNAL ENTRY		TSF BTWN TYPES	4,101.33 DR
04/14	STOCK DIVIDEND	4	FREEPORT MCMORAN GOLD CO	
04/16	STOCK DIVIDEND	8	FREEPORT MCMORAN ENERGY PARTNERS LTD DEPOSITORY UNITS	
04/21	DEPOSIT			21,875.00
04/21	EXCHANGE	800	AMERICAN GENL CORP CONVERTED	
04/21	EXCHANGE	400-	AMER GENL CORP $2.64 CUM CV PFD CONV TO COMMON	
04/23	CHECK PAID		01810096	20,500.00 DR
04/23	IRA CONTRIBUTION		TRF TO 1873645	2,000.00 DR
04/23	IRA CONTRIB 85 TX YR		85 CONT A/O 4-15	2,000.00
04/24	JOURNAL ENTRY		TSF BETWN TYPES	1,033.21
04/24	JOURNAL ENTRY		TSF BETWN TYPES	1,033.21 DR
			NET AMOUNT OF ACTIVITY	**985.00 DR**

SECURITY HOLDINGS

PRICED SECURITIES

QUANTITY	DESCRIPTION	PRICE	EST. YIELD%	EST. ANNUAL INCOME	VALUE
2,000	AMERICAN GENL CORP	38.50	2%	2,240.00	77,000.00
500	FIRST AUSTRALIA INCOME FUND	10.875			5,437.50
300	FLORIDA FEDERAL SAV & LN ASSN	19.75			5,925.00
100	FREEPORT MCMORAN OIL & GAS RTY TR UBI	6.625	26%	173.00	662.50
500	FREEPORT-MC-MORAN INC	17.25	3%	300.00	8,625.00
1,700	GULF BROADCAST CO	.1875			318.75
300	INTELOGIC TRACE INC	17.50			5,250.00

Wheat First Securities, Inc.

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SECURITY HOLDINGS CONT'D

PRICED SECURITIES

QUANTITY	DESCRIPTION	PRICE	EST. YIELD%	EST. ANNUAL INCOME	VALUE
500	M C I COMMUNICATIONS	10.125			5,062.50
300	PHILLIPS PETROLEUM	9.75	10%	300.00	2,925.00
16	PHILLIPS PETE CO PFD SER A ADJ RATE	20.125			322.00
500	TRANS WORLD AIRLINES $2.25 CUM PFD STK	14.00			7,000.00
5,000	MAGNET BANK F S B ZERO COUPON CERT OF DEPOSIT DUE 10/06/95 DTD 03/29/84 FSLIC INSURED	41.50			2,075.00
15,000	SHONEY'S INNS GROUP IV INC REV PART BDS 1ST YEAR PAYMENT 9% DUE 4/15/2001 DTD 4/15/1986	100.00			15,000.00
	TOTAL MARKET VALUE PRICED SECURITIES				135,603.25

UNPRICED SECURITIES

QUANTITY	DESCRIPTION		
100	FIRST NATIONAL BANK	BECKLEY W VA	
50,000	ALABAMA SPL CARE FAC DUE 4-1-06	FING AUTH MONTGOMERY DTD 6-1-83	9.75% MTG REV
35,000	OAK RIDGE TN IDB 0% DUE 6/1/25 OY=10%	MTG REV FHA INSRD CPN COMM 6/1/10@10%	BRITISH WOODS APTS DTD 6/26/1985-REGSTD
30,000	TAMPA FLA WTR & DUE 10-1-05	SWR SYS DTD 5-1-82	0%
50,000	WEST VA HSG DEV FUND 0% DUE 1/01/2015	SGLE FAM MORT REV DTD 9/28/1983	SER B CAP APPR BDS EXT CALL REGSTD

Wheat, First Securities, Inc.

STATEMENT

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DUPLICATE

INVESTMENT IDEA
THERE'S A "NEW OPPORTUNITY TO BUY" SAYS DON HAYS IN HIS LATEST MARKET FOCUS NEWSLETTER. ASK YOUR INVESTMENT BROKER FOR A COPY.

SUMMARY INFORMATION

ACCOUNT ACTIVITY

	MMF ACCOUNT(S)	SECURITY ACCOUNT
OPENING BALANCES	111,526.24	21,519.48
NET SECURITIES PURCHASE & SALES		25,664.23 DR
MONEY MARKET FUND PURCHASES, SALES & TRANSFERS	2,462.35	2,462.35 DR
DIVIDEND & INTEREST INCOME		977.38
OTHER TRANSACTIONS		3.26 DR
CLOSING BALANCES	**113,988.59**	**5,632.98** DR

INCOME

	CURRENT	YEAR-TO-DATE
DIVIDENDS	317.39	2,964.21
INTEREST	.01 DR	2,898.04
CEF REG PORTFOLIO	660.00	2,778.00
TOTAL	**977.38**	**8,640.25**

ACCOUNT EQUITY

CASH		$5,632.98 DR
MONEY MARKET FUND BALANCE & YIELD		
CEF REG PORTFOLIO 7 DAY AVERAGE YIELD	6.19%	113,988.59
VALUE OF PRICED SECURITIES		$167,375.00
TOTAL NET RESOURCES (EXCLUDING UNPRICED SECURITIES)		**$275,730.61**

DETAIL OF ACCOUNT ACTIVITY

SECURITIES PURCHASES & SALES

DATE	ACTIVITY	QUANTITY	DESCRIPTION	PRICE	AMOUNT
05/15	SOLD	500	FIRST AUSTRALIA INCOME FUND	11.25	5,474.21
05/19	SOLD	300	FLORIDA FEDERAL SAV & LN ASSN	22	6,452.46
05/22	BOUGHT	200	AMERICAN CAN COMPANY	69.75	14,140.70 DR
05/27	BOUGHT	100	GRACE W R & CO	57	5,795.35 DR
05/28	SOLD	100	FREEPORT MCMORAN OIL & GAS RTY TR UBI	7.125	677.47
05/28	SOLD	16	PHILLIPS PETE CO PFD SER A ADJ RATE	20.875	298.98
05/28	BOUGHT	100	FREEPORT MCMOR/N OIL & GAS RTY TR UBI	7.125	677.47 DR
05/26	SOLD	100	FREEPORT MCMORAN OIL & GAS RTY TR UBI	7.125	677.47
05/29	BOUGHT	1,000	CHAMPION SPORTS INC	.09	99.00 DR
05/30	BOUGHT	70	PEPSICO INC	92.50	6,570.35 DR
05.30	BOUGHT	100	PHILIP MORRIS COS INC NEW	64.25	6,520.35 DR
05/30	BOUGHT	70	PILLSBURY CO	76.375	5,441.60 DR
			NET AMOUNT OF BUYS & SELLS		**25,664.23** DR

MONEY MARKET PURCHASES SALES & TRANSFERS

DATE	ACTIVITY	DESCRIPTION	AMOUNT
05/01	BOUGHT	WCEF BUY	20,334.64 DR
05/16	BOUGHT	CEF REG PORTFOLIO	660.00 DR
05/30	SOLD	WCEF SELL	18,532.29
		NET AMOUNT OF ACTIVITY	**2,462.35** DR

707 East Main Street, Richmond, Virginia 232

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Wheat, First Securities, Inc.

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DUPLICATE

DETAIL OF ACCOUNT ACTIVITY CONT'D

DIVIDEND & INTEREST INCOME

DATE	ACTIVITY		DESCRIPTION		AMOUNT
05/15	INTEREST		NATL MEDICAL ENT SER BONDS DTD 12/27/1984	12 1/8% LEVEL DEBT DUE 11/15/1999 SLD 4/30 PAY 5/15	303.13 DR
05/15	DIVIDEND ON	16	PHILLIPS PETE CO	PFD SER A ADJ RATE	8.80
05/15	INTEREST		NATL MEDICAL ENT SER BONDS DTD 12/27/1984	12 1/8% LEVEL DEBT DUE 11/15/1999	303.12
05/16	CEF REG DIVIDEND		CEF REG DIVIDEND		660.00
05/22	DIVIDEND		FREEPORT MCMORAN OIL .14327 PER SHR	& GAS RTY TR UBI	14.33
05/23	DIVIDEND		FREEPORT MCMORAN OIL .13015 PER SH	& GAS RTY TR UBI	13.01
05/30	DIVIDEND ON	500	TRANS WORLD AIRLINES	$2.25 CUM PFD STK	281.25
			NET DIVIDEND & INTEREST INCOME		**977.38**

OTHER TRANSACTIONS

DATE	ACTIVITY	QUANTITY	DESCRIPTION	AMOUNT
05/19	JOURNAL ENTRY		TRNF BET TYPES	5,171.08 DR
05/19	JOURNAL ENTRY		TRNF BET TYPES	5,171.08
05/28	INTEREST		INT TO MAY 2810 0/0% 392.22 ADB 2,559.84CR OCB 6,788.41 CCB	3.26 DR
05/29	STOCK DIVIDEND	140	PEPSICO INC BOUGHT 5-22	
			NET AMOUNT OF ACTIVITY	**3.26** DR

SECURITY HOLDINGS

PRICED SECURITIES

QUANTITY	DESCRIPTION	PRICE	EST. YIELD%	EST. ANNUAL INCOME	VALUE
200	AMERICAN CAN COMPANY	73.375	3%	580.00	14,675.00
2,000	AMERICAN GENL CORP	40.25	2%	2,240.00	80,500.00
500	FREEPORT-MC-MORAN INC	19.50	3%	300.00	9,750.00
100	GRACE W R & CO	58.625	4%	280.00	5,862.50
300	INTELOGIC TRACE INC	18.125			5,437.50
500	M C I COMMUNICATIONS	9.50			4,750.00
210	PEPSICO INC	33.875	1%	134.40	7,113.75
100	PHILIP MORRIS COS INC NEW	69.00	3%	230.00	6,900.00
300	PHILLIPS PETROLEUM	10.625	5%	180.00	3,187.50
70	PILLSBURY CO	80.25	2%	120.40	5,617.50
500	TRANS WORLD AIRLINES $2.25 CUM PFD STK	14.50			7,250.00
5,000	MAGNET BANK F S B ZERO COUPON CERT OF DEPOSIT DUE 10/06/95 DTD 03/29/84 FSLIC INSURED	41.625			2,081.25
15,000	SHONEY'S INNS GROUP IV INC REV PART BDS 1ST YEAR PAYMENT 9% DUE 4/15/2001 DTD 4/15/1986	95.00			14,250.00
	TOTAL MARKET VALUE PRICED SECURITIES				**167,375.00**

Wheat, First Securities, Inc.

STATEMENT

NICK JOE RAHALL II
307 BAIR BUILDING
BECKLEY WV 25801

PERIOD ENDED: MAY 30, 1986
PREVIOUS STATEMENT: APRIL 30, 1986
ACCOUNT NUMBER: 18-73645-315-R
ACCOUNT EXECUTIVE: EDWARD G. RAHALL
TELEPHONE NUMBER: 304-252-6221
ADDRESS: BECKLEY, W.VA.
TAX I.D. NUMBER: 236-78-8645

DUPLICATE

PAGE 3

SECURITY HOLDINGS CONT'D

UNPRICED SECURITIES

QUANTITY	DESCRIPTION		
1,000	CHAMPION SPORTS INC		
100	FIRST NATIONAL BANK	BECKLEY W VA	
1,700	GULF BROADCAST CO		
50,000	ALABAMA SPL CARE FAC	FING AUTH MONTGOMERY	9.75% MTG REV
	DUE 4-1-06	DTD 6-1-83	
35,000	OAK RIDGE TN IDB	MTG REV FHA INSRD	BRITISH WOODS APTS
	0% DUE 6/1/25 OY=10%	CPN COMM 6/1/10@10%	DTD 6/26/1985-REGSTD
30,000	TAMPA FLA WTR &	SWR SYS	0%
	DUE 10-1-05	DTD 5-1-82	
50,000	WEST VA HSG DEV FUND	SGLE FAM MORT REV	SER B CAP APPR BDS
	0% DUE 1/01/2015	DTD 9/28/1983	EXT CALL REGSTD

*YOUR WHEAT, FIRST SECURITIES, INC. ACCOUNT MAY INCLUDE MUTUAL FUNDS, LIMITED PARTNERSHIPS, OR OTHER INVESTMENTS WHICH ARE NOT HELD BY WHEAT. IF SO, YOU WILL RECEIVE PERIODIC STATEMENTS DIRECTLY FROM THE FUND OR PARTNERSHIP.

[illegible]

Wheat, First Securities, Inc.

STATEMENT

NICK JOE RAMALL II
307 BAIR BUILDING
BECKLEY WV 25801

PERIOD ENDED: JUNE 30, 1986
PREVIOUS STATEMENT: MAY 30, 1986
ACCOUNT NUMBER: 18-73645-315-R
ACCOUNT EXECUTIVE: EDWARD G. RAMALL
TELEPHONE NUMBER: 304-252-6221
ADDRESS: BECKLEY, W.VA.
TAX I.D. NUMBER: 236-78-8645
PAGE 1

DUPLICATE

INVESTMENT IDEA

ARE YOUR INVESTMENTS ON TARGET IN LIGHT OF TODAY'S MARKET OPPORTUNITIES? ASK YOUR BROKER TO REVIEW YOUR PORTFOLIO.

SUMMARY INFORMATION

ACCOUNT ACTIVITY	MMF ACCOUNT(S)	SECURITY ACCOUNT
OPENING BALANCES	113,988.59	5,632.98 DR
NET SECURITIES PURCHASE & SALES		472.31 DR
MONEY MARKET FUND PURCHASES, SALES & TRANSFERS	667.00	667.00 DR
DIVIDEND & INTEREST INCOME		1,347.00
OTHER TRANSACTIONS		4,139.96
CLOSING BALANCES	**114,655.59**	**1,285.33** DR

INCOME	CURRENT	YEAR-TO-DATE
DIVIDENDS	680.00	3,644.21
INTEREST		2,898.04
CEF REG PORTFOLIO	667.00	3,445.00
TOTAL	**1,347.00**	**9,987.25**

ACCOUNT EQUITY		
CASH		$1,285.33 DR
MONEY MARKET FUND BALANCE & YIELD		
CEF REG PORTFOLIO 7 DAY AVERAGE YIELD	6.10%	114,655.59
VALUE OF PRICED SECURITIES		$169,485.00
TOTAL NET RESOURCES (EXCLUDING UNPRICED SECURITIES)		**$282,855.26**

DETAIL OF ACCOUNT ACTIVITY

SECURITIES PURCHASES & SALES

DATE	ACTIVITY	QUANTITY	DESCRIPTION	PRICE	AMOUNT
06/03	SOLD	200	AMERICAN CAN COMPANY	74.75	14,758.80
06/06	BOUGHT	100	GRACE W R & CO	58.25	5,920.35 DR
06/11	BOUGHT	90	PEPSICO INC	34.25	3,156.99 DR
06/12	BOUGHT	100	PHILIP MORRIS COS INC NEW	67.75	6,870.35 DR
06/12	SOLD	200	TRANS WORLD AIRLINES $2.25 CUM PFD STK	14.75	2,861.61
06/16	SOLD	200	AMERICAN GENL CORP	38.75	7,594.83
06/16	BOUGHT	300	RYAN HOMES INC	34.75	10,623.87 DR
06/17	SOLD	300	PHILLIPS PETROLEUM	9.875	2,866.12
06/17	BOUGHT	30	PILLSBURY CO	77.25	2,380.61 DR
06/17	BOUGHT	100	RYAN HOMES INC	33.375	3,415.53 DR
06/30	SOLD	100	AMERICAN GENL CORP	39	3,814.03
			NET AMOUNT OF BUYS & SELLS		**472.31** DR

MONEY MARKET PURCHASES SALES & TRANSFERS

DATE	ACTIVITY	DESCRIPTION	AMOUNT
06/12	BOUGHT	WCEF BUY	7,594.83 DR
06/16	SOLD	WCEF SELL	7,594.83
06/16	BOUGHT	CEF REG PORTFOLIO	667.00 DR
		NET AMOUNT OF ACTIVITY	**667.00** DR

DIVIDEND & INTEREST INCOME

DATE	ACTIVITY		DESCRIPTION	AMOUNT
06/02	DIVIDEND ON	2000	AMERICAN GENL CORP	560.00
06/02	DIVIDEND ON	500	FREEPORT-MC-MORAN INC	75.00
06/02	DIVIDEND ON	300	PHILLIPS PETROLEUM	45.00
06/16	CEF REG DIVIDEND		CEF REG DIVIDEND	667.00
			NET DIVIDEND & INTEREST INCOME	**1,347.00**

[illegible]

Wheat, First Securities, Inc.

STATEMENT

NICK JOE RAHALL II
307 BAIR BUILDING
BECKLEY WV 25801

PERIOD ENDED:	JUNE 30, 1986
PREVIOUS STATEMENT:	MAY 30, 1986
ACCOUNT NUMBER:	18-73645-315-R
ACCOUNT EXECUTIVE:	EDWARD G. RAHALL
TELEPHONE NUMBER:	304-252-6221
ADDRESS:	BECKLEY, W.VA.
TAX I.D. NUMBER:	235-78-8645

DUPLICATE

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DETAIL OF ACCOUNT ACTIVITY CONT'D

OTHER TRANSACTIONS

DATE	ACTIVITY	QUANTITY	DESCRIPTION	AMOUNT
06/02	DEPOSIT			10,000.00
06/04	JOURNAL ENTRY		TRNF BETW TYPES	28,732.27 DR
06/04	JOURNAL ENTRY		TRNF BETWN TYPES	28,732.27
06/04	JOURNAL ENTRY		TRNF BETWN TYPES	1,273.17
06/04	JOURNAL ENTRY		TRNF BETW TYPES	1,273.17 DR
06/20	JOURNAL ENTRY		TFR TO FUTURES	1,435.00 DR
06/25	STOCK DIVIDEND	30	INTELOGIC TRACE INC	
06/26	INTEREST		INT TO JUN 2610 0/0% 1,247.28 ADB 5,811.96 OCB 674.32 CCB	10.04 DR
06/27	JOURNAL ENTRY		TFR TO FUTURES	4,415.00 DR
			NET AMOUNT OF ACTIVITY	**4,139.96**

SECURITY HOLDINGS

PRICED SECURITIES

QUANTITY	DESCRIPTION	PRICE	EST. YIELD%	EST. ANNUAL INCOME	VALUE
1,700	AMERICAN GENL CORP	42.125	2%	1,904.00	71,612.50
500	FREEPORT-MC-MORAN INC	17.375	3%	300.00	8,687.50
200	GRACE W R & CO	53.875	5%	560.00	10,775.00
330	INTELOGIC TRACE INC	16.375			5,403.75
500	M C I COMMUNICATIONS	10.375			5,187.50
300	PEPSICO INC	33.75	1%	192.00	10,125.00
200	PHILIP MORRIS COS INC NEW	74.625	3%	460.00	14,925.00
100	PILLSBURY CO	80.875	2%	172.00	8,087.50
400	RYAN HOMES INC	34.75	3%	480.00	13,900.00
300	TRANS WORLD AIRLINES $2.25 CUM PFD STK	14.25			4,275.00
5,000	MAGNET BANK F S B ZERO COUPON CERT OF DEPOSIT DUE 10/06/95 DTD 03/29/84 FSLIC INSURED	42.125			2,106.25
15,000	SHONEY'S INNS GROUP IV INC REV PART BDS 1ST YEAR PAYMENT 9% DUE 4/15/2001 DTD 4/15/1986	96.00			14,400.00
	TOTAL MARKET VALUE PRICED SECURITIES				**169,485.00**

UNPRICED SECURITIES

QUANTITY	DESCRIPTION		
1,000	CHAMPION SPORTS INC		
100	FIRST NATIONAL BANK	BECKLEY W VA	
1,700	GULF BROADCAST CO		
50,000	ALABAMA SPL CARE FAC DUE 4-1-06	FING AUTH MONTGOMERY DTD 6-1-83	9.75% MTG REV
35,000	OAK RIDGE TN IDB 0% DUE 6/1/25 OY=10%	MTG REV FHA INSRD CPN COMM 6/1/10@10%	BRITISH WOODS APTS DTD 6/26/1985-REGSTD
30,000	TAMPA FLA WTR & DUE 10-1-05	SWR SYS DTD 5-1-82	0%
50,000	WEST VA HSG DEV FUND 0% DUE 1/01/2015	SGLE FAM MORT REV DTD 9/28/1983	SER A CAP APPR BDS EXT CALL REGSTD

Wheat, First Securities, Inc.

STATEMENT

KELLY T BLANKENSHIP CPA
200 GEORGE STREET
SUITE 5
BECKLEY WV 25801
****
****

PERIOD ENDED: JULY 31, 1986
PREVIOUS STATEMENT: JUNE 30, 1986
ACCOUNT NUMBER: 18-73645-315-R
ACCOUNT EXECUTIVE: EDWARD G. RAHALL
TELEPHONE NUMBER: 304-252-6221
ADDRESS: BECKLEY, W.VA.
TAX I.D. NUMBER: 236-78-8645
PAGE 1

DUPLICATE

INVESTMENT IDEA

PROFESSIONAL MANAGEMENT, DIVERSIFICATION, NO INITIAL SALES CHARGE WHEN YOU INVEST IN THE SOUTHEASTERN GROWTH FUND. ASK YOUR BROKER.

SUMMARY INFORMATION

ACCOUNT ACTIVITY

	MMF ACCOUNT(S)	SECURITY ACCOUNT
OPENING BALANCES	114,655.59	1,285.33 DR
NET SECURITIES PURCHASE & SALES		24,491.72 DR
MONEY MARKET FUND PURCHASES, SALES & TRANSFERS	552.00	552.00 DR
DIVIDEND & INTEREST INCOME		840.24
OTHER TRANSACTIONS		92.63 DR
CLOSING BALANCES	**115,207.59**	**25,581.46** DR

INCOME

	CURRENT	YEAR-TO-DATE
DIVIDENDS	288.24	3,932.45
INTEREST		2,898.04
CEF REG PORTFOLIO	552.00	3,997.00
TOTAL	**840.24**	**10,827.49**

ACCOUNT EQUITY

CASH		$25,581.46 DR
MONEY MARKET FUND BALANCE & YIELD		
CEF REG PORTFOLIO 7 DAY AVERAGE YIELD	5.97%	115,207.59
VALUE OF PRICED SECURITIES		$182,741.25
TOTAL NET RESOURCES (EXCLUDING UNPRICED SECURITIES)		**$272,367.38**

DETAIL OF ACCOUNT ACTIVITY

SECURITIES PURCHASES & SALES

DATE	ACTIVITY	QUANTITY	DESCRIPTION	PRICE	AMOUNT
07/02	BOUGHT	100	PEPSICO INC	34.75	3,554.94 DR
07/07	BOUGHT	300	DIGIORGIO CORP	24.50	7,507.61 DR
07/08	SOLD	200	AMERICAN GENL CORP	41.75	8,186.76
07/08	BOUGHT	200	DIGIORGIO CORP	25.625	5,244.68 DR
07/23	BOUGHT	300	MARRIOTT CORPORATION	31	9,483.78 DR
07/24	BOUGHT	400	VIACOM INTL INC	26.75	10,910.63 DR
07/28	BOUGHT	100	RYAN HOMES INC	35.25	3,605.63 DR
07/30	BOUGHT	100	MARRIOTT CORPORATION	33	3,377.51 DR
07/31	BOUGHT	100	PEPSICO INC	33	3,377.51 DR
07/31	SOLD	200	PHILIP MORRIS COS INC NEW	72.875	14,383.81
			NET AMOUNT OF BUYS & SELLS		**24,491.72** DR

MONEY MARKET PURCHASES SALES & TRANSFERS

DATE	ACTIVITY	DESCRIPTION	AMOUNT
07/15	BOUGHT	CEF REG PORTFOLIO	552.00 DR
		NET AMOUNT OF ACTIVITY	**552.00** DR

DIVIDEND & INTEREST INCOME

DATE	ACTIVITY		DESCRIPTION	AMOUNT
07/03	DIVIDEND ON	300	PEPSICO INC	48.00
07/10	DIVIDEND ON	100	FREEPORT MCMORAN OIL & GAS RTY TR UBI	5.24
07/10	DIVIDEND ON	200	PHILIP MORRIS COS INC NEW	115.00
07/15	CEF REG DIVIDEND		CEF REG DIVIDEND	552.00
07/25	DIVIDEND ON	400	RYAN HOMES INC	120.00
			NET DIVIDEND & INTEREST INCOME	**840.24**

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Wheat, First Securities, Inc.

STATEMENT

KELLY T BLANKENSHIP CPA
200 GEORGE STREET
SUITE 5
BECKLEY WV 25801
****
****

DUPLICATE

PERIOD ENDED: JULY 31, 1986
PREVIOUS STATEMENT: JUNE 30, 1986
ACCOUNT NUMBER: 18-73645-315-R
ACCOUNT EXECUTIVE: EDWARD G. RAHALL
TELEPHONE NUMBER: 304-252-6221
ADDRESS: BECKLEY, W.VA.
TAX I.D. NUMBER: 236-78-8645
PAGE 2

DETAIL OF ACCOUNT ACTIVITY CONT'D

OTHER TRANSACTIONS

DATE	ACTIVITY	QUANTITY	DESCRIPTION	AMOUNT
07/01	FIRM TRANSFER			42.21
07/01	FIRM TRANSFER			.01
07/09	REDEMPTION		WEST VA HSG DEV FUND SGLE FAM MORT REV SER B CAP APPR BDS 0% DUE 1/01/2015 DTD 9/28/1983 EXT CALL REGSTD CALLED 236.35 PER	236.35
07/09	EXCHANGE	5,000-	WEST VA HSG DEV FUND SGLE FAM MORT REV SER B CAP APPR BDS 0% DUE 1/01/2015 DTD 9/28/1983 EXT CALL REGSTD	
07/16	INTEREST		INT TO JUL 1610 0/0% 6,335.27 ADB 674.32 OCB 8,958.99 CCB	35.19 DR
07/21	CASH IN LIEU		FREEPORT MCMORAN ENERGY PARTNERS LTD DEPOSITORY UNITS SPINOFF FROM FREEP &	133.33
07/22	CASH IN LIEU		FREEPORT MCMORAN GOLD CO SPINOFF FROM FREEP &	31.96
07/24	JOURNAL ENTRY		TFR TO FUTURES	440.00 DR
07/29	INTEREST		INT TO JUL 2909 1/2% 17,875.40 ADB 8,958.99 OCB 33,148.93 CCB	61.32 DR
			NET AMOUNT OF ACTIVITY	**92.65** DR

SECURITY HOLDINGS

PRICED SECURITIES

QUANTITY	DESCRIPTION	PRICE	EST. YIELD%	EST. ANNUAL INCOME	VALUE
1,500	AMERICAN GENL CORP	39.75	2%	1,680.00	59,625.00
500	DIGIORGIO CORP	23.50	2%	320.00	11,750.00
500	FREEPORT-MC-MORAN INC	16.125	3%	300.00	8,062.50
200	GRACE W R & CO	48.125	5%	560.00	9,625.00
330	INTELOGIC TRACE INC	15.125			4,991.25
500	M C I COMMUNICATIONS	9.125			4,562.50
400	MARRIOTT CORPORATION	33.00		52.00	13,200.00
500	PEPSICO INC	30.625	2%	320.00	15,312.50
100	PILLSBURY CO	74.625	2%	172.00	7,462.50
500	RYAN HOMES INC	31.75	3%	600.00	15,875.00
300	TRANS WORLD AIRLINES $2.25 CUM PFD STK	15.375			4,612.50
400	VIACOM INTL INC	27.75	1%	112.00	11,100.00
5,000	MAGNET BANK F S B ZERO COUPON CERT OF DEPOSIT DUE 10/06/95 DTD 03/29/84 FSLIC INSURED	43.25			2,162.50

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Wheat, First Securities, Inc.

STATEMENT

KELLY T BLANKENSHIP CPA
200 GEORGE STREET
SUITE 5
BECKLEY WV 25801
****
****

DUPLICATE

PERIOD ENDED: JULY 31, 1986
PREVIOUS STATEMENT: JUNE 30, 1986
ACCOUNT NUMBER: 18-73645-315-R
ACCOUNT EXECUTIVE: EDWARD G. RAHALL
TELEPHONE NUMBER: 304-252-6221
ADDRESS: BECKLEY, W.VA.
TAX I.D. NUMBER: 236-78-8645
PAGE 3

SECURITY HOLDINGS CONT'D

PRICED SECURITIES

QUANTITY	DESCRIPTION	PRICE	EST. YIELD%	EST. ANNUAL INCOME	VALUE
15,000	SHONEY'S INNS GROUP IV INC REV PART BDS 1ST YEAR PAYMENT 9% DUE 4/15/2001 DTD 4/15/1986	96.00			14,400.00

TOTAL MARKET VALUE PRICED SECURITIES 182,741.25

UNPRICED SECURITIES

QUANTITY	DESCRIPTION		
1,000	CHAMPION SPORTS INC		
100	FIRST NATIONAL BANK	BECKLEY W VA	
1,700	GULF BROADCAST CO		
50,000	ALABAMA SPL CARE FAC DUE 4-1-06	FING AUTH MONTGOMERY DTD 6-1-83	9.75% MTG REV
35,000	OAK RIDGE TN IDB 0% DUE 6/1/25 OY=10%	MTG REV FHA INSRD CPN COMM 6/1/10@10%	BRITISH WOODS APTS DTD 6/26/1985-REGSTD
30,000	TAMPA FLA WTR & DUE 10-1-05	SWR SYS DTD 5-1-82	0%
45,000	WEST VA HSG DEV FUND 0% DUE 1/01/2015	SGLE FAM MORT REV DTD 9/28/1983	SER B CAP APPR BDS EXT CALL REGSTD

*YOU MAY HAVE PURCHASED THROUGH WHEAT OR ITS AFFILIATES, MUTUAL FUNDS, ANNUITIES, LIMITED PARTNERSHIPS OR OTHER INVESTMENTS WHICH ARE NOT HELD BY WHEAT. IF SO, YOU WILL RECEIVE PERIODIC STATEMENTS DIRECTLY FROM THE FUND, INSURANCE COMPANY OR PARTNERSHIP.

Wheat First Securities, Inc.

STATEMENT

NICK JOE RAHALL II
307 BAIR BUILDING
BECKLEY WV 25801

PERIOD ENDED:	AUGUST 29, 1986
PREVIOUS STATEMENT:	JULY 31, 1986
ACCOUNT NUMBER:	18-73645-315-R
ACCOUNT EXECUTIVE:	EDWARD G. RAHALL
TELEPHONE NUMBER:	304-252-6221
ADDRESS:	BECKLEY, W.VA.
TAX I.D. NUMBER:	236-78-8645

DUPLICATE

PAGE 1

INVESTMENT IDEA

"HOW TAX REFORM WILL AFFECT INVESTORS," A NEW WHEAT BOOKLET, IS AVAILABLE FROM YOUR INVESTMENT BROKER. ASK FOR A FREE COPY.

SUMMARY INFORMATION

ACCOUNT ACTIVITY

	MMF ACCOUNT(S)	SECURITY ACCOUNT
OPENING BALANCES	115,207.59	25,581.46 DR
NET SECURITIES PURCHASE & SALES		39,999.56
MONEY MARKET FUND PURCHASES, SALES & TRANSFERS	11,709.35	11,709.35 DR
DIVIDEND & INTEREST INCOME		687.00
OTHER TRANSACTIONS		3,395.75 DR
CLOSING BALANCES	**126,916.94**	**.00**

INCOME

	CURRENT	YEAR-TO-DATE
DIVIDENDS	108.00	4,040.45
INTEREST		2,898.04
CEF REG PORTFOLIO	579.00	4,576.00
TOTAL	**687.00**	**11,514.49**

ACCOUNT EQUITY

MONEY MARKET FUND BALANCE & YIELD		
CEF REG PORTFOLIO 7 DAY AVERAGE YIELD	5.77%	126,916.94
VALUE OF PRICED SECURITIES		$150,768.59
TOTAL NET RESOURCES (EXCLUDING UNPRICED SECURITIES)		**$277,685.53**

DETAIL OF ACCOUNT ACTIVITY

SECURITIES PURCHASES & SALES

DATE	ACTIVITY	QUANTITY	DESCRIPTION	PRICE	AMOUNT
08/05	BOUGHT	100	VIACOM INTL INC	27.875	2,857.89 DR
08/11	SOLD	300	TRANS WORLD AIRLINES $2.25 CUM PFD STK	15.50	4,530.13
08/20	SOLD	500	RYAN HOMES INC	37.625	18,484.30
08/21	SOLD	200	AMERICAN GENL CORP	40.75	7,989.45
08/25	SOLD	300	AMERICAN GENL CORP	40.25	11,853.57
			NET AMOUNT OF BUYS & SELLS		**39,999.56**

MONEY MARKET PURCHASES SALES & TRANSFERS

DATE	ACTIVITY	DESCRIPTION	AMOUNT
08/15	BOUGHT	CEF REG PORTFOLIO	579.00 DR
08/20	SOLD	AUTOMATIC WCEF SELL	5,316.92
08/22	BOUGHT	AUTOMATIC WCEF BUY	7,239.45 DR
08/26	BOUGHT	AUTOMATIC WCEF BUY	11,853.57 DR
08/28	SOLD	AUTOMATIC WCEF SELL	2,500.00
08/29	SOLD	AUTOMATIC WCEF SELL	145.75
		NET AMOUNT OF ACTIVITY	**11,709.35 DR**

DIVIDEND & INTEREST INCOME

DATE	ACTIVITY		DESCRIPTION	AMOUNT
08/15	CEF REG DIVIDEND		CEF REG DIVIDEND	579.00
08/15	DIVIDEND ON	500	DIGIORGIO CORP	80.00
08/19	DIVIDEND ON	400	VIACOM INTL INC	28.00
			NET DIVIDEND & INTEREST INCOME	**687.00**

OTHER TRANSACTIONS

DATE	ACTIVITY	QUANTITY	DESCRIPTION	AMOUNT
08/15	ADJUSTMENT	1,700	GULF BROADCAST CO ESCROW	
08/15	ADJUSTMENT	1,700-	GULF BROADCAST CO TO ESCROW ACCT	
08/15	JOURNAL ENTRY		TRANS BTW TYPES	23,448.64 DR

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Wheat, First Securities, Inc.

STATEMENT

NICK JOE RAHALL II
307 BAIR BUILDING
BECKLEY WV 25801

PERIOD ENDED: AUGUST 29, 1986
PREVIOUS STATEMENT: JULY 31, 1986
ACCOUNT NUMBER: 18-73645-315-R
ACCOUNT EXECUTIVE: EDWARD G. RAHALL
TELEPHONE NUMBER: 304-252-6221
ADDRESS: BECKLEY, W.VA.
TAX I.D. NUMBER: 236-78-8845
PAGE 2

DUPLICATE

DETAIL OF ACCOUNT ACTIVITY CONT'D

OTHER TRANSACTIONS

DATE	ACTIVITY	QUANTITY	DESCRIPTION	AMOUNT
08/15	JOURNAL ENTRY		TRANS BTW TYPES	23,448.64
08/15	JOURNAL ENTRY		TRANS BTW TYPES	288.24
08/15	JOURNAL ENTRY		TRANS BTW TYPES	288.24 DR
08/18	JOURNAL ENTRY		TRANS BETW TYPES	7,989.45
08/18	JOURNAL ENTRY		TRANS BETW TYPES	7,989.45 DR
08/21	JOURNAL ENTRY		TRANS BETW TYPES	108.00
08/21	CHECK PAID		01810644	750.00 DR
08/21	JOURNAL ENTRY		TRANS BETW TYPES	108.00 DR
08/27	CHECK PAID		01810665	2,500.00 DR
08/27	INTEREST		INT TO AUG 2709 0/0% 20,104.65 ADB 33,148.93 OCB CCB	145.75 DR
			NET AMOUNT OF ACTIVITY	**3,395.75 DR**

SECURITY HOLDINGS

PRICED SECURITIES

QUANTITY	DESCRIPTION	PRICE	EST. YIELD%	EST. ANNUAL INCOME	VALUE
1,000	AMERICAN GENL CORP	42.25	2%	1,120.00	42,250.00
500	DIGIORGIO CORP	25.00	2%	320.00	12,500.00
500	FREEPORT-MC-MORAN INC	19.25	3%	300.00	9,625.00
200	GRACE W R & CO	50.75	5%	560.00	10,150.00
330	INTELOGIC TRACE INC	12.625			4,166.25
500	M C I COMMUNICATIONS	8.25			4,125.00
400	MARRIOTT CORPORATION	32.50		52.00	13,000.00
500	PEPSICO INC	31.875	2%	320.00	15,937.50
100	PILLSBURY CO	73.50	2%	172.00	7,350.00
500	VIACOM INTL INC	29.875		140.00	14,937.50
5,000	MAGNET BANK F S B ZERO COUPON CERT OF DEPOSIT DUE 10/06/95 DTD 03/29/84 FSLIC INSURED	46.546875			2,327.34
15,000	SHONEY'S INNS GROUP IV INC REV PART BDS 1ST YEAR PAYMENT 9% DUE 4/15/2001 DTD 4/15/1986	96.00			14,400.00
	TOTAL MARKET VALUE PRICED SECURITIES				**150,768.59**

UNPRICED SECURITIES

QUANTITY	DESCRIPTION		
1,000	CHAMPION SPORTS INC		
100	FIRST NATIONAL BANK	BECKLEY W VA	
1,700	GULF BROADCAST CO	ESCROW	
50,000	ALABAMA SPL CARE FAC DUE 4-1-06	FING AUTH MONTGOMERY DTD 6-1-83	9.75% MTG REV
35,000	OAK RIDGE TN IDB 0% DUE 6/1/25 DY=10%	MTG REV FHA INSRD CPN COMM 6/1/10@10%	BRITISH WOODS APTS DTD 6/26/1985-REGSTD
30,000	TAMPA FLA WTR & DUE 10-1-05	SWR SYS DTD 5-1-82	0%
45,000	WEST VA HSG DEV FUND 0% DUE 1/01/2015	SGLE FAM MORT REV DTD 9/28/1983	SER B CAP APPR BDS EXT CALL REGSTD

707 East Main Street, Richmond, Virginia, 23219

Wheat First Securities, Inc.

STATEMENT

NICK JOE RAHALL II
307 BAIR BUILDING
BECKLEY WV 25801

PERIOD ENDED: SEPTEMBER 30, 1986
PREVIOUS STATEMENT: AUGUST 29, 1986
ACCOUNT NUMBER: 18-73645-315-R
ACCOUNT EXECUTIVE: EDWARD G. RAHALL
TELEPHONE NUMBER: 304-252-6221
ADDRESS: BECKLEY, W.VA.
TAX I.D. NUMBER: 236-78-8645
PAGE 1

DUPLICATE

INVESTMENT IDEA

ATTEND OUR FREE SEMINAR ON TAX REFORM, OCT. 23, BLACK KNIGHT COUNTRY CLUB, 7:30 PM. CALL 252-6221 FOR RESERVATIONS.

SUMMARY INFORMATION

ACCOUNT ACTIVITY	MMF ACCOUNT(S)	SECURITY ACCOUNT
OPENING BALANCES	126,916.94	.00
NET SECURITIES PURCHASE & SALES		2,390.30 DR
MONEY MARKET FUND PURCHASES, SALES & TRANSFERS	-23,134.99	23,134.99
DIVIDEND & INTEREST INCOME		1,336.00
OTHER TRANSACTIONS		22,000.69 DR
CLOSING BALANCES	103,781.95	80.00

INCOME	CURRENT	YEAR-TO-DATE
DIVIDENDS	758.00	4,798.45
INTEREST		2,898.04
CEF REG PORTFOLIO	578.00	5,154.00
TOTAL	1,336.00	12,850.49

ACCOUNT EQUITY		
CASH		$80.00
MONEY MARKET FUND BALANCE & YIELD		
CEF REG PORTFOLIO 7 DAY AVERAGE YIELD	5.32%	103,781.95
VALUE OF PRICED SECURITIES		$141,368.75
TOTAL NET RESOURCES (EXCLUDING UNPRICED SECURITIES)		$245,230.70

DETAIL OF ACCOUNT ACTIVITY

SECURITIES PURCHASES & SALES

DATE	ACTIVITY	QUANTITY	DESCRIPTION	PRICE	AMOUNT
09/04	BOUGHT	100	GANNETT CO INC	78.25	7,920.35 DR
09/05	SOLD	500	VIACOM INTL INC	30	14,723.09
09/19	BOUGHT	100	PILLSBURY CO	66.375	6,732.85 DR
09/23	BOUGHT	100	MARRIOTT CORPORATION	29.25	2,997.30 DR
09/25	BOUGHT	50	GANNETT CO INC	66.625	3,409.19 DR
09/29	SOLD	200	FREEPORT-MC-MORAN INC	20.25	3,946.30
			NET AMOUNT OF BUYS & SELLS		2,390.30 DR

MONEY MARKET PURCHASES SALES & TRANSFERS

DATE	ACTIVITY	DESCRIPTION	AMOUNT
09/03	BOUGHT	AUTOMATIC WCEF BUY	538.00 DR
09/04	SOLD	AUTOMATIC WCEF SELL	7,921.04
09/08	BOUGHT	AUTOMATIC WCEF BUY	14,723.09 DR
09/10	SOLD	WCEF SELL	22,000.00
09/16	BOUGHT	AUTOMATIC WCEF BUY	140.00 DR
09/17	BOUGHT	CEF REG PORTFOLIO	578.00 DR
09/19	SOLD	AUTOMATIC WCEF SELL	6,732.85
09/23	SOLD	AUTOMATIC WCEF SELL	2,997.30
09/25	SOLD	AUTOMATIC WCEF SELL	3,409.19
09/30	BOUGHT	AUTOMATIC WCEF BUY	3,946.30 DR
		NET AMOUNT OF ACTIVITY	23,134.99

DIVIDEND & INTEREST INCOME

DATE	ACTIVITY		DESCRIPTION	AMOUNT
09/02	DIVIDEND ON	1500	AMERICAN GENL CORP	420.00
09/02	DIVIDEND ON	500	FREEPORT-MC-MORAN INC	75.00
09/02	DIVIDEND ON	100	PILLSBURY CO	43.00
09/11	DIVIDEND ON	200	GRACE W R & CO	140.00
09/17	CEF REG DIVIDEND		CEF REG DIVIDEND	578.00
09/30	DIVIDEND ON	500	PEPSICO INC	80.00

707 East Main Street, Richmond, Virginia 23219

Wheat, First Securities, Inc.

STATEMENT

NICK JOE RAHALL II
307 BAIR BUILDING
BECKLEY WV 25801

PERIOD ENDED: SEPTEMBER 30, 1986
PREVIOUS STATEMENT: AUGUST 29, 1986
ACCOUNT NUMBER: 10-73645-315-R
ACCOUNT EXECUTIVE: EDWARD G. RAHALL
TELEPHONE NUMBER: 304-252-6221
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TAX I.D. NUMBER: 236-78-8645
PAGE 2

DUPLICATE

DETAIL OF ACCOUNT ACTIVITY CONT'D

DIVIDEND & INTEREST INCOME

DATE	ACTIVITY	DESCRIPTION	AMOUNT
		NET DIVIDEND & INTEREST INCOME	1,336.00

OTHER TRANSACTIONS

DATE	ACTIVITY	QUANTITY	DESCRIPTION		AMOUNT
09/02	INTEREST		INT TO SEP 0209 1/2%		.69 DR
			440.95	ADB	
			2,500.00	OCB	
				CCB	
09/10	CHECK PAID		01010734		22,000.00 DR
			NET AMOUNT OF ACTIVITY		22,000.69 DR

SECURITY HOLDINGS

PRICED SECURITIES

QUANTITY	DESCRIPTION	PRICE	EST. YIELD%	EST. ANNUAL INCOME	VALUE
1,000	AMERICAN GENL CORP	38.50	2%	1,120.00	38,500.00
500	DIGIORGIO CORP	24.375	2%	320.00	12,187.50
300	FREEPORT-MC-MORAN INC	20.125	2%	100.00	6,037.50
150	GANNETT CO INC	69.00	2%	252.00	10,350.00
200	GRACE W R & CO	46.625	6%	560.00	9,325.00
330	INTELOGIC TRACE INC	10.625			3,506.25
500	M C I COMMUNICATIONS	7.375			3,687.50
500	MARRIOTT CORPORATION	28.75		65.00	14,375.00
500	PEPSICO INC	26.50	2%	320.00	13,250.00
200	PILLSBURY CO	67.75	2%	400.00	13,550.00
5,000	MAGNET BANK F S B ZERO COUPON CERT OF DEPOSIT DUE 10/06/95 DTD 03/29/84 FSLIC INSURED	44.00			2,200.00
15,000	SHONEY'S INNS GROUP IV INC REV PART BDS 1ST YEAR PAYMENT 9% DUE 4/15/2001 DTD 4/15/1986	96.00			14,400.00
	TOTAL MARKET VALUE PRICED SECURITIES				141,368.75

UNPRICED SECURITIES

QUANTITY	DESCRIPTION		
1,000	CHAMPION SPORTS INC		
100	FIRST NATIONAL BANK	BECKLEY W VA	
1,700	GULF BROADCAST CO	ESCROW	
50,000	ALABAMA SPL CARE FAC	FING AUTH MONTGOMERY	9.75% MTG REV
	DUE 4-1-06	DTD 6-1-83	
35,000	OAK RIDGE TN IDB	MTG REV FHA INSRD	BRITISH WOODS APTS
	0% DUE 6/1/25 OY=10%	CPN COMM 6/1/10010%	DTD 6/26/1985-REGSTD
30,000	TAMPA FLA WTR &	SWR SYS	0%
	DUE 10-1-05	DTD 5-1-82	
45,000	WEST VA HSG DEV FUND	SGLE FAM MORT REV	SER B CAP APPR BDS
	0% DUE 1/01/2015	DTD 9/28/1983	EXT CALL REGSTD

Wheat First Securities, Inc.

STATEMENT

NICK JOE RAHALL II
307 BAIR BUILDING
BECKLEY WV 25801

PERIOD ENDED: OCTOBER 31, 1986
PREVIOUS STATEMENT: SEPTEMBER 30, 1986
ACCOUNT NUMBER: 18-73645-315-R
ACCOUNT EXECUTIVE: EDWARD G. RAHALL
TELEPHONE NUMBER: 304-252-6221
ADDRESS: BECKLEY, W.VA.
TAX I.D. NUMBER: 236-70-8645
PAGE 1

DUPLICATE

INVESTMENT IDEA
ASK YOUR INVESTMENT BROKER ABOUT THE NEW MFS MUNICIPAL INCOME TRUST. GET HIGH, TAX-FREE INCOME. RECEIVE IT MONTHLY OR RE-INVEST.

SUMMARY INFORMATION

ACCOUNT ACTIVITY	MMF ACCOUNT(S)	SECURITY ACCOUNT
OPENING BALANCES	103,781.95	80.00
NET SECURITIES PURCHASE & SALES		4,497.59
MONEY MARKET FUND PURCHASES, SALES & TRANSFERS	1,287.89	1,287.89 DR
DIVIDEND & INTEREST INCOME		3,750.30
OTHER TRANSACTIONS		7,040.00 DR
CLOSING BALANCES	105,069.84	.00

INCOME	CURRENT	YEAR-TO-DATE
DIVIDENDS	183.00	4,982.25
INTEREST	3,112.50	6,010.54
CEF REG PORTFOLIO	454.00	5,608.00
TOTAL	3,750.30	16,600.79

ACCOUNT EQUITY		
MONEY MARKET FUND BALANCE & YIELD		
CEF REG PORTFOLIO 7 DAY AVERAGE YIELD	5.08%	105,069.84
VALUE OF PRICED SECURITIES		$142,212.50
TOTAL NET RESOURCES (EXCLUDING UNPRICED SECURITIES)		$247,282.34

DETAIL OF ACCOUNT ACTIVITY

SECURITIES PURCHASES & SALES

DATE	ACTIVITY	QUANTITY	DESCRIPTION	PRICE	AMOUNT
10/20	SOLD	200	AMERICAN GENL CORP	39.625	7,767.48
10/20	SOLD	300	FREEPORT-MC-MORAN INC	19.375	5,675.33
10/21	BOUGHT	50	GANNETT CO INC	71.75	3,669.00 DR
10/21	SOLD	30	INTELOGIC TRACE INC	10.25	272.48
10/21	BOUGHT	200	PEPSICO INC	27.125	5,548.70 DR
			NET AMOUNT OF BUYS & SELLS		4,497.59

MONEY MARKET PURCHASES SALES & TRANSFERS

DATE	ACTIVITY	DESCRIPTION	AMOUNT
10/07	BOUGHT	AUTOMATIC WCEF BUY	519.50 DR
10/15	BOUGHT	CEF REG PORTFOLIO	454.00 DR
10/21	BOUGHT	AUTOMATIC WCEF BUY	4,623.39 DR
10/28	BOUGHT	AUTOMATIC WCEF BUY	691.00 DR
10/30	SOLD	AUTOMATIC WCEF SELL	5,000.00
		NET AMOUNT OF ACTIVITY	1,287.89 DR

DIVIDEND & INTEREST INCOME

DATE	ACTIVITY		DESCRIPTION		AMOUNT
10/01	INTEREST		ALABAMA SPL CARE FAC 9.75% MTG REV DTD 6-1-83	FING AUTH MONTGOMERY DUE 4-1-06	2,437.50
10/01	DIVIDEND ON	100	GANNETT CO INC		42.00
10/15	CEF REG DIVIDEND		CEF REG DIVIDEND		454.00
10/17	DIVIDEND		FREEPORT MCMORAN DEPOSITORY UNITS	ENERGY PARTNERS LTD	125.00
10/21	INTEREST		SHONEY'S INNS GROUP 1ST YEAR PAYMENT 9% DTD 4/15/1986	IV INC REV PART BDS DUE 4/15/2001 PAYS 10/15	675.00
10/21	DIVIDEND ON	500	MARRIOTT CORPORATION		16.00
			NET DIVIDEND & INTEREST INCOME		3,750.30

707 East Main Street, Richmond, Virginia, 23219

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Wheat, First Securities, Inc.

STATEMENT

NICK JOE RAHALL II
307 BAIR BUILDING
BECKLEY WV 25801

PERIOD ENDED: OCTOBER 31, 1986
PREVIOUS STATEMENT: SEPTEMBER 30, 1986
ACCOUNT NUMBER: 10-73645-315-R
ACCOUNT EXECUTIVE: EDWARD G. RAHALL
TELEPHONE NUMBER: 304-252-6221
ADDRESS: BECKLEY, W.VA.
TAX I.D. NUMBER: 236-70-0645
PAGE 2

DUPLICATE

DETAIL OF ACCOUNT ACTIVITY CONT'D

OTHER TRANSACTIONS

DATE	ACTIVITY	QUANTITY	DESCRIPTION	AMOUNT
10/01	JOURNAL ENTRY		TFR TO FUTURES	1,000.00 DR
10/02	JOURNAL ENTRY		TFR TO FUTURES	1,040.00 DR
10/29	CHECK PAID		01010951	5,000.00 DR
10/29	JOURNAL ENTRY		TRANS BET TYPES	2,594.00
10/29	JOURNAL ENTRY		TRANS BET TYPES	2,594.00 DR
			NET AMOUNT OF ACTIVITY	**7,040.00** DR

SECURITY HOLDINGS

PRICED SECURITIES

QUANTITY	DESCRIPTION	PRICE	EST. YIELD%	EST. ANNUAL INCOME	VALUE
800	AMERICAN GENL CORP	38.50	2%	896.00	30,800.00
500	DIGIORGIO CORP	25.375	2%	320.00	12,687.50
200	GANNETT CO INC	74.25	2%	368.00	14,850.00
200	GRACE W R & CO	57.00	4%	560.00	11,400.00
300	INTELOGIC TRACE INC	9.375			2,812.50
500	M C I COMMUNICATIONS	6.50			3,250.00
500	MARRIOTT CORPORATION	30.25		65.00	15,125.00
700	PEPSICO INC	27.50	2%	448.00	19,250.00
200	PILLSBURY CO	76.875	2%	400.00	15,375.00
5,000	MAGNET BANK F S B ZERO COUPON CERT OF DEPOSIT DUE 10/04/95 DTD 03/29/84 FSLIC INSURED	45.25			2,262.50
15,000	SHONEY'S INNS GROUP IV INC REV PART BDS 1ST YEAR PAYMENT 9% DUE 4/15/2001 DTD 4/15/1986	96.00			14,400.00
	TOTAL MARKET VALUE PRICED SECURITIES				142,212.50

UNPRICED SECURITIES

QUANTITY	DESCRIPTION		
1,000	CHAMPION SPORTS INC		
100	FIRST NATIONAL BANK	BECKLEY W VA	
1,700	GULF BROADCAST CO	ESCROW	
50,000	ALABAMA SPL CARE FAC DUE 4-1-06	FING AUTH MONTGOMERY DTD 6-1-83	9.75% MTG REV
35,000	OAK RIDGE TN IDB 8% DUE 6/1/25 OY=10%	MTG REV FHA INSRD CPN COMM 6/1/10@10%	BRITISH WOODS APTS DTD 6/26/1985-REGSTD
30,000	TAMPA FLA WTR & DUE 10-1-05	SWR SYS DTD 5-1-82	8%
45,000	WEST VA HSG DEV FUND 8% DUE 1/01/2015	SGLE FAM MORT REV DTD 9/20/1983	SER B CAP APPR BDS EXT CALL REGSTD

*YOU MAY HAVE PURCHASED THROUGH WHEAT OR ITS AFFILIATES, MUTUAL FUNDS, ANNUITIES, LIMITED PARTNERSHIPS OR OTHER INVESTMENTS WHICH ARE NOT HELD BY WHEAT. IF SO, YOU WILL RECEIVE PERIODIC STATEMENTS DIRECTLY FROM THE FUND, INSURANCE COMPANY OR PARTNERSHIP.

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Wheat First Securities, Inc. STATEMENT

NICK JOE RAHALL II
307 BAIR BUILDING
BECKLEY WV 25801

PERIOD ENDED:	NOVEMBER 28, 1986
PREVIOUS STATEMENT:	OCTOBER 31, 1986
ACCOUNT NUMBER:	18-73645-315-R
ACCOUNT EXECUTIVE:	EDWARD G. RAHALL
TELEPHONE NUMBER:	304-252-6221
ADDRESS:	BECKLEY, W.VA.
TAX I.D. NUMBER:	236-70-8645
	PAGE 1

DUPLICATE

INVESTMENT IDEA
AN '86 IRA DEPOSIT NOW MEANS MORE FUTURE
EARNINGS, IT'S DEDUCTIBLE, AND A WHEAT SELF
DIRECTED IRA HAS MANY ADVANTAGES. ASK US.

SUMMARY INFORMATION

ACCOUNT ACTIVITY

	MMF ACCOUNT(S)	SECURITY ACCOUNT
OPENING BALANCES	105,069.84	.00
NET SECURITIES PURCHASE & SALES		20,686.08
MONEY MARKET FUND PURCHASES, SALES & TRANSFERS	14,158.27	14,158.27 DR
DIVIDEND & INTEREST INCOME		1,815.96 DR
OTHER TRANSACTIONS		3,810.85 DR
CLOSING BALANCES	**119,228.11**	**901.00**

INCOME

	CURRENT	YEAR-TO-DATE
DIVIDENDS	130.54	5,112.79
INTEREST	2,437.50 DR	3,573.04
CEF REG PORTFOLIO	491.00	6,099.00
TOTAL	**1,815.96 DR**	**14,784.83**

ACCOUNT EQUITY

CASH		$901.00
MONEY MARKET FUND BALANCE & YIELD		
CEF REG PORTFOLIO 7 DAY AVERAGE YIELD	5.03%	119,228.11
VALUE OF PRICED SECURITIES		$118,150.00
TOTAL NET RESOURCES (EXCLUDING UNPRICED SECURITIES)		**$238,279.11**

DETAIL OF ACCOUNT ACTIVITY

SECURITIES PURCHASES & SALES

DATE	ACTIVITY	QUANTITY	DESCRIPTION	PRICE	AMOUNT
11/10	SOLD	100	AMERICAN GENL CORP	38.50	3,764.73
11/17	SOLD	200	AMERICAN GENL CORP	37.50	7,348.20
11/24	SOLD	300	INTELOGIC TRACE INC	9.125	2,644.24
11/25	SOLD	200	AMERICAN GENL CORP	35.375	6,928.91
			NET AMOUNT OF BUYS & SELLS		**20,686.08**

MONEY MARKET PURCHASES SALES & TRANSFERS

DATE	ACTIVITY	DESCRIPTION	AMOUNT
11/13	BOUGHT	AUTOMATIC WCEF BUY	3,815.27 DR
11/17	BOUGHT	CEF REG PORTFOLIO	491.00 DR
11/18	BOUGHT	AUTOMATIC WCEF BUY	2,710.20 DR
11/20	SOLD	AUTOMATIC WCEF SELL	2,437.50
11/25	BOUGHT	AUTOMATIC WCEF BUY	2,644.24 DR
11/26	BOUGHT	AUTOMATIC WCEF BUY	6,928.91 DR
11/28	SOLD	AUTOMATIC WCEF SELL	1.85
		NET AMOUNT OF ACTIVITY	**14,158.27 DR**

DIVIDEND & INTEREST INCOME

DATE	ACTIVITY	DESCRIPTION		AMOUNT
11/11	DIVIDEND	FREEPORT MCMORAN	GOLD CO	50.54
11/17	CEF REG DIVIDEND	CEF REG DIVIDEND		491.00
11/17	DIVIDEND ON 500	DIGIURGIO CORP		80.00
11/19	INTEREST	ALABAMA SPL CARE FAC 9.75% MTG REV DTD 6-1-83 CXL 10/1	FING AUTH MONTGOMERY DUE 4-1-06 BD PAYS AT MTY	2,437.50 DR
		NET DIVIDEND & INTEREST INCOME		**1,815.96 DR**

[illegible]

Wheat First Securities, Inc. STATEMENT

NICK JOE RAHALL II
307 BAIR BUILDING
BECKLEY WV 25801

PERIOD ENDED:	NOVEMBER 28, 1986
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DUPLICATE	PAGE 2

DETAIL OF ACCOUNT ACTIVITY CONT'D

OTHER TRANSACTIONS

DATE	ACTIVITY	QUANTITY	DESCRIPTION	AMOUNT
11/17	JOURNAL ENTRY		TFR TO FUTURES	4,710.00 DR
11/28	INTEREST		INT TO NOV 2509 8/0% 275.46 ADB 5,000.00 OCB 2,644.24CR CCB	1.85 DR
11/28	DEPOSIT			901.00
11/28	STOCK DIVIDEND	200	PILLSBURY CO PAYABLE 11-28	
			NET AMOUNT OF ACTIVITY	**3,810.85 DR**

SECURITY HOLDINGS

PRICED SECURITIES

QUANTITY	DESCRIPTION	PRICE	EST. YIELD%	EST. ANNUAL INCOME	VALUE
300	AMERICAN GENL CORP	38.25	2%	336.00	11,475.00
500	DIGIORGIO CORP	25.00	2%	320.00	12,500.00
200	GANNETT CO INC	75.50	2%	368.00	15,100.00
200	GRACE W R & CO	52.75	5%	560.00	10,550.00
500	M C I COMMUNICATIONS	6.625			3,312.50
500	MARRIOTT CORPORATION	30.75		65.00	15,375.00
700	PEPSICO INC	27.625	2%	448.00	19,337.50
400	PILLSBURY CO	76.25	2%	800.00	30,500.00
	TOTAL MARKET VALUE PRICED SECURITIES				**118,150.00**

UNPRICED SECURITIES

QUANTITY	DESCRIPTION		
1,000	CHAMPION SPORTS INC		
100	FIRST NATIONAL BANK	BECKLEY W VA	
1,700	GULF BROADCAST CO	ESCROW	
50,000	ALABAMA SPL CARE FAC DUE 4-1-06	FING AUTH MONTGOMERY DTD 6-1-83	9.75% MTG REV
5,000	MAGNET BANK F S B DUE 10/06/95	ZERO COUPON DTD 03/29/84	CERT OF DEPOSIT FSLIC INSURED
35,000	OAK RIDGE TN IDB 0% DUE 6/1/25 OY=10%	MTG REV FHA INSRD CPN COMM 6/1/10@10%	BRITISH WOODS APTS DTD 6/26/1985-REGSTD
15,000	SHONEY'S INNS GROUP DUE 4/15/2001	IV INC REV PART BDS DTD 4/15/1986	1ST YEAR PAYMENT 9%
30,000	TAMPA FLA WTR & DUE 10-1-05	SWR SYS DTD 5-1-82	0%
45,000	WEST VA HSG DEV FUND 0% DUE 1/01/2015	SGLE FAM MORT REV DTD 9/28/1983	SER B CAP APPR BDS EXT CALL REGSTD

*YOU MAY HAVE PURCHASED THROUGH WHEAT OR ITS AFFILIATES, MUTUAL FUNDS, ANNUITIES, LIMITED PARTNERSHIPS OR OTHER INVESTMENTS WHICH ARE NOT HELD BY WHEAT. IF SO, YOU WILL RECEIVE PERIODIC STATEMENTS DIRECTLY FROM THE FUND, INSURANCE COMPANY OR PARTNERSHIP.

Wheat First Securities, Inc.

STATEMENT

NICK JOE RAHALL II
307 BAIR BUILDING
BECKLEY WV 25801

PERIOD ENDED: DECEMBER 31, 1986
PREVIOUS STATEMENT: NOVEMBER 28, 1986
ACCOUNT NUMBER: 18-73645-315-R
ACCOUNT EXECUTIVE: EDWARD G. RAHALL
TELEPHONE NUMBER: 304-252-6221
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TAX I.D. NUMBER: 236-78-8645
PAGE 1

DUPLICATE

INVESTMENT IDEA

A WHEAT INVESTMENT EXCLUSIVE: SOUTHEASTERN INCOME PROPERTIES LIMITED PARTNERSHIP. ASK YOUR BROKER FOR DETAILS.

SUMMARY INFORMATION

ACCOUNT ACTIVITY

	MMF ACCOUNT(S)	SECURITY ACCOUNT
OPENING BALANCES	119,228.11	901.00
NET SECURITIES PURCHASE & SALES		33,476.06
MONEY MARKET FUND PURCHASES, SALES & TRANSFERS	21,685.60	21,685.60 DR
DIVIDEND & INTEREST INCOME		910.00
OTHER TRANSACTIONS		9,961.99 DR
CLOSING BALANCES	**140,913.71**	**3,639.47**

INCOME

	CURRENT	YEAR-TO-DATE
DIVIDENDS	436.00	5,548.79
INTEREST		3,573.04
CEF REG PORTFOLIO	474.00	6,573.00
TOTAL	**910.00**	**15,694.83**

ACCOUNT EQUITY

CASH		$3,639.47
MONEY MARKET FUND BALANCE & YIELD		
CEF REG PORTFOLIO 7 DAY AVERAGE YIELD	5.12%	140,913.71
VALUE OF PRICED SECURITIES		$66,281.25
TOTAL NET RESOURCES (EXCLUDING UNPRICED SECURITIES)		**$210,834.43**

DETAIL OF ACCOUNT ACTIVITY

SECURITIES PURCHASES & SALES

DATE	ACTIVITY	QUANTITY	DESCRIPTION	PRICE	AMOUNT
12/02	SOLD	200	PILLSBURY CO	75.75	14,958.79
12/10	SOLD	500	M C I COMMUNICATIONS	6.875	3,318.98
12/16	SOLD	100	AMERICAN GENL CORP	39.25	3,838.68
12/19	SOLD	200	AMERICAN GENL CORP	39.375	7,718.15
12/31	SOLD	50	GANNETT CO INC SHS BOT 9/18/86	74.50	3,641.46
			NET AMOUNT OF BUYS & SELLS		**33,476.06**

MONEY MARKET PURCHASES SALES & TRANSFERS

DATE	ACTIVITY	DESCRIPTION	AMOUNT
12/02	BOUGHT	AUTOMATIC WCEF BUY	1,197.00 DR
12/03	BOUGHT	AUTOMATIC WCEF BUY	12,978.79 DR
12/05	SOLD	AUTOMATIC WCEF SELL	2,000.00
12/15	BOUGHT	CEF REG PORTFOLIO	474.00 DR
12/16	BOUGHT	AUTOMATIC WCEF BUY	3,458.98 DR
12/22	BOUGHT	AUTOMATIC WCEF BUY	11,556.83 DR
12/24	SOLD	AUTOMATIC WCEF SELL	5,980.00
		NET AMOUNT OF ACTIVITY	**21,685.60 DR**

DIVIDEND & INTEREST INCOME

DATE	ACTIVITY		DESCRIPTION	AMOUNT
12/01	DIVIDEND ON	700	AMERICAN GENL CORP	196.00
12/01	DIVIDEND ON	200	PILLSBURY CO	100.00
12/10	DIVIDEND ON	200	GRACE W R & CO	140.00
12/15	CEF REG DIVIDEND		CEF REG DIVIDEND	474.00
			NET DIVIDEND & INTEREST INCOME	**910.00**

707 East Main Street, Richmond, Virginia 23219

Wheat, First Securities, Inc.

STATEMENT

NICK JOE RAHALL II
307 BAIR BUILDING
BECKLEY WV 25801

PERIOD ENDED: DECEMBER 31, 1986
PREVIOUS STATEMENT: NOVEMBER 28, 1986
ACCOUNT NUMBER: 18-73645-315-R
ACCOUNT EXECUTIVE: EDWARD G. RAHALL
TELEPHONE NUMBER: 304-252-6221
ADDRESS: BECKLEY, W.VA.
TAX I.D. NUMBER: 236-78-8645
PAGE 2

DUPLICATE

DETAIL OF ACCOUNT ACTIVITY CONT'D

OTHER TRANSACTIONS

DATE	ACTIVITY	QUANTITY	DESCRIPTION	AMOUNT
12/01	STOCK DIVIDEND	200	PILLSBURY CO	
12/02	JOURNAL ENTRY		TFR TO FUTURES	1,980.00 DR
12/04	CHECK PAID		01811126	2,000.00 DR
12/10	STOCK DIVIDEND	200-	PILLSBURY CO CXL 11/28	
12/10	STOCK DIVIDEND	200-	PILLSBURY CO PAYABLE 11/28 SOLD DURING DUE BILL	
12/23	JOURNAL ENTRY		TFR TO FUTURES	5,980.00 DR
12/29	INTEREST		INT TO DEC 2909 0/0% 234.81 ADB 6,928.91CR OCB CCB	1.99 DR
			NET AMOUNT OF ACTIVITY	**9,961.99** DR

SECURITY HOLDINGS

PRICED SECURITIES

QUANTITY	DESCRIPTION	PRICE	EST. YIELD%	EST. ANNUAL INCOME	VALUE
500	DIGIORGIO CORP	21.50	2%	320.00	10,750.00
150	GANNETT CO INC	72.125	2%	276.00	10,818.75
200	GRACE W R & CO	48.375	5%	560.00	9,675.00
500	MARRIOTT CORPORATION	29.00		80.00	14,500.00
700	PEPSICO INC	26.00	2%	448.00	18,200.00
5,000	MAGNET BANK F S B ZERO COUPON CERT OF DEPOSIT DUE 10/06/95 DTD 03/29/84 FSLIC INSURED	46.75			2,337.50
	TOTAL MARKET VALUE PRICED SECURITIES				**66,281.25**

UNPRICED SECURITIES

QUANTITY	DESCRIPTION		
1,000	CHAMPION SPORTS INC		
100	FIRST NATIONAL BANK	BECKLEY W VA	
1,700	GULF BROADCAST CO	ESCROW	
50,000	ALABAMA SPL CARE FAC DUE 4-1-06	FING AUTH MONTGOMERY DTD 6-1-83	9.75% MTG REV
35,000	OAK RIDGE TN IDB 0% DUE 6/1/25 OY=10%	MTG REV FHA INSRD CPN COMM 6/1/10@10%	BRITISH WOODS APTS DTD 6/26/1985-REGSTD
15,000	SHONEY'S INNS GROUP DUE 4/15/2001	IV INC REV PART BDS DTD 4/15/1986	1ST YEAR PAYMENT 9%
30,000	TAMPA FLA WTR & DUE 10-1-05	SWR SYS DTD 5-1-82	0%
45,000	WEST VA HSG DEV FUND 0% DUE 1/01/2015	SGLE FAM MORT REV DTD 9/28/1983	SER B CAP APPR BDS EXT CALL REGSTD

*YOU MAY HAVE PURCHASED THROUGH WHEAT OR ITS AFFILIATES, MUTUAL FUNDS, ANNUITIES, LIMITED PARTNERSHIPS OR OTHER INVESTMENTS WHICH ARE NOT HELD BY WHEAT. IF SO, YOU WILL RECEIVE PERIODIC STATEMENTS DIRECTLY FROM THE FUND, INSURANCE COMPANY OR PARTNERSHIP.

707 East Main Street, Richmond, Virginia 23219

SHEARSON
HMAN BROTHERS

An American Express company

rson Lehman Brothers Inc
& Marshall Inc
obinson-Humphrey Company, Inc

PAGE 1

II B Income

CONGRESSMAN NICK JOE RAHALL II
THE CANNON BLDG
WASHINGTON DC 20515

OMB NO 1545-0110
1545-0112
1545-0117

PAYERS N/A & IDENTIFYING NUMBER:
SHEARSON LEHMAN BROTHERS INC.
AMERICAN EXPRESS TOWER
WORLD FINANCIAL CENTER
NEW YORK, NEW YORK 10285-1900
TAX-ID 13-2518466

SUBSTITUTE 1099 COMBINED STATEMENT FOR RECIPIENTS OF:

DIVIDENDS AND DISTRIBUTIONS
INTEREST INCOME
ORIGINAL ISSUE DISCOUNT

ACCOUNT NUMBER TC SOC-SEC/TAX-ID
685-03367 17 236-78-8645

1986 DIVIDENDS AND DISTRIBUTIONS

#	DESCRIPTION	GROSS DIVIDENDS AND OTHER DISTRIBUTIONS	QUALIFYING DIVIDENDS	NON-QUALIFYING DIVIDENDS	LONG TERM CAPITAL GAINS DISTRIBUTION	FEDERAL INCOME TAX WITHHELD
100	ADAMS-RUSSELL CO INC	$16.00				
00	AMERICAN EXPRESS CO	$272.00				
00	AMERICAN TEL & TEL CO	$240.00				
00	WALT DISNEY COMPANY	$16.00				
00	EASTERN GAS & FUEL ASSOCIATES	$32.50				
00	GREAT ATLANTIC&PAC TEA CO INC	$200.00				
00	*** JAGUAR PLC SPONSORED ADR	$31.60				
00	LIBERTY FINANCIAL GROUP INC	$75.00				
00	PHILADELPHIA ELECTRIC CO	$440.00				
00	RJR NABISCO INC	$114.00				
00	SHEARSON FMA CASH FUND	$1,332.41		$1,332.41		
00	U S STEEL CORP 10.75 CUM PFD	$3,225.00				
00	USX CORP $10.75 CUM RED PFD	$3,225.00				
00	TOTALS	$9,219.51		$1,332.41		

important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence pena
imposed on you if this income is taxable and the service determines that it has not been reported.

SHEARSON
IMAN BROTHERS

An American Express company

Shearson Lehman Brothers Inc
& Marshall Inc
The Robinson-Humphrey Company Inc

685-03367

PAGE 2

CONGRESSMAN NICK JOE RAHALL II
THE CANNON BLDG
WASHINGTON DC 20515

OMB NO 1545-0715

PAYERS N/A & IDENTIFYING NUMBER:
SHEARSON LEHMAN BROTHERS INC.
AMERICAN EXPRESS TOWER
WORLD FINANCIAL CENTER
NEW YORK, NEW YORK 10285-1900
TAX-ID 13-2518466

IV. Transactions

SUBSTITUTE 1099B SALES REPORTING

ACCOUNT NUMBER TC SOC-SEC/TAX-ID
685-03367 17 236-78-8645

1986 GROSS PROCEEDS SUMMARY

#	DATE	CUSIP #	QUANTITY	DESCRIPTION	ACCRUED INTEREST	PRICE	SALE AMOUNT	FEDERAL TAX WITHHELD ON SALE AMOUNT
00	S04/09/86	238100200	200	DATAPOINT CORP		5 1/8	$989.52	
00	S02/19/86	276461100	100	EASTERN GAS & FUEL ASSOCIATES		23	$2,255.54	
00	S07/30/86	339734105	100	FLOATING POINT SYSTEMS INC		19 3/4	$1,935.21	
00	S12/31/86	351776109	1000	FRANCE FUND INC		10 3/4	$10,551.20	
00	S12/31/86	458159100	200	INTELOGIC TRACE INC		5 5/8	$1,083.96	
00	S12/31/86	458159100	20	INTELOGIC TRACE INC		5 5/8	$108.39	
00	S03/21/86	879280105	200	TELECOM PLUS INTERNATIONAL INC N/C FR TELECOM EQUIPMENT CORP SAXP IS A MARKET MAKER		8 1/2	$1,700.00	

TAL 1986 PROCEEDS

REF #	* NET PROCEEDS OF SALES	FEDERAL TAX WITHHELD ON TOTAL SALES AMOUNT
0002200	$18,623.82	

MOUNT REPORTED AS NET PROCEEDS OF SALES REPRESENTS GROSS PROCEEDS OF REPORTABLE SALES, LESS COMMISSION CHARGED, IF ANY.

s important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penal
imposed on you if this income is taxable and the service determines that it has not been reported.

SHEARSON
IMAN BROTHERS
An American Express company

Financial Management Account

685 03367 17 240

04/28/86 TO 05/31/86 PAGE 1

CONGRESSMAN NICK JOE RAHALL II
THE CANNON BLDG
WASHINGTON DC 20515

PURSUANT TO YOUR INSTRUCTIONS THE FOLLOWING HAVE ALSO RECEIVED COPIES OF THIS STATEMENT:
1. N JOE RAHALL
2. KELLY BLANKENSHIP CPA

NT	685 03367 17 240	INVESTMENT CENTER SHEARSON LEHMAN BROTHERS INC. 701 MAIN STREET SUITE 100 STROUDSBURG PA 18360
,	236-78-8645	
ICIAL JLTANT	JAMES A JOSEPH 717-421-3100	

PORTFOLIO INCOME SUMMARY

	YIELD	CURRENT MONTH	YEAR TO DATE
CASH FUND DIVIDEND	6.41	100.89	1,285.21
MUNI FUND DIVIDEND	4.20	.00	.00
GOVT FUND DIVIDEND	6.45	.00	.00
IDENDS		194.00	2,127.00
ABLE INTEREST		.00	.00
-TAXABLE INTEREST		.00	.00
ER INCOME		.00	.04
TOTAL		294.89	3,412.25

IONTH'S AVERAGE BORROWING RATE: 8.75% TO 10.25%.

MONTHLY ACTIVITY SUMMARY

	DEBIT	CREDIT
COMBINED OPENING BALANCE		17,949.83
PORTFOLIO PURCHASES	.00	
PORTFOLIO SALES		.00
PORTFOLIO INCOME		294.89
CHECKS/ADVANCES	4,427.68	
GOLD CARD CHARGES PAID	66.94	
INTEREST CHARGED	.00	
CASH RECEIVED	.00	.00
CASH WITHDRAWN	.00	.00
OVERDRAFT LOAN ACTIVITY		.00
OTHER ACTIVITY		.00
COMBINED CLOSING BALANCE		13,750.10

MARKET VALUE	162,336	SHORT BALANCE	.00
EQUITY	162,336	YTD INT CHARGED	.00
BUYING POWER	165,256	OVERDRAFT LOAN	.00
CASH AVAILABLE	82,628	AVG DAILY BAL	.00
LTD PTNRSHIPS*	0		

* NOT INCLUDED IN EQUITY OR MARKET VALUE.

IMPORTANT NOTICE

THE AVERAGE YIELD ON YOUR APRIL FMA STATEMENT FOR THE FMA MUNICIPAL AND THE FMA GOVERNMENT FUNDS WERE INADVERTENTLY TRANSPOSED IN THE PRINTING OF STATEMENTS.

THE CORRECT AVERAGE YIELDS WERE:

FMA GOVERNMENT	6.71%
FMA MUNICIPAL	4.38%
FMA CASH	6.81%

WE APOLOGIZE FOR ANY INCONVENIENCE THIS MAY HAVE CAUSED YOU.

SHEARSON FMA CLIENT SERVICES: CONTINENTAL U.S. (800)221-3636;
IN NEW YORK (800)522-5429; IN ALASKA & HAWAII (800)221-1656

Account

685 03367 17 240 09/01/86 TO 09/28/86 PAGE 1

CONGRESSMAN NICK JOE RAHALL II
THE CANNON BLDG
WASHINGTON DC 20515

PURSUANT TO YOUR INSTRUCTIONS THE FOLLOWING HAVE ALSO RECEIVED COPIES OF THIS STATEMENT:
1. N JOE RAHALL
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NT	685 03367 17 240	INVESTMENT CENTER SHEARSON LEHMAN BROTHERS INC. 701 MAIN STREET SUITE 100 STROUDSBURG PA 18360
)	236-78-8645	
CIAL JLTANT	JAMES A JOSEPH 717-421-3100	

MONTHLY ACTIVITY SUMMARY

	DEBIT	CREDIT
COMBINED OPENING BALANCE	22,155.60	
PORTFOLIO PURCHASES	.00	
PORTFOLIO SALES		.00
PORTFOLIO INCOME		1,674.50
CHECKS/ADVANCES	994.00	
GOLD CARD CHARGES PAID	.00	
INTEREST CHARGED	148.67	
CASH RECEIVED	.00	.00
CASH WITHDRAWN	.00	.00
OVERDRAFT LOAN ACTIVITY		.00
OTHER ACTIVITY		.00
COMBINED CLOSING BALANCE	21,623.77	

MARKET VALUE	145,991	SHORT BALANCE	.00
EQUITY	124,367	YTD INT CHARGED	169.18
BUYING POWER	192,760	OVERDRAFT LOAN	.00
CASH AVAILABLE	72,953	AVG DAILY BAL	22,299.00
LTD PTNRSHIPS*	0		

* NOT INCLUDED IN EQUITY OR MARKET VALUE.

PORTFOLIO INCOME SUMMARY

	YIELD	CURRENT MONTH	YEAR TO DATE
CASH FUND DIVIDEND	5.60	.00	1,332.41
MUNI FUND DIVIDEND	3.98	.00	.00
GOVT FUND DIVIDEND	5.74	.00	.00
/IDENDS		1,674.50	5,792.40
ABLE INTEREST		.00	.00
-TAXABLE INTEREST		.00	.00
ER INCOME		.00	.04
TOTAL		1,674.50	7,124.85

ONTH'S AVERAGE BORROWING RATE: 8.00% TO 9.50%.

YOU HAVE LESS THAN 90 DAYS TO MAKE INVESTMENT DECISIONS AND TAKE ACTION THAT COULD SAVE YOU SIGNIFICANT MONEY AND POSITION YOU TO TAKE ADVANTAGE OF THE SWEEPING TAX REFORM.

YOUR FINANCIAL CONSULTANT IS ESPECIALLY PREPARED TO GUIDE YOU. THERE ARE SPECIFIC STRATEGIES TO ACCOMPLISH NOW AND MANY TO CONSIDER FOR THE YEAR AHEAD. OUR BOOKLET, SEVEN CRITICAL QUESTIONS ABOUT TAX REFORM, IS MUST READING.

MANY OF OUR BRANCHES WILL BE CONDUCTING TAX REFORM SEMINARS. ALSO, NOW MORE THAN EVER, IT IS IMPORTANT FOR YOU TO COMPLETE OUR FREE PERSONAL REVIEW OUTLINE - THE PRO. A SPECIAL TAX REFORM ANALYSIS, SUPPLEMENTING THE PRO, IS AVAILABLE AT A NOMINAL COST. IT WILL FEATURE SPECIFIC OPPORTUNITIES TO REDUCE YOUR TAXES IN 1986 AND IN FUTURE YEARS.

ALSO, CHECK YOUR SS/TAX ID NUMBER ABOVE, TO INSURE ACCURATE TAX REPORTING.

SHEARSON FMA CLIENT SERVICES: CONTINENTAL U.S. (800)221-3636;
IN NEW YORK (800)522-5429; IN ALASKA & HAWAII (800)221-1656

Shearson Lehman Brothers Inc
Foster & Marshall Inc
The Robinson-Humphrey Company, Inc

IMAN BROTHERS **Account**

CONGRESSMAN NICK JOE RAHALL II 685 03367 17 240 09/01/86 TO 09/28/86 PAGE :

TRANSACTION ANALYSIS

DATE	QUANTITY BOT/RECD	QUANTITY SOLD/DEL	DESCRIPTION	ENTRY/ PRICE	AMOUNT	BALANCES CASH	BALANCES FMA FUND
			**** OPENING BALANCES ****			22,155.60	.00
9/03/86			BANK CK 0111 REF 86754991	CHECK	994.00	23,149.60	.00
9/05/86			RJR NABISCO INC CASH DIV ON 100 SHS REC 08/08/86 PAY 09/05/86	DIV-RECD.	37.00CR	23,112.60	.00
9/15/86			LIBERTY FINANCIAL GROUP INC CASH DIV ON 200 SHS REC 08/29/86 PAY 09/13/86	DIV-RECD.	25.00CR	23,087.60	
09/15/86			USX CORP $10.75 CUM RED PFD CASH DIV ON 600 SHS REC 08/18/86 PAY 09/15/86	DIV-RECD.	1,612.50CR	21,475.10	.00
9/22/86			INT FR 08/21 THRU09/213 7 1/2%	INT-CHARGE	148.67	21,623.77	.00
			**** CLOSING BALANCES ****			21,623.77	.00

PORTFOLIO ANALYSIS

QUANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	IND. INCOME % YLD	S&P RTG	IND EARNINGS PER SHR	IND EARNINGS P/E
200	ADAMS RUSSELL ELECTRONICS CO INC	18.50	3,700	99	2.5	.00	.00	.0		.00	
200	ADAMS-RUSSELL CO INC	19.75	3,950	14	2.7	.16	32.00	.8	B+	.19	4
200	AMERICAN EXPRESS CO	55.75	11,150	28	7.6	1.36	272.00	2.4	A	5.26	11
200	AMERICAN TEL & TEL CO	22.87	4,575	62	3.1	1.20	240.00	5.2	A-	1.49	15
100	WALT DISNEY COMPANY	39.37	3,937	43	2.7	.32	32.00	.8	B	1.63	24
1,000	FRANCE FUND INC	10.00	10,000	99	6.8	.00	.00	.0		.00	
200	GABELLI EQUITY TRUST INC	9.87	1,975	99	1.4	.00	.00	.0		.00	
500	GREAT ATLANTIC&PAC TEA CO INC	21.87	10,937	52	7.5	.40	200.00	1.8	B-	1.54	14
220	INTELOGIC TRACE INC	10.62	2,337	99	1.6	.00	.00	.0		1.12	9
200	*** JAGUAR PLC SPONSORED ADR	7.21	1,443	10	1.0	.00	.00	.0		.82	9
200	LIBERTY FINANCIAL GROUP INC	41.00	8,200	99	5.6	.50	100.00	1.2		3.22	13
500	MCI COMMUNICATIONS CORP	7.62	3,812	12	2.6	.00	.00	.0		.41	1
200	PHILADELPHIA ELECTRIC CO	21.87	4,375	64	3.0	2.20	440.00	10.0	A-	2.60	8
100	RJR NABISCO INC	47.00	4,700	83	3.2	1.48	148.00	3.1	A+	3.73	13
500	TELECOM PLUS INTERNATIONAL INC N/C FR TELECOM EQUIPMENT CORP	7.37	3,687	56	2.5	.00	.00	.0		.60-	
600	USX CORP $10.75 CUM RED PFD	93.87	56,325	99	38.6	10.75	6450.00	11.4	C	.00	
	*** UNPRICED SECURITIES ***										

IMAN BROTHERS **Account**

CONGRESSMAN NICK JOE RAHALL II 685 03367 17 240 09/01/86 TO 09/28/86 PAGE

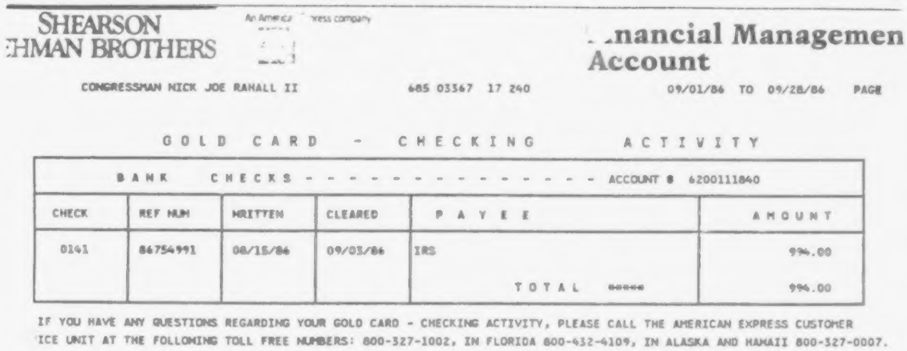
PORTFOLIO ANALYSIS (CONT)

QUANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	IND. INCOME % YLD	S&P RTG	IND EARNINGS PER SHR	IND EARNINGS P/E
1,227	FIRST NATIONAL BANK-BECKLEY W VA					.00	.00	.0		.00	
	*** FUND POSITIONS ***										
10.0000	SHEARSON LEHMAN FUTURES 1000FD	1088.48	10,884	SD	7.5	.00	.00	.0		.00	
	TOTALS		145,991		100.0		7914.00				

UNPRICED SECURITIES ARE NOT INCLUDED IN TOTAL MKT VALUE

INDUSTRY CLASSIFICATION

E	INDUSTRY	PORT %	VALUE	CODE	INDUSTRY	PORT %	VALUE
	AUTOMOTIVE	1.0	1,443	62	PUBLIC UTILITIES-COMMUNICATIONS	5.7	8,387
	BROADCASTING & TV	2.7	3,950	64	PUBLIC UTILITIES-ELECTRIC	3.0	4,375
	FINANCE COMPANIES	7.6	11,150	83	TOBACCO	3.2	4,700
	LEISURE TIME	2.7	3,937	99	UNCLASSIFIED ISSUES	56.5	82,537
	MERCHANDISING	7.5	10,937	SD	COMMODITY FUNDS	7.5	10,884
	OFFICE EQUIPMENT	2.5	3,687				



SHEARSON
HMAN BROTHERS

An America ress company

nancial Managemen
Account

CONGRESSMAN NICK JOE RAHALL II   685 03367 17 240   09/01/86 TO 09/28/86 PAGE

GOLD CARD - CHECKING ACTIVITY

BANK CHECKS - - - - - - - - - - - - - - - ACCOUNT # 6200111840					
CHECK	REF NUM	WRITTEN	CLEARED	PAYEE	AMOUNT
0141	86754991	08/15/86	09/03/86	IRS	994.00
				TOTAL *****	994.00

IF YOU HAVE ANY QUESTIONS REGARDING YOUR GOLD CARD - CHECKING ACTIVITY, PLEASE CALL THE AMERICAN EXPRESS CUSTOMER ICE UNIT AT THE FOLLOWING TOLL FREE NUMBERS: 800-327-1002, IN FLORIDA 800-432-4109, IN ALASKA AND HAWAII 800-327-0007.

MAN BROTHERS

Account

685 03367 17 240 09/29/86 TO 10/31/86 PAGE 1

CONGRESSMAN NICK JOE RAHALL II
THE CANNON BLDG
WASHINGTON DC 20515

PURSUANT TO YOUR INSTRUCTIONS THE FOLLOWING HAVE ALSO RECEIVED COPIES OF THIS STATEMENT:
1. N JOE RAHALL
2. KELLY BLANKENSHIP CPA

IT	685 03367 17 240	INVESTMENT CENTER SHEARSON LEHMAN BROTHERS INC. 701 MAIN STREET SUITE 100 STROUDSBURG PA 18360
	236-78-8645	
ITAL ULTANT	JAMES A JOSEPH 717-421-3100	

PORTFOLIO INCOME SUMMARY

	YIELD	CURRENT MONTH	YEAR TO DATE
CASH FUND DIVIDEND	5.37	.00	1,332.41
MUNI FUND DIVIDEND	3.42	.00	.00
GOVT FUND DIVIDEND	5.59	.00	.00
IDENDS		118.00	5,910.40
ABLE INTEREST		.00	.00
-TAXABLE INTEREST		.00	.00
ER INCOME		.00	.04
TOTAL		118.00	7,242.85

ONTH'S AVERAGE BORROWING RATE: 7.75% TO 9.25%.

MONTHLY ACTIVITY SUMMARY

	DEBIT	CREDIT
COMBINED OPENING BALANCE	21,623.77	
PORTFOLIO PURCHASES	.00	
PORTFOLIO SALES		.00
PORTFOLIO INCOME		118.00
CHECKS/ADVANCES	.00	
GOLD CARD CHARGES PAID	.00	
INTEREST CHARGED	126.04	
CASH RECEIVED	.00	.00
CASH WITHDRAWN	.00	.00
OVERDRAFT LOAN ACTIVITY		.00
OTHER ACTIVITY	100.00	.00
COMBINED CLOSING BALANCE	21,731.81	

MARKET VALUE	143,902	SHORT BALANCE	.00
EQUITY	122,170	YTD INT CHARGED	295.22
BUYING POWER	192,796	OVERDRAFT LOAN	.00
CASH AVAILABLE	71,503	AVG DAILY BAL	21,580.00
LTD PTNRSHIPS*	0		

* NOT INCLUDED IN EQUITY OR MARKET VALUE.

SIMPLIFY YOUR TAX PREPARATION: TAKE ADVANTAGE OF THE FMA TAX ENCODING FEATURE NOW TO MAKE ANY TAX DEDUCTIBLE CONTRIBUTIONS YOU'D LIKE INCLUDED IN THE 1986 TAX YEAR. WITH ITS TWENTY-FIVE EXPENSE CATEGORIES, TAX ENCODING IS EASY TO USE. OUR HANDY TAX ENCODING STICKER IS AVAILABLE FOR QUICK REFERENCE FROM FMA CLIENT SERVICES. JUST CALL THE TOLL-FREE NUMBER FOR YOUR AREA LISTED BELOW.

NATIONWIDE 1-800-221-3636
NEW YORK STATE 1-800-522-5429
ALASKA & HAWAII 1-800-221-1656

SHEARSON FMA CLIENT SERVICES: CONTINENTAL U.S. (800)221-3636; IN NEW YORK (800)522-5429; IN ALASKA & HAWAII (800)221-1656

Shearson Lehman Brothers Inc.
Foster & Marshall Inc.
The Robinson-Humphrey Company, Inc.

IMAN BROTHERS **Account**

CONGRESSMAN NICK JOE RAHALL II   685 03367 17 240   09/29/86 TO 10/31/86   PAGE 2

TRANSACTION ANALYSIS

DATE	QUANTITY BOT/RECD	QUANTITY SOLD/DEL	DESCRIPTION	ENTRY/PRICE	AMOUNT	BALANCES CASH	BALANCES FMA FUND
			**** OPENING BALANCES ****			21,623.77	.00
9/30/86			PHILADELPHIA ELECTRIC CO CASH DIV ON 200 SHS REC 08/27/86 PAY 09/30/86	DIV-RECD.	110.00CR	21,513.77	.00
0/06/86			WALT DISNEY COMPANY CASH DIV ON 100 SHS REC 09/19/86 PAY 10/06/86	DIV-RECD.	8.00CR	21,505.77	.00
10/09/86			FMA ANNUAL FEE	FEE	100.00	21,605.77	.00
10/21/86			INT FR 09/22 THRU10/20@ 7 1/4%	INT-CHARGE	126.04	21,731.81	.00
			**** CLOSING BALANCES ****			21,731.81	.00

PORTFOLIO ANALYSIS

QUANTITY	DESCRIPTION	PRICE	VALUE	PORT CO	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	IND. INCOME % YLD	S&P RTG	IND EARNINGS PER SHR	IND EARNINGS P/E
200	ADAMS RUSSELL ELECTRONICS CO INC	15.75	3,150	99	2.2	.00	.00	.0		.00	
200	ADAMS-RUSSELL CO INC	21.75	4,350	14	3.0	.16	32.00	.7	B+	.19	14
200	AMERICAN EXPRESS CO	58.62	11,725	28	8.1	1.36	272.00	2.3	A	5.49	11
200	AMERICAN TEL & TEL CO	24.87	4,975	62	3.5	1.20	240.00	4.8	A-	1.64	15
100	WALT DISNEY COMPANY	43.87	4,387	43	3.0	.32	32.00	.7	B	1.63	27
1,000	FRANCE FUND INC	10.00	10,000	99	6.9	.00	.00	.0		.00	
200	GABELLI EQUITY TRUST INC	9.75	1,950	99	1.4	.00	.00	.0		.00	
500	GREAT ATLANTIC&PAC TEA CO INC	22.25	11,125	52	7.7	.40	200.00	1.7	B-	1.57	14
220	INTELOGIC TRACE INC	9.37	2,062	99	1.4	.00	.00	.0		1.12	8
200	*** JAGUAR PLC SPONSORED ADR	7.31	1,462	10	1.0	.00	.00	.0		.82	9
200	LIBERTY FINANCIAL GROUP INC	39.50	7,900	99	5.6	.50	100.00	1.2		3.22	12
500	MCI COMMUNICATIONS CORP	6.50	3,250	62	2.3	.00	.00	.0		.40	16
200	PHILADELPHIA ELECTRIC CO	23.87	4,775	64	3.3	2.20	440.00	9.2	A-	2.80	9
100	RJR NABISCO INC	52.12	5,212	83	3.6	1.60	160.00	3.0	A+	3.79	14
500	TELECOM PLUS INTERNATIONAL INC N/C FR TELECOM EQUIPMENT CORP	6.62	3,312	56	2.3	.00	.00	.0		.76-	
600	USX CORP $10.75 CUM RED PFD	89.25	53,550	99	37.2	10.75	6450.00	12.0	C	.00	
	*** UNPRICED SECURITIES ***										
1,227	FIRST NATIONAL BANK-BECKLEY W VA					.00	.00	.0		.00	

MAN BROTHERS **Account**

CONGRESSMAN NICK JOE RAHALL II 685 03367 17 240 09/29/86 TO 10/31/86 PAGE

PORTFOLIO ANALYSIS (CONT)

QUANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	IND. INCOME % YLD	S&P RTG	IND EARNINGS PER SHR	IND EARNINGS P/E
	*** FUND POSITIONS ***										
10.0000	SHEARSON LEHMAN FUTURES 1000FD	1071.53	10,715	50	7.4	.00	.00	.0		.00	
	TOTALS		143,902		100.0		7926.00				

UNPRICED SECURITIES ARE NOT INCLUDED IN TOTAL MKT VALUE

INDUSTRY CLASSIFICATION

E	INDUSTRY	PORT %	VALUE	CODE	INDUSTRY	PORT %	VALUE
	AUTOMOTIVE	1.0	1,462	62	PUBLIC UTILITIES-COMMUNICATIONS	5.7	8,225
	BROADCASTING & TV	3.0	4,350	64	PUBLIC UTILITIES-ELECTRIC	3.3	4,775
	FINANCE COMPANIES	8.1	11,725	83	TOBACCO	3.6	5,212
	LEISURE TIME	3.0	4,387	99	UNCLASSIFIED ISSUES	54.6	78,612
	MERCHANDISING	7.7	11,125	50	COMMODITY FUNDS	7.4	10,715
	OFFICE EQUIPMENT	2.3	3,312				

SHEARSON
MAN BROTHERS

An American Express company

Financial Management Account

685 03367 17 240

01/01/86 TO 01/31/86 PAGE 1

CONGRESSMAN NICK JOE RAHALL II
307 BAIR BUILDING
BECKLEY, W. VA 25801

PURSUANT TO YOUR INSTRUCTIONS THE FOLLOWING HAVE ALSO RECEIVED COPIES OF THIS STATEMENT:

1. N JOE RAHALL
2. KELLY BLANKENSHIP CPA

Covers: II B Income
III Holdings
IV Transactions

NT	685 03367 17 240	INVESTMENT CENTER SHEARSON LEHMAN BROTHERS INC. 701 MAIN STREET SUITE 100 STROUDSBURG PA 18360
)	236-78-8645	
CIAL LTANT	JAMES A JOSEPH 717-421-3100	

PORTFOLIO INCOME SUMMARY

	YIELD	CURRENT MONTH	YEAR TO DATE
CASH FUND DIVIDEND	7.35	587.33	587.33
MUNI FUND DIVIDEND	5.58	.00	.00
GOVT FUND DIVIDEND	7.15	.00	.00
IDENDS		32.50	32.50
ABLE INTEREST		.00	.00
-TAXABLE INTEREST		.00	.00
ER INCOME		.00	.00
TOTAL		619.83	619.83

ONTH'S AVERAGE BORROWING RATE: 10.50% TO 12.00%.

MONTHLY ACTIVITY SUMMARY

	DEBIT	CREDIT
COMBINED OPENING BALANCE		95,045.43
PORTFOLIO PURCHASES	.00	
PORTFOLIO SALES		.00
PORTFOLIO INCOME		619.83
CHECKS/ADVANCES	.00	
GOLD CARD CHARGES PAID	.00	
INTEREST CHARGED	.00	
CASH RECEIVED	.00	.00
CASH WITHDRAWN	2,000.00	.00
OVERDRAFT LOAN ACTIVITY		.00
OTHER ACTIVITY		.00
COMBINED CLOSING BALANCE		93,665.26

MARKET VALUE	147,227	SHORT BALANCE	.00
EQUITY	147,227	YTD INT CHARGED	.00
BUYING POWER	82,558	OVERDRAFT LOAN	.00
CASH AVAILABLE	37,496	AVG DAILY BAL	.00
LTD PTNRSHIPS*	0		

* NOT INCLUDED IN EQUITY OR MARKET VALUE.

AN IMPORTANT MESSAGE ABOUT IMPROVEMENTS TO YOUR STATEMENTS

THROUGHOUT 1986, WE'LL BE INTRODUCING MODIFICATIONS TO YOUR STATEMENTS WHICH ARE DESIGNED TO MAKE YOUR RECORD-KEEPING EASIER AND THE ADMINISTERING OF YOUR INVESTMENTS MORE EFFICIENT. THIS MONTH, OWNERS OF LIMITED PARTNERSHIPS WILL SEE A SPECIAL SECTION ON THEIR STATEMENTS FOR THE FIRST TIME. SEE THE ENCLOSED FMA JOURNAL NEWSLETTER FOR IMPORTANT DETAILS.

....

AN IMPORTANT IRA REMINDER: 1985 IRA CONTRIBUTIONS MUST BE POSTMARKED BY APRIL 15TH. AND REMEMBER--RE-EVALUATE YOUR IRA INVESTMENTS REGULARLY TO ENSURE THAT THEY MEET YOUR NEEDS IN TODAY'S ENVIRONMENT. ALSO, INVEST EARLY IN '86 FOR MAXIMUM BENEFIT.

SHEARSON FMA CLIENT SERVICES: CONTINENTAL U.S. (800)221-3636;
IN NEW YORK (800)522-5429; IN ALASKA & HAWAII (800)221-1656

SHEARSON
MAN BROTHERS
An American Express company

Financial Management Account

CONGRESSMAN NICK JOE RAHALL II   685 03367 17 240   01/01/86 TO 01/31/86   PAGE 2

TRANSACTION ANALYSIS

ATE	QUANTITY BOT/RECD	SOLD/DEL	DESCRIPTION	ENTRY/ PRICE	AMOUNT	BALANCES CASH	FMA FUND
			**** OPENING BALANCES ****			.00	95,045.43
1/02/86			EASTERN GAS & FUEL ASSOCIATES	DIV-RECD.	32.50CR	32.50CR	95,045.43
			CASH DIV ON 100 SHS				
			REC 11/29/85 PAY 01/02/86				
1/06/86			** SHEARSON FMA CASH FUND	INVESTMENT	32.50	.00	95,077.93
1/15/86			MOVEMENT TO RETIREMENT ACCT	IKJ	2,000.00	2,000.00	
			FROM 685-03367 TO 685-60527				
1/15/86			**SHEARSON FMA CASH FUND	REDEMPTION	2,000.00CR	.00	93,077.93
1/31/86			** SHEARSON FMA CASH FUND	DIVIDEND		.00	93,077.93
			DIV REINVESTED $587.33		587.33CR		93,665.26
			**** CLOSING BALANCES ****			.00	93,665.26

PORTFOLIO ANALYSIS

UANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	IND. INCOME % YLD	S&P RTG	IND EARNINGS PER SHR	P/E
200	ADAMS-RUSSELL CO INC	26.12	5,225	14	3.5	.16	32.00	.6	B+	1.55	17
200	AMERICAN EXPRESS CO	56.25	11,250	28	7.6	1.36	272.00	2.4	A	3.55	16
200	AMERICAN TEL & TEL CO	21.37	4,275	62	2.9	1.20	240.00	5.6	A-	1.37	16
200	DATAPOINT CORP	5.12	1,025	24	.7	.00	.00	.0	B	3.21-	
100	EASTERN GAS & FUEL ASSOCIATES	23.75	2,375	17	1.6	1.30	130.00	5.4	B	1.61-	
100	FLOATING POINT SYSTEMS INC	33.50	3,350	21	2.3	.00	.00	.0	B+	1.75	19
500	GREAT ATLANTIC&PAC TEA CO INC	20.87	10,437	52	7.1	.40	200.00	1.9	B-	1.44	14
200	INTELOGIC TRACE INC	15.25	3,050	99	2.1	.00	.00	.0		1.14	13
200	MCI COMMUNICATIONS CORP	12.37	2,475	62	1.7	.00	.00	.0		.59	21
200	PHILADELPHIA ELECTRIC CO	19.00	3,800	64	2.6	2.20	440.00	11.5	A-	2.56	7
700	TELECOM PLUS INTERNATIONAL INC	9.00	6,300	56	4.3	.00	.00	.0		.60	15
	N/C FR TELECOM EQUIPMENT CORP										
	*** UNPRICED SECURITIES ***										
1,227	FIRST NATIONAL BANK-BECKLEY					.00	.00	.0		.00	
	W VA										
10,000	SHEARSON LEHMAN FUTURES 1000FD					.00	.00	.0		.00	
	*** FUND POSITIONS ***										
93,665	SHEARSON FMA CASH FUND	1.00	93,665	SA	63.6	.00	.00	.0		.00	
	INCLUDES ACCRUED DIVIDENDS										
	FROM 01/01/86 THRU 01/31/86										
	AVG YIELD FOR PERIOD 7.35%										

Shearson Lehman Brothers Inc
Foster & Marshall Inc
The Robinson-Humphrey Company, Inc

SHEARSON
MAN BROTHERS

An American Express company

inancial Management Account

CONGRESSMAN NICK JOE RAHALL II 685 03367 17 240 01/01/86 TO 01/31/86 PAGE 3

PORTFOLIO ANALYSIS (CONT)

UANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	IND. INCOME % YLD	S&P RTG	IND EARNINGS PER SHR	IND EARNINGS P/E
	TOTALS		147,227		100.0		1314.00				

UNPRICED SECURITIES ARE NOT INCLUDED IN TOTAL MKT VALUE

INDUSTRY CLASSIFICATION

INDUSTRY	PORT %	VALUE	CODE	INDUSTRY	PORT %	VALUE
BROADCASTING & TV	3.5	5,225	56	OFFICE EQUIPMENT	4.3	6,300
COAL MINING	1.6	2,375	62	PUBLIC UTILITIES-COMMUNICATIONS	4.6	6,750
DATA PROCESSING SERVICES	2.3	3,350	64	PUBLIC UTILITIES-ELECTRIC	2.6	3,800
ELECTRICAL EQUIPMENT	.7	1,025	99	UNCLASSIFIED ISSUES	2.1	3,050
FINANCE COMPANIES	7.6	11,250	SA	MONEY FUNDS	63.6	93,665
MERCHANDISING	7.1	10,437				

SHEARSON
MAN BROTHERS
An American Express company

Financial Management Account

685 03367 17 240

02/01/86 TO 02/28/86 PAGE 1

CONGRESSMAN NICK JOE RAHALL II
307 BAIR BUILDING
BECKLEY, W. VA 25801

PURSUANT TO YOUR INSTRUCTIONS THE FOLLOWING HAVE ALSO RECEIVED COPIES OF THIS STATEMENT:

1. N JOE RAHALL
2. KELLY BLANKENSHIP CPA

IT	685 03367 17 240	INVESTMENT CENTER SHEARSON LEHMAN BROTHERS INC.
	236-78-8645	701 MAIN STREET SUITE 100
ICIAL ILTANT	JAMES A JOSEPH 717-421-3100	STROUDSBURG PA 18360

MONTHLY ACTIVITY SUMMARY

	DEBIT	CREDIT
COMBINED OPENING BALANCE		93,665.26
PORTFOLIO PURCHASES	67,697.46	
PORTFOLIO SALES		2,255.54
PORTFOLIO INCOME		506.70
CHECKS/ADVANCES	.00	
GOLD CARD CHARGES PAID	.00	
INTEREST CHARGED	.00	
CASH RECEIVED	.00	.00
CASH WITHDRAWN	.00	.00
OVERDRAFT LOAN ACTIVITY		.00
OTHER ACTIVITY		.00
COMBINED CLOSING BALANCE		28,730.04

MARKET VALUE	151,604	SHORT BALANCE	.00
EQUITY	151,604	YTD INT CHARGED	.00
BUYING POWER	147,018	OVERDRAFT LOAN	.00
CASH AVAILABLE	73,509	AVG DAILY BAL	.00
LTD PTNRSHIPS*	0		

* NOT INCLUDED IN EQUITY OR MARKET VALUE.

PORTFOLIO INCOME SUMMARY

	YIELD	CURRENT MONTH	YEAR TO DATE
CASH FUND DIVIDEND	7.35	328.70	916.03
MUNI FUND DIVIDEND	4.91	.00	.00
GOVT FUND DIVIDEND	7.16	.00	.00
IDENDS		178.00	210.50
ABLE INTEREST		.00	.00
-TAXABLE INTEREST		.00	.00
ER INCOME		.00	.00
TOTAL		506.70	1,126.53

UNTH'S AVERAGE BORROWING RATE: 9.75% TO 11.25%.

YOUR "LITTLE" $2,000 IRA HAS REALLY GROWN IN VALUE SINCE YOU FIRST OPENED IT. BY NOW IT MAY HAVE GROWN TO $10,000 OR MORE. AND, WITH THOSE ASSETS, A COORDINATED INVESTMENT STRATEGY IS CRUCIAL.

IF YOUR CURRENT IRA DOESN'T OFFER INVESTMENT FLEXIBILITY, PROFESSIONAL EXPERTISE AND SAFETY, NOW IS THE TIME TO LOOK INTO THE SHEARSON LEHMAN INVESTOR MANAGED IRA. IT'S THE SERIOUS IRA FOR YOU, THE SERIOUS INVESTOR.

TRANSFERRING YOUR EXISTING IRA TO SHEARSON LEHMAN IS SIMPLE. READ THE ARTICLE ON IRA TRANSFERS IN THE ENCLOSED NEWSLETTER. BECOME KNOWLEDGEABLE AND TAKE CONTROL OF YOUR OWN RETIREMENT FUNDS. CALL YOUR FINANCIAL CONSULTANT FOR THE DETAILS ON OUR INVESTOR MANAGED IRA.

SHEARSON FMA CLIENT SERVICES: CONTINENTAL U.S. (800)221-3636;
IN NEW YORK (800)522-5429; IN ALASKA & HAWAII (800)221-1656

SHEARSON
MAN BROTHERS
An American Express company

Financial Management Account

CONGRESSMAN NICK JOE RAHALL II   685 03367 17 240   02/01/86 TO 02/28/86   PAGE 2

TRANSACTION ANALYSIS

ATE	QUANTITY BOT/RECD	QUANTITY SOLD/DEL	DESCRIPTION	ENTRY/PRICE	AMOUNT	BALANCES CASH	BALANCES FMA FUND
			**** OPENING BALANCES ****			.00	93,665.26
/03/36			AMERICAN TEL & TEL CO	DIV-RECD.	60.00CR	60.00CR	93,665.26
			CASH DIV ON 200 SHS				
			REC 12/31/85 PAY 02/01/86				
2/10/86			** SHEARSON FMA CASH FUND	INVESTMENT	60.00	.00	93,725.26
2/10/86			AMERICAN EXPRESS CO	DIV-RECD.	68.00CR	68.00CR	93,725.26
			CASH DIV ON 200 SHS				
			REC 01/03/86 PAY 02/10/86				
/12/86			GREAT ATLANTIC&PAC TEA CO INC	DIV-RECD.	50.00CR	118.00CR	93,725.26
			CASH DIV ON 500 SHS				
			REC 01/15/86 PAY 02/03/86				
/13/86	600		U S STEEL CORP 10.75 CUM PFD	104 0062	62,403.72	62,285.72	
/13/86			**SHEARSON FMA CASH FUND	REDEMPTION	62,285.72CR	.00	31,439.54
/14/86	200		*** JAGUAR PLC SPONSORED ADR	6 4062	1,281.24	1,281.24	
			SAXP IS A MARKET MAKER				
/14/86			**SHEARSON FMA CASH FUND	REDEMPTION	1,281.24CR	.00	30,158.30
/19/86	300		MCI COMMUNICATIONS CORP	13 3/8	4,012.50	4,012.50	
			SAXP IS A MARKET MAKER				
/19/86		100	EASTERN GAS & FUEL ASSOCIATES	23	2,255.54CR	1,756.96	
/19/86			**SHEARSON FMA CASH FUND	REDEMPTION	1,756.96CR	.00	28,401.34
/28/86			** SHEARSON FMA CASH FUND	DIVIDEND			
			DIV REINVESTED $328.70		328.70CR		28,730.04
/28/86			SHEARSON LEHMAN FUTURES 1000FD	MEMO		.00	28,730.04
			ACCEPTANCE HAS BEEN ISSUED				
			FACE AMOUNT: 10,000				
			**** CLOSING BALANCES ****			.00	28,730.04

PORTFOLIO ANALYSIS

UANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	IND. INCOME % YLD	S&P RTG	IND EARNINGS PER SHR	IND EARNINGS P/E
200	ADAMS-RUSSELL CO INC	32.25	6,450	14	4.3	.16	32.00	.4	B+	1.55	21
200	AMERICAN EXPRESS CO	65.75	13,150	28	8.7	1.36	272.00	2.0	A	3.55	19
200	AMERICAN TEL & TEL CO	22.62	4,525	62	3.0	1.20	240.00	5.3	A-	1.37	17
200	DATAPOINT CORP	5.25	1,050	24	.7	.00	.00	.0	B	3.10-	

SHEARSON
MAN BROTHERS
An American E ss company

Financial Management Account

CONGRESSMAN NICK JOE RAHALL II 685 03367 17 240 02/01/86 TO 02/28/86 PAGE 3

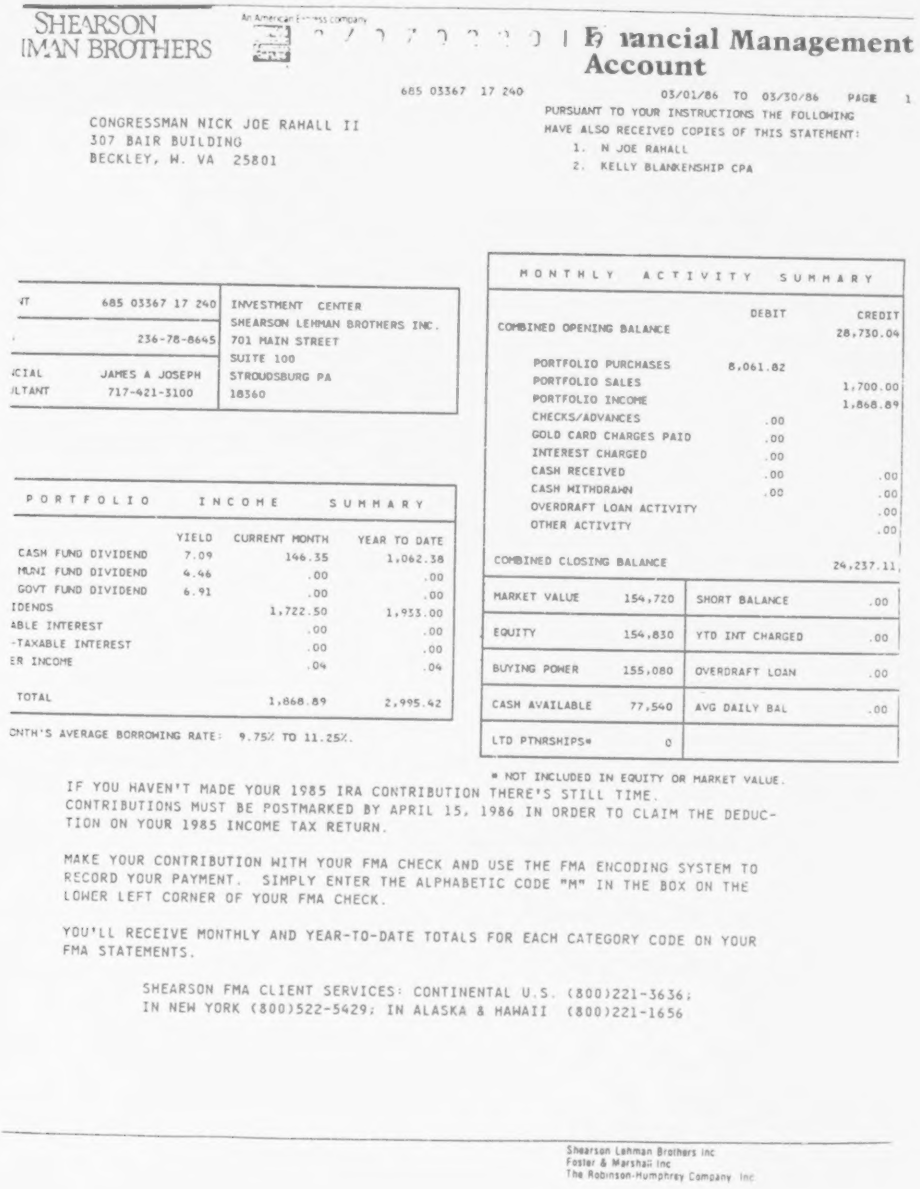
PORTFOLIO ANALYSIS (CONT)

UANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	IND. INCOME % YLD	S&P RTG	IND EARNINGS PER SHR	IND EARNINGS P/E
100	FLOATING POINT SYSTEMS INC	31.12	3,112	21	2.1	.00	.00	.0	B+	1.71	18
500	GREAT ATLANTIC&PAC TEA CO INC	22.87	11,437	52	7.5	.40	200.00	1.7	B-	1.44	16
200	INTELOGIC TRACE INC	18.00	3,600	99	2.4	.00	.00	.0		1.21	15
200	*** JAGUAR PLC SPONSORED ADR	6.81	1,362	10	.9	.00	.00	.0		.44	15
500	MCI COMMUNICATIONS CORP	12.50	6,250	62	4.1	.00	.00	.0		.59	21
200	PHILADELPHIA ELECTRIC CO	19.37	3,875	64	2.6	2.20	440.00	11.3	A-	2.56	8
700	TELECOM PLUS INTERNATIONAL INC N/C FR TELECOM EQUIPMENT CORP	8.62	6,037	56	4.0	.00	.00	.0		.60	14
600	U S STEEL CORP 10.75 CUM PFD	103.37	62,025	99	40.9	10.75	6450.00	10.3		.00	
	*** UNPRICED SECURITIES ***										
1,227	FIRST NATIONAL BANK-BECKLEY W VA					.00	.00	.0		.00	
10,000	SHEARSON LEHMAN FUTURES 1000FD					.00	.00	.0		.00	
	*** FUND POSITIONS ***										
28,730	SHEARSON FMA CASH FUND INCLUDES ACCRUED DIVIDENDS FROM 02/01/86 THRU 02/28/86 AVG YIELD FOR PERIOD 7.35%	1.00	28,730	SA	19.0	.00	.00	.0		.00	
	TOTALS		151,604		100.0		7634.00				

UNPRICED SECURITIES ARE NOT INCLUDED IN TOTAL MKT VALUE

INDUSTRY CLASSIFICATION

INDUSTRY	PORT %	VALUE	CODE	INDUSTRY	PORT %	VALUE
AUTOMOTIVE	.9	1,362	56	OFFICE EQUIPMENT	4.0	6,037
BROADCASTING * TV	4.3	6,450	62	PUBLIC UTILITIES-COMMUNICATIONS	7.1	10,775
DATA PROCESSING SERVICES	2.1	3,112	64	PUBLIC UTILITIES-ELECTRIC	2.6	3,875
ELECTRICAL EQUIPMENT	.7	1,050	99	UNCLASSIFIED ISSUES	43.3	65,625
FINANCE COMPANIES	8.7	13,150	SA	MONEY FUNDS	19.0	28,730
MERCHANDISING	7.5	11,437				



SHEARSON
LMAN BROTHERS

An American Express company

Financial Management Account

685 03367 17 240

03/01/86 TO 03/30/86 PAGE 1

PURSUANT TO YOUR INSTRUCTIONS THE FOLLOWING HAVE ALSO RECEIVED COPIES OF THIS STATEMENT:
1. N JOE RAHALL
2. KELLY BLANKENSHIP CPA

CONGRESSMAN NICK JOE RAHALL II
307 BAIR BUILDING
BECKLEY, W. VA 25801

IT	685 03367 17 240	INVESTMENT CENTER SHEARSON LEHMAN BROTHERS INC. 701 MAIN STREET SUITE 100 STROUDSBURG PA 18360
	236-78-8645	
CIAL ILTANT	JAMES A JOSEPH 717-421-3100	

PORTFOLIO INCOME SUMMARY

	YIELD	CURRENT MONTH	YEAR TO DATE
CASH FUND DIVIDEND	7.09	146.35	1,062.38
MUNI FUND DIVIDEND	4.46	.00	.00
GOVT FUND DIVIDEND	6.91	.00	.00
IDENDS		1,722.50	1,933.00
ABLE INTEREST		.00	.00
-TAXABLE INTEREST		.00	.00
ER INCOME		.04	.04
TOTAL		1,868.89	2,995.42

ONTH'S AVERAGE BORROWING RATE: 9.75% TO 11.25%.

MONTHLY ACTIVITY SUMMARY

	DEBIT	CREDIT
COMBINED OPENING BALANCE		28,730.04
PORTFOLIO PURCHASES	8,061.82	
PORTFOLIO SALES		1,700.00
PORTFOLIO INCOME		1,868.89
CHECKS/ADVANCES	.00	
GOLD CARD CHARGES PAID	.00	
INTEREST CHARGED	.00	
CASH RECEIVED	.00	.00
CASH WITHDRAWN	.00	.00
OVERDRAFT LOAN ACTIVITY		.00
OTHER ACTIVITY		.00
COMBINED CLOSING BALANCE		24,237.11

MARKET VALUE	154,720	SHORT BALANCE	.00
EQUITY	154,830	YTD INT CHARGED	.00
BUYING POWER	155,080	OVERDRAFT LOAN	.00
CASH AVAILABLE	77,540	AVG DAILY BAL	.00
LTD PTNRSHIPS*	0		

* NOT INCLUDED IN EQUITY OR MARKET VALUE.

IF YOU HAVEN'T MADE YOUR 1985 IRA CONTRIBUTION THERE'S STILL TIME. CONTRIBUTIONS MUST BE POSTMARKED BY APRIL 15, 1986 IN ORDER TO CLAIM THE DEDUCTION ON YOUR 1985 INCOME TAX RETURN.

MAKE YOUR CONTRIBUTION WITH YOUR FMA CHECK AND USE THE FMA ENCODING SYSTEM TO RECORD YOUR PAYMENT. SIMPLY ENTER THE ALPHABETIC CODE "M" IN THE BOX ON THE LOWER LEFT CORNER OF YOUR FMA CHECK.

YOU'LL RECEIVE MONTHLY AND YEAR-TO-DATE TOTALS FOR EACH CATEGORY CODE ON YOUR FMA STATEMENTS.

SHEARSON FMA CLIENT SERVICES: CONTINENTAL U.S. (800)221-3636;
IN NEW YORK (800)522-5429; IN ALASKA & HAWAII (800)221-1656

Shearson Lehman Brothers Inc
Foster & Marshall Inc
The Robinson-Humphrey Company, Inc

SHEARSON
MAN BROTHERS
An American Express company

Financial Management Account

CONGRESSMAN NICK JOE RAHALL II   685 03367 17 240   03/01/86 TO 03/30/86   PAGE 2

TRANSACTION ANALYSIS

ATE	QUANTITY BOT/RECD	QUANTITY SOLD/DEL	DESCRIPTION	ENTRY/ PRICE	AMOUNT	BALANCES CASH	BALANCES FMA FUND
			**** OPENING BALANCES ****			.00	28,730.04
/14/86			U S STEEL CORP 10.75 CUM PFD CASH DIV ON 600 SHS REC 02/20/86 PAY 03/14/86	DIV-RECD.	1,612.50CR	1,612.50CR	
3/14/86		10000	SHEARSON LEHMAN FUTURES 1000FD	JOURNAL		1,612.50CR	28,730.04
3/17/86			** SHEARSON FMA CASH FUND	INVESTMENT	1,612.50	.00	30,342.54
3/21/86	100		WALT DISNEY COMPANY	35 3/4	3,633.16	3,633.16	
3/21/86	100		R J REYNOLDS INDUSTRIES INC	43 5/8	4,428.66	8,061.82	
3/21/86			**SHEARSON FMA CASH FUND	REDEMPTION	6,361.82CR	1,700.00	23,980.72
3/21/86		200	TELECOM PLUS INTERNATIONAL INC N/C FR TELECOM EQUIPMENT CORP SAXP IS A MARKET MAKER AS OF 03/14/86	8 1/2	1,700.00CR	.00	23,980.72
3/26/86			** SHEARSON FMA CASH FUND CAPITAL GAINS DISTRIBUTION	DISTRIBUTN	.04CR	.04CR	23,980.72
3/27/86			** SHEARSON FMA CASH FUND	INVESTMENT	.04	.00	23,980.76
3/27/86			PHILADELPHIA ELECTRIC CO CASH DIV ON 200 SHS REC 02/28/86 PAY 03/27/86	DIV-RECD.	110.00CR	110.00CR	
3/27/86			** SHEARSON FMA CASH FUND DIV REINVESTED $146.35	DIVIDEND	146.35CR	110.00CR	23,980.76 24,127.11
			**** CLOSING BALANCES ****			110.00CR	24,127.11

PORTFOLIO ANALYSIS

QUANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	IND. INCOME % YLD	S&P RTG	IND EARNINGS PER SHR	IND EARNINGS P/E
200	ADAMS-RUSSELL CO INC	34.00	6,800	14	4.4	.16	32.00	.4	B+	1.55	22
200	AMERICAN EXPRESS CO	68.75	13,750	28	8.9	1.36	272.00	1.9	A	3.55	19
200	AMERICAN TEL & TEL CO	22.25	4,450	62	2.9	1.20	240.00	5.3	A-	1.37	16
200	DATAPOINT CORP	5.37	1,075	24	.7	.00	.00	.0	B-	3.10-	
100	WALT DISNEY COMPANY	37.50	3,750	43	2.4	.32	32.00	.8	B	1.31	29
100	FLOATING POINT SYSTEMS INC	35.12	3,512	21	2.3	.00	.00	.0	B+	1.71	21
500	GREAT ATLANTIC&PAC TEA CO INC	24.00	12,000	52	7.8	.40	200.00	1.6	B-	1.48	16
200	INTELOGIC TRACE INC	17.87	3,575	99	2.3	.00	.00	.0		1.21	15
200	*** JAGUAR PLC SPONSORED ADR	6.71	1,343	10	.9	.00	.00	.0		.70	10
500	MCI COMMUNICATIONS CORP	11.87	5,937	62	3.8	.00	.00	.0		.59	20

Shearson Lehman Brothers Inc
Foster & Marshall Inc
The Robinson-Humphrey Company Inc

SHEARSON
MAN BROTHERS
An American Express company

Financial Management Account

CONGRESSMAN NICK JOE RAHALL II   685 03367 17 240   03/01/86 TO 03/30/86   PAGE 3

PORTFOLIO ANALYSIS (CONT)

UANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	IND. INCOME % YLD	S&P RTG	IND EARNINGS PER SHR	IND EARNINGS P/E
200	PHILADELPHIA ELECTRIC CO	19.87	3,975	64	2.6	2.20	440.00	11.0	A-	2.56	8
100	R J REYNOLDS INDUSTRIES INC	42.00	4,200	83	2.7	1.48	148.00	3.5	A+	3.60	12
500	TELECOM PLUS INTERNATIONAL INC	8.25	4,125	56	2.7	.00	.00	.0		.60	14
	N/C FR TELECOM EQUIPMENT CORP										
600	U S STEEL CORP 10.75 CUM PFD	103.50	62,100	99	40.1	10.75	6450.00	10.3		.00	
	*** UNPRICED SECURITIES ***										
1,227	FIRST NATIONAL BANK-BECKLEY					.00	.00	.0		.00	
	W VA										
	*** FUND POSITIONS ***										
24,127	SHEARSON FMA CASH FUND	1.00	24,127	SA	15.6	.00	.00	.0		.00	
	INCLUDES ACCRUED DIVIDENDS										
	FROM 03/01/86 THRU 03/27/86										
	AVG YIELD FOR PERIOD 7.09%										
	TOTALS		154,720		100.0		7814.00				

UNPRICED SECURITIES ARE NOT INCLUDED IN TOTAL MKT VALUE

INDUSTRY CLASSIFICATION

INDUSTRY	PORT %	VALUE	CODE	INDUSTRY	PORT %	VALUE
AUTOMOTIVE	.9	1,343	56	OFFICE EQUIPMENT	2.7	4,125
BROADCASTING & TV	4.4	6,800	62	PUBLIC UTILITIES-COMMUNICATIONS	6.7	10,387
DATA PROCESSING SERVICES	2.3	3,512	64	PUBLIC UTILITIES-ELECTRIC	2.6	3,975
ELECTRICAL EQUIPMENT	.7	1,075	83	TOBACCO	2.7	4,200
FINANCE COMPANIES	8.9	13,750	99	UNCLASSIFIED ISSUES	42.4	65,675
LEISURE TIME	2.4	3,750	SA	MONEY FUNDS	15.6	24,127
MERCHANDISING	7.8	12,000				

SHEARSON
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685 03367 17 240

03/31/86 TO 04/27/86 PAGE

CONGRESSMAN NICK JOE RAHALL II
THE CANNON BLDG
WASHINGTON DC 20515

PURSUANT TO YOUR INSTRUCTIONS THE FOLLOWING HAVE ALSO RECEIVED COPIES OF THIS STATEMENT:

1. N JOE RAHALL
2. KELLY BLANKENSHIP CPA

JNT	685 03367 17 240	INVESTMENT CENTER SHEARSON LEHMAN BROTHERS INC. 701 MAIN STREET SUITE 100 STROUDSBURG PA 18360
J	236-78-8645	
NCIAL ULTANT	JAMES A JOSEPH 717-421-3100	

PORTFOLIO INCOME SUMMARY

	YIELD	CURRENT MONTH	YEAR TO DATE
A CASH FUND DIVIDEND	6.81	121.94	1,184.32
A MUNI FUND DIVIDEND	6.71	.00	.00
A GOVT FUND DIVIDEND	4.38	.00	.00
VIDENDS		.00	1,933.00
KABLE INTEREST		.00	.00
N-TAXABLE INTEREST		.00	.00
HER INCOME		.00	.04
TOTAL		121.94	3,117.36

MONTH'S AVERAGE BORROWING RATE: 9.25% TO 10.75%.

MONTHLY ACTIVITY SUMMARY

	DEBIT	CREDIT
COMBINED OPENING BALANCE		24,237.11
PORTFOLIO PURCHASES	6,853.80	
PORTFOLIO SALES		989.52
PORTFOLIO INCOME		121.94
CHECKS/ADVANCES	544.94	
GOLD CARD CHARGES PAID	.00	
INTEREST CHARGED	.00	
CASH RECEIVED	.00	.00
CASH WITHDRAWN	.00	.00
OVERDRAFT LOAN ACTIVITY		.00
OTHER ACTIVITY		.00
COMBINED CLOSING BALANCE		17,949.83

MARKET VALUE	164,823	SHORT BALANCE	.00
EQUITY	164,823	YTD INT CHARGED	.00
BUYING POWER	160,944	OVERDRAFT LOAN	.00
CASH AVAILABLE	80,472	AVG DAILY BAL	.00
LTD PTNRSHIPS*	0		

* NOT INCLUDED IN EQUITY OR MARKET VALUE.

ENHANCE YOUR EARNING POWER WITH THE DIRECT INVESTMENT FEATURE OF YOUR FMA.

DON'T WAIT FOR YOUR SOCIAL SECURITY, U.S. GOVERNMENT PENSION OR PAYROLL CHECK TO ARRIVE IN THE MAIL. ARRANGE FOR AUTOMATIC INVESTMENT DIRECTLY INTO YOUR DESIGNATED FMA FUND AND BEGIN EARNING DIVIDENDS IMMEDIATELY.

AND OF COURSE YOU CAN ACCESS THESE FUNDS AT ANY TIME THROUGH THE FMA CHECK WRITING PRIVILEGE.

TO ENROLL IN DIRECT INVESTMENT CALL ONE OF OUR TOLL-FREE CLIENT SERVICE NUMBERS BELOW:

SHEARSON FMA CLIENT SERVICES: CONTINENTAL U.S. (800)221-3636;
IN NEW YORK (800)522-5429; IN ALASKA & HAWAII (800)221-1656

Shearson Lehman Brothers Inc
Foster & Marshall Inc
The Robinson-Humphrey Company, Inc

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Financial Management Account

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TRANSACTION ANALYSIS

DATE	QUANTITY BOT/RECD	QUANTITY SOLD/DEL	DESCRIPTION	ENTRY/ PRICE	AMOUNT	BALANCES CASH	BALANCES FMA FUND
			**** OPENING BALANCES ****			110.00CR	24,127.11
03/31/86			** SHEARSON FMA CASH FUND	INVESTMENT	110.00	.00	24,237.11
04/09/86		200	DATAPOINT CORP	5 1/8	989.52CR	989.52CR	24,237.11
04/14/86			BANK CK 0133 REF 87824532	CHECK	544.94	444.58CR	
04/14/86			** SHEARSON FMA CASH FUND	INVESTMENT	989.52	544.94	25,226.63
04/14/86			**SHEARSON FMA CASH FUND	REDEMPTION	544.94CR	.00	24,681.69
04/17/86	200		LIBERTY FINANCIAL GROUP INC	33 3/4	6,853.80	6,853.80	
04/17/86			**SHEARSON FMA CASH FUND	REDEMPTION	6,853.80CR	.00	17,827.89
04/25/86			** SHEARSON FMA CASH FUND	DIVIDEND		.00	17,827.89
			DIV REINVESTED $121.94		121.94CR		17,949.83
			**** CLOSING BALANCES ****			.00	17,949.83

PORTFOLIO ANALYSIS

QUANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	IND. INCOME % YLD	S&P RTG	IND EARNING PER SHR	IND EARNING P/E
200	ADAMS-RUSSELL CO INC	36.25	7,250	14	4.4	.16	32.00	.4	B+	1.56	2
200	AMERICAN EXPRESS CO	62.75	12,550	28	7.6	1.36	272.00	2.1	A	4.28	15
200	AMERICAN TEL & TEL CO	24.87	4,975	62	3.0	1.20	240.00	4.8	A-	1.53	16
100	WALT DISNEY COMPANY	48.25	4,825	43	2.9	.32	32.00	.6	B	1.43	34
100	FLOATING POINT SYSTEMS INC	43.00	4,300	21	2.6	.00	.00	.0	B+	1.71	25
500	GREAT ATLANTIC&PAC TEA CO INC	22.87	11,437	52	6.9	.40	200.00	1.7	B-	1.48	15
200	INTELOGIC TRACE INC	18.75	3,750	99	2.3	.00	.00	.0		1.21	15
200	*** JAGUAR PLC SPONSORED ADR	6.87	1,375	10	.8	.00	.00	.0		.70	1
200	LIBERTY FINANCIAL GROUP INC	32.87	6,575	99	4.0	.50	100.00	1.5		2.99	1
500	MCI COMMUNICATIONS CORP	10.12	5,062	62	3.1	.00	.00	.0		.50	2
200	PHILADELPHIA ELECTRIC CO	18.25	3,650	64	2.2	2.20	440.00	12.0	A-	2.56	
100	R J REYNOLDS INDUSTRIES INC	45.25	4,525	83	2.7	1.48	148.00	3.2	A+	3.60	1
500	TELECOM PLUS INTERNATIONAL INC N/C FR TELECOM EQUIPMENT CORP	7.87	3,937	56	2.4	.00	.00	.0		.25-	
600	U S STEEL CORP 10.75 CUM PFD	103.00	61,800	99	37.5	10.75	6450.00	10.4		.00	
	*** UNPRICED SECURITIES ***										
1,227	FIRST NATIONAL BANK-BECKLEY W VA					.00	.00	.0		.00	
	*** FUND POSITIONS ***										

Shearson Lehman Brothers Inc
Foster & Marshall Inc
The Robinson-Humphrey Company, Inc

SHEARSON
IMAN BROTHERS

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PORTFOLIO ANALYSIS (CONT)

QUANTITY	DESCRIPTION	PRICE	VALUE	PORT		ANNUAL	IND. INCOME		S&P	IND EARNINGS	
				CD	%	DIV/INT	INCOME	% YLD	RTG	PER SHR	P/E
17,949	SHEARSON FMA CASH FUND INCLUDES ACCRUED DIVIDENDS FROM 03/28/86 THRU 04/25/86 AVG YIELD FOR PERIOD 6.81%	1.00	17,949	SA	10.9	.00	.00	.0		.00	
10.0000	SHEARSON LEHMAN FUTURES 1000FD	1086.15	10,861	SD	6.6	.00	.00	.0		.00	
	TOTALS		164,823		100.0		7914.00				

UNPRICED SECURITIES ARE NOT INCLUDED IN TOTAL MKT VALUE

INDUSTRY CLASSIFICATION

INDUSTRY	PORT %	VALUE	CODE	INDUSTRY	PORT %	VALUE
AUTOMOTIVE	.8	1,375	62	PUBLIC UTILITIES-COMMUNICATIONS	6.1	10,037
BROADCASTING & TV	4.4	7,250	64	PUBLIC UTILITIES-ELECTRIC	2.2	3,650
DATA PROCESSING SERVICES	2.6	4,300	83	TOBACCO	2.7	4,525
FINANCE COMPANIES	7.6	12,550	99	UNCLASSIFIED ISSUES	43.8	72,125
LEISURE TIME	2.9	4,825	SA	MONEY FUNDS	10.9	17,949
MERCHANDISING	6.9	11,437	SD	COMMODITY FUNDS	6.6	10,861
OFFICE EQUIPMENT	2.4	3,937				

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An American Express company

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GOLD CARD - CHECKING ACTIVITY

BANK CHECKS - - - - - - - - - - - - - - - ACCOUNT # 6200111840

CHECK	REF NUM	WRITTEN	CLEARED	PAYEE	AMOUNT
0133	87824532	04/08/86	04/14/86	RIVER PARK MUTUAL HOMES	544.94
				TOTAL *****	544.94

IF YOU HAVE ANY QUESTIONS REGARDING YOUR GOLD CARD - CHECKING ACTIVITY, PLEASE CALL THE AMERICAN EXPRESS CUSTOMER RVICE UNIT AT THE FOLLOWING TOLL FREE NUMBERS: 800-327-1002, IN FLORIDA 800-432-4109, IN ALASKA AND HAWAII 800-327-0007.

SHEARSON
IMAN BROTHERS
An American Express company

Financial Management Account

CONGRESSMAN NICK JOE RAHALL II   685 03367 17 240   04/28/86 TO 05/31/86   PAGE 2

TRANSACTION ANALYSIS

DATE	QUANTITY BOT/RECD	QUANTITY SOLD/DEL	DESCRIPTION	ENTRY/PRICE	AMOUNT	BALANCES CASH	BALANCES FMA FUND
			**** OPENING BALANCES ****			.00	17,949.83
5/01/86			AMERICAN TEL & TEL CO	DIV-RECD.	60.00CR	60.00CR	
			CASH DIV ON 200 SHS				
			REC 03/31/86 PAY 05/01/86				
5/01/86			GREAT ATLANTIC&PAC TEA CO INC	DIV-RECD.	50.00CR	110.00CR	17,949.83
			CASH DIV ON 500 SHS				
			REC 04/15/86 PAY 05/01/86				
5/05/86			** SHEARSON FMA CASH FUND	INVESTMENT	110.00	.00	18,059.83
5/07/86			BANK CK 0134 REF 83702348	CHECK	1,750.00	1,750.00	
5/07/86			**SHEARSON FMA CASH FUND	REDEMPTION	1,750.00CR	.00	16,309.83
5/09/86	100		RJR NABISCO INC	JOURNAL			
5/09/86			AMERICAN EXPRESS CO	DIV-RECD.	68.00CR	68.00CR	
			CASH DIV ON 200 SHS				
			REC 04/04/86 PAY 05/09/86				
5/09/86		100	R J REYNOLDS INDUSTRIES INC	JOURNAL		68.00CR	16,309.83
5/12/86			** SHEARSON FMA CASH FUND	INVESTMENT	68.00	.00	16,377.83
5/21/86			BANK CK 0135 REF 87109762	CHECK	1,600.00	1,600.00	
5/21/86			**SHEARSON FMA CASH FUND	REDEMPTION	1,600.00CR	.00	14,777.83
5/22/86			ADAMS-RUSSELL CO INC	DIV-RECD.	16.00CR	16.00CR	14,777.83
			CASH DIV ON 200 SHS				
			REC 05/08/86 PAY 05/22/86				
5/23/86			GOLD CARD CHARGES *	PAYMENT	66.94	50.94	
5/23/86			**SHEARSON FMA CASH FUND	REDEMPTION	50.94CR	.00	14,726.89
5/27/86			BANK CK 0136 REF 87804389	CHECK	1,077.68	1,077.68	
5/27/86			**SHEARSON FMA CASH FUND	REDEMPTION	1,077.68CR	.00	13,649.21
5/30/86			** SHEARSON FMA CASH FUND	DIVIDEND		.00	13,649.21
			DIV REINVESTED $100.89		100.89CR		13,750.10
			**** CLOSING BALANCES ****			.00	13,750.10

SHEARSON
IMAN BROTHERS

Financial Management Account

CONGRESSMAN NICK JOE RAHALL II   685 U3367 17 240   04/28/86 TO 05/31/86   PAGE 3

P O R T F O L I O   A N A L Y S I S

QUANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	IND. INCOME % YLD	S&P RTG	IND EARNINGS PER SHR	P/E
200	ADAMS-RUSSELL CO INC	36.37	7,275	14	4.5	.16	32.00	.4	B+	1.56	23
200	AMERICAN EXPRESS CO	62.50	12,500	28	7.7	1.36	272.00	2.1	A	4.28	15
200	AMERICAN TEL & TEL CO	25.00	5,000	62	3.1	1.20	240.00	4.8	A-	1.53	16
100	WALT DISNEY COMPANY	47.00	4,700	43	2.9	.32	32.00	.6	B	1.43	33
100	FLOATING POINT SYSTEMS INC	37.75	3,775	21	2.3	.00	.00	.0	B+	1.72	22
500	GREAT ATLANTIC&PAC TEA CO INC	24.87	12,437	52	7.7	.40	200.00	1.6	B-	1.48	17
200	INTELOGIC TRACE INC	18.12	3,625	99	2.2	.00	.00	.0		1.26	14
200	*** JAGUAR PLC SPONSORED ADR	7.31	1,462	10	.9	.00	.00	.0		.70	10
200	LIBERTY FINANCIAL GROUP INC	33.50	6,700	99	4.1	.50	100.00	1.4		2.99	11
500	MCI COMMUNICATIONS CORP	9.50	4,750	62	2.9	.00	.00	.0		.50	19
200	PHILADELPHIA ELECTRIC CO	17.50	3,500	64	2.2	2.20	440.00	12.5	A-	2.59	7
100	RJR NABISCO INC	48.25	4,825	83	3.0	1.48	148.00	3.0	A+	3.60	13
500	TELECOM PLUS INTERNATIONAL INC N/C FR TELECOM EQUIPMENT CORP	7.62	3,812	56	2.3	.00	.00	.0		.63-	
600	U S STEEL CORP 10.75 CUM PFD	106.00	63,600	99	39.2	10.75	6450.00	10.1		.00	
	*** UNPRICED SECURITIES ***										
1,227	FIRST NATIONAL BANK-BECKLEY W VA					.00	.00	.0		.00	
	*** FUND POSITIONS ***										
13,750	SHEARSON FMA CASH FUND INCLUDES ACCRUED DIVIDENDS FROM 04/26/86 THRU 05/30/86 AVG YIELD FOR PERIOD 6.41%	1.00	13,750	SA	8.5	.00	.00	.0		.00	
10.0000	SHEARSON LEHMAN FUTURES 1000FD	1062.44	10,624	SO	6.5	.00	.00	.0		.00	
	T O T A L S		162,336		100.0		7914.00				

UNPRICED SECURITIES ARE NOT INCLUDED IN TOTAL MKT VALUE

I N D U S T R Y   C L A S S I F I C A T I O N

I N D U S T R Y	PORT %	V A L U E	CODE	I N D U S T R Y	PORT %	V A L U E
AUTOMOTIVE	.9	1,462	62	PUBLIC UTILITIES-COMMUNICATIONS	6.0	9,750
BROADCASTING & TV	4.5	7,275	64	PUBLIC UTILITIES-ELECTRIC	2.2	3,500
DATA PROCESSING SERVICES	2.3	3,775	83	TOBACCO	3.0	4,825
FINANCE COMPANIES	7.7	12,500	99	UNCLASSIFIED ISSUES	45.5	73,925
LEISURE TIME	2.9	4,700	SA	MONEY FUNDS	8.5	13,750
MERCHANDISING	7.7	12,437	SO	COMMODITY FUNDS	6.5	10,624
OFFICE EQUIPMENT	2.3	3,812				

SHEARSON
IMAN BROTHERS
An American Express company

Financial Management Account

CONGRESSMAN NICK JOE RAHALL II   665 03367 17 240   04/28/86 TO 05/31/86   PAGE 4

GOLD CARD - CHECKING ACTIVITY

GOLD CARD CHARGES			
REF NO	DESCRIPTION	AMOUNT	TOTALS
	OPENING BALANCE		.00
	GOLD CARD # 3713 527951 25018		
148111	SUMMIT HOTEL NEW YORK NY INV8045823	49.70	
111111	STARS' DELI NEW YORK NY INV8088501	17.24	
			66.94
	TOTAL GOLD CARD CHARGES		66.94
	PAID TO AMERICAN EXPRESS		66.94
	BALANCE		.00

BANK CHECKS - - - - - - - - - - - - - - ACCOUNT # 6200111840					
CHECK	REF NUM	WRITTEN	CLEARED	PAYEE	AMOUNT
0134	83702348	05/01/86	05/07/86	KELLY BLANKENSLIP CPA	1,750.00
0135	87109762	05/19/86	05/21/86	NICK JOE RAHALL II	1,600.00
0136	87804389	05/10/86	05/? 86	CITIBANK PREFERRED VISA	1,077.68
				TOTAL *****	4,427.68

IF YOU HAVE ANY QUESTIONS REGARDING YOUR GOLD CARD - CHECKING ACTIVITY, PLEASE CALL THE AMERICAN EXPRESS CUSTOMER RVICE UNIT AT THE FOLLOWING TOLL FREE NUMBERS: 800-327-1002, IN FLORIDA 800-432-4109, IN ALASKA AND HAWAII 800-327-0007.

MAN BROTHERS

Account

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CONGRESSMAN NICK JOE RAHALL II
THE CANNON BLDG
WASHINGTON DC 20515

PURSUANT TO YOUR INSTRUCTIONS THE FOLLOWING HAVE ALSO RECEIVED COPIES OF THIS STATEMENT:

1. N JOE RAHALL
2. KELLY BLANKENSHIP CPA

T	685 03367 17 240	INVESTMENT CENTER SHEARSON LEHMAN BROTHERS INC.
	236-78-8645	701 MAIN STREET SUITE 100
IAL TANT	JAMES A JOSEPH 717-421-3100	STROUDSBURG PA 18360

P O R T F O L I O   I N C O M E   S U M M A R Y

	YIELD	CURRENT MONTH	YEAR TO DATE
CASH FUND DIVIDEND	6.31	26.34	1,311.55
MUNI FUND DIVIDEND	3.81	.00	.00
GOVT FUND DIVIDEND	6.38	.00	.00
DENDS		1,694.90	3,821.90
BLE INTEREST		.00	.00
TAXABLE INTEREST		.00	.00
R INCOME		.00	.04
TOTAL		1,721.24	5,133.49

NTH'S AVERAGE BORROWING RATE: 8.75% TO 10.25%.

M O N T H L Y   A C T I V I T Y   S U M M A R Y

	DEBIT	CREDIT
COMBINED OPENING BALANCE		13,750.10
PORTFOLIO PURCHASES	12,000.00	
PORTFOLIO SALES		.00
PORTFOLIO INCOME		1,721.24
CHECKS/ADVANCES	.00	
GOLD CARD CHARGES PAID	.00	
INTEREST CHARGED	.00	
CASH RECEIVED	.00	.00
CASH WITHDRAWN	.00	.00
OVERDRAFT LOAN ACTIVITY		.00
OTHER ACTIVITY		.00
COMBINED CLOSING BALANCE		3,471.34

MARKET VALUE	164,305	SHORT BALANCE	.00
EQUITY	164,305	YTD INT CHARGED	.00
BUYING POWER	176,506	OVERDRAFT LOAN	.00
CASH AVAILABLE	88,253	AVG DAILY BAL	.00
LTD PTNRSHIPS*	0		

* NOT INCLUDED IN EQUITY OR MARKET VALUE.

ANNOUNCING: TEN UNCOMMON VALUES IN COMMON STOCKS!

EVERY YEAR SINCE 1949, THE SHEARSON LEHMAN BROTHERS RESEARCH DEPARTMENT HAS SELECTED AT MID-YEAR A PORTFOLIO OF TEN STOCKS THAT APPEAR TO BE PARTICULARLY ATTRACTIVE FOR THE COMING 12-MONTH PERIOD WE CALL THESE ISSUES "UNCOMMON VALUES IN COMMON STOCKS." THIS ANNUAL EVENT HAS ONE OF THE MOST SUCCESSFUL TRACK RECORDS IN THE HISTORY OF WALL STREET.

THIS YEAR'S SELECTION, OUR 38TH EDITION, WILL BE ANNOUNCED ON JULY 1ST. IN ADDITION TO BEING AVAILABLE INDIVIDUALLY, ALL TEN UNCOMMON VALUES CAN ALSO BE BOUGHT AS A UNIT TRUST. CALL YOUR FC TO DISCUSS THE CONVENIENCE AND BENEFITS OF THIS UNIQUE PORTFOLIO OPPORTUNITY.

SHEARSON FMA CLIENT SERVICES: CONTINENTAL U.S. (800)221-3636;
IN NEW YORK (800)522-5429; IN ALASKA & HAWAII (800)221-1656

Shearson Lehman Brothers Inc
Foster & Marshall Inc
The Robinson-Humphrey Company Inc

MAN BROTHERS

nancial Management
Account

CONGRESSMAN NICK JOE RAHALL II 685 03567 17 240 06/01/86 TO 06/29/86 PAGE 2

TRANSACTION ANALYSIS

ATE	QUANTITY BOT/RECD	QUANTITY SOLD/DEL	DESCRIPTION	ENTRY/ PRICE	AMOUNT	BALANCES CASH	BALANCES FMA FUND
			**** OPENING BALANCES ****			.00	13,750.10
/05/86			RJR NABISCO INC	DIV-RECD.	37.00CR	37.00CR	13,750.10
			CASH DIV ON 100 SHS				
			REC 05/09/86 PAY 06/05/86				
/06/86	1000		FRANCE FUND INC	12	12,000.00	11,963.00	
/06/86			**SHEARSON FMA CASH FUND	REDEMPTION	11,963.00CR	.00	1,787.10
6/13/86			*** JAGUAR PLC SPONSORED ADR	DIV-RECD.	20.40CR	20.40CR	
			RD4 11 86PD6 2 86 NET AFTE				
6/13/86			U S STEEL CORP 10.75 CUM PFD	DIV-RECD.	1,612.50CR	1,632.90CR	1,787.10
			CASH DIV ON 600 SHS				
			REC 05/16/86 PAY 06/13/86				
/16/86			** SHEARSON FMA CASH FUND	INVESTMENT	1,632.90	.00	3,420.00
/16/86			LIBERTY FINANCIAL GROUP INC	DIV-RECD.	25.00CR	25.00CR	3,420.00
			CASH DIV ON 200 SHS				
			REC 05/30/86 PAY 06/14/86				
/23/86			** SHEARSON FMA CASH FUND	INVESTMENT	25.00	.00	3,445.00
/27/86			** SHEARSON FMA CASH FUND	DIVIDEND		.00	3,445.00
			DIV REINVESTED $26.34		26.34CR		3,471.34
			**** CLOSING BALANCES ****			.00	3,471.34

PORTFOLIO ANALYSIS

UANTITY	DESCRIPTION	PRICE	VALUE	PORT CO	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	IND. INCOME % YLD	S&P RTG	IND EARNINGS PER SHR	IND EARNINGS P/E
200	ADAMS-RUSSELL CO INC	41.87	8,375	14	5.1	.16	32.00	.3	B+	1.56	27
200	AMERICAN EXPRESS CO	61.87	12,375	28	7.5	1.36	272.00	2.1	A	4.28	14
200	AMERICAN TEL & TEL CO	25.12	5,025	62	3.1	1.20	240.00	4.7	A-	1.53	16
100	WALT DISNEY COMPANY	54.50	5,450	43	3.3	.32	32.00	.5	B	1.43	38
100	FLOATING POINT SYSTEMS INC	38.00	3,800	21	2.3	.00	.00	.0	B+	1.72	22
1,000	FRANCE FUND INC	9.75	9,750	99	5.9	.00	.00	.0		.00	
500	GREAT ATLANTIC&PAC TEA CO INC	26.62	13,312	52	8.1	.40	200.00	1.5	B-	1.48	18
200	INTELOGIC TRACE INC	15.87	3,175	99	1.9	.00	.00	.0		1.15	14
200	*** JAGUAR PLC SPONSORED ADR	8.28	1,656	10	1.0	.00	.00	.0		.70	12
200	LIBERTY FINANCIAL GROUP INC	43.37	8,675	99	5.3	.50	100.00	1.1		2.99	15
500	MCI COMMUNICATIONS CORP	10.50	5,250	62	3.2	.00	.00	.0		.50	21
200	PHILADELPHIA ELECTRIC CO	20.37	4,075	64	2.5	2.20	440.00	10.7	A-	2.59	8

Shearson Lehman Brothers Inc
Foster & Marshall Inc
The Robinson-Humphrey Company, Inc

MAN BROTHERS **Account**

CONGRESSMAN NICK JOE RAHALL II 685 03367 17 240 06/01/86 TO 06/29/86 PAGE 3

PORTFOLIO ANALYSIS (CONT)

				PORT		ANNUAL	IND. INCOME		S&P	IND EARNINGS	
UANTITY	DESCRIPTION	PRICE	VALUE	CD	%	DIV/INT	INCOME	% YLD	RTG	PER SHR	P/E
100	RJR NABISCO INC	53.37	5,337	83	3.2	1.48	148.00	2.7	A+	3.60	15
500	TELECOM PLUS INTERNATIONAL INC	7.00	3,500	56	2.1	.00	.00	.0		.64-	
	N/C FR TELECOM EQUIPMENT CORP										
600	U S STEEL CORP 10.75 CUM PFD	100.75	60,450	99	36.8	10.75	6450.00	10.6		.00	
	*** UNPRICED SECURITIES ***										
1,227	FIRST NATIONAL BANK-BECKLEY					.00	.00	.0		.00	
	W VA										
	*** FUND POSITIONS ***										
3,471	SHEARSON FMA CASH FUND	1.00	3,471	SA	2.1	.00	.00	.0		.00	
	INCLUDES ACCRUED DIVIDENDS										
	FROM 05/31/86 THRU 06/27/86										
	AVG YIELD FOR PERIOD 6.31%										
10.0000	SHEARSON LEHMAN FUTURES 1000FD	1062.78	10,627	SD	6.5	.00	.00	.0		.00	
	TOTALS		164,305		100.0		7914.00				

UNPRICED SECURITIES ARE NOT INCLUDED IN TOTAL MKT VALUE

INDUSTRY CLASSIFICATION

INDUSTRY	PORT %	VALUE	CODE	INDUSTRY	PORT %	VALUE
AUTOMOTIVE	1.0	1,656	67	PUBLIC UTILITIES-COMMUNICATIONS	6.3	10,275
BROADCASTING & TV	5.1	8,375	64	PUBLIC UTILITIES-ELECTRIC	2.5	4,075
DATA PROCESSING SERVICES	2.3	3,800	83	TOBACCO	3.2	5,337
FINANCE COMPANIES	7.5	12,375	99	UNCLASSIFIED ISSUES	49.9	82,050
LEISURE TIME	3.3	5,450	SA	MONEY FUNDS	2.1	3,471
MERCHANDISING	8.1	13,312	SD	COMMODITY FUNDS	6.5	10,627
OFFICE EQUIPMENT	2.1	3,500				

MAN BROTHERS

Account

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CONGRESSMAN NICK JOE RAHALL II
THE CANNON BLDG
WASHINGTON DC 20515

PURSUANT TO YOUR INSTRUCTIONS THE FOLLOWING HAVE ALSO RECEIVED COPIES OF THIS STATEMENT:
1. N JOE RAHALL
2. KELLY BLANKENSHIP CPA

T	685 03367 17 240	INVESTMENT CENTER SHEARSON LEHMAN BROTHERS INC.
	236-78-8645	701 MAIN STREET SUITE 100
IAL LTANT	JAMES A JOSEPH 717-421-3100	STROUDSBURG PA 18360

PORTFOLIO INCOME SUMMARY

	YIELD	CURRENT MONTH	YEAR TO DATE
CASH FUND DIVIDEND	6.17	13.79	1,325.34
MUNI FUND DIVIDEND	3.74	.00	.00
GOVT FUND DIVIDEND	6.20	.00	.00
DENDS		118.00	3,939.90
BLE INTEREST		.00	.00
TAXABLE INTEREST		.00	.00
R INCOME		.00	.04
TOTAL		131.79	5,265.28

NTH'S AVERAGE BORROWING RATE: 8.75% TO 10.25%.

MONTHLY ACTIVITY SUMMARY

	DEBIT	CREDIT
COMBINED OPENING BALANCE		3,471.34
PORTFOLIO PURCHASES	.00	
PORTFOLIO SALES		.00
PORTFOLIO INCOME		131.79
CHECKS/ADVANCES	1,000.00	
GOLD CARD CHARGES PAID	.00	
INTEREST CHARGED	.00	
CASH RECEIVED	.00	.00
CASH WITHDRAWN	.00	.00
OVERDRAFT LOAN ACTIVITY		.00
OTHER ACTIVITY		.00
COMBINED CLOSING BALANCE		2,603.13

MARKET VALUE	160,120	SHORT BALANCE	.00
EQUITY	160,120	YTD INT CHARGED	.00
BUYING POWER	237,540	OVERDRAFT LOAN	.00
CASH AVAILABLE	103,179	AVG DAILY BAL	.00
LTD PTNRSHIPS*			

* NOT INCLUDED IN EQUITY OR MARKET VALUE.

AVOID BANK LINES, ENROLL IN DIRECT INVESTMENT! THIS FEATURE WILL AUTOMATICALLY INVEST YOUR PAYROLL AND SOCIAL SECURITY CHECKS INTO YOUR FMA, AT NO EXTRA CHARGE. TO ACCESS THESE FUNDS SIMPLY WRITE AN FMA CHECK. FOR MORE INFORMATION AND AN ENROLLMENT FORM, CALL YOUR CLIENT SERVICE REPRESENTATIVE AT ONE OF THE TOLL FREE NUMBERS BELOW.

800-327-1002, IN FLORIDA 800-432-4109, IN ALASKA AND HAWAII 800-377-0007.

SHEARSON FMA CLIENT SERVICES: CONTINENTAL U.S. (800)221-3636;
IN NEW YORK (800)522-5429; IN ALASKA & HAWAII (800)221-1656

MAN BROTHERS **Account**

CONGRESSMAN NICK JOE RAHALL II 685 03367 17 240 06/30/86 TO 07/27/86 PAGE 2

TRANSACTION ANALYSIS

	QUANTITY					BALANCES	
ATE	BOT/RECD	SOLD/DEL	DESCRIPTION	ENTRY/ PRICE	AMOUNT	CASH	FMA FUND
			**** OPENING BALANCES ****			.00	3,471.34
/30/86			PHILADELPHIA ELECTRIC CO CASH DIV ON 200 SHS REC 05/21/86 PAY 06/30/86	DIV-RECD.	110.00CR	110.00CR	3,471.34
/01/86	20		INTELOGIC TRACE INC STK DIV ON 200 SHS REC 06/09/86 PAY 06/24/86	DISTRIBUTN		110.00CR	3,471.34
7/07/86			BANK CK 0137 REF 86474469	CHECK	1,000.00	890.00	
7/07/86			** SHEARSON FMA CASH FUND	INVESTMENT	110.00	1,000.00	3,581.34
7/07/86			WALT DISNEY COMPANY CASH DIV ON 100 SHS REC 06/06/86 PAY 07/07/86	DIV-RECD.	8.00CR	992.00	
/07/86			**SHEARSON FMA CASH FUND	REDEMPTION	992.00CR	.00	2,589.34
/24/86	200		ADAMS RUSSELL ELECTRONICS CO INC SPINOFF ON 200 SHS REC 06/30/86 PAY 07/14/86	DISTRIBUTN		.00	2,589.34
/25/86	600		USX CORP $10.75 CUM RED PFD	JOURNAL			
/25/86			** SHEARSON FMA CASH FUND	DIVIDEND			
			DIV REINVESTED $13.79		13.79CR		2,603.13
/25/86		600	U S STEEL CORP 10.75 CUM PFD	JOURNAL		.00	2,603.13
			**** CLOSING BALANCES ****			.00	2,603.13

PORTFOLIO ANALYSIS

				PORT		ANNUAL	IND. INCOME		S&P	IND EARNINGS	
UANTITY	DESCRIPTION	PRICE	VALUE	CD	%	DIV/INT	INCOME	% YLD	RTG	PER SHR	P/E
200	ADAMS RUSSELL ELECTRONICS CO INC	20.12	4,025	99	2.5	.00	.00	.0		.00	
200	ADAMS-RUSSELL CO INC	19.25	3,850	14	2.4	.16	32.00	.8	B+	1.21	16
200	AMERICAN EXPRESS CO	62.00	12,400	28	7.7	1.36	272.00	2.1	A	5.26	12
200	AMERICAN TEL & TEL CO	24.25	4,850	62	3.0	1.20	240.00	4.9	A-	1.49	16
100	WALT DISNEY COMPANY	49.87	4,987	43	3.1	.32	32.00	.6	B	1.63	31
100	FLOATING POINT SYSTEMS INC	19.12	1,912	21	1.2	.00	.00	.0	B+	1.72	11
1,000	FRANCE FUND INC	9.37	9,375	99	5.9	.00	.00	.0		.00	
500	GREAT ATLANTIC&PAC TEA CO INC	25.50	12,750	52	8.0	.40	200.00	1.5	B-	1.54	17
220	INTELOGIC TRACE INC	16.87	3,712	99	2.3	.00	.00	.0		1.15	15

MAN BROTHERS

Account

CONGRESSMAN NICK JOE RAHALL II   685 03367 17 240   06/30/86 TO 07/27/86   PAGE 3

PORTFOLIO ANALYSIS (CONT)

UANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	IND. INCOME % YLD	S&P RTG	IND EARNINGS PER SHR	IND EARNINGS P/E
200	*** JAGUAR PLC SPONSORED ADR	7.71	1,543	10	1.0	.00	.00	.0		.70	11
200	LIBERTY FINANCIAL GROUP INC	48.50	9,700	99	6.1	.50	100.00	1.0		3.22	15
500	MCI COMMUNICATIONS CORP	9.37	4,687	62	2.9	.00	.00	.0		.41	23
200	PHILADELPHIA ELECTRIC CO	22.62	4,525	64	2.8	2.20	440.00	9.7	A-	2.59	9
100	RJR NABISCO INC	52.50	5,250	83	3.3	1.48	148.00	2.8	A+	3.73	14
500	TELECOM PLUS INTERNATIONAL INC N/C FR TELECOM EQUIPMENT CORP	7.75	3,875	56	2.4	.00	.00	.0		.60-	
600	USX CORP $10.75 CUM RED PFD	96.50	59,100	99	36.9	10.75	6450.00	10.9	C	.00	
	*** UNPRICED SECURITIES ***										
1,227	FIRST NATIONAL BANK-BECKLEY W VA					.00	.00	.0		.00	
	*** FUND POSITIONS ***										
2,603	SHEARSON FMA CASH FUND INCLUDES ACCRUED DIVIDENDS FROM 06/28/86 THRU 07/25/86 AVG YIELD FOR PERIOD 6.17%	1.00	2,603	SA	1.6	.00	.00	.0		.00	
10.0000	SHEARSON LEHMAN FUTURES 1000FD	1097.34	10,973	SD	6.9	.00	.00	.0		.00	
	TOTALS		160,120		100.0		7914.00				

UNPRICED SECURITIES ARE NOT INCLUDED IN TOTAL MKT VALUE

INDUSTRY CLASSIFICATION

INDUSTRY	PORT %	VALUE	CODE	INDUSTRY	PORT %	VALUE
AUTOMOTIVE	1.0	1,543	62	PUBLIC UTILITIES-COMMUNICATIONS	6.0	9,537
BROADCASTING & TV	2.4	3,850	64	PUBLIC UTILITIES-ELECTRIC	2.8	4,525
DATA PROCESSING SERVICES	1.2	1,912	83	TOBACCO	3.3	5,250
FINANCE COMPANIES	7.7	12,400	99	UNCLASSIFIED ISSUES	53.7	85,912
LEISURE TIME	3.1	4,987	SA	MONEY FUNDS	1.6	2,603
MERCHANDISING	8.0	12,750	SD	COMMODITY FUNDS	6.9	10,973
OFFICE EQUIPMENT	2.4	3,875				

MAN BROTHERS

Account

685 03367 17 240 07/28/86 TO 08/31/86 PAGE 1

CONGRESSMAN NICK JOE RAHALL II
THE CANNON BLDG
WASHINGTON DC 20515

PURSUANT TO YOUR INSTRUCTIONS THE FOLLOWING HAVE ALSO RECEIVED COPIES OF THIS STATEMENT:

1. N JOE RAHALL
2. KELLY BLANKENSHIP CPA

IT	685 03367 17 240	INVESTMENT CENTER SHEARSON LEHMAN BROTHERS INC.
	236-78-8645	701 MAIN STREET SUITE 100
IAL TANT	JAMES A JOSEPH 717-421-3100	STROUDSBURG PA 18360

PORTFOLIO INCOME SUMMARY

	YIELD	CURRENT MONTH	YEAR TO DATE
CASH FUND DIVIDEND	5.91	7.07	1,332.41
MUNI FUND DIVIDEND	4.17	.00	.00
GOVT FUND DIVIDEND	6.00	.00	.00
IDENDS		178.00	4,117.90
ABLE INTEREST		.00	.00
-TAXABLE INTEREST		.00	.00
ER INCOME		.00	.04
TOTAL		185.07	5,450.35

NTH'S AVERAGE BORROWING RATE: 8.50% TO 10.00%.

MONTHLY ACTIVITY SUMMARY

	DEBIT	CREDIT
COMBINED OPENING BALANCE		2,603.13
PORTFOLIO PURCHASES	2,000.00	
PORTFOLIO SALES		1,935.21
PORTFOLIO INCOME		185.07
CHECKS/ADVANCES	24,858.50	
GOLD CARD CHARGES PAID	.00	
INTEREST CHARGED	20.51	
CASH RECEIVED	.00	.00
CASH WITHDRAWN	.00	.00
OVERDRAFT LOAN ACTIVITY		.00
OTHER ACTIVITY		.00 *
COMBINED CLOSING BALANCE	22,155.60	

MARKET VALUE	156,562	SHORT BALANCE	.00
EQUITY	134,407	YTD INT CHARGED	20.51
BUYING POWER	191,400	OVERDRAFT LOAN	.00
CASH AVAILABLE	79,245	AVG DAILY BAL	6,154.00
LTD PTNRSHIPS*	0		

* NOT INCLUDED IN EQUITY OR MARKET VALUE.

IT'S TIME TO START THINKING ABOUT TAXES AND THE IMPACT OF THE PENDING TAX LEGISLATION. REVIEW YOUR INVESTMENT STRATEGY WITH YOUR FINANCIAL CONSULTANT AND TAX ADVISOR TO MAKE SURE YOU ARE PROPERLY POSITIONED TO MEET YOUR GOALS. YOU WILL BE AFFECTED BY THESE NEW CHANGES. YOUR FINANCIAL CONSULTANT HAS MANY PRODUCTS AVAILABLE TO HELP BETTER POSITION YOU FOR THE PENDING TAX REFORM.

SHEARSON FMA CLIENT SERVICES: CONTINENTAL U.S. (800)221-3636;
IN NEW YORK (800)522-5429; IN ALASKA & HAWAII (800)221-1656

'MAN BROTHERS **Account**

CONGRESSMAN NICK JOE RAHALL II 685 03367 17 240 07/28/86 TO 08/31/86 PAGE

TRANSACTION ANALYSIS

ATE	QUANTITY BOT/RECD	QUANTITY SOLD/DEL	DESCRIPTION	ENTRY/ PRICE	AMOUNT	BALANCES CASH	BALANCES FMA FUND
			**** OPENING BALANCES ****			.00	2,603.13
7/30/86		100	FLOATING POINT SYSTEMS INC	19 3/4	1,935.21CR	1,935.21CR	2,603.13
7/31/86			** SHEARSON FMA CASH FUND	INVESTMENT	1,935.21	.00	4,538.34
8/01/86			AMERICAN TEL & TEL CO	DIV-RECD.	60.00CR	60.00CR	
			CASH DIV ON 200 SHS				
			REC 06/30/86 PAY 08/01/86				
8/01/86			GREAT ATLANTIC&PAC TEA CO INC	DIV-RECD.	50.00CR	110.00CR	4,538.34
			CASH DIV ON 500 SHS				
			REC 07/15/86 PAY 08/01/86				
8/04/86			** SHEARSON FMA CASH FUND	INVESTMENT	110.00	.00	4,648.34
8/06/86			BANK CK 0138 REF 44672741	CHECK	9,654.50	9,654.50	
8/06/86			**SHEARSON FMA CASH FUND	REDEMPTION	4,655.41CR	4,999.09	7.07-
			INCL ACCRUED DIV $7.07		7.07CR		.00
8/08/86			AMERICAN EXPRESS CO	DIV-RECD.	68.00CR	4,931.09	.00
			CASH DIV ON 200 SHS				
			REC 07/03/86 PAY 08/08/86				
8/19/86			BANK CK 0139 REF 48175234	CHECK	9,105.00	14,036.09	.00
8/21/86			BANK CK 0142 REF 48496749	CHECK	1,412.00	15,448.09	
8/21/86			BANK CK 0140 REF 48515159	CHECK	4,191.00	19,639.09	
8/21/86			INT FR 07/21 THRU08/20@ 8 %	INT-CHARGE	20.51	19,659.60	
8/21/86	200		GABELLI EQUITY TRUST INC	10	2,000.00	21,659.60	.00
8/25/86			BANK CK 0144 REF 40007252	CHECK	303.00	21,962.60	.00
8/26/86			BANK CK 0143 REF 40172644	CHECK	193.00	22,155.60	.00
			**** CLOSING BALANCES ****			22,155.60	.00

PORTFOLIO ANALYSIS

QUANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	IND. INCOME % YLD	S&P RTG	IND EARNINGS PER SHR	IND EARNINGS P/E
200	ADAMS RUSSELL ELECTRONICS CO INC	18.93	3,787	99	2.4	.00	.00	.0		.00	
200	ADAMS-RUSSELL CO INC	20.50	4,100	14	2.6	.16	32.00	.7	B+	.19	8
200	AMERICAN EXPRESS CO	65.87	13,175	28	8.4	1.36	272.00	2.0	A	5.26	1

Shearson Lehman Brothers Inc
Foster & Marshall Inc
The Robinson-Humphrey Company Inc

MAN BROTHERS

Account

CONGRESSMAN NICK JOE RAHALL II 685 03367 17 240 07/28/86 TO 08/31/86 PAGE 3

P O R T F O L I O A N A L Y S I S (CONT)

UANTITY	DESCRIPTION	PRICE	VALUE	P O R T		ANNUAL	IND. INCOME		S&P	IND EARNINGS	
				CD	%	DIV/INT	INCOME	% YLD	RTG	PER SHR	P/E
200	AMERICAN TEL & TEL CO	24.62	4,925	62	3.1	1.20	240.00	4.8	A-	.00	
100	WALT DISNEY COMPANY	45.62	4,562	43	2.9	.32	32.00	.7	B	1.63	28
1,000	FRANCE FUND INC	10.62	10,625	99	6.8	.00	.00	.0		.00	
200	GABELLI EQUITY TRUST INC	10.00	2,000	99	1.3	.00	.00	.0		.00	
500	GREAT ATLANTIC&PAC TEA CO INC	25.50	12,750	52	8.1	.40	200.00	1.5	B-	1.54	17
220	INTELOGIC TRACE INC	12.62	2,777	99	1.8	.00	.00	.0		1.15	11
200	*** JAGUAR PLC SPONSORED ADR	7.81	1,562	10	1.0	.00	.00	.0		.82	10
200	LIBERTY FINANCIAL GROUP INC	43.37	8,675	99	5.5	.50	100.00	1.1		3.22	13
500	MCI COMMUNICATIONS CORP	8.25	4,125	62	2.6	.00	.00	.0		.41	20
200	PHILADELPHIA ELECTRIC CO	23.37	4,675	64	3.0	2.20	440.00	9.4	A-	2.60	9
100	RJR NABISCO INC	53.50	5,350	83	3.4	1.48	148.00	2.7	A+	3.73	14
500	TELECOM PLUS INTERNATIONAL INC N/C FR TELECOM EQUIPMENT CORP	7.12	3,562	56	2.3	.00	.00	.0		.60-	
600	USX CORP $10.75 CUM RED PFD	97.00	58,200	99	37.2	10.75	6450.00	11.0	C	.00	
	*** UNPRICED SECURITIES ***										
1,227	FIRST NATIONAL BANK-BECKLEY W VA					.00	.00	.0		.00	
	*** FUND POSITIONS ***										
10.0000	SHEARSON LEHMAN FUTURES 1000FD	1171.05	11,710	SD	7.5	.00	.00	.0		.00	
	T O T A L S		156,562		100.0		7914.00				

UNPRICED SECURITIES ARE NOT INCLUDED IN TOTAL MKT VALUE

I N D U S T R Y C L A S S I F I C A T I O N

INDUSTRY	PORT %	VALUE	CODE	INDUSTRY	PORT %	VALUE
AUTOMOTIVE	1.0	1,562	62	PUBLIC UTILITIES-COMMUNICATIONS	5.8	9,050
BROADCASTING & TV	2.6	4,100	64	PUBLIC UTILITIES-ELECTRIC	3.0	4,675
FINANCE COMPANIES	8.4	13,175	83	TOBACCO	3.4	5,350
LEISURE TIME	2.9	4,562	99	UNCLASSIFIED ISSUES	55.0	86,064
MERCHANDISING	8.1	12,750	SD	COMMODITY FUNDS	7.5	11,710
OFFICE EQUIPMENT	2.3	3,562				

HMAN BROTHERS **Account**

CONGRESSMAN NICK JOE RAHALL II 685 03367 17 240 3 07/28/86 TO 08/31/86 PAGE 4

GOLD CARD - CHECKING ACTIVITY

BANK CHECKS - - - - - - - - - - - - - - - - ACCOUNT # 6200111840

CHECK	REF NUM	WRITTEN	CLEARED	PAYEE	AMOUNT
0138	44672741	08/01/86	08/06/86	METZGER SHADYAR AND SCHHA	9,654.50
0139	48175234	08/15/86	08/19/86	IRS	9,105.00
0140	48515159	08/15/86	08/21/86	WEST VIRGINIA STATE T DPT	4,191.00
0142	48496749	08/15/86	08/21/86	IRS	1,412.00
0143	40172644	08/15/86	08/26/86	VIRGINIA COMM OF REV	193.00
0144	40007252	08/15/86	08/25/86	VIRGINIA COMMISSIONER OF	303.00
				TOTAL *****	24,858.50

IF YOU HAVE ANY QUESTIONS REGARDING YOUR GOLD CARD - CHECKING ACTIVITY, PLEASE CALL THE AMERICAN EXPRESS CUSTOMER ERVICE UNIT AT THE FOLLOWING TOLL FREE NUMBERS: 800-327-1002, IN FLORIDA 800-432-4109, IN ALASKA AND HAWAII 800-327-0007.

SHEARSON
IMAN BROTHERS

F' ;ancial Management Account

685 03367 17 240

11/01/86 TO 11/30/86 PAGE

PURSUANT TO YOUR INSTRUCTIONS THE FOLLOWING
HAVE ALSO RECEIVED COPIES OF THIS STATEMENT:
1. N JOE RAHALL
2. KELLY BLANKENSHIP CPA

CONGRESSMAN NICK JOE RAHALL II
THE CANNON BLDG
WASHINGTON DC 20515

.NT	685 03367 17 240	INVESTMENT CENTER SHEARSON LEHMAN BROTHERS INC. 701 MAIN STREET SUITE 100 STROUDSBURG PA 18360
D	236-78-8645	
NCIAL ULTANT	JAMES A JOSEPH 717-421-3100	

P O R T F O L I O I N C O M E S U M M A R Y

	YIELD	CURRENT MONTH	YEAR TO DATE
A CASH FUND DIVIDEND	5.51	.00	1,332.41
A MUNI FUND DIVIDEND	3.51	.00	.00
A GOVT FUND DIVIDEND	8.49	.00	.00
VIDENDS		178.00	6,088.40
XABLE INTEREST		.00	.00
N-TAXABLE INTEREST		.00	.00
HER INCOME		.00	.04
TOTAL		178.00	7,420.85

MONTH'S AVERAGE BORROWING RATE: 7.75% TO 9.25%.

M O N T H L Y A C T I V I T Y S U M M A R Y

	DEBIT	CREDIT
COMBINED OPENING BALANCE	21,731.81	
PORTFOLIO PURCHASES	.00	
PORTFOLIO SALES		.0C
PORTFOLIO INCOME		178.00
CHECKS/ADVANCES	.00	
GOLD CARD CHARGES PAID	.00	
INTEREST CHARGED	135.12	
CASH RECEIVED	.00	.00
CASH WITHDRAWN	.00	.00
OVERDRAFT LOAN ACTIVITY		.00
OTHER ACTIVITY		.00
COMBINED CLOSING BALANCE	21,688.93	

MARKET VALUE	144,141	SHORT BALANCE	.00
EQUITY	122,452	YTD INT CHARGED	430.34
BUYING POWER	193,152	OVERDRAFT LOAN	.00
CASH AVAILABLE	71,735	AVG DAILY BAL	21,643.00
LTD PTNRSHIPS*	0		

* NOT INCLUDED IN EQUITY OR MARKET VALUE.

THESE SEVEN CRITICAL QUESTIONS SHOULD BE ANSWERED AND ACTED UPON BEFORE JANUARY 1ST. MEET WITH YOUR FINANCIAL CONSULTANT NOW. ALSO, ASK ABOUT OUR TAX REFORM ANALYSIS.

1. SHOULD YOU TAKE CAPITAL GAINS AND LOSSES IN 1986?
2. SHOULD YOU DEFER INCOME INTO 1987 AND ACCELERATE DEDUCTIONS INTO 1986?
3. WHAT SHOULD YOU DO WITH TAX-ORIENTED INVESTMENTS?
4. WHAT SHOULD YOU DO WITH MUNICIPAL BONDS?
5. SHOULD YOU CONTINUE FUNDING YOUR RETIREMENT PLAN?
6. SHOULD YOU CONTINUE INCOME SHIFTING (EDUCATION FUNDING, GIFT GIVING)?
7. HOW SHOULD YOU BORROW MONEY?

SHEARSON FMA CLIENT SERVICES: CONTINENTAL U.S. (800)221-3636;
IN NEW YORK (800)522-5429; IN ALASKA & HAWAII (800)221-1656

Shearson Lehman Brothers Inc
Foster & Marshall Inc
The Robinson-Humphrey Company, Inc

SHEARSON
MAN BROTHERS

An American Express company

Financial Management Account

CONGRESSMAN NICK JOE RAHALL II   685 03367 17 240   11/01/86 TO 11/30/86   PAGE

TRANSACTION ANALYSIS

DATE	QUANTITY BOT/RECD	QUANTITY SOLD/DEL	DESCRIPTION	ENTRY/ PRICE	AMOUNT	BALANCES CASH	BALANCES FMA FUND
			**** OPENING BALANCES ****			21,731.81	.00
11/03/86			AMERICAN TEL & TEL CO CASH DIV ON 200 SHS REC 09/30/86 PAY 11/01/86	DIV-RECD.	60.00CR	21,671.81	
11/03/86			GREAT ATLANTIC&PAC TEA CO INC CASH DIV ON 500 SHS REC 10/15/86 PAY 11/03/86	DIV-RECD.	50.00CR	21,621.81	.00
11/10/86			AMERICAN EXPRESS CO CASH DIV ON 200 SHS REC 10/03/86 PAY 11/10/86	DIV-RECD.	68.00CR	21,553.81	.00
11/21/86			INT FR 10/21 THRU11/200 7 1/4%	INT-CHARGE	135.12	21,688.93	.00
			**** CLOSING BALANCES ****			21,688.93	.00

PORTFOLIO ANALYSIS

QUANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	IND. INCOME % YLD	S&P RTG	IND EARNINGS PER SHR	IND EARNINGS P/E
200	ADAMS RUSSELL ELECTRONICS CO INC	15.12	3,025	99	2.1	.00	.00	.0		1.06	14
200	ADAMS-RUSSELL CO INC	20.62	4,125	14	2.9	.16	32.00	.7	B+	.73	28
200	AMERICAN EXPRESS CO	57.50	11,500	28	8.0	1.44	288.00	2.5	A	5.49	10
200	AMERICAN TEL & TEL CO	27.12	5,425	62	3.8	1.20	240.00	4.4	A-	1.66	17
100	WALT DISNEY COMPANY	43.12	4,312	43	3.0	.32	32.00	.7	B	1.82	24
1,000	FRANCE FUND INC	10.12	10,125	99	7.0	.00	.00	.0		.00	
200	GABELLI EQUITY TRUST INC	9.37	1,875	99	1.3	.00	.00	.0		.00	
500	GREAT ATLANTIC&PAC TEA CO INC	24.25	12,125	52	8.4	.40	200.00	1.6	B-	1.57	15
220	INTELOGIC TRACE INC	7.50	1,650	99	1.1	.00	.00	.0		1.00	[illegible]
200	*** JAGUAR PLC SPONSORED ADR	7.40	1,481	10	1.0	.00	.00	.0		.82	[illegible]
200	LIBERTY FINANCIAL GROUP INC	40.37	8,075	99	5.6	.50	100.00	1.2		3.34	12
500	MCI COMMUNICATIONS CORP	6.62	3,312	42	2.3	.00	.00	.0		.40	1
200	PHILADELPHIA ELECTRIC CO	23.50	4,700	64	3.3	2.20	440.00	9.3	A-	2.80	8
100	RJR NABISCO INC	51.87	5,187	83	3.6	1.60	160.00	3.0	A+	3.79	14
500	TELECOM PLUS INTERNATIONAL INC N/C FR TELECOM EQUIPMENT CORP	6.87	3,437	56	2.4	.00	.00	.0		.76-	
600	USX CORP $10.75 CUM RED PFD	88.50	53,100	99	36.8	10.75	6450.00	12.1	C	.00	
	*** UNPRICED SECURITIES ***										
1,227	FIRST NATIONAL BANK-BECKLEY W VA					.00	.00	.0		.00	

Shearson Lehman Brothers Inc
Foster & Marshall Inc
The Robinson-Humphrey Company, Inc

IMAN' BROTHERS

Financial Management Account

CONGRESSMAN NICK JOE RAHALL II   685 03567 17 240   11/01/86 TO 11/30/86   PAGE

PORTFOLIO ANALYSIS (CONT)

QUANTITY	DESCRIPTION	PRICE	VALUE	PORT CO	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	IND. INCOME % YLD	S&P RTG	IND EARNINGS PER SHR	IND EARNINGS P/E
	*** FUND POSITIONS ***										
10.0000	SHEARSON LEHMAN FUTURES 1000FD	1068.56	10,685	50	7.4	.00	.00	.0		.00	
	TOTALS		144,141		100.0		7942.00				

UNPRICED SECURITIES ARE NOT INCLUDED IN TOTAL MARKET VALUE.
BOND PRICES MAY DIFFER FROM CURRENT MARKET QUOTES. COMPUTERIZED PRICING SERVICES ARE OFTEN UNABLE TO SUPPLY US WITH UP-TO-THE-MINUTE INFORMATION. PRICES ARE PROVIDED ONLY AS A GENERAL GUIDE TO PORTFOLIO VALUE.

INDUSTRY CLASSIFICATION

INDUSTRY	PORT %	VALUE	CODE	INDUSTRY	PORT %	VALUE
AUTOMOTIVE	1.0	1,481	62	PUBLIC UTILITIES-COMMUNICATIONS	6.1	8,757
BROADCASTING & TV	2.9	4,125	64	PUBLIC UTILITIES-ELECTRIC	3.3	4,750
FINANCE COMPANIES	8.0	11,500	83	TOBACCO	3.6	5,187
LEISURE TIME	3.0	4,312	99	UNCLASSIFIED ISSUES	54.0	77,850
MERCHANDISING	8.4	12,125	50	COMMODITY FUNDS	7.4	10,685
OFFICE EQUIPMENT	2.4	3,457				

SHEARSON
IMAN BROTHERS

Financial Management Account

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CONGRESSMAN NICK JOE RAHALL II
THE CANNON BLDG
WASHINGTON DC 20515

PURSUANT TO YOUR INSTRUCTIONS THE FOLLOWING HAVE ALSO RECEIVED COPIES OF THIS STATEMENT:
1. N JOE RAHALL
2. KELLY BLANKENSHIP CPA

NT	685 03367 17 240	INVESTMENT CENTER SHEARSON LEHMAN BROTHERS INC.
J	236-78-8645	701 MAIN STREET SUITE 100
NCIAL ULTANT	JAMES A JOSEPH 717-421-3100	STROUDSBURG PA 18360

PORTFOLIO INCOME SUMMARY

	YIELD	CURRENT MONTH	YEAR TO DATE
A CASH FUND DIVIDEND	5.96	.00	1,332.41
A MUNI FUND DIVIDEND	4.06	.00	.03
A GOVT FUND DIVIDEND	5.93	.00	.00
VIDENDS		1,798.70	7,887.10
ABLE INTEREST		.00	.00
-TAXABLE INTEREST		.00	.00
ER INCOME		.00	.04
TOTAL		1,798.70	9,219.55

MONTH'S AVERAGE BORROWING RATE: 9.75% TO 11.25%.

MONTHLY ACTIVITY SUMMARY

	DEBIT	CREDIT
COMBINED OPENING BALANCE	21,686.93	
PORTFOLIO PURCHASES	.00	
PORTFOLIO SALES		11,743.51
PORTFOLIO INCOME		1,798.70
CHECKS/ADVANCES	.00	
GOLD CARD CHARGES PAID	63.55	
INTEREST CHARGED	188.47	
CASH RECEIVED	.00	.00
CASH WITHDRAWN	.00	.00
OVERDRAFT LOAN ACTIVITY		.00
OTHER ACTIVITY		.00
COMBINED CLOSING BALANCE	8,398.70	

MARKET VALUE	130,057	SHORT BALANCE	.00
EQUITY	121,658	YTD INT CHARGED	618.81
BUYING POWER	208,362	OVERDRAFT LOAN	.00
CASH AVAILABLE	74,923	AVG DAILY BAL	20,973.00
LTD PTNRSHIPS*	0		

* NOT INCLUDED IN EQUITY OR MARKET VALUE

WE WISH YOU A HEALTHY AND PROSPEROUS NEW YEAR AND EXTEND OUR APPRECIATION FOR YOUR BUSINESS AND YOUR CONFIDENCE IN YOUR FINANCIAL CONSULTANT IN 1986.

WATCH YOUR MAIL FOR A SPECIAL AUDIO TAPE MESSAGE - "WHERE WE STAND 1987: OUTLOOK AND OPPORTUNITY" - WHICH INCLUDES OUR COMMENTARY ON THE ECONOMY, INVESTMENT STRATEGIES, TAX REFORM AND RETIREMENT PLANNING. ALSO INCLUDED IS "ELEVEN COMMON ERRORS OF INVESTING" - INDISPENSABLE AND TIMELESS INVESTMENT GUIDELINES.

INVEST YOUR TIME BEFORE YOU INVEST YOUR MONEY.

SHEARSON FMA CLIENT SERVICES: CONTINENTAL U.S. (800)221-3636; IN NEW YORK (800)522-5429; IN ALASKA & HAWAII (800)221-1656

SHEARSON
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An American ss company

Financial Management Account

CONGRESSMAN NICK JOE RAHALL II   685 03367 17 240   12/01/86 TO 12/31/86   PAGE

TRANSACTION ANALYSIS

DATE	QUANTITY BOT/RECD	QUANTITY SOLD/DEL	DESCRIPTION	ENTRY/ PRICE	AMOUNT	BALANCES CASH	BALANCES FMA FUND
			**** OPENING BALANCES ****			21,688.93	.00
2/05/86			RJR NABISCO INC CASH DIV ON 100 SHS REC 11/10/86 PAY 12/05/86	DIV-RECD.	40.00CR	21,648.93	.00
2/11/86			*** JAGUAR PLC SPONSORED ADR R/D 9-18 86 P/D 11-25-86 NET AFTER 15% BRITISH TAX	DIV-RECD.	11.20CR	21,637.73	.00
12/15/86			LIBERTY FINANCIAL GROUP INC CASH DIV ON 200 SHS REC 11/28/86 PAY 12/15/86	DIV-RECD.	25.00CR	21,612.73	
2/15/86			USX CORP $10.75 CUM RED PFD CASH DIV ON 600 SHS REC 11/17/86 PAY 12/15/86	DIV-RECD.	1,612.50CR	20,000.23	.00
2/19/86			PHILADELPHIA ELECTRIC CO CASH DIV ON 200 SHS REC 11/12/86 PAY 12/19/86	DIV-RECD.	110.00CR	19,890.23	.00
12/22/86			INT FR 11/21 THRU12/210 7 3/4%	INT-CHARGE	142.03	20,032.26	.00
2/24/86			GOLD CARD CHARGES *	PAYMENT	63.55	20,095.81	.00
2/31/86			INT FR 12/22 THRU12/300 9 1/4%	INT-CHARGE	46.44	20,142.25	
2/31/86		1000	FRANCE FUND INC	10 3/4	10,551.20CR	9,591.05	
12/31/86		200	INTELOGIC TRACE INC	5 5/8	1,083.96CR	8,507.09	
12/31/86		20	INTELOGIC TRACE INC	5 5/8	108.39CR	8,398.70	.00
			**** CLOSING BALANCES ****			8,398.70	.00

PORTFOLIO ANALYSIS

QUANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	IND. INCOME % YLD	S&P RTG	IND EARNINGS PER SHR	P/E
200	ADAMS RUSSELL ELECTRONICS CO INC	15.25	3,050	99	2.3	.00	.00	.0		1.06	14
200	ADAMS-RUSSELL CO INC	20.62	4,125	14	3.2	.00	.00	.0	B+	.73	28
200	AMERICAN EXPRESS CO	56.62	11,325	28	8.7	1.44	288.00	2.5	A	5.49	10
200	AMERICAN TEL & TEL CO	25.00	5,000	62	3.8	1.20	240.00	4.8	A-	1.64	15
100	WALT DISNEY COMPANY	43.12	4,312	43	3.3	.32	32.00	.7	B	1.82	24
200	GABELLI EQUITY TRUST INC	8.62	1,725	99	1.3	.00	.00	.0		.00	

Shearson Lehman Brothers Inc
Foster & Marshall Inc
The Robinson-Humphrey Company, Inc

SHEARSON
MAN BROTHERS

Financial Management Account

CONGRESSMAN NICK JOE RAHALL II   685 03367 17 240   12/01/86 TO 12/31/86   PAGE

PORTFOLIO ANALYSIS (CONT)

QUANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	IND. INCOME % YLD	S&P RTG	IND EARNINGS PER SHR	IND EARNINGS P/E
500	GREAT ATLANTIC&PAC TEA CO INC	23.50	11,750	52	9.0	.40	200.00	1.7	B-	1.63	14
200	*** JAGUAR PLC SPONSORED ADR	7.93	1,587	10	1.2	.15	31.60	1.9		.82	1
200	LIBERTY FINANCIAL GROUP INC	35.75	7,150	99	5.5	.50	100.00	1.3		3.34	11
500	MCI COMMUNICATIONS CORP	6.25	3,125	62	2.4	.00	.00	.0		.40	16
200	PHILADELPHIA ELECTRIC CO	22.62	4,525	64	3.5	2.20	440.00	9.7	A-	2.80	8
100	RJR NABISCO INC	49.25	4,925	83	3.8	1.60	160.00	3.2	A+	3.79	1
500	TELECOM PLUS INTERNATIONAL INC N/C FR TELECOM EQUIPMENT CORP	7.25	3,625	56	2.8	.00	.00	.0		.76-	
600	USX CORP $10.75 CUM RED PFD	88.00	52,800	99	40.6	10.75	6450.00	12.2		.00	
	*** UNPRICED SECURITIES ***										
1,227	FIRST NATIONAL BANK-BECKLEY W VA					.00	.00	.0		.00	
	*** FUND POSITIONS ***										
10.0000	SHEARSON LEHMAN FUTURES 1000FD	1103.23	11,032	SD	8.5	.00	.00	.0		.00	
	TOTALS		130,057		100.0		7941.60				

UNPRICED SECURITIES ARE NOT INCLUDED IN TOTAL MARKET VALUE.

BOND PRICES MAY DIFFER FROM CURRENT MARKET QUOTES. COMPUTERIZED PRICING SERVICES ARE OFTEN UNABLE TO SUPPLY US WITH UP-TO-THE-MINUTE INFORMATION. PRICES ARE PROVIDED ONLY AS A GENERAL GUIDE TO PORTFOLIO VALUE.

INDUSTRY CLASSIFICATION

INDUSTRY	PORT %	VALUE	CODE	INDUSTRY	PORT %	VALUE
AUTOMOTIVE	1.2	1,587	62	PUBLIC UTILITIES-COMMUNICATIONS	6.2	8,125
BROADCASTING & TV	3.2	4,125	64	PUBLIC UTILITIES-ELECTRIC	3.5	4,525
FINANCE COMPANIES	8.7	11,325	83	TOBACCO	3.8	4,925
LEISURE TIME	3.3	4,312	99	UNCLASSIFIED ISSUES	49.8	64,725
MERCHANDISING	9.0	11,750	SD	COMMODITY FUNDS	8.5	11,032
OFFICE EQUIPMENT	2.8	3,625				

Shearson Lehman Brothers Inc
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The Robinson-Humphrey Company, Inc

SHEARSON
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An American [illegible]ss company

Financial Management Account

CONGRESSMAN NICK JOE RAHALL II 685 03367 17 240 12/01/86 TO 12/31/86 PAGE

GOLD CARD - CHECKING ACTIVITY

GOLD CARD CHARGES			
REF NO	DESCRIPTION	AMOUNT	TOTALS
	OPENING BALANCE		.00
	GOLD CARD # 3713 527951 25018		
872317	THE ORIENT EXPRESS CARRBORO NC INV#532533	63.55	
			63.55
	TOTAL GOLD CARD CHARGES		63.55
	PAID TO AMERICAN EXPRESS		63.55
	BALANCE		.00

IF YOU HAVE ANY QUESTIONS REGARDING YOUR GOLD CARD - CHECKING ACTIVITY, PLEASE CALL THE AMERICAN EXPRESS CUSTOMER ERVICE UNIT AT THE FOLLOWING TOLL FREE NUMBERS: 800-327-1002, IN FLORIDA 800-432-4109, IN ALASKA AND HAWAII 800-327-0007.

SHEARSON
IMAN BROTHERS

An American ... company

Financial Management Account

CONGRESSMAN NICK JOE RAHALL II   685 03367 17 240   12/01/86 TO 12/31/86   PAGE 5

YEAR-END PORTFOLIO INCOME SUMMARY FOR 1986

DESCRIPTION	TAXABLE DIVIDEND INCOME	NON-TAXABLE DIVIDEND INCOME	TAXABLE INTEREST INCOME	NON-TAXABLE INTEREST INCOME
ADAMS-RUSSELL CO INC	16.00			
AMERICAN EXPRESS CO	272.00			
AMERICAN TEL & TEL CO	240.00			
WALT DISNEY COMPANY	16.00			
EASTERN GAS & FUEL ASSOCIATES	32.50			
GREAT ATLANTIC&PAC TEA CO INC	200.00			
*** JAGUAR PLC SPONSORED ADR	31.60			
LIBERTY FINANCIAL GROUP INC	75.00			
PHILADELPHIA ELECTRIC CO	440.00			
RJR NABISCO INC	114.00			
USX CORP $10.75 CUM RED PFD	3,225.00			
U S STEEL CORP 10.75 CUM PFD	3,225.00			
TOTALS	7,887.10	.00	.00	.00
** SHEARSON FMA CASH FUND	1,332.45			
TOTALS	1,332.45	.00	.00	.00
GRAND TOTAL $9,219.55				

** DIVIDENDS AND/OR INTEREST PAID ON THESE ISSUES (WITH THE EXCEPTION OF FMA FUNDS) ARE NOT INCLUDED IN THE YTD AMOUNT REFLECTED ON PAGE 1 OF THIS STATEMENT.

1986 INVESTMENT SUMMARY

DATE	QUANTITY		DESCRIPTION	ACCRUED INTEREST	PRICE	AMOUNT
	BOUGHT	SOLD				
04/09/86		200	* DATAPOINT CORP		5 1/8	989.52CR
03/21/86	100		WALT DISNEY COMPANY		35 3/4	3,633.16
02/19/86		100	* EASTERN GAS & FUEL ASSOCIATES		23	2,255.54CR
07/30/86		100	* FLOATING POINT SYSTEMS INC		19 3/4	1,935.21CR
06/06/86	1000		FRANCE FUND INC		12	12,000.00

SHEARSON
IMAN BROTHERS
An American ss company

Financial Management Account

CONGRESSMAN NICK JOE RAHALL II   685 03367 17 240   12/01/86 TO 12/31/86 PAGE

1986 INVESTMENT SUMMARY

DATE	QUANTITY		DESCRIPTION	ACCRUED INTEREST	PRICE	AMOUNT
	BOUGHT	SOLD				
12/31/86		1000	* FRANCE FUND INC		10 3/4	10,551.20CR
08/21/86	200		GABELLI EQUITY TRUST INC		10	2,000.00
12/31/86		20	* INTELOGIC TRACE INC		5 5/8	108.39CR
12/31/86		200	* INTELOGIC TRACE INC		5 5/8	1,083.96CR
02/14/86	200		*** JAGUAR PLC SPONSORED ADR SAXP IS A MARKET MAKER		6 4062	1,281.24
04/17/86	200		LIBERTY FINANCIAL GROUP INC		33 3/4	6,853.80
02/19/86	300		MCI COMMUNICATIONS CORP SAXP IS A MARKET MAKER		13 3/8	4,012.50
03/21/86	100		R J REYNOLDS INDUSTRIES INC		43 5/8	4,428.66
03/21/86		200	* TELECOM PLUS INTERNATIONAL INC N/C FR TELECOM EQUIPMENT CORP SAXP IS A MARKET MAKER		8 1/2	1,700.00CR
02/13/86	600		U S STEEL CORP 10.75 CUM PFD		104 0062	62,403.72
			TOTAL PURCHASES TOTAL SALES			$96,613.06 $18,623.82CR

* TRANSACTIONS MAY BE REPORTABLE UNDER 1986 TEFRA REQUIREMENTS.

1986 TEFRA REPORTING

NET PROCEEDS OF SALES	TAXABLE DIVIDEND INCOME	TAXABLE INTEREST INCOME	TAXABLE ACCRUED INTEREST
$18,623.82CR	$9,219.55	$0.00	$0.00

SHEARSON
MA BROTHERS

An American Express company

Client Statement

E HARD GEORGE RAHALL TRUSTEE II B 685 08087 15 240 12/01/86 TO 12/31/86 PAGE 5

YEAR-END PORTFOLIO INCOME SUMMARY FOR 1986

DESCRIPTION	TAXABLE DIVIDEND INCOME	NON-TAXABLE DIVIDEND INCOME	TAXABLE INTEREST INCOME	NON-TAXABLE INTEREST INCOME
AMERICAN GENERAL CORP	448.00			
BRISTOL MYERS CO	635.00			
CAPITAL CITIES/ABC INC	15.00			
CAPITAL CITIES COMMUNICATIONS	5.00			
COMMERCIAL CREDIT CO	60.00			
DART DRUG CORP CLASS A	3.33			
WALT DISNEY COMPANY	252.00			
W R GRACE & CO	420.00			
INTL BUSINESS MACHINES CORP	440.00			
JOHNSON & JOHNSON	412.50			
ELI LILLY & CO	270.00			
MANUFACTURERS HANOVER CORP	243.00			
NORSTAR BANCORP INC	576.00			
QUAKER OATS CO	290.00			
RALSTON PURINA CO	330.00			
TYCO LABORATORIES INC	180.00			
UPJOHN CO	407.00			
WAL-MART STORES INC	97.50			
INTEREST ON CREDIT BALANCE			85.95	
TOTALS	5,125.33	.00	85.95	.00
** SHEARSON DAILY DIVIDEND INC	2,463.76			
TOTALS	2,463.76	.00	.00	.00
GRAND TOTAL $7,675.04				

** DIVIDENDS AND/OR INTEREST PAID ON THESE ISSUES (WITH THE EXCEPTION OF FMA FUNDS) ARE NOT INCLUDED IN THE YTD AMOUNT REFLECTED ON PAGE 1 OF THIS STATEMENT.

1986 INVESTMENT SUMMARY

DATE	QUANTITY BOUGHT	QUANTITY SOLD	DESCRIPTION	ACCRUED INTEREST	PRICE	AMOUNT
12/31/86		100	* BRISTOL MYERS CO		82 3/4	8,206.12CR

SHEARSON
MAN BROTHERS

An American Express company

IV Transactions

Client Statement

EDWARD GEORGE RAHALL TRUSTEE   685 08087 15 240   12/01/86 TO 12/31/86   PAGE 6

1986 INVESTMENT SUMMARY

DATE	QUANTITY BOUGHT	QUANTITY SOLD	DESCRIPTION	ACCRUED INTEREST	PRICE	AMOUNT
			ORDER RECEIVED			
12/31/86	300		CPC INTERNATIONAL INC ORDER RECEIVED		80 3/4	24,430.80
11/05/86	1000		COMMERCIAL CREDIT CO AS OF 10/29/86		20 7983	21,220.68
05/22/86	300		W R GRACE & CO AN EMPLOYEE OF THIS FIRM IS A DIRECTOR OF THIS ISSUE		58 1/8	17,731.50
11/10/86		300	* W R GRACE & CO AN EMPLOYEE OF THIS FIRM IS A DIRECTOR OF THIS ISSUE		56 3/4	16,818.63CR
07/25/86		200	* INTL BUSINESS MACHINES CORP		130 3/8	25,936.93CR
12/31/86		300	* JOHNSON & JOHNSON		66 3/4	19,818.53CR
06/09/86	300		ELI LILLY & CO		75 1/2	22,855.80
05/27/86		200	* MCI COMMUNICATIONS CORP TRD PRICE TO NASDAQ: 9 5/8 PRICE INCLDS MARK-DWN: 1/8 SAXP IS A MARKET MAKER		9 1/2	1,900.00CR
02/11/86		300	* MANUFACTURERS HANOVER CORP		43	12,727.39CR
12/31/86	100		MERCK & CO INC ORDER RECEIVED		119	11,968.60
11/10/86		600	* NORSTAR BANCORP INC ORDER RECEIVED		27	15,973.77CR
12/17/86	300		RAYTHEON CO ORDER RECEIVED		68 1/2	20,755.80
09/17/86		600	* TYCO LABORATORIES INC		35	20,729.76CR
12/17/86	500		UNITED TECHNOLOGIES CORP ORDER RECEIVED		46 1/4	23,400.06

SHEARSON
MAN BROTHERS
An American Express company

Client Statement

EDWARD GEORGE RAHALL TRUSTEE 685 08087 15 240 12/01/86 TO 12/31/86 PAGE 7

1986 INVESTMENT SUMMARY

DATE	QUANTITY BOUGHT	QUANTITY SOLD	DESCRIPTION	ACCRUED INTEREST	PRICE	AMOUNT
12/31/86		100	* UPJOHN CO ORDER RECEIVED		95 1/4	9,456.08CR
			TOTAL PURCHASES			$142,363.24
			TOTAL SALES			$131,567.21CR

* TRANSACTIONS MAY BE REPORTABLE UNDER 1986 TEFRA REQUIREMENTS.

1986 TEFRA REPORTING

NET PROCEEDS OF SALES	TAXABLE DIVIDEND INCOME	TAXABLE INTEREST INCOME	TAXABLE ACCRUED INTEREST
$131,567.21CR	$7,589.09	$85.95	$0.00

HEARSON
AN BROTHERS

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Client Statement

685 08087 15 240

01/01/86 TO 01/31/86 PAGE 1

KELLY T. BLANKENSHIP, CPA
200 GEORGE STREET
SUITE 5
BECKLEY, WV 25801

PURSUANT TO YOUR INSTRUCTIONS THE FOLLOWING HAVE ALSO RECEIVED COPIES OF THIS STATEMENT:

1. GERALD T. ROLFE
2. MANAGER'S COPY
3. KELLY T. BLANKENSHIP, CPA

	685 08087 15 240	INVESTMENT CENTER SHEARSON LEHMAN BROTHERS INC. 701 MAIN STREET SUITE 100 STROUDSBURG PA 18360
	236-78-8645	
AL	JAMES A JOSEPH	
ANT	717-421-3100	

ORTFOLIO INCOME SUMMARY

	CURRENT MONTH	YEAR TO DATE
SH FUND DIVIDEND	.00	.00
NI FUND DIVIDEND	.00	.00
VT FUND DIVIDEND	.00	.00
NDS	399.00	399.00
E INTEREST	.32	.32
XABLE INTEREST	.00	.00
INCOME	.00	.00
TAL	399.32	399.32

TH'S AVERAGE BORROWING RATE: 10.50% TO 12.00%.

MONTHLY ACTIVITY SUMMARY

	DEBIT	CREDIT
COMBINED OPENING BALANCE		.00
PORTFOLIO PURCHASES	.00	
PORTFOLIO SALES		.00
PORTFOLIO INCOME		399.32
CHECKS/ADVANCES	.00	
GOLD CARD CHARGES PAID	.00	
INTEREST CHARGED	.00	
CASH RECEIVED	.00	.00
CASH WITHDRAWN	.00	.00
OVERDRAFT LOAN ACTIVITY		.00
OTHER ACTIVITY		.00
COMBINED CLOSING BALANCE		399.32

MARKET VALUE	273,725	SHORT BALANCE	.00
EQUITY	274,122	YTD INT CHARGED	.00
BUYING POWER	0	OVERDRAFT LOAN	.00
CASH AVAILABLE	0	AVG DAILY BAL	.00
LTD PTNRSHIPS*	0		

* NOT INCLUDED IN EQUITY OR MARKET VALUE.

FOR ACCOUNT OF:

EDWARD GEORGE RAHALL TRUSTEE
U/A/D 12/10/82 FBO
N JOE RAHALL II
216 MAIN STREET
BECKLEY WEST VIRGINIA 25801

II B Income
III Holdings
IV Transactions

Shearson Lehman Brothers Inc.
Foster & Marshall Inc.
The Robinson-Humphrey Company, Inc.

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Client Statement

EDWARD GEORGE RAHALL TRUSTEE   685 08087 15 240   01/01/86 TO 01/31/86   PAGE 2

TRANSACTION ANALYSIS

TE	QUANTITY BOT/RECD	QUANTITY SOLD/DEL	DESCRIPTION	ENTRY/ PRICE	AMOUNT	BALANCES CASH	BALANCES FUND
			**** OPENING BALANCES ****			.00	
3/86			WAL-MART STORES INC CASH DIV ON 600 SHS REC 12/12/85 PAY 01/03/86	DIV-RECD.	21.00CR	21.00CR	
07/86			WALT DISNEY PRODUCTIONS CASH DIV ON 200 SHS REC 12/13/85 PAY 01/06/86	DIV-RECD.	60.00CR	81.00CR	
10/86			SHEARSON DAILY DIVIDEND INC DIV REINVESTED $66.29	DIVIDEND	66.29CR	81.00CR	
15/86			QUAKER OATS CO CASH DIV ON 200 SHS REC 12/23/85 PAY 01/15/86	DIV-RECD.	70.00CR	151.00CR	
21/86			INTEREST ON CREDIT BALANCE AT 7.140% 12/31 THRU 01/20	INT-EARNED	.32CR	151.32CR	
27/86			MANUFACTURERS HANOVER CORP CASH DIV ON 300 SHS REC 01/02/86 PAY 01/25/86	DIV-RECD.	243.00CR	394.32CR	
8/86			CAPITAL CITIES COMMUNICATIONS N/C CAPITAL CITIES ABC INC CASH DIV ON 100 SHS REC 12/31/85 PAY 01/28/86	DIV-RECD.	5.00CR	399.32CR	
31/86	100		CAPITAL CITIES/ABC INC	JOURNAL			
31/86		100	CAPITAL CITIES COMMUNICATIONS N/C CAPITAL CITIES ABC INC	JOURNAL		399.32CR	
			**** CLOSING BALANCES ****			399.32CR	

PORTFOLIO ANALYSIS

ANTITY	DESCRIPTION	PRICE	VALUE	PORT		ANNUAL	IND. INCOME		S&P	IND EARNINGS	
				CD	%	DIV/INT	INCOME	% YLD	RTG	PER SHR	P/E
400	AMERICAN GENERAL CORP	36.87	14,750	40	5.4	1.00	400.00	2.7	A	3.26	11
300	BRISTOL MYERS CO	61.75	18,525	22	6.8	1.88	564.00	3.0	A+	3.86	16
100	CAPITAL CITIES/ABC INC	214.50	21,450	14	7.8	.20	20.00	.0	A	10.77	20
200	WALT DISNEY PRODUCTIONS	118.25	23,650	43	8.6	1.28	256.00	1.0	B	5.24	23

Shearson Lehman Brothers Inc.
Foster & Marshall Inc.
The Robinson-Humphrey Company Inc

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Client Statement

EDWARD GEORGE RAHALL TRUSTEE 685 08087 15 240 01/01/86 TO 01/31/86 PAGE 3

PORTFOLIO ANALYSIS (CONT)

				PORT		ANNUAL	IND. INCOME		S&P	IND EARNINGS	
ANTITY	DESCRIPTION	PRICE	VALUE	CD	%	DIV/INT	INCOME	% YLD	RTG	PER SHR	P/E
200	INTL BUSINESS MACHINES CORP	151.50	30,300	56	11.1	4.40	880.00	2.9	A+	10.67	14
300	JOHNSON & JOHNSON	53.75	16,125	22	5.9	1.30	390.00	2.4	A+	3.25	17
200	MCI COMMUNICATIONS CORP	12.37	2,475	62	.9	.00	.00	.0		.59	21
300	MANUFACTURERS HANOVER CORP	43.62	13,087	12	4.8	3.24	972.00	7.4	A	8.58	5
600	NORSTAR BANCORP INC	28.25	16,950	12	6.2	1.28	768.00	4.5	A	2.54	11
200	QUAKER OATS CO	60.75	12,150	30	4.4	1.40	280.00	2.3	A+	3.97	15
300	RALSTON PURINA CO	52.50	15,750	30	5.8	1.10	330.00	2.0	A+	4.40	12
300	TYCO LABORATORIES INC	53.87	16,162	26	5.9	.80	240.00	1.4	A-	3.20	17
150	UPJOHN CO	134.00	20,100	22	7.3	2.80	420.00	2.0	A+	6.06	22
600	WAL-MART STORES INC	32.12	19,275	52	7.0	.14	84.00	.4		1.07	30
	*** UNPRICED SECURITIES ***										
200	WTS SCI HOLDINGS INC EXP 12-5-95					.00	.00	.0		.00	
	*** FUND POSITIONS ***										
32,973	SHEARSON DAILY DIVIDEND INC. INCLUDES ACCRUED DIVIDENDS FROM 01/01/86 THRU 01/10/86 AVG YIELD FOR PERIOD 7.35%	1.00	32,973	SA	12.0	.00	.00	.0		.00	
	TOTALS		273,723		100.0		5604.00				

UNPRICED SECURITIES ARE NOT INCLUDED IN TOTAL MKT VALUE

INDUSTRY CLASSIFICATION

INDUSTRY	PORT %	VALUE	CODE	INDUSTRY	PORT %	VALUE
BANKS	11.0	30,037	43	LEISURE TIME	8.6	23,650
BROADCASTING & TV	7.8	21,450	52	MERCHANDISING	7.0	19,275
DRUG & COSMETICS	20.0	54,750	56	OFFICE EQUIPMENT	11.1	30,300
ELECTRONICS	5.9	16,162	62	PUBLIC UTILITIES-COMMUNICATIONS	.9	2,475
FOOD & BEVERAGE	10.2	27,900	SA	MONEY FUNDS	12.0	32,973
INSURANCE	5.4	14,750				

701 MAIN STREET
SUITE 100
STROUDSBURG PA
18360

Branch Telephone Number
717 421-3100
Financial Consultant
JAMES A JOSEPH

HEARSON
LEHMAN BROTHERS

An American Express company

Shearson Lehman Brothers Inc
Foster & Marshall Inc
The Robinson-Humphrey Company, Inc

KELLY T. BLANKENSHIP, CFA
200 GEORGE STREET
SUITE 5
BECKLEY, WV 25801

SHEARSON LEHMAN
BROTHERS INC.
701 MAIN STREET
SUITE 100
STROUDSBURG PA
18360

Please review this confirmation carefully. If you disagree with any transaction or the details of any transaction you must notify the branch manager of the office servicing your account immediately of your objection. Failure to notify us constitutes your acceptance of the transactions.

Please retain this copy and return the duplicate with remittance and/or securities to the branch office servicing your account.

Payment for securities purchased and delivery of securities sold must be deposited with us by the due date.

Confirmation

To confirm the following transaction subject to the agreement on the reverse side hereof

PREFERENTIAL RATE
HOLD PROCEEDS

DUPLICATE FOR ACCOUNT OF
EDWARD GEORGE RAHALL TRUSTEE
U/A/D 12/10/82 FBO

Do not detach along this perforation—Return Part 2 (Blue Copy)

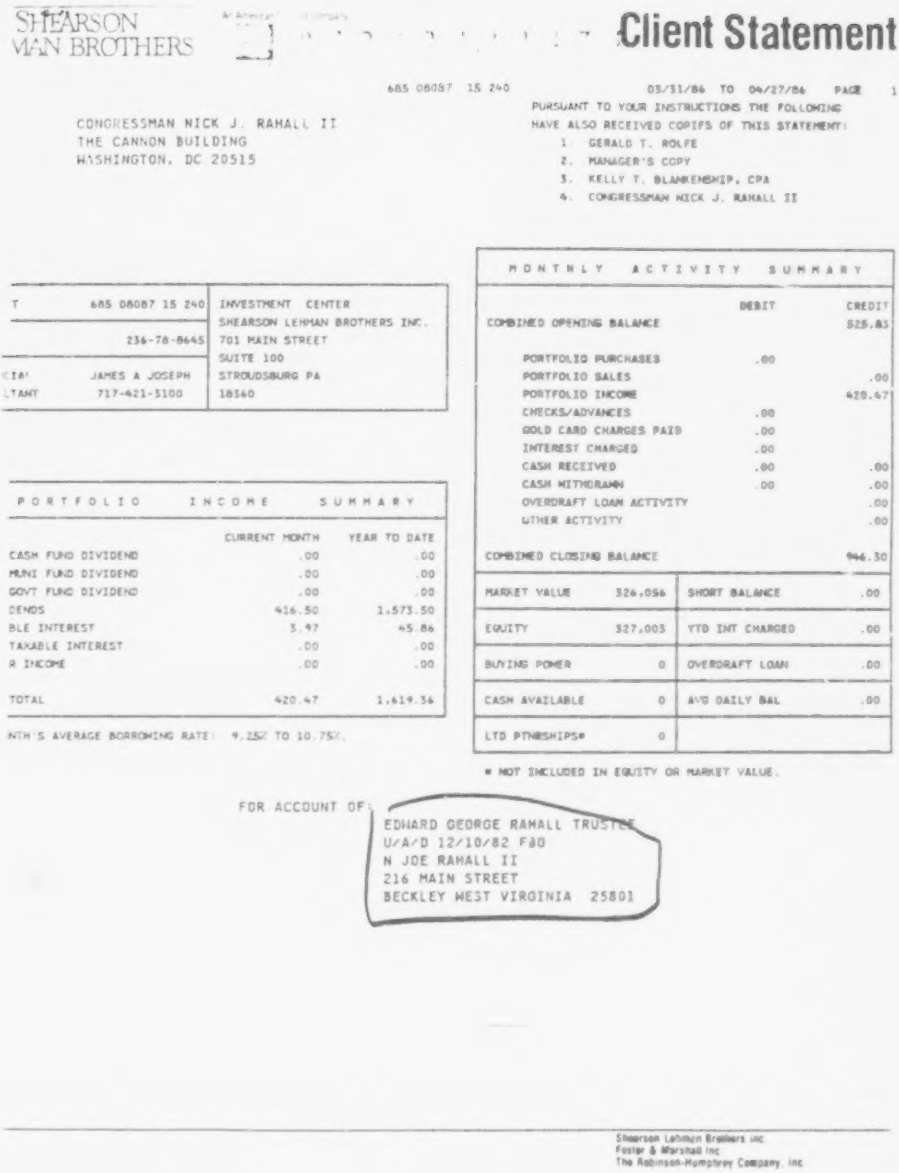
Transaction(s)	Trade Date	Office	Account	Type	C	FC No	CUSIP No	Market	Cap	S/U	PE	Due Date
YOU SOLD	02/04/86	685	08087	1		5	240 564809-10-1		1	H	S	02/11/86

Quantity	Price	Description	Reference No	Amount
3[illegible]	43	MANUFACTURERS HANOVER CORP	44525	12,727.3

Total Quantity	Gross Amount	Commission/Sales Credit	St Tax Bond Interest	SEC Fee	Postage Misc	Total Amount Due
300	12,900.[illegible]	172.[illegible]		.47		12,727.3

PD 02/04/86 [illegible] 17457 MHC

See reverse side for important information



SHEARSON
MAN BROTHERS

Client Statement

685 08087 15 240

03/31/86 TO 04/27/86 PAGE 1

CONGRESSMAN NICK J. RAHALL II
THE CANNON BUILDING
WASHINGTON, DC 20515

PURSUANT TO YOUR INSTRUCTIONS THE FOLLOWING HAVE ALSO RECEIVED COPIES OF THIS STATEMENT:

1. GERALD T. ROLFE
2. MANAGER'S COPY
3. KELLY T. BLANKENSHIP, CPA
4. CONGRESSMAN NICK J. RAHALL II

T	685 08087 15 240	INVESTMENT CENTER SHEARSON LEHMAN BROTHERS INC.
	236-78-8645	701 MAIN STREET SUITE 100
CIA'	JAMES A JOSEPH	STROUDSBURG PA
LTANT	717-421-3100	18360

PORTFOLIO INCOME SUMMARY	CURRENT MONTH	YEAR TO DATE
CASH FUND DIVIDEND	.00	.00
MUNI FUND DIVIDEND	.00	.00
GOVT FUND DIVIDEND	.00	.00
DENDS	416.50	1,573.50
BLE INTEREST	3.97	45.86
TAXABLE INTEREST	.00	.00
R INCOME	.00	.00
TOTAL	420.47	1,619.36

NTH'S AVERAGE BORROWING RATE: 9.25% TO 10.75%.

MONTHLY ACTIVITY SUMMARY	DEBIT	CREDIT
COMBINED OPENING BALANCE		525.83
PORTFOLIO PURCHASES	.00	
PORTFOLIO SALES		.00
PORTFOLIO INCOME		420.47
CHECKS/ADVANCES	.00	
GOLD CARD CHARGES PAID	.00	
INTEREST CHARGED	.00	
CASH RECEIVED	.00	.00
CASH WITHDRAWN	.00	.00
OVERDRAFT LOAN ACTIVITY		.00
OTHER ACTIVITY		.00
COMBINED CLOSING BALANCE		946.30

MARKET VALUE	326,056	SHORT BALANCE	.00
EQUITY	327,003	YTD INT CHARGED	.00
BUYING POWER	0	OVERDRAFT LOAN	.00
CASH AVAILABLE	0	AVG DAILY BAL	.00
LTD PTNRSHIPS*	0		

* NOT INCLUDED IN EQUITY OR MARKET VALUE.

FOR ACCOUNT OF:

EDWARD GEORGE RAHALL TRUSTEE
U/A/D 12/10/82 FBO
N JOE RAHALL II
216 MAIN STREET
BECKLEY WEST VIRGINIA 25801

Shearson Lehman Brothers Inc
Foster & Marshall Inc
The Robinson-Humphrey Company, Inc

SHEARSON
MAN BROTHERS

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Client Statement

EDWARD GEORGE RAHALL TRUSTEE 685 08087 15 240 03/31/86 TO 04/27/86 PAGE 2

TRANSACTION ANALYSIS

ATE	QUANTITY		DESCRIPTION	ENTRY/ PRICE	AMOUNT	BALANCES	
	BOT/RECD	SOLD/DEL				CASH	FUND
			**** OPENING BALANCES ****			525.83CR	
/31/86			TYCO LABORATORIES INC CASH DIV ON 300 SHS REC 02/28/86 PAY 03/28/86	DIV-RECD.	60.00CR	585.83CR	
/01/86			NORSTAR BANCORP INC CASH DIV ON 600 SHS REC 03/10/86 PAY 04/01/86	DIV-RECD.	192.00CR	777.83CR	
4/07/86			WALT DISNEY COMPANY CASH DIV ON 800 SHS REC 03/18/86 PAY 04/07/86	DIV-RECD.	64.00CR	841.83CR	
/08/86	300		TYCO LABORATORIES INC STK SPLIT ON 300 SHS REC 02/28/86 PAY 03/27/86	DISTRIBUTN		841.83CR	
/11/86			WAL-MART STORES INC CASH DIV ON 600 SHS REC 03/24/86 PAY 04/11/86	DIV-RECD.	25.50CR	867.33CR	
/11/86			SHEARSON DAILY DIVIDEND INC DIV REINVESTED $246.24	DIVIDEND	246.24CR	867.33CR	
/15/86			QUAKER OATS CO CASH DIV ON 200 SHS REC 03/24/86 PAY 04/15/86	DIV-RECD.	70.00CR	937.33CR	
/21/86			CAPITAL CITIES/ABC INC CASH DIV ON 100 SHS REC 03/31/86 PAY 04/21/86	DIV-RECD.	5.00CR	942.33CR	
/21/86			INTEREST ON CREDIT BALANCE AT 6.217% 03/21 THRU 04/20	INT-EARNED	3.97CR	946.30CR	
			**** CLOSING BALANCES ****			946.30CR	

PORTFOLIO ANALYSIS

QUANTITY	DESCRIPTION	PRICE	VALUE	PORT		ANNUAL	IND. INCOME		S&P	IND EARNINGS	
				CD	%	DIV/INT	INCOME	% YLD	RTG	PER SHR	P/E
400	AMERICAN GENERAL CORP	39.00	15,600	40	4.8	1.12	448.00	2.8	A	3.23	12
300	BRISTOL MYERS CO	77.62	23,287	22	7.1	2.20	660.00	2.8	A+	4.01	19
100	CAPITAL CITIES/ABC INC	229.75	22,975	14	7.0	.20	20.00	.0	A	10.87	21

SHEARSON
MAN BROTHERS

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[illegible]

Client Statement

EDWARD GEORGE RAHALL TRUSTEE   685 08087 15 240   03/31/86 TO 04/27/86   PAGE 3

PORTFOLIO ANALYSIS (CONT)

UANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	IND. INCOME % YLD	S&P RTG	IND EARNINGS PER SHR	IND EARNINGS P/E
800	WALT DISNEY COMPANY	48.25	38,600	43	11.8	.32	256.00	.6	B	1.43	34
200	INTL BUSINESS MACHINES CORP	159.50	31,900	56	9.8	4.40	880.00	2.7	A+	10.71	15
300	JOHNSON & JOHNSON	68.62	20,587	22	6.3	1.40	420.00	2.0	A+	3.36	20
200	MCI COMMUNICATIONS CORP	10.12	2,025	62	.6	.00	.00	.0		.50	20
600	NORSTAR BANCORP INC	31.37	18,825	12	5.8	1.28	768.00	4.0	A	2.65	12
200	QUAKER OATS CO	70.75	14,150	30	4.3	1.40	280.00	1.9	A+	4.17	17
300	RALSTON PURINA CO	66.87	20,062	30	6.2	1.10	330.00	1.6	A+	4.50	15
200	WTS SCI HOLDINGS INC EXP 12-5-95	3.50	700	99	.2	.00	.00	.0		.00	
600	TYCO LABORATORIES INC	34.75	20,850	26	6.4	.40	240.00	1.1	A-	1.79	19
150	UPJOHN CO	160.50	24,075	22	7.4	3.04	456.00	1.8		6.92	23
600	WAL-MART STORES INC	42.25	25,350	52	7.8	.17	102.00	.4	A+	1.16	36
	*** FUND POSITIONS ***										
47,069	SHEARSON DAILY DIVIDEND INC. INCLUDES ACCRUED DIVIDENDS FROM 03/15/86 THRU 04/11/86 AVG YIELD FOR PERIOD 6.86%	1.00	47,069	SA	14.4	.00	.00	.0		.00	
	TOTALS		326,056		100.0		4860.00				

INDUSTRY CLASSIFICATION

INDUSTRY	PORT %	VALUE	CODE	INDUSTRY	PORT %	VALUE
BANKS	5.8	18,825	43	LEISURE TIME	11.8	38,600
BROADCASTING & TV	7.0	22,975	52	MERCHANDISING	7.8	25,350
DRUG & COSMETICS	20.8	67,950	56	OFFICE EQUIPMENT	9.8	31,900
ELECTRONICS	6.4	20,850	62	PUBLIC UTILITIES-COMMUNICATIONS	.6	2,025
FOOD & BEVERAGE	10.5	34,212	99	UNCLASSIFIED ISSUES	.2	700
INSURANCE	4.8	15,600	SA	MONEY FUNDS	14.4	47,069

Shearson Lehman Brothers Inc
Foster & Marshall Inc
The Robinson-Humphrey Company, Inc

SHEARSON
AN BROTHERS
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Client Statement

685 08087 15 240

04/28/86 TO 05/31/86 PAGE 1

CONGRESSMAN NICK J. RAHALL II
THE CANNON BUILDING
WASHINGTON, DC 20515

PURSUANT TO YOUR INSTRUCTIONS THE FOLLOWING HAVE ALSO RECEIVED COPIES OF THIS STATEMENT:

1. GERALD T. ROLFE
2. MANAGER'S COPY
3. KELLY T. BLANKENSHIP, CPA
4. CONGRESSMAN NICK J. RAHALL II

	685 08087 15 240	INVESTMENT CENTER SHEARSON LEHMAN BROTHERS INC. 701 MAIN STREET SUITE 100 STROUDSBURG PA 18360
	236-78-8645	
IAL TANT	JAMES A JOSEPH 717-421-3100	

PORTFOLIO INCOME SUMMARY	CURRENT MONTH	YEAR TO DATE
ASH FUND DIVIDEND	.00	.00
UNI FUND DIVIDEND	.00	.00
OVT FUND DIVIDEND	.00	.00
ENDS	279.00	1,852.50
LE INTEREST	1.43	47.29
AXABLE INTEREST	.00	.00
INCOME	.00	.00
OTAL	280.43	1,899.79

TH'S AVERAGE BORROWING RATE: 8.75% TO 10.25%.

MONTHLY ACTIVITY SUMMARY	DEBIT	CREDIT
COMBINED OPENING BALANCE		946.30
PORTFOLIO PURCHASES	19,624.10	
PORTFOLIO SALES		20,297.37
PORTFOLIO INCOME		280.43
CHECKS/ADVANCES	.00	
GOLD CARD CHARGES PAID	.00	
INTEREST CHARGED	.00	
CASH RECEIVED	.00	.00
CASH WITHDRAWN	.00	.00
OVERDRAFT LOAN ACTIVITY		.00
OTHER ACTIVITY		.00
COMBINED CLOSING BALANCE		1,900.00

MARKET VALUE	337,301	SHORT BALANCE	.00
EQUITY	339,201	YTD INT CHARGED	.00
BUYING POWER	0	OVERDRAFT LOAN	.00
CASH AVAILABLE	0	AVG DAILY BAL	.00
LTD PTNRSHIPS*	0		

* NOT INCLUDED IN EQUITY OR MARKET VALUE.

FOR ACCOUNT OF:

EDWARD GEORGE RAHALL TRUSTEE
U/A/D 12/10/82 FBO
N JOE RAHALL II
216 MAIN STREET
BECKLEY WEST VIRGINIA 25801

SHEARSON
AN BROTHERS

An American Express company

Client Statement

EDWARD GEORGE RAHALL TRUSTEE 685 08087 15 240 04/28/86 TO 05/31/86 PAGE 2

TRANSACTION ANALYSIS

ATE	QUANTITY BOT/RECD	QUANTITY SOLD/DEL	DESCRIPTION	ENTRY/ PRICE	AMOUNT	BALANCES CASH	BALANCES FUND
			**** OPENING BALANCES ****			946.30CR	
30/86			SHEARSON DAILY DIVIDEND INC	INVESTMENT	946.30	.00	
30/86			SHEARSON DAILY DIVIDEND INC	INVESTMENT	946.30	946.30	
01/86			BRISTOL MYERS CO CASH DIV ON 300 SHS REC 04/04/86 PAY 05/01/86	DIV-RECD.	165.00CR	781.30	
/01/86			UPJOHN CO CASH DIV ON 150 SHS REC 04/07/86 PAY 05/01/86	DIV-RECD.	114.00CR	667.30	
09/86			SHEARSON DAILY DIVIDEND INC	REDEMPTION	667.30CR	.00	
09/86			SHEARSON DAILY DIVIDEND INC DIV REINVESTED $237.16	DIVIDEND	237.16CR	.00	
12/86	150		UPJOHN CO STK SPLIT ON 150 SHS REC 04/07/86 PAY 05/01/86	DISTRIBUTN		.00	
21/86			INTEREST ON CREDIT BALANCE AT 6.033% 04/21 THRU 05/20	INT-EARNED	1.43CR	1.43CR	
22/86	300		W R GRACE & CO AN EMPLOYEE OF THIS FIRM IS A DIRECTOR OF THIS ISSUE	58 1/8	17,731.50	17,730.07	
22/86			SHEARSON DAILY DIVIDEND INC	REDEMPTION	17,730.07CR	.00	
27/86		200	MCI COMMUNICATIONS CORP TRD PRICE TO NASDAQ: 9 5/8 PRICE INCLDS MARK-DWN: 1/8 SAXP IS A MARKET MAKER	9 1/2	1,900.00CR	1,900.00CR	
			**** CLOSING BALANCES ****			1,900.00CR	

PORTFOLIO ANALYSIS

ANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	IND. INCOME % YLD	S&P RTG	IND EARNINGS PER SHR	IND EARNINGS P/E
400	AMERICAN GENERAL CORP	40.25	16,100	40	4.8	1.12	448.00	2.7	A	3.30	12
300	BRISTOL MYERS CO	80.12	24,037	22	7.1	2.20	660.00	2.7	A+	4.01	20
100	CAPITAL CITIES/ABC INC	243.00	24,300	14	7.2	.20	20.00	.0	A	8.86	27
[illegible]	WALT DISNEY COMPANY	47.00	37,600	43	11.1	.32	256.00	.6	B	1.43	33

Shearson Lehman Brothers Inc
Foster & Marshall Inc
The Robinson-Humphrey Company Inc

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Client Statement

EDWARD GEORGE RAHALL TRUSTEE   685 08087 15 240   04/28/86 TO 05/31/86   PAGE 3

PORTFOLIO ANALYSIS (CONT)

ANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	IND. INCOME % YLD	S&P RTG	IND EARNINGS PER SHR	IND EARNINGS P/E
300	W R GRACE & CO	58.62	17,587	16	5.2	2.80	840.00	4.7	B+	2.07	28
200	INTL BUSINESS MACHINES CORP	152.37	30,475	56	9.0	4.40	880.00	2.8	A+	10.71	14
300	JOHNSON & JOHNSON	68.37	20,512	22	6.1	1.40	420.00	2.0	A+	1.67	41
600	NORSTAR BANCORP INC	31.50	18,900	12	5.6	1.28	768.00	4.0	A	2.65	12
200	QUAKER OATS CO	77.37	15,475	30	4.6	1.40	280.00	1.8	A+	4.17	19
300	RALSTON PURINA CO	70.00	21,000	30	6.2	1.10	330.00	1.5	A+	4.50	16
200	WTS SCI HOLDINGS INC EXP 12-5-95	3.75	750	99	.2	.00	.00	.0		.00	
600	TYCO LABORATORIES INC	35.50	21,300	26	6.3	.40	240.00	1.1	A-	1.79	20
300	UPJOHN CO	99.12	29,737	22	8.8	1.52	456.00	1.5	A+	3.46	29
600	WAL-MART STORES INC	47.87	28,725	52	8.5	.17	102.00	.3	A+	1.24	39
	*** FUND POSITIONS ***										
30,801	SHEARSON DAILY DIVIDEND INC. INCLUDES ACCRUED DIVIDENDS FROM 04/12/86 THRU 05/09/86 AVG YIELD FOR PERIOD 6.49%	1.00	30,801	SA	9.1	.00	.00	.0		.00	
	TOTALS		337,301		100.0		5700.00				

INDUSTRY CLASSIFICATION

INDUSTRY	PORT %	VALUE	CODE	INDUSTRY	PORT %	VALUE
BANKS	5.6	18,900	40	INSURANCE	4.8	16,100
BROADCASTING & TV	7.2	24,300	43	LEISURE TIME	11.1	37,600
CHEMICALS	5.2	17,587	52	MERCHANDISING	8.5	28,725
DRUG & COSMETICS	22.0	74,287	56	OFFICE EQUIPMENT	9.0	30,475
ELECTRONICS	6.3	21,300	99	UNCLASSIFIED ISSUES	.2	750
FOOD & BEVERAGE	10.8	36,475	SA	MONEY FUNDS	9.1	30,801

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Client Statement

685 08087 15 240

06/01/86 TO 06/29/86 PAGE 1

CONGRESSMAN NICK J. RAHALL II
THE CANNON BUILDING
WASHINGTON, DC 20515

PURSUANT TO YOUR INSTRUCTIONS THE FOLLOWING HAVE ALSO RECEIVED COPIES OF THIS STATEMENT:

1. GERALD T. ROLFE
2. MANAGER'S COPY
3. KELLY T. BLANKENSHIP, CPA
4. CONGRESSMAN NICK J. RAHALL II

IT	685 08087 15 240	INVESTMENT CENTER SHEARSON LEHMAN BROTHERS INC.
	236-78-8645	701 MAIN STREET SUITE 100
ICIAL ILTANT	JAMES A JOSEPH 717-421-3100	STROUDSBURG PA 18360

PORTFOLIO INCOME SUMMARY

	CURRENT MONTH	YEAR TO DATE
CASH FUND DIVIDEND	.00	.00
MUNI FUND DIVIDEND	.00	.00
GOVT FUND DIVIDEND	.00	.00
'DENDS	519.50	2,372.00
ABLE INTEREST	2.45	49.74
-TAXABLE INTEREST	.00	.00
R INCOME	.00	.00
TOTAL	521.95	2,421.74

NTH'S AVERAGE BORROWING RATE: 8.75% TO 10.25%.

MONTHLY ACTIVITY SUMMARY

	DEBIT	CREDIT
COMBINED OPENING BALANCE		1,900.00
PORTFOLIO PURCHASES	25,080.80	
PORTFOLIO SALES		22,661.30
PORTFOLIO INCOME		521.95
CHECKS/ADVANCES	.00	
GOLD CARD CHARGES PAID	.00	
INTEREST CHARGED	.00	
CASH RECEIVED	.00	.00
CASH WITHDRAWN	.00	.00
OVERDRAFT LOAN ACTIVITY		.00
OTHER ACTIVITY		.00
COMBINED CLOSING BALANCE		2.45

MARKET VALUE	349,903	SHORT BALANCE	.00
EQUITY	349,905	YTD INT CHARGED	.00
BUYING POWER	0	OVERDRAFT LOAN	.00
CASH AVAILABLE	0	AVG DAILY BAL	.00
LTD PTNRSHIPS*	0		

* NOT INCLUDED IN EQUITY OR MARKET VALUE.

FOR ACCOUNT OF:

EDWARD GEORGE RAHALL TRUSTEE
U/A/D 12/10/82 FBO
N JOE RAHALL II
216 MAIN STREET
BECKLEY WEST VIRGINIA 25801

Shearson Lehman Brothers Inc.
Foster & Marshall Inc.
The Robinson-Humphrey Company, Inc

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Client Statement

EDWARD GEORGE RAHALL TRUSTEE   685 08087 15 240   06/01/86 TO 06/29/86   PAGE 2

TRANSACTION ANALYSIS

ATE	QUANTITY BOT/RECD	QUANTITY SOLD/DEL	DESCRIPTION	ENTRY/ PRICE	AMOUNT	BALANCES CASH	BALANCES FUND
			**** OPENING BALANCES ****			1,900.00CR	
/02/86			SHEARSON DAILY DIVIDEND INC	INVESTMENT	1,900.00	.00	
/02/86			AMERICAN GENERAL CORP CASH DIV ON 400 SHS REC 05/15/86 PAY 06/01/86	DIV-RECD.	112.00CR	112.00CR	
6/06/86			RALSTON PURINA CO CASH DIV ON 300 SHS REC 05/01/86 PAY 06/06/86	DIV-RECD.	82.50CR	194.50CR	
6/09/86	300		ELI LILLY & CO	75 1/2	22,855.80	22,661.30	
6/09/86			SHEARSON DAILY DIVIDEND INC	REDEMPTION	22,661.30CR	.00	
/10/86			INTL BUSINESS MACHINES CORP CASH DIV ON 200 SHS REC 05/14/86 PAY 06/10/86	DIV-RECD.	220.00CR	220.00CR	
/12/86			JOHNSON & JOHNSON CASH DIV ON 300 SHS REC 05/30/86 PAY 06/12/86	DIV-RECD.	105.00CR	325.00CR	
/13/86			SHEARSON DAILY DIVIDEND INC DIV REINVESTED $212.69	DIVIDEND	212.69CR	325.00CR	
/16/86			SHEARSON DAILY DIVIDEND INC	INVESTMENT	325.00	.00	
/23/86			INTEREST ON CREDIT BALANCE AT 6.226% 05/21 THRU 06/22	INT-EARNED	2.45CR	2.45CR	
			**** CLOSING BALANCES ****			2.45CR	

PORTFOLIO ANALYSIS

UANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	IND. INCOME % YLD	S&P RTG	IND EARNINGS PER SHR	IND EARNINGS P/E
400	AMERICAN GENERAL CORP	40.62	16,250	40	4.6	1.12	448.00	2.7	A	3.30	12
300	BRISTOL MYERS CO	87.75	26,325	22	7.5	2.20	660.00	2.5	A+	4.01	22
100	CAPITAL CITIES/ABC INC	258.50	25,850	14	7.4	.20	20.00	.0	A	8.86	29
800	WALT DISNEY COMPANY	54.50	43,600	43	12.5	.32	256.00	.5	B	1.43	38
300	W R GRACE & CO	54.25	16,275	16	4.7	2.80	840.00	5.1	B+	2.07	26
200	INTL BUSINESS MACHINES CORP	147.00	29,400	56	8.4	4.40	880.00	2.9	A+	10.71	14
300	JOHNSON & JOHNSON	72.62	21,787	22	6.2	1.40	420.00	1.9	A+	1.67	43

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Client Statement

EDWARD GEORGE RAHALL TRUSTEE 685 08087 15 240 06/01/86 TO 06/29/86 PAGE 3

PORTFOLIO ANALYSIS (CONT)

UANTITY	DESCRIPTION	PRICE	VALUE	PORT		ANNUAL	IND. INCOME		S&P	IND EARNINGS	
				CD	%	DIV/INT	INCOME	% YLD	RTG	PER SHR	P/E
300	ELI LILLY & CO	79.62	23,887	22	6.8	1.80	540.00	2.2	A+	3.80	21
600	NORSTAR BANCORP INC	29.62	17,775	12	5.1	1.28	768.00	4.3	A	2.65	11
200	QUAKER OATS CO	78.50	15,700	30	4.5	1.40	280.00	1.7	A+	4.17	19
300	RALSTON PURINA CO	72.75	21,825	30	6.2	1.10	330.00	1.5	A+	4.50	16
200	MTS SCI HOLDINGS INC	3.50	700	99	.2	.00	.00	.0		.00	
	EXP 12-5-95										
600	TYCO LABORATORIES INC	35.62	21,375	26	6.1	.40	240.00	1.1	A-	1.79	20
300	UPJOHN CO	91.75	27,525	22	7.9	1.52	456.00	1.6	A+	3.46	27
600	WAL-MART STORES INC	51.75	31,050	52	8.9	.17	102.00	.3	A+	1.24	42
	*** FUND POSITIONS ***										
10,578	SHEARSON DAILY DIVIDEND INC.	1.00	10,578	SA	3.0	.00	.00	.0		.00	
	INCLUDES ACCRUED DIVIDENDS										
	FROM 05/10/86 THRU 06/13/86										
	AVG YIELD FOR PERIOD 6.25%										
	TOTALS		349,903		100.0		6240.00				

INDUSTRY CLASSIFICATION

INDUSTRY	PORT %	VALUE	CODE	INDUSTRY	PORT %	VALUE
BANKS	5.1	17,775	40	INSURANCE	4.6	16,250
BROADCASTING & TV	7.4	25,850	43	LEISURE TIME	12.5	43,600
CHEMICALS	4.7	16,275	52	MERCHANDISING	8.9	31,050
DRUG & COSMETICS	28.4	99,525	56	OFFICE EQUIPMENT	8.4	29,400
ELECTRONICS	6.1	21,375	99	UNCLASSIFIED ISSUES	.2	700
FOOD & BEVERAGE	10.7	37,525	SA	MONEY FUNDS	3.0	10,578

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Client Statement

685 08087 15 240

07/28/86 TO 08/31/86 PAGE 1

KELLY T. BLANKENSHIP, CPA
200 GEORGE STREET
SUITE 5
BECKLEY, WV 25801

PURSUANT TO YOUR INSTRUCTIONS THE FOLLOWING HAVE ALSO RECEIVED COPIES OF THIS STATEMENT:

1. GERALD T. ROLFE
2. MANAGER'S COPY
3. KELLY T. BLANKENSHIP, CPA
4. CONGRESSMAN NICK J. RAHALL II

NT	685 08087 15 240	INVESTMENT CENTER SHEARSON LEHMAN BROTHERS INC. 701 MAIN STREET SUITE 100 STROUDSBURG PA 18360
D	236-78-8645	
NCIAL ULTANT	JAMES A JOSEPH 717-421-3100	

PORTFOLIO INCOME SUMMARY

	CURRENT MONTH	YEAR TO DATE
. CASH FUND DIVIDEND	.00	.00
. MUNI FUND DIVIDEND	.00	.00
. GOVT FUND DIVIDEND	.00	.00
IDENDS	279.00	3,067.50
ABLE INTEREST	12.90	63.07
I-TAXABLE INTEREST	.00	.00
ER INCOME	.00	.00
TOTAL	291.90	3,130.57

ONTH'S AVERAGE BORROWING RATE: 8.50% TO 10.00%.

MONTHLY ACTIVITY SUMMARY

	DEBIT	CREDIT
COMBINED OPENING BALANCE		26,037.86
PORTFOLIO PURCHASES	26,316.86	
PORTFOLIO SALES		.00
PORTFOLIO INCOME		291.90
CHECKS/ADVANCES	.00	
GOLD CARD CHARGES PAID	.00	
INTEREST CHARGED	.00	
CASH RECEIVED	.00	.00
CASH WITHDRAWN	.00	.00
OVERDRAFT LOAN ACTIVITY		.00
OTHER ACTIVITY		.00
COMBINED CLOSING BALANCE		12.90

MARKET VALUE	332,984	SHORT BALANCE	.00
EQUITY	332,997	YTD INT CHARGED	.00
BUYING POWER	0	OVERDRAFT LOAN	.00
CASH AVAILABLE	0	AVG DAILY BAL	.00
LTD PTNRSHIPS*	0		

* NOT INCLUDED IN EQUITY OR MARKET VALUE.

FOR ACCOUNT OF:

EDWARD GEORGE RAHALL TRUSTEE
U/A/D 12/10/82 FBO
N JOE RAHALL II
216 MAIN STREET
BECKLEY WEST VIRGINIA 25801

Shearson Lehman Brothers Inc
Foster & Marshall Inc

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Client Statement

EDWARD GEORGE RAHALL TRUSTEE 685 08087 15 240 07/28/86 TO 08/31/86 PAGE 2

TRANSACTION ANALYSIS

DATE	QUANTITY BOT/RECD	QUANTITY SOLD/DEL	DESCRIPTION	ENTRY/ PRICE	AMOUNT	BALANCES CASH	BALANCES FUND
			**** OPENING BALANCES ****			26,037.86CR	
7/28/86			SHEARSON DAILY DIVIDEND INC	INVESTMENT	26,037.86	.00	
8/01/86			BRISTOL MYERS CO CASH DIV ON 300 SHS REC 07/03/86 PAY 08/01/86	DIV-RECD.	165.00CR	165.00CR	
08/01/86			UPJOHN CO CASH DIV ON 300 SHS REC 07/07/86 PAY 08/01/86	DIV-RECD.	114.00CR	279.00CR	
8/08/86			SHEARSON DAILY DIVIDEND INC DIV REINVESTED $96.40	DIVIDEND	96.40CR	279.00CR	
8/11/86			SHEARSON DAILY DIVIDEND INC	INVESTMENT	279.00	.00	
8/21/86			INTEREST ON CREDIT BALANCE AT 5.712% 07/21 THRU 08/20	INT-EARNED	12.90CR	12.90CR	
			**** CLOSING BALANCES ****			12.90CR	

PORTFOLIO ANALYSIS

QUANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	IND. INCOME % YLD	S&P RTG	IND EARNINGS PER SHR	IND EARNINGS P/E
400	AMERICAN GENERAL CORP	42.25	16,900	40	5.1	1.12	448.00	2.6	A	3.36	13
300	BRISTOL MYERS CO	78.00	23,400	22	7.0	2.20	660.00	2.8	A+	3.96	20
100	CAPITAL CITIES/ABC INC	268.00	26,800	14	8.0	.20	20.00	.0	A	9.86	27
800	WALT DISNEY COMPANY	45.62	36,500	43	11.0	.32	256.00	.7	B	1.63	28
300	W R GRACE & CO	50.75	15,225	16	4.6	2.80	840.00	5.5	B+	3.31	15
300	JOHNSON & JOHNSON	73.87	22,162	22	6.7	1.40	420.00	1.8	A+	1.86	40
300	ELI LILLY & CO	78.00	23,400	22	7.0	1.80	540.00	2.3	A+	3.91	20
600	NORSTAR BANCORP INC	29.50	17,700	12	5.3	1.28	768.00	4.3	A	2.69	11
200	QUAKER OATS CO	81.12	16,225	30	4.9	1.40	280.00	1.7	A+	4.49	18
300	RALSTON PURINA CO	73.87	22,162	30	6.7	1.10	330.00	1.4	A+	4.61	16
600	TYCO LABORATORIES INC	35.00	21,000	26	6.3	.40	240.00	1.1	A-	2.03	17
300	UPJOHN CO	86.50	25,950	22	7.8	1.52	456.00	1.7	A+	3.62	24
600	WAL-MART STORES INC	47.00	28,200	52	8.5	.17	102.00	.3	A+	1.32	36
	*** UNPRICED SECURITIES ***										
200	WTS SCI HOLDINGS INC EXP 12-5-95					.00	.00	.0		.00	

SHEARSON
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Client Statement

685 08087 15 240

09/01/86 TO 09/28/86 PAGE 1

PURSUANT TO YOUR INSTRUCTIONS THE FOLLOWING HAVE ALSO RECEIVED COPIES OF THIS STATEMENT:

1. GERALD T. ROLFE
2. MANAGER'S COPY
3. KELLY T. BLANKENSHIP, CPA
4. CONGRESSMAN NICK J. RAHALL II

KELLY T. BLANKENSHIP, CPA
200 GEORGE STREET
SUITE 5
BECKLEY, WV 25801

r	685 08087 15 240	INVESTMENT CENTER SHEARSON LEHMAN BROTHERS INC. 701 MAIN STREET SUITE 100 STROUDSBURG PA 18360
	236-78-8645	
IAL TANT	JAMES A JOSEPH 717-421-3100	

PORTFOLIO INCOME SUMMARY

	CURRENT MONTH	YEAR TO DATE
CASH FUND DIVIDEND	.00	.00
MUNI FUND DIVIDEND	.00	.00
GOVT FUND DIVIDEND	.00	.00
DENDS	644.50	3,712.00
BLE INTEREST	4.02	67.09
TAXABLE INTEREST	.00	.00
R INCOME	.00	.00
TOTAL	648.52	3,779.09

NTH'S AVERAGE BORROWING RATE: 8.00% TO 9.50%.

MONTHLY ACTIVITY SUMMARY

	DEBIT	CREDIT
COMBINED OPENING BALANCE		12.90
PORTFOLIO PURCHASES	21,387.16	
PORTFOLIO SALES		20,729.76
PORTFOLIO INCOME		648.52
CHECKS/ADVANCES	.00	
GOLD CARD CHARGES PAID	.00	
INTEREST CHARGED	.00	
CASH RECEIVED	.00	.00
CASH WITHDRAWN	.00	.00
OVERDRAFT LOAN ACTIVITY		.00
OTHER ACTIVITY		.00
COMBINED CLOSING BALANCE		4.02

MARKET VALUE	305,038	SHORT BALANCE	.00
EQUITY	305,042	YTD INT CHARGED	.00
BUYING POWER	0	OVERDRAFT LOAN	.00
CASH AVAILABLE	0	AVG DAILY BAL	.00
LTD PTNRSHIPS*	0		

* NOT INCLUDED IN EQUITY OR MARKET VALUE.

FOR ACCOUNT OF:

EDWARD GEORGE RAHALL TRUSTEE
U/A/D 12/10/82 FBO
N JOE RAHALL II
216 MAIN STREET
BECKLEY WEST VIRGINIA 25801

Shearson Lehman Brothers Inc.
Foster & Marshall Inc.
The Robinson-Humphrey Company, Inc

SHEARSON
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Client Statement

EDWARD GEORGE RAHALL TRUSTEE   685 08087 15 240   09/01/86 TO 09/28/86   PAGE 2

TRANSACTION ANALYSIS

A T E	QUANTITY BOT/RECD	QUANTITY SOLD/DEL	DESCRIPTION	ENTRY/ PRICE	AMOUNT	BALANCES CASH	BALANCES FUND
			**** OPENING BALANCES ****			12.90CR	
/02/86			AMERICAN GENERAL CORP CASH DIV ON 400 SHS REC 08/11/86 PAY 09/01/86	DIV-RECD.	112.00CR	124.90CR	
/05/86			RALSTON PURINA CO CASH DIV ON 300 SHS REC 08/01/86 PAY 09/05/86	DIV-RECD.	82.50CR	207.40CR	
/10/86			W R GRACE & CO CASH DIV ON 300 SHS REC 08/01/86 PAY 09/10/86	DIV-RECD.	210.00CR	417.40CR	
/10/86			ELI LILLY & CO CASH DIV ON 300 SHS REC 08/15/86 PAY 09/10/86	DIV-RECD.	135.00CR	552.40CR	
/11/86			JOHNSON & JOHNSON CASH DIV ON 300 SHS REC 08/22/86 PAY 09/11/86	DIV-RECD.	105.00CR	657.40CR	
/12/86			SHEARSON DAILY DIVIDEND INC DIV REINVESTED $203.43	DIVIDEND	203.43CR	657.40CR	
/17/86		600	TYCO LABORATORIES INC	35	20,729.76CR	21,387.16CR	
/18/86			SHEARSON DAILY DIVIDEND INC	INVESTMENT	21,387.16	.00	
/22/86			INTEREST ON CREDIT BALANCE AT 5.270% 08/21 THRU 09/21	INT-EARNED	4.02CR	4.02CR	
			**** CLOSING BALANCES ****			4.02CR	

PORTFOLIO ANALYSIS

UANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	IND. INCOME % YLD	S&P RTG	IND EARNINGS PER SHR	IND EARNINGS P/E
400	AMERICAN GENERAL CORP	39.12	15,650	40	5.1	1.12	448.00	2.8	A	3.36	12
300	BRISTOL MYERS CO	71.87	21,562	22	7.1	2.20	660.00	3.0	A+	3.96	18
100	CAPITAL CITIES/ABC INC	252.25	25,225	14	8.3	.20	20.00	.0	A	9.86	26
800	WALT DISNEY COMPANY	39.37	31,500	43	10.3	.32	256.00	.8	B	1.63	24
300	W R GRACE & CO	46.00	13,800	16	4.5	2.80	840.00	6.0	B+	3.31	14
300	JOHNSON & JOHNSON	62.87	18,862	22	6.2	1.40	420.00	2.2	A+	1.86	34

Shearson Lehman Brothers Inc
Foster & Marshall Inc
The Robinson-Humphrey Company, Inc

SHEARSON
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Client Statement

EDWARD GEORGE RAHALL TRUSTEE   685 08087 15 240   09/01/86 TO 09/28/86   PAGE 3

PORTFOLIO ANALYSIS (CONT)

IANTITY	DESCRIPTION	PRICE	VALUE	PORT		ANNUAL	IND. INCOME		S&P	IND EARNINGS	
				CD	%	DIV/INT	INCOME	% YLD	RTG	PER SHR	P/E
300	ELI LILLY & CO	66.37	19,912	22	6.5	1.80	540.00	2.7	A+	3.91	17
600	NORSTAR BANCORP INC	25.50	15,300	12	5.0	1.28	768.00	5.0	A	2.69	9
200	QUAKER OATS CO	73.00	14,600	30	4.8	1.60	320.00	2.1	A+	4.49	16
300	RALSTON PURINA CO	63.12	18,937	30	6.2	1.10	330.00	1.7	A+	4.61	14
300	UPJOHN CO	85.62	25,687	22	8.4	1.52	456.00	1.7	A+	3.62	24
600	WAL-MART STORES INC	41.75	25,050	52	8.2	.17	102.00	.4	A+	1.32	32
	*** UNPRICED SECURITIES ***										
200	MTS SCI HOLDINGS INC EXP 12-5-95					.00	.00	.0		.00	
	*** FUND POSITIONS ***										
58,950	SHEARSON DAILY DIVIDEND INC. INCLUDES ACCRUED DIVIDENDS FROM 08/09/86 THRU 09/12/86 AVG YIELD FOR PERIOD 5.68%	1.00	58,950	SA	19.3	.00	.00	.0		.00	
	TOTALS		305,038		100.0		5160.00				

UNPRICED SECURITIES ARE NOT INCLUDED IN TOTAL MKT VALUE

INDUSTRY CLASSIFICATION

INDUSTRY	PORT %	VALUE	CODE	INDUSTRY	PORT %	VALUE
BANKS	5.0	15,300	40	INSURANCE	5.1	15,650
BROADCASTING & TV	8.3	25,225	43	LEISURE TIME	10.3	31,500
CHEMICALS	4.5	13,800	52	MERCHANDISING	8.2	25,050
DRUG & COSMETICS	28.2	86,025	SA	MONEY FUNDS	19.3	58,950
FOOD & BEVERAGE	11.0	33,537				

Shearson Lehman Brothers Inc
Foster & Marshall Inc
The Robinson-Humphrey Company, Inc

2418

SHEARSON
MAN BROTHERS

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Client Statement

685 08087 15 240

09/29/86 TO 10/31/86 PAGE 1

KELLY T. BLANKENSHIP, CPA
200 GEORGE STREET
SUITE 5
BECKLEY, WV 25801

PURSUANT TO YOUR INSTRUCTIONS THE FOLLOWING HAVE ALSO RECEIVED COPIES OF THIS STATEMENT:

1. GERALD T. ROLFE
2. MANAGER'S COPY
3. KELLY T. BLANKENSHIP, CPA
4. CONGRESSMAN NICK J. RAHALL II

NT	685 08087 15 240	INVESTMENT CENTER SHEARSON LEHMAN BROTHERS INC. 701 MAIN STREET SUITE 100 STROUDSBURG PA 18360
	236-78-8645	
NCIAL ULTANT	JAMES A JOSEPH 717-421-3100	

PORTFOLIO INCOME SUMMARY

	CURRENT MONTH	YEAR TO DATE
CASH FUND DIVIDEND	.00	.00
MUNI FUND DIVIDEND	.00	.00
GOVT FUND DIVIDEND	.00	.00
IDENDS	426.50	4,138.50
ABLE INTEREST	.37	67.46
-TAXABLE INTEREST	.00	.00
ER INCOME	.00	.00
TOTAL	426.87	4,205.96

ONTH'S AVERAGE BORROWING RATE: 7.75% TO 9.25%.

MONTHLY ACTIVITY SUMMARY

	DEBIT	CREDIT
COMBINED OPENING BALANCE		4.02
PORTFOLIO PURCHASES	345.52	
PORTFOLIO SALES		.00
PORTFOLIO INCOME		426.87
CHECKS/ADVANCES	.00	
GOLD CARD CHARGES PAID	.00	
INTEREST CHARGED	.00	
CASH RECEIVED	.00	.00
CASH WITHDRAWN	.00	.00
OVERDRAFT LOAN ACTIVITY		.00
OTHER ACTIVITY		.00
COMBINED CLOSING BALANCE		85.37

MARKET VALUE	330,482	SHORT BALANCE	.00
EQUITY	330,567	YTD INT CHARGED	.00
BUYING POWER	0	OVERDRAFT LOAN	.00
CASH AVAILABLE	0	AVG DAILY BAL	.00
LTD PTNRSHIPS*	0		

* NOT INCLUDED IN EQUITY OR MARKET VALUE.

FOR ACCOUNT OF

EDWARD GEORGE RAHALL TRUSTEE
U/A/D 12/10/82 FBO
N JOE RAHALL II
216 MAIN STREET
BECKLEY WEST VIRGINIA 25801

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Client Statement

EDWARD GEORGE RAHALL TRUSTEE   685 08087 15 240   09/29/86 TO 10/31/86   PAGE 2

TRANSACTION ANALYSIS

DATE	QUANTITY BOT/RECD	QUANTITY SOLD/DEL	DESCRIPTION	ENTRY/ PRICE	AMOUNT	BALANCES CASH	BALANCES FUND
			**** OPENING BALANCES ****			4.02CR	
9/29/86			TYCO LABORATORIES INC CASH DIV ON 600 SHS REC 08/29/86 PAY 09/29/86	DIV-RECD.	60.00CR	64.02CR	
0/01/86			NORSTAR BANCORP INC CASH DIV ON 600 SHS REC 09/08/86 PAY 10/01/86	DIV-RECD.	192.00CR	256.02CR	
10/03/86			WAL-MART STORES INC CASH DIV ON 600 SHS REC 09/02/86 PAY 10/03/86	DIV-RECD.	25.50CR	281.52CR	
0/06/86			WALT DISNEY COMPANY CASH DIV ON 800 SHS REC 09/19/86 PAY 10/06/86	DIV-RECD.	64.00CR	345.52CR	
.0/08/86			SHEARSON DAILY DIVIDEND INC	INVESTMENT	345.52	.00	
.0/10/86			SHEARSON DAILY DIVIDEND INC DIV REINVESTED $223.49	DIVIDEND	223.49CR	.00	
0/16/86			QUAKER OATS CO CASH DIV ON 200 SHS REC 09/19/86 PAY 10/15/86	DIV-RECD.	80.00CR	80.00CR	
0/21/86			INTEREST ON CREDIT BALANCE AT 5.162% 09/22 THRU 10/20	INT-EARNED	.37CR	80.37CR	
10/27/86			CAPITAL CITIES/ABC INC CASH DIV ON 100 SHS REC 10/06/86 PAY 10/27/86	DIV-RECD.	5.00CR	85.37CR	
			**** CLOSING BALANCES ****			85.37CR	

PORTFOLIO ANALYSIS

QUANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	IND. INCOME % YLD	S&P RTG	IND EARNINGS PER SHR	IND EARNINGS P/E
400	AMERICAN GENERAL CORP	38.50	15,400	40	4.7	1.12	448.00	2.9	A	3.64	11
300	BRISTOL MYERS CO	78.62	23,587	22	7.1	2.20	660.00	2.7	A+	4.16	19
100	CAPITAL CITIES/ABC INC.	266.50	26,650	14	8.1	.20	20.00	.0	A	9.32	2

Shearson Lehman Brothers Inc
Foster & Marshall Inc
The Robinson-Humphrey Company Inc

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EDWARD GEORGE RAHALL TRUSTEE   685 08087 15 240   09/29/86 TO 10/31/86   PAGE 3

P O R T F O L I O   A N A L Y S I S (CONT)

QUANTITY	DESCRIPTION	PRICE	VALUE	PORT		ANNUAL	IND. INCOME		S&P	IND EARNINGS	
				CD	%	DIV/INT	INCOME	% YLD	RTG	PER SHR	P/E
800	WALT DISNEY COMPANY	43.87	35,100	43	10.6	.32	256.00	.7	B	1.63	27
300	W R GRACE & CO	57.00	17,100	16	5.2	2.80	840.00	4.9	B+	2.96	19
300	JOHNSON & JOHNSON	69.75	20,925	22	6.3	1.40	420.00	2.0	A+	1.86	38
300	ELI LILLY & CO	75.00	22,500	22	6.8	1.80	540.00	2.4	A+	4.00	19
600	NORSTAR BANCORP INC	27.12	16,275	12	4.9	1.28	768.00	4.7	A	2.77	10
200	QUAKER OATS CO	80.50	16,100	30	4.9	1.60	320.00	1.9	A+	4.66	17
300	RALSTON PURINA CO	71.87	21,562	30	6.5	1.10	330.00	1.5	A+	4.61	16
300	UPJOHN CO	96.12	28,837	22	8.7	1.52	456.00	1.5	A+	3.85	25
600	WAL-MART STORES INC	44.87	26,925	52	8.1	.17	102.00	.3	A+	1.32	34
	*** UNPRICED SECURITIES ***										
200	WTS SCI HOLDINGS INC					.00	.00	.0		.00	
	EXP 12-5-95										
	*** FUND POSITIONS ***										
59,519	SHEARSON DAILY DIVIDEND INC.	1.00	59,519	SA	18.0	.00	.00	.0		.00	
	INCLUDES ACCRUED DIVIDENDS										
	FROM 09/13/86 THRU 10/10/86										
	AVG YIELD FOR PERIOD 5.36%										
	TOTALS		330,482		100.0		5160.00				

UNPRICED SECURITIES ARE NOT INCLUDED IN TOTAL MKT VALUE

I N D U S T R Y   C L A S S I F I C A T I O N

INDUSTRY	PORT %	VALUE	CODE	INDUSTRY	PORT %	VALUE
BANKS	4.9	16,275	40	INSURANCE	4.7	15,400
BROADCASTING & TV	8.1	26,650	43	LEISURE TIME	10.6	35,100
CHEMICALS	5.2	17,100	52	MERCHANDISING	8.1	26,925
DRUG & COSMETICS	29.0	95,850	SA	MONEY FUNDS	18.0	59,519
FOOD & BEVERAGE	11.4	37,662				

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Client Statement

685 08087 15 240

11/01/86 TO 11/30/86 PAGE 1

KELLY T. BLANKENSHIP, CPA
200 GEORGE STREET
SUITE 5
BECKLEY, WV 25801

PURSUANT TO YOUR INSTRUCTIONS THE FOLLOWING HAVE ALSO RECEIVED COPIES OF THIS STATEMENT:

1. GERALD T. ROLFE
2. MANAGER'S COPY
3. KELLY T. BLANKENSHIP, CPA
4. CONGRESSMAN NICK J. RAHALL II

T	685 08087 15 240	INVESTMENT CENTER SHEARSON LEHMAN BROTHERS INC. 701 MAIN STREET SUITE 100 STROUDSBURG PA 18360
	236-78-8645	
CIAL LTANT	JAMES A JOSEPH 717-421-3100	

PORTFOLIO INCOME SUMMARY

	CURRENT MONTH	YEAR TO DATE
CASH FUND DIVIDEND	.00	.00
MUNI FUND DIVIDEND	.00	.00
GOVT FUND DIVIDEND	.00	.00
DENDS	279.00	4,417.50
BLE INTEREST	17.80	85.26
TAXABLE INTEREST	00	.00
R INCOME	.00	.00
TOTAL	296.80	4,502.76

NTH'S AVERAGE BORROWING RATE: 7.75% TO 9.25%.

MONTHLY ACTIVITY SUMMARY

	DEBIT	CREDIT
COMBINED OPENING BALANCE		85.37
PORTFOLIO PURCHASES	54,013.08	
PORTFOLIO SALES		53,648.71
PORTFOLIO INCOME		296.80
CHECKS/ADVANCES	.00	
GOLD CARD CHARGES PAID	.00	
INTEREST CHARGED	.00	
CASH RECEIVED	.00	.00
CASH WITHDRAWN	.00	.00
OVERDRAFT LOAN ACTIVITY		.00
OTHER ACTIVITY		.00
COMBINED CLOSING BALANCE		17.80

MARKET VALUE	349,154	SHORT BALANCE	.00
EQUITY	349,172	YTD INT CHARGED	.00
BUYING POWER	0	OVERDRAFT LOAN	.00
CASH AVAILABLE	0	AVG DAILY BAL	.00
LTD PTNRSHIPS*	0		

* NOT INCLUDED IN EQUITY OR MARKET VALUE.

FOR ACCOUNT OF

EDWARD GEORGE RAHALL TRUSTEE
U/A/D 12/10/82 FBO
N JOE RAHALL II
216 MAIN STREET
BECKLEY WEST VIRGINIA 25801

SHEARSON
MAN BROTHERS
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Client Statement

EDWARD GEORGE RAHALL TRUSTEE   685 08087 15 240   . 11/01/86 TO 11/30/86   PAGE 2

TRANSACTION ANALYSIS

ATE	QUANTITY BOT/RECD	QUANTITY SOLD/DEL	DESCRIPTION	ENTRY/ PRICE	AMOUNT	BALANCES CASH	BALANCES FUND
			**** OPENING BALANCES ****			85.37CR	
/03/86			BRISTOL MYERS CO CASH DIV ON 300 SHS REC 10/03/86 PAY 11/01/86	DIV-RECD.	165.00CR	250.37CR	
/03/86			UPJOHN CO CASH DIV ON 300 SHS REC 10/06/86 PAY 11/03/86	DIV-RECD.	114.00CR	364.37CR	
1/04/86			SHEARSON DAILY DIVIDEND INC	REDEMPTION	20,856.31CR	21,220.68CR	
1/05/86	1000		COMMERCIAL CREDIT CO AS OF 10/29/86	20 7983	21,220.68	.00	
/10/86		300	W R GRACE & CO AN EMPLOYEE OF THIS FIRM IS A DIRECTOR OF THIS ISSUE	56 3/4	16,818.63CR	16,818.63CR	
/10/86		600	NORSTAR BANCORP INC ORDER RECEIVED	27	15,973.77CR	32,792.40CR	
/13/86			SHEARSON DAILY DIVIDEND INC	INVESTMENT	32,792.40	.00	
/14/86			SHEARSON DAILY DIVIDEND INC DIV REINVESTED $273.86	DIVIDEND	273.86CR	.00	
/18/86	100		DART DRUG CORP CLASS A LOA 6850335-68508087	ADJUSTMENT		.00	
/21/86			INTEREST ON CREDIT BALANCE AT 5.296% 10/21 THRU 11/20	INT-EARNED	17.80CR	17.80CR	
			**** CLOSING BALANCES ****			17.80CR	

PORTFOLIO ANALYSIS

UANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	IND. INCOME % YLD	S&P RTG	IND EARNINGS PER SHR	IND EARNINGS P/E
400	AMERICAN GENERAL CORP	38.25	15,300	40	4.4	1.12	448.00	2.9	A	3.64	11
300	BRISTOL MYERS CO	78.12	23,437	22	6.7	2.20	660.00	2.8	A+	4.16	19
100	CAPITAL CITIES/ABC INC	275.00	27,500	14	7.9	.20	20.00	.0	A	9.32	30
1,000	COMMERCIAL CREDIT CO	20.75	20,750	99	5.9	.06	60.00	.2		1.37	15
100	DART DRUG CORP CLASS A	159.50	15,950	52	4.6	.13	13.50	.0		1.18	39
800	WALT DISNEY COMPANY	43.12	34,500	43	9.9	.32	256.00	.7	B	1.82	24

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Client Statement

EDWARD GEORGE RAHALL TRUSTEE 685 08087 15 240 11/01/86 TO 11/30/86 PAGE 3

PORTFOLIO ANALYSIS (CONT)

UANTITY	DESCRIPTION	PRICE	VALUE	PORT		ANNUAL	IND. INCOME		S&P	IND EARNINGS	
				CD	%	DIV/INT	INCOME	% YLD	RTG	PER SHR	P/E
300	JOHNSON & JOHNSON	68.50	20,550	22	5.9	1.40	420.00	2.0	A+	2.00	34
300	ELI LILLY & CO	75.12	22,537	22	6.5	1.80	540.00	2.3	A+	4.00	19
200	QUAKER OATS CO	82.50	16,500	30	4.7	1.60	320.00	1.9		4.66	18
300	RALSTON PURINA CO	73.75	22,125	30	6.3	1.10	330.00	1.4	A+	5.37	14
300	UPJOHN CO	99.00	29,700	22	8.5	1.52	456.00	1.5	A+	3.85	26
600	WAL-MART STORES INC	47.62	28,575	52	8.2	.17	102.00	.3	A+	1.41	34
	*** UNPRICED SECURITIES ***										
200	MTS SCI HOLDINGS INC EXP 12-5-95					.00	.00	.0		.00	
	*** FUND POSITIONS ***										
71,729	SHEARSON DAILY DIVIDEND INC. INCLUDES ACCRUED DIVIDENDS FROM 10/11/86 THRU 11/14/86 AVG YIELD FOR PERIOD 5.24%	1.00	71,729	SA	20.5	.00	.00	.0		.00	
	TOTALS		349,154		100.0		3625.30				

US WITH UP-TO-THE-MINUTE INFORMAT UNPRICED SECURITIES ARE NOT INCLUDED IN TOTAL MARKET VALUE.
BOND PRICES MAY DIFFER FROM CURRENT MARKET QUOTES. COMPUTERIZED PRICING SERVICES ARE OFTEN UNABLE TO SUPPLY US WITH UP-TO-THE-MINUTE INFORMATION. PRICES ARE PROVIDED ONLY AS A GENERAL GUIDE TO PORTFOLIO VALUE.

INDUSTRY CLASSIFICATION

INDUSTRY	PORT %	VALUE	CODE	INDUSTRY	PORT %	VALUE
BROADCASTING & TV	7.9	27,500	43	LEISURE TIME	9.9	34,500
DRUG & COSMETICS	27.6	96,225	52	MERCHANDISING	12.8	44,525
FOOD & BEVERAGE	11.1	38,625	99	UNCLASSIFIED ISSUES	5.9	20,750
INSURANCE	4.4	15,300	SA	MONEY FUNDS	20.5	71,729

SHEARSON
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Client Statement

685 08087 15 240

12/01/86 TO 12/31/86 PAGE 1

CONGRESSMAN NICK J. RAHALL II
THE CANNON BUILDING
WASHINGTON, DC 20515

PURSUANT TO YOUR INSTRUCTIONS THE FOLLOWING HAVE ALSO RECEIVED COPIES OF THIS STATEMENT:

1. GERALD T. ROLFE
2. MANAGER'S COPY
3. KELLY T. BLANKENSHIP, CPA
4. CONGRESSMAN NICK J. RAHALL II

IT	685 08087 15 240	INVESTMENT CENTER SHEARSON LEHMAN BROTHERS INC.
	236-78-8645	701 MAIN STREET SUITE 100
CIAL LTANT	JAMES A JOSEPH 717-421-3100	STROUDSBURG PA 18360

MONTHLY ACTIVITY SUMMARY

	DEBIT	CREDIT
COMBINED OPENING BALANCE		17.80
PORTFOLIO PURCHASES	80,767.56	
PORTFOLIO SALES		81,186.59
PORTFOLIO INCOME		708.52
CHECKS/ADVANCES	.00	
GOLD CARD CHARGES PAID	.00	
INTEREST CHARGED	.00	
CASH RECEIVED	.00	.00
CASH WITHDRAWN	.00	.00
OVERDRAFT LOAN ACTIVITY		.00
OTHER ACTIVITY		.00
COMBINED CLOSING BALANCE		1,145.35

MARKET VALUE	342,015	SHORT BALANCE	.00
EQUITY	343,160	YTD INT CHARGED	.00
BUYING POWER	0	OVERDRAFT LOAN	.00
CASH AVAILABLE	0	AVG DAILY BAL	.00
LTD PTNRSHIPS*	0		

* NOT INCLUDED IN EQUITY OR MARKET VALUE.

PORTFOLIO INCOME SUMMARY

	CURRENT MONTH	YEAR TO DATE
CASH FUND DIVIDEND	.00	.00
MUNI FUND DIVIDEND	.00	.00
GOVT FUND DIVIDEND	.00	.00
IDENDS	707.83	5,125.33
BLE INTEREST	.69	85.95
-TAXABLE INTEREST	.00	.00
R INCOME	.00	.00
TOTAL	708.52	5,211.28

NTH'S AVERAGE BORROWING RATE: 9.75% TO 11.25%.

FOR ACCOUNT OF:

EDWARD GEORGE RAHALL TRUSTEE
U/A/D 12/10/82 FBO
N JOE RAHALL II
216 MAIN STREET
BECKLEY WEST VIRGINIA 25801

Shearson Lehman Brothers Inc.
Foster & Marshall Inc.
The Robinson-Humphrey Company, Inc.

SHEARSON
MAN BROTHERS

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Client Statement

EDWARD GEORGE RAHALL TRUSTEE   685 08087 15 240   12/01/86 TO 12/31/86   PAGE 2

TRANSACTION ANALYSIS

ATE	QUANTITY		DESCRIPTION	ENTRY/ PRICE	AMOUNT	BALANCES	
	BOT/RECD	SOLD/DEL				CASH	FUND
			**** OPENING BALANCES ****			17.80CR	
/01/86			AMERICAN GENERAL CORP CASH DIV ON 400 SHS REC 11/10/86 PAY 12/01/86	DIV-RECD.	112.00CR	129.80CR	
/05/86			RALSTON PURINA CO CASH DIV ON 300 SHS REC 11/03/86 PAY 12/05/86	DIV-RECD.	82.50CR	212.30CR	
2/08/86			SHEARSON DAILY DIVIDEND INC	INVESTMENT	212.30	.00	
2/11/86			W R GRACE & CO CASH DIV ON 300 SHS REC 11/06/86 PAY 12/10/86	DIV-RECD.	210.00CR	210.00CR	
/11/86			JOHNSON & JOHNSON CASH DIV ON 300 SHS REC 11/21/86 PAY 12/11/86	DIV-RECD.	105.00CR	315.00CR	
/11/86			ELI LILLY & CO CASH DIV ON 300 SHS REC 11/14/86 PAY 12/10/86	DIV-RECD.	135.00CR	450.00CR	
/12/86			SHEARSON DAILY DIVIDEND INC DIV REINVESTED $288.86	DIVIDEND	288.86CR	450.00CR	
/17/86	300		RAYTHEON CO ORDER RECEIVED	68 1/2	20,755.80	20,305.80	
/17/86	500		UNITED TECHNOLOGIES CORP ORDER RECEIVED	46 1/4	23,400.06	43,705.86	
/17/86			SHEARSON DAILY DIVIDEND INC	REDEMPTION	43,705.86CR	.00	
/18/86	200		QUAKER OATS CO STK SPLIT ON 200 SHS	DISTRIBUTN		.00	
/19/86			DART DRUG CORP CLASS A CASH DIV ON 100 SHS REC 12/05/86 PAY 12/19/86	DIV-RECD.	3.33CR	3.33CR	
/22/86			INTEREST ON CREDIT BALANCE AT 5.446% 11/21 THRU 12/21	INT-EARNED	.61CR	3.94CR	
/23/86			COMMERCIAL CREDIT CO CASH DIV ON 1000 SHS REC 12/02/86 PAY 12/23/86	DIV-RECD.	60.00CR	63.94CR	
2/31/86	300		CPC INTERNATIONAL INC	80 3/4	24,430.80	24,366.86	

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Client Statement

EDWARD GEORGE RAHALL TRUSTEE 685 08087 15 240 12/01/86 TO 12/31/86 PAGE 3

TRANSACTION ANALYSIS (CONT)

ATE	QUANTITY BOT/RECD	QUANTITY SOLD/DEL	DESCRIPTION	ENTRY/ PRICE	AMOUNT	BALANCES CASH	BALANCES FUND
			ORDER RECEIVED				
/31/86	100		MERCK & CO INC	119	11,968.60	36,335.46	
			ORDER RECEIVED				
/31/86		100	BRISTOL MYERS CO	82 3/4	8,206.12CR	28,129.34	
			ORDER RECEIVED				
/31/86		300	JOHNSON & JOHNSON	66 3/4	19,818.53CR	8,310.81	
/31/86		100	UPJOHN CO	95 1/4	9,456.08CR	1,145.27CR	
			ORDER RECEIVED				
2/31/86			INTEREST ON CREDIT BALANCE	INT-EARNED	.08CR	1,145.35CR	
			AT 5.532% 12/22 THRU 12/30				
2/31/86			SHEARSON DAILY DIVIDEND INC	DIVIDEND		1,145.35CR	
			DIV REINVESTED $115.72		115.72CR		
			**** CLOSING BALANCES ****			1,145.35CR	

PORTFOLIO ANALYSIS

UANTITY	DESCRIPTION	PRICE	VALUE	PORT CO	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	IND. INCOME % YLD	S&P RTG	IND EARNINGS PER SHR	IND EARNINGS P/E
400	AMERICAN GENERAL CORP	36.87	14,750	40	4.3	1.12	448.00	3.0	A	3.64	10
200	BRISTOL MYERS CO	82.62	16,525	22	4.8	2.80	560.00	3.3	A+	4.16	20
300	CPC INTERNATIONAL INC	79.25	23,775	30	7.0	2.48	744.00	3.1	A	4.06	20
100	CAPITAL CITIES/ABC INC	268.12	26,812	14	7.8	.20	20.00	.0	A	9.32	29
1,000	COMMERCIAL CREDIT CO	20.50	20,500	99	6.0	.06	60.00	.2		.40-	
100	DART DRUG CORP CLASS A	149.00	14,900	52	4.4	.13	13.30	.0		12.29	12
800	WALT DISNEY COMPANY	43.12	34,500	43	10.1	.32	256.00	.7	B	1.82	24
300	ELI LILLY & CO	74.25	22,275	22	6.5	2.00	600.00	2.6	A+	4.00	19
100	MERCK & CO INC	123.87	12,387	22	3.6	2.20	220.00	1.7	A+	4.85	27
400	QUAKER OATS CO	40.00	16,000	30	4.7	.80	320.00	2.0	A+	2.33	17
300	RALSTON PURINA CO	70.75	21,225	30	6.2	1.10	330.00	1.5	A+	5.37	13
300	RAYTHEON CO	67.25	20,175	26	5.9	1.80	540.00	2.6	A+	5.03	13
500	UNITED TECHNOLOGIES CORP	46.00	23,000	02	6.7	1.40	700.00	3.0	A	3.42	13
200	UPJOHN CO	93.25	18,650	22	5.5	1.52	304.00	1.6	A+	3.85	24
600	WAL-MART STORES INC	46.50	27,900	52	8.2	.17	102.00	.3	A+	1.41	33
	*** UNPRICED SECURITIES ***										
200	WTS SCI HOLDINGS INC					.00	.00	.0		.00	
	EXP 12-5-95										
	*** FUND POSITIONS ***										
28,640	SHEARSON DAILY DIVIDEND INC.	1.00	28,640	SA	8.4	.00	.00	.0		.00	
	INCLUDES ACCRUED DIVIDENDS										
	FROM 11/15/86 THRU 12/31/86										

Shearson Lehman Brothers Inc.
Foster & Marshall Inc.
The Robinson-Humphrey Company, Inc.

SHEARSON
MAN BROTHERS

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Client Statement

EDWARD GEORGE RAMALL TRUSTEE   685 08087 15 240   12/01/86 TO 12/31/86   PAGE 4

PORTFOLIO ANALYSIS (CONT)

UANTITY	DESCRIPTION	PRICE	VALUE	PORT		ANNUAL	IND. INCOME		S&P	IND EARNINGS	
				CD	%	DIV/INT	INCOME	% YLD	RTG	PER SHR	P/E
	AVG YIELD FOR PERIOD 5.41%										
	TOTALS		342,015		100.0		5217.30				

UNPRICED SECURITIES ARE NOT INCLUDED IN TOTAL MARKET VALUE.
BOND PRICES MAY DIFFER FROM CURRENT MARKET QUOTES. COMPUTERIZED PRICING SERVICES ARE OFTEN UNABLE TO SUPPLY US WITH UP-TO-THE-MINUTE INFORMATION. PRICES ARE PROVIDED ONLY AS A GENERAL GUIDE TO PORTFOLIO VALUE.

INDUSTRY CLASSIFICATION

INDUSTRY	PORT %	VALUE	CODE	INDUSTRY	PORT %	VALUE
AEROSPACE	6.7	23,000	40	INSURANCE	4.3	14,750
BROADCASTING & TV	7.8	26,812	43	LEISURE TIME	10.1	34,500
DRUG & COSMETICS	20.4	69,837	52	MERCHANDISING	12.5	42,800
ELECTRONICS	5.9	20,175	99	UNCLASSIFIED ISSUES	6.0	20,500
FOOD & BEVERAGE	17.8	61,000	SA	MONEY FUNDS	8.4	28,640

SHEARSON
IMAN BROTHERS
An American Express company

Client Statement

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Income

CONGRESSMAN NICK JOE RAHALL II
307 BAIR BUILDING
BECKLEY, W. VA 25801

HAYDEN COMMODITIES CORP.
THROUGH THE COURTESY OF
SHEARSON LEHMAN BROTHERS INC.
17 BATTERY PLACE
NEW YORK, NY 10004

COUNT NO: 685-03367

SHEARSON LEHMAN FUTURES 1000 FUND

EMENT DATE	TOTAL UNITS OWNED	NET ASSET VALUE	TOTAL VALUE
1-31-86	10.0000	934.9500	9,349.5000

SEC NO.	BRANCH	FINANCIAL CONSULTANT	DISTRIBUTION PAID
-78-8645	STROUDSBURG PA	JAMES A JOSEPH	0.00

DATE	TRANSACTION DESCRIPTION	DOLLAR AMOUNT	PRICE	UNITS THIS TRANSACTION
3-27-85	PLAN ACCOUNT OPENED			
3-27-85	DEPOSIT SHARES BOUGHT	10,000.00	1,000.0000	10.0000
1-14-86	ESCROW INTEREST EARNED	268.75		

SHEARSON
MAN BROTHERS

An American Express company

Client Statement

PAGE 1

CONGRESSMAN NICK JOE RAHALL II
307 BAIR BUILDING
BECKLEY, W. VA 25801

HAYDEN COMMODITIES CORP.
THROUGH THE COURTESY OF
SHEARSON LEHMAN BROTHERS INC.
17 BATTERY PLACE
NEW YORK, NY 10004

OUNT NO: 685-03367

SHEARSON LEHMAN FUTURES 1000 FUND

EMENT DATE	TOTAL UNITS OWNED	NET ASSET VALUE	TOTAL VALUE
2-28-86	10.0000	1,026.7100	10,267.1000

C SEC NO.	BRANCH	FINANCIAL CONSULTANT	DISTRIBUTION PAID
36-78-8645	STROUDSBURG PA	JAMES A JOSEPH	0.00

DATE	TRANSACTION DESCRIPTION	DOLLAR AMOUNT	PRICE	UNITS THIS TRANSACTION
08-27-85	PLAN ACCOUNT OPENED			
08-27-85	DEPOSIT SHARES BOUGHT	10,000.00	1,000.0000	10.0000
01-14-86	ESCROW INTEREST EARNED	268.75		

SHEARSON
HMAN BROTHERS
An American Express company

Client Statement

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CONGRESSMAN NICK JOE RAHALL II
307 BAIR BUILDING
BECKLEY, W. VA 25801

HAYDEN COMMODITIES CORP.
THROUGH THE COURTESY OF
SHEARSON LEHMAN BROTHERS INC.
17 BATTERY PLACE
NEW YORK, NY 10004

CCOUNT NO: 685-03367

SHEARSON LEHMAN FUTURES 1000 FUND

ATEMENT DATE	TOTAL UNITS OWNED	NET ASSET VALUE	TOTAL VALUE
03-31-86	10.0000	1,065.6300	10,656.3000

OC SEC NO.	BRANCH	FINANCIAL CONSULTANT	DISTRIBUTION PAID
36-78-8645	STROUDSBURG PA	JAMES A JOSEPH	0.00

DATE	TRANSACTION DESCRIPTION	DOLLAR AMOUNT	PRICE	UNITS THIS TRANSACTION
08-27-85	PLAN ACCOUNT OPENED			
08-27-85	DEPOSIT SHARES BOUGHT	10,000.00	1,000.0000	10.0000
01-14-86	ESCROW INTEREST EARNED	268.75		

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Client Statement

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CONGRESSMAN NICK JOE RAHALL II
THE CANNON BLDG
WASHINGTON DC 20515

HAYDEN COMMODITIES CORP.
THROUGH THE COURTESY OF
SHEARSON LEHMAN BROTHERS INC.
17 BATTERY PLACE
NEW YORK, NY 10004

UNT NO: 685-03367

SHEARSON LEHMAN FUTURES 1000 FUND

MENT DATE	TOTAL UNITS OWNED	NET ASSET VALUE	TOTAL VALUE
-30-86	10.0000	1,070.6000	10,706.0000

SEC NO.	BRANCH	FINANCIAL CONSULTANT	DISTRIBUTION PAID
78-8645	STROUDSBURG PA	JAMES A JOSEPH	0.00

ATE	TRANSACTION DESCRIPTION	DOLLAR AMOUNT	PRICE	UNITS THIS TRANSACTION
27-85	PLAN ACCOUNT OPENED			
27-85	DEPOSIT SHARES BOUGHT	10,000.00	1,000.0000	10.0000
14-86	ESCROW INTEREST EARNED	248.75		

SHEARSON
MAN BROTHERS

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Client Statement

PAGE 1

CONGRESSMAN NICK JOE RAHALL II
THE CANNON BLDG
WASHINGTON DC 20515

HAYDEN COMMODITIES CORP.
THROUGH THE COURTESY OF
SHEARSON LEHMAN BROTHERS INC.
17 BATTERY PLACE
NEW YORK, NY 10004

NT NO: 685-03367

SHEARSON LEHMAN FUTURES 1000 FUND

ENT DATE	TOTAL UNITS OWNED	NET ASSET VALUE	TOTAL VALUE
-31-86	10.0000	1,044.0100	10,440.1000

SEC NO.	BRANCH	FINANCIAL CONSULTANT	DISTRIBUTION PAID
78-8445	STROUDSBURG PA	JAMES A JOSEPH	0.00

ATE	TRANSACTION DESCRIPTION	DOLLAR AMOUNT	PRICE	UNITS THIS TRANSACTION
27-85	PLAN ACCOUNT OPENED			
27-85	DEPOSIT SHARES BOUGHT	10,000.00	1,000.0000	10.0000
14-86	ESCROW INTEREST EARNED	268.75		

[illegible]

CONGRESSMAN NICK JOE RAHALL II
THE CANNON BLDG
WASHINGTON DC 20515

HAYDEN COMMODITIES CORP.
THROUGH THE COURTESY OF
SHEARSON LEHMAN BROTHERS INC.
17 BATTERY PLACE
NEW YORK, NY 10004

UNT NO: 685-03567

SHEARSON LEHMAN FUTURES 1000 FUND

ENT DATE	TOTAL UNITS OWNED	NET ASSET VALUE	TOTAL VALUE
-30-86	10.0000	1,102.6200	11,026.2000

SEC NO.	BRANCH	FINANCIAL CONSULTANT	DISTRIBUTION PAID
-78-8645	STROUDSBURG PA	JAMES A JOSEPH	0.00

DATE	TRANSACTION DESCRIPTION	DOLLAR AMOUNT	PRICE	UNITS THIS TRANSACTION
-27-85	PLAN ACCOUNT OPENED			
-27-85	DEPOSIT SHARES BOUGHT	10,000.00	1,000.0000	10.0000
-14-86	ESCROW INTEREST EARNED	268.75		

MAN BROTHERS

[illegible]

CONGRESSMAN NICK JOE RAHALL II
THE CANNON BLDG
WASHINGTON DC 20515

HAYDEN COMMODITIES CORP.
THROUGH THE COURTESY OF
SHEARSON LEHMAN BROTHERS INC.
17 BATTERY PLACE
NEW YORK, NY 10004

OUNT NO: 685-03367

SHEARSON LEHMAN FUTURES 1000 FUND

MENT DATE	TOTAL UNITS OWNED	NET ASSET VALUE	TOTAL VALUE
7-31-86	10.0000	1,080.1400	10,801.4000

C SEC NO.	BRANCH	FINANCIAL CONSULTANT	DISTRIBUTION PAID
-78-8645	STROUDSBURG PA	JAMES A JOSEPH	0.00

DATE	TRANSACTION DESCRIPTION	DOLLAR AMOUNT	PRICE	UNITS THIS TRANSACTION
-27-85	PLAN ACCOUNT OPENED			
-27-85	DEPOSIT SHARES BOUGHT	10,000.00	1,000.0000	10.0000
-14-86	ESCROW INTEREST EARNED	268.75		

MAN BROTHERS

PAGE 1

CONGRESSMAN NICK JOE RAHALL II
THE CANNON BLDG
WASHINGTON DC 20515

HAYDEN COMMODITIES CORP.
THROUGH THE COURTESY OF
SHEARSON LEHMAN BROTHERS INC.
17 BATTERY PLACE
NEW YORK, NY 10004

OUNT NO: 685-03367

SHEARSON LEHMAN FUTURES 1000 FUND

MENT DATE	TOTAL UNITS OWNED	NET ASSET VALUE	TOTAL VALUE
3-31-86	10.0000	1,181.7600	11,817.6000

SEC NO.	BRANCH	FINANCIAL CONSULTANT	DISTRIBUTION PAID
-78-8645	STROUDSBURG PA	JAMES A JOSEPH	0.00

DATE	TRANSACTION DESCPIPTION	DOLLAR AMOUNT	PRICE	UNITS THIS TRANSACTION
-27-85	PLAN ACCOUNT OPENED			
-27-85	DEPOSIT SHARES BOUGHT	10,000.00	1,000.0000	10.0000
-14-86	ESCROW INTEREST EARNED	268.75		

IMAN BROTHERS

Client Statement

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CONGRESSMAN NICK JOE RAHALL II
THE CANNON BLDG
WASHINGTON DC 20515

HAYDEN COMMODITIES CORP.
THROUGH THE COURTESY OF
SHEARSON LEHMAN BROTHERS INC.
17 BATTERY PLACE
NEW YORK, NY 10004

COUNT NO: 685-03367

SHEARSON LEHMAN FUTURES 1000 FUND

EMENT DATE	TOTAL UNITS OWNED	NET ASSET VALUE	TOTAL VALUE
9-30-86	10.0000	1,088.0500	10,880.5000

C SEC NO.	BRANCH	FINANCIAL CONSULTANT	DISTRIBUTION PAID
6-78-8645	STROUDSBURG PA	JAMES A JOSEPH	0.00

DATE	TRANSACTION DESCRIPTION	DOLLAR AMOUNT	PRICE	UNITS THIS TRANSACTION
3-27-85	PLAN ACCOUNT OPENED			
3-27-85	DEPOSIT SHARES BOUGHT	10,000.00	1,000.0000	10.0000
1-14-86	ESCROW INTEREST EARNED	268.75		

MAN BROTHERS

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CONGRESSMAN NICK JOE RAHALL II
THE CANNON BLDG
WASHINGTON DC 20515

HAYDEN COMMODITIES CORP.
THROUGH THE COURTESY OF
SHEARSON LEHMAN BROTHERS INC.
17 BATTERY PLACE
NEW YORK, NY 10004

UNT NO: 685-03367

SHEARSON LEHMAN FUTURES 1000 FUND

MENT DATE	TOTAL UNITS OWNED	NET ASSET VALUE	TOTAL VALUE
-31-86	10.0000	1,075.8700	10,758.7000

SEC NO.	BRANCH	FINANCIAL CONSULTANT	DISTRIBUTION PAID
-78-8645	STROUDSBURG PA	JAMES A JOSEPH	0.00

DATE	TRANSACTION DESCRIPTION	DOLLAR AMOUNT	PRICE	UNITS THIS TRANSACTION
-27-85	PLAN ACCOUNT OPENED			
-27-85	DEPOSIT SHARES BOUGHT	10,000.00	1,000.0000	10.0000
-14-86	ESCROW INTEREST EARNED	268.75		

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CONGRESSMAN NICK JOE RAHALL II
THE CANNON BLDG
WASHINGTON DC 20515

HAYDEN COMMODITIES CORP.
THROUGH THE COURTESY OF
SHEARSON LEHMAN BROTHERS INC.
17 BATTERY PLACE
NEW YORK, NY 10004

OUNT NO: 685-03367

SHEARSON LEHMAN FUTURES 1000 FUND

MENT DATE	TOTAL UNITS OWNED	NET ASSET VALUE	TOTAL VALUE
2-31-86	10.0000	1,115.3000	11,153.0000

SEC NO.	BRANCH	FINANCIAL CONSULTANT	DISTRIBUTION PAID
-78-8645	STROUDSBURG PA	JAMES A JOSEPH	0.00

DATE	TRANSACTION DESCRIPTION	DOLLAR AMOUNT	PRICE	UNITS THIS TRANSACTION
-27-85	PLAN ACCOUNT OPENED			
-27-85	DEPOSIT SHARES BOUGHT	10,000.00	1,000.0000	10.0000
-14-86	ESCROW INTEREST EARNED	268.75		

SHEARSON
IMAN BROTHERS

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PAGE 1

III. Holdings

CONGRESSMAN NICK JOE RAHALL II
307 BAIR BUILDING
BECKLEY, W. VA 25801

HAYDEN COMMODITIES CORP.
THROUGH THE COURTESY OF
SHEARSON LEHMAN BROTHERS INC.
17 BATTERY PLACE
NEW YORK, NY 10004

COUNT NO: 685-03367

SHEARSON LEHMAN FUTURES 1000 FUND

ATEMENT DATE	TOTAL UNITS OWNED	NET ASSET VALUE	TOTAL VALUE
02-28-86	10.0000	1,026.7100	10,267.1000

C SEC NO.	BRANCH	FINANCIAL CONSULTANT	DISTRIBUTION PAID
6-78-8645	STROUDSBURG PA	JAMES A JOSEPH	0.00

DATE	TRANSACTION DESCRIPTION	DOLLAR AMOUNT	PRICE	UNITS THIS TRANSACTION
8-27-85	PLAN ACCOUNT OPENED			
8-27-85	DEPOSIT SHARES BOUGHT	10,000.00	1,000.0000	10.0000
1-14-86	ESCROW INTEREST EARNED	268.75		

Sr. ,ON
MAN BROTHERS

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[illegible]

Client Statement

685 60527 14 240 01/01/86 TO 01/31/86 PAGE 1

PURSUANT TO YOUR INSTRUCTIONS THE FOLLOWING
HAVE ALSO RECEIVED COPIES OF THIS STATEMENT:
1. KELLY TO. BLANKENSHIP, CPA

***NICK JOE RAHALL II
SHEARSON AS IRA CUSTODIAN
307 BAIR BUILDING
BECKLEY, VA 25801

IRA Acct.

II B Income III Holdings + IV Transactions

NT	685 60527 14 240	INVESTMENT CENTER SHEARSON LEHMAN BROTHERS INC. 701 MAIN STREET SUITE 100 STROUDSBURG PA 18360
	236-78-8645	
CIAL ULTANT	JAMES A JOSEPH 717-421-3100	

P O R T F O L I O I N C O M E S U M M A R Y

	CURRENT MONTH	YEAR TO DATE
CASH FUND DIVIDEND	.00	.00
MUNI FUND DIVIDEND	.00	.00
GOVT FUND DIVIDEND	.00	.00
IDENDS	.00	.00
ABLE INTEREST	3.60	3.60
-TAXABLE INTEREST	.00	.00
ER INCOME	.00	.00
TOTAL	3.60	3.60

ONTH'S AVERAGE BORROWING RATE: 10.50% TO 12.00%.

M O N T H L Y A C T I V I T Y S U M M A R Y

	DEBIT	CREDIT
COMBINED OPENING BALANCE		.00
PORTFOLIO PURCHASES	4,003.60	
PORTFOLIO SALES		2,000.00
PORTFOLIO INCOME		3.60
CHECKS/ADVANCES	.00	
GOLD CARD CHARGES PAID	.00	
INTEREST CHARGED	.00	
CASH RECEIVED	.00	2,000.00
CASH WITHDRAWN	.00	.00
OVERDRAFT LOAN ACTIVITY		.00
OTHER ACTIVITY		.00
COMBINED CLOSING BALANCE		.00

MARKET VALUE	2,624	SHORT BALANCE	.00
EQUITY	2,624	YTD INT CHARGED	.00
BUYING POWER	0	OVERDRAFT LOAN	.00
CASH AVAILABLE	0	AVG DAILY BAL	.00
LTD PTNRSHIPS*	6,000		

* NOT INCLUDED IN EQUITY OR MARKET VALUE.

AN IMPORTANT MESSAGE ABOUT IMPROVEMENTS TO YOUR STATEMENTS

THROUGHOUT 1986, WE'LL BE INTRODUCING MODIFICATIONS TO YOUR STATEMENTS WHICH ARE DESIGNED TO MAKE YOUR RECORD-KEEPING EASIER AND THE ADMINISTERING OF YOUR INVESTMENTS MORE EFFICIENT. THIS MONTH, OWNERS OF LIMITED PARTNERSHIPS WILL SEE A SPECIAL SECTION ON THEIR STATEMENTS FOR THE FIRST TIME. SEE THE ENCLOSED STRATEGY NEWSLETTER FOR IMPORTANT DETAILS.

. . . .

AN IMPORTANT IRA REMINDER: 1985 IRA CONTRIBUTIONS MUST BE POSTMARKED BY APRIL 15TH. AND REMEMBER--RE-EVALUATE YOUR IRA INVESTMENTS REGULARLY TO ENSURE THAT THEY MEET YOUR NEEDS IN TODAY'S ENVIRONMENT. ALSO, INVEST EARLY IN '86 FOR MAXIMUM BENEFITS.

S. SON
HMAN BROTHERS

An Amer Express company

Client Statemer

***NICK JOE RAHALL II   685 60527 14 240   01/01/86 TO 01/31/86 PAGE

TRANSACTION ANALYSIS

DATE	QUANTITY BOT/RECD	QUANTITY SOLD/DEL	DESCRIPTION	ENTRY/ PRICE	AMOUNT	BALANCES CASH	BALANCES FUND
			**** OPENING BALANCES ****			.00	
01/02/86			UNITS UNCOMMON VALUES UT TR 85 SER-FR UNDIVIDED INT CASH DIV ON 2403 SHS REC 12/10/85 PAY 12/25/85	DIV-RECD.	3.60CR	3.60CR	
01/03/86			SHEARSON DAILY DIVIDEND INC	INVESTMENT	3.60	.00	
01/10/86			SHEARSON DAILY DIVIDEND INC DIV REINVESTED 0.40	DIVIDEND	.40CR	.00	
01/15/86			PRIOR YR CONTRIBUTION FROM 685-03367 TO 685-60527	DEPOSIT	2,000.00CR	2,000.00CR	
01/[illegible]			SHEARSON DAILY DIVIDEND INC	INVESTMENT	2,000.00	.00	
01/28/86	2000		BALCOR EQUITY PEN INV III SUBJ TO CLOSE OR ACPT BY SPNSR	1	2,000.00	2,000.00	
01/28/86			SHEARSON DAILY DIVIDEND INC	REDEMPTION	2,000.00CR	.00	
			**** CLOSING BALANCES ****			.00	

PORTFOLIO ANALYSIS

QUANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	IND. INCOME % YLD	S&P RTG	IND EARNINGS PER SHR	P/E
2,403	UNITS UNCOMMON VALUES UT TR 85 SER-FR UNDIVIDED INT	1.01	2,427	99	92.5	.00	.00	.0		.00	
	*** FUND POSITIONS ***										
197	SHEARSON DAILY DIVIDEND INC. INCLUDES ACCRUED DIVIDENDS FROM 01/01/86 THRU 01/10/86 AVG YIELD FOR PERIOD 7.35%	1.00	197	SA	7.5	.00	.00	.0		.00	
	TOTALS		2,624		100.0		.00				

SHEARSON
MAN BROTHERS

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Client Statemen

***NICK JOE RAHALL II 685 60527 14 240 01/01/86 TO 01/31/86 PAGE

INDUSTRY CLASSIFICATION

	INDUSTRY	PORT	VALUE	CODE	INDUSTRY	PORT %	VALUE
	UNCLASSIFIED ISSUES	92.5	2,427	SA	MONEY FUNDS	7.5	197

LIMITED PARTNERSHIPS

DATE	DESCRIPTION	FACE AMOUNT
01/20/86	BALCOR EQUITY PEN INV III SUBJ TO CLOSE OR ACPT BY SPNSR	2,000
01/10/85	BALCOR EQUITY PENSION INV II	4,000
	TOTAL FACE AMOUNT	6,000

SHEARSON
MAN BROTHERS

Client Statement

685 60527 14 240 02/01/86 TO 02/28/86 PAGE 1

PURSUANT TO YOUR INSTRUCTIONS THE FOLLOWING
HAVE ALSO RECEIVED COPIES OF THIS STATEMENT:
1. KELLY TO. BLANKENSHIP, CPA

***NICK JOE RAHALL II
SHEARSON AS IRA CUSTODIAN
307 BAIR BUILDING
BECKLEY, VA 25801

IT	685 60527 14 240	INVESTMENT CENTER SHEARSON LEHMAN BROTHERS INC.
	236-78-8448	701 MAIN STREET SUITE 100
ICIAL ULTANT	JAMES A JOSEPH 717-421-3100	STROUDSBURG PA 18360

MONTHLY ACTIVITY SUMMARY

	DEBIT	CREDIT
COMBINED OPENING BALANCE		.00
PORTFOLIO PURCHASES	83.44	
PORTFOLIO SALES		.00
PORTFOLIO INCOME		3.60
CHECKS/ADVANCES	.00	
GOLD CARD CHARGES PAID	.00	
INTEREST CHARGED	.00	
CASH RECEIVED	.00	79.84
CASH WITHDRAWN	.00	.00
OVERDRAFT LOAN ACTIVITY		.00
OTHER ACTIVITY		.00
COMBINED CLOSING BALANCE		.00

MARKET VALUE	2,786	SHORT BALANCE	.00
EQUITY	2,786	YTD INT CHARGED	.00
BUYING POWER	0	OVERDRAFT LOAN	.00
CASH AVAILABLE	0	AVG DAILY BAL	.00
LTD PTNRSHIPS*	6,000		

* NOT INCLUDED IN EQUITY OR MARKET VALUE.

PORTFOLIO INCOME SUMMARY

	CURRENT MONTH	YEAR TO DATE
CASH FUND DIVIDEND	.00	.00
MUNI FUND DIVIDEND	.00	.00
GOVT FUND DIVIDEND	.00	.00
IDENDS	.00	.00
ABLE INTEREST	3.60	7.20
-TAXABLE INTEREST	.00	.00
R INCOME	.00	.00
TOTAL	3.60	7.20

ONTH'S AVERAGE BORROWING RATE: 9.75% TO 11.25%.

FLEXIBILITY. IT'S ONE OF THE REASONS FOR ESTABLISHING AN INVESTOR MANAGED IRA. IT ENABLES YOU TO MOVE EASILY FROM ONE INVESTMENT VEHICLE TO ANOTHER AS ECONOMIC CONDITIONS OR PERSONAL NEEDS DICTATE.

HOWEVER, IF YOU ARE IGNORING THIS IMPORTANT FEATURE, YOU ARE DOING YOURSELF A DISSERVICE. UNLESS YOU ARE REVIEWING YOUR RETIREMENT PLAN PORTFOLIO ON A REGULAR BASIS, YOU MAY NOT BE MAKING THE MOST OF YOUR RETIREMENT DOLLARS.

IF YOU HAVEN'T MADE YOUR 1985 CONTRIBUTION, DO SO TODAY. AT THE SAME TIME, INVEST EARLY IN '86 FOR MAXIMUM BENEFIT. REMEMBER, PROCRASTINATION MIGHT RESULT IN THE LOSS OF THOUSANDS OF RETIREMENT DOLLARS.

SHEARSON
MAN BROTHERS

Client Statement

***NICK JOE RAHALL II 685 60527 14 248 02/01/86 TO 02/28/86 PAGE 2

TRANSACTION ANALYSIS

DATE	QUANTITY BOT/RECD	QUANTITY SOLD/DEL	DESCRIPTION	ENTRY/PRICE	AMOUNT	BALANCES CASH	BALANCES FUND
			**** OPENING BALANCES ****			.00	
2/03/86			UNITS UNCOMMON VALUES UT TR 85 SER-FR UNDIVIDED INT CASH DIV ON 2403 SHS REC 01/15/86 PAY 02/01/86	DIV-RECD.	3.60CR	3.60CR	
2/04/86			SHEARSON DAILY DIVIDEND INC	INVESTMENT	3.60	.00	
2/05/86			BALCOR PENSION INVESTORS-II DISTRIBUTION 13251846635591	CHECK	79.84CR	79.84CR	
2/06/86			SHEARSON DAILY DIVIDEND INC	INVESTMENT	79.84	.00	
2/14/86			SHEARSON DAILY DIVIDEND INC DIV REINVESTED $6.25	DIVIDEND	6.25CR	.00	
2/28/86			BALCOR EQUITY PEN INV III ACCEPTANCE HAS BEEN ISSUED FACE AMOUNT: 2,000	MEMO		.00	
			**** CLOSING BALANCES ****			.00	

PORTFOLIO ANALYSIS

QUANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	IND. INCOME % YLD	S&P RTG	IND EARNINGS PER SHR	IND EARNINGS P/E
2,403	UNITS UNCOMMON VALUES UT TR 85 SER-FR UNDIVIDED INT	1.04	2,499	77	89.7	.00	.00	.0		.00	
	*** FUND POSITIONS ***										
287	SHEARSON DAILY DIVIDEND INC. INCLUDES ACCRUED DIVIDENDS FROM 01/11/86 THRU 02/14/86 AVG YIELD FOR PERIOD 7.19%	1.00	287	SA	10.3	.00	.00	.0		.00	
	TOTALS		2,786		100.0		.00				

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SHEARSON
IMAN BROTHERS

An American Express company

Client Statement

***NICK JOE RAHALL II   685 60527 14 240   02/01/86 TO 02/28/86   PAGE 3

INDUSTRY CLASSIFICATION

INDUSTRY	PORT %	VALUE	CODE	INDUSTRY	PORT %	VALUE
UNITS	89.7	2,499	SA	MONEY FUNDS	10.3	287

LIMITED PARTNERSHIPS

DATE	DESCRIPTION	FACE AMOUNT
01/28/86	BALCOR EQUITY PEN INV III	2,000
01/10/85	BALCOR EQUITY PENSION INV II	4,000
	TOTAL FACE AMOUNT	6,000

SHE'RSON
IMAN BROTHERS

Client Statemen

685 60527 14 240   03/01/86 TO 03/30/86   PAGE

PURSUANT TO YOUR INSTRUCTIONS THE FOLLOWING
HAVE ALSO RECEIVED COPIES OF THIS STATEMENT:
1. KELLY TO. BLANKENSHIP, CPA

***NICK JOE RAHALL II
SHEARSON AS IRA CUSTODIAN
307 BAIR BUILDING
BECKLEY, VA 25801

NT	685 60527 14 240	INVESTMENT CENTER SHEARSON LEHMAN BROTHERS INC. 701 MAIN STREET SUITE 100 STROUDSBURG PA 18360
	236-75-8645	
NCIAL ULTANT	JAMES A JOSEPH 717-421-3100	

MONTHLY ACTIVITY SUMMARY

	DEBIT	CREDI
COMBINED OPENING BALANCE		.0
PORTFOLIO PURCHASES	3.60	
PORTFOLIO SALES		.0
PORTFOLIO INCOME		3.6
CHECKS/ADVANCES	.00	
GOLD CARD CHARGES PAID	.00	
INTEREST CHARGED	.00	
CASH RECEIVED	.00	.0
CASH WITHDRAWN	.00	.0
OVERDRAFT LOAN ACTIVITY		.0
OTHER ACTIVITY		.0
COMBINED CLOSING BALANCE		.0

MARKET VALUE	292	SHORT BALANCE	.00
EQUITY	292	YTD INT CHARGED	.00
BUYING POWER	0	OVERDRAFT LOAN	.00
CASH AVAILABLE	0	AVG DAILY BAL	.00
LTD PTNRSHIPS*	6,000		

* NOT INCLUDED IN EQUITY OR MARKET VALUE.

PORTFOLIO INCOME SUMMARY

	CURRENT MONTH	YEAR TO DATE
CASH FUND DIVIDEND	.00	.00
MUNI FUND DIVIDEND	.00	.00
GOVT FUND DIVIDEND	.00	.00
IDENDS	.00	.00
ABLE INTEREST	3.60	10.80
-TAXABLE INTEREST	.00	.00
ER INCOME	.00	.00
TOTAL	3.60	10.80

DON'T MISS THE DEADLINE! IF YOU HAVEN'T FULLY FUNDED YOUR IRA FOR 1985, TIME IS RUNNING OUT. THE DEADLINE IS APRIL 15TH, NO EXTENSIONS ALLOWED. AND, AT THE SAME TIME, MAKE YOUR 1986 CONTRIBUTION AND ENJOY THE BENEFIT OF A FULL YEAR OF TAX-DEFERRED COMPOUNDING AND/OR GROWTH.

MISSED THE 1985 KEOGH DEADLINE? YOU DON'T HAVE TO MISS THE TAX DEDUCTION. QUALIFIED EMPLOYERS HAVE UNTIL APRIL 15TH TO ESTABLISH A SEP-IRA. CONTACT YOUR FINANCIAL CONSULTANT TO FIND OUT IF YOU ARE ELIGIBLE AND HOW MUCH YOU CAN CONTRIBUTE.

YOUR 1986 CUSTODIAL FEE WILL BE DEBITED ON MAY 1ST. PLEASE REFER TO THE ENCLOSED NOTICE AND PAGE TWO OF THIS STATEMENT FOR DETAILS.

SH RSON
IMAN BROTHERS [illegible] **Client Statement**

***NICK JOE RAHALL II   685 60527 14 240   03/01/86 TO 03/30/86   PAGE 2

TRANSACTION ANALYSIS

DATE	QUANTITY BOT/RECD	QUANTITY SOLD/DEL	DESCRIPTION	ENTRY/ PRICE	AMOUNT	BALANCES CASH	BALANCES FUND
			**** OPENING BALANCES ****			.00	
3/03/86			UNITS UNCOMMON VALUES UT TR 85 SER-FR UNDIVIDED INT CASH DIV ON 2403 SHS REC 02/14/86 PAY 03/01/86	DIV-RECD.	3.60CR	3.60CR	
03/04/86			SHEARSON DAILY DIVIDEND INC	INVESTMENT	3.60	.00	
03/14/86			SHEARSON DAILY DIVIDEND INC DIV REINVESTED $1.63	DIVIDEND	1.63CR	.00	
03/27/86			1986 CUSTODIAL FEE DUE $ 25	FEE		.00	
			**** CLOSING BALANCES ****			.00	

PORTFOLIO ANALYSIS

QUANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	IND. INCOME % YLD	S&P RTG	IND EARNINGS PER SHR	P/E
	*** UNPRICED SECURITIES ***										
2,403	UNITS UNCOMMON VALUES UT TR 85 SER-FR UNDIVIDED INT					.00	.00	.0		.00	
	*** FUND POSITIONS ***										
292	SHEARSON DAILY DIVIDEND INC. INCLUDES ACCRUED DIVIDENDS FROM 02/15/86 THRU 03/14/86 AVG YIELD FOR PERIOD 7.09%	1.00	292	SA	100.0	.00	.00	.0		.00	
	TOTALS		292		100.0		.00				

UNPRICED SECURITIES ARE NOT INCLUDED IN TOTAL MKT VALUE

INDUSTRY CLASSIFICATION

E	INDUSTRY	PORT %	VALUE	CODE	INDUSTRY	PORT %	VALUE
	MONEY FUNDS	100.0	292				

[illegible]

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Client Statemen

***NICK JOE RAHALL II 685 60527 14 240 03/01/86 TO 03/30/86 PAGE

LIMITED PARTNERSHIPS

THE FACE AMOUNT DOES NOT NECESSARILY REFLECT CURRENT MARKET VALUE.

DATE	DESCRIPTION	FACE AMOUNT
01/28/86	BALCOR EQUITY PEN INV III	2,000
01/10/85	BALCOR EQUITY PENSION INV II	4,000
	TOTAL FACE AMOUNT	6,000

SHEARSON
MAN BROTHERS

Client Statement

685 60527 14 240

03/31/86 TO 04/27/86 PAGE 1

PURSUANT TO YOUR INSTRUCTIONS THE FOLLOWING
HAVE ALSO RECEIVED COPIES OF THIS STATEMENT:
1. KELLY TO. BLANKENSHIP, CPA

***NICK JOE RAHALL II
SHEARSON AS IRA CUSTODIAN
THE CANNON BLDG
WASHINGTON DC 20515

T	685 60527 14 240	INVESTMENT CENTER SHEARSON LEHMAN BROTHERS INC. 701 MAIN STREET SUITE 100 STROUDSBURG PA 18360
	236-78-8645	
CIAL LTANT	JAMES A JOSEPH 717-421-3100	

MONTHLY ACTIVITY SUMMARY

	DEBIT	CREDIT
COMBINED OPENING BALANCE		.00
PORTFOLIO PURCHASES	83.44	
PORTFOLIO SALES		.00
PORTFOLIO INCOME		3.60
CHECKS/ADVANCES	.00	
GOLD CARD CHARGES PAID	.00	
INTEREST CHARGED	.00	
CASH RECEIVED	.00	79.84
CASH WITHDRAWN	.00	.00
OVERDRAFT LOAN ACTIVITY		.00
OTHER ACTIVITY		.00
COMBINED CLOSING BALANCE		.00

MARKET VALUE	3,069	SHORT BALANCE	.00
EQUITY	3,069	YTD INT CHARGED	.00
BUYING POWER	0	OVERDRAFT LOAN	.00
CASH AVAILABLE	0	AVG DAILY BAL	.00
LTD PTNRSHIPS*	6,000		

* NOT INCLUDED IN EQUITY OR MARKET VALUE.

PORTFOLIO INCOME SUMMARY

	CURRENT MONTH	YEAR TO DATE
CASH FUND DIVIDEND	.00	.00
MUNI FUND DIVIDEND	.00	.00
GOVT FUND DIVIDEND	.00	.00
DENDS	3.60	3.60
BLE INTEREST	.00	10.80
TAXABLE INTEREST	.00	.00
R INCOME	.00	.00
TOTAL	3.60	14.40

NTH'S AVERAGE BORROWING RATE: 9.25% TO 10.75%.

"WHAT SHOULD I DO WITH MY RETIREMENT ASSETS?"

MANY OF THE INVESTMENTS YOU SHOULD CONSIDER FOR YOUR RETIREMENT PLANNING ARE EXPLAINED IN OUR CONSTANTLY EXPANDING SELECTION OF BOOKLETS AVAILABLE FOR YOUR PERSONAL FINANCIAL LIBRARY.

WE ARE DEDICATED TO YOUR ONGOING FINANCIAL EDUCATION. WE ENCOURAGE YOU TO ASK YOUR FINANCIAL CONSULTANT FOR OUR LATEST PUBLICATIONS.

SEE THE SPECIAL ARTICLE IN THE ENCLOSED NEWSLETTER FOR OUR LATEST BOOKLETS WRITTEN ESPECIALLY FOR THE SERIOUS INVESTOR. LET'S WORK TOGETHER TO PUT OUR MINDS OVER MONEY.

SHEARSON
MAN BROTHERS

Client Statement

***NICK JOE RAHALL II   685 60527 14 240   03/31/86 TO 04/27/86   PAGE 2

TRANSACTION ANALYSIS

ATE	QUANTITY BOT/RECD	 SOLD/DEL	DESCRIPTION	ENTRY/ PRICE	AMOUNT	BALANCES CASH	 FUND
			**** OPENING BALANCES ****			.00	
/01/86			UNITS UNCOMMON VALUES UT TR 85 SER-FR UNDIVIDED INT CASH DIV ON 2403 SHS REC 03/14/86 PAY 04/01/86	DIV-RECD.	3.60CR	3.60CR	
/02/86			SHEARSON DAILY DIVIDEND INC	INVESTMENT	3.60	.00	
4/11/86			SHEARSON DAILY DIVIDEND INC DIV REINVESTED $1.57	DIVIDEND	1.57CR	.00	
4/23/86			BALCOR PENSION INVESTORS-II DISTRIBUTION 13251846635591	CHECK	79.84CR	79.84CR	
/24/86			SHEARSON DAILY DIVIDEND INC	INVESTMENT	79.84	.00	
			**** CLOSING BALANCES ****			.00	

PORTFOLIO ANALYSIS

UANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	 %	ANNUAL DIV/INT	IND. INCOME INCOME	 % YLD	S&P RTG	IND EARNINGS PER SHR	 P/E
2,403	UNITS UNCOMMON VALUES UT TR 85 SER-FR UNDIVIDED INT	1.12	2,691	77	87.7	.00	.00	.0		.00	
	*** FUND POSITIONS ***										
377	SHEARSON DAILY DIVIDEND INC. INCLUDES ACCRUED DIVIDENDS FROM 03/15/86 THRU 04/11/86 AVG YIELD FOR PERIOD 6.86%	1.00	377	SA	12.3	.00	.00	.0		.00	
	TOTALS		3,069		100.0		.00				

INDUSTRY CLASSIFICATION

INDUSTRY	PORT %	VALUE	CODE	INDUSTRY	PORT %	VALUE
UNITS	87.7	2,691	SA	MONEY FUNDS	12.3	377

SHEARSON
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Client Statemen

***NICK JOE RAHALL II

685 60527 14 240

03/31/86 TO 04/27/86 PAGE 3

LIMITED PARTNERSHIPS

THE FACE AMOUNT DOES NOT NECESSARILY REFLECT CURRENT MARKET VALUE.

DATE	DESCRIPTION	FACE AMOUNT
01/28/86	BALCOR EQUITY PEN INV III	2,000
01/10/85	BALCOR EQUITY PENSION INV II	4,000
	TOTAL FACE AMOUNT	6,000

MAN BROTHERS

Client Statement

685 60527 14 240

04/28/86 TO 05/31/86 PAGE 1

PURSUANT TO YOUR INSTRUCTIONS THE FOLLOWING HAVE ALSO RECEIVED COPIES OF THIS STATEMENT:

1. KELLY TO. BLANKENSHIP, CPA

***NICK JOE RAHALL II
SHEARSON AS IRA CUSTODIAN
THE CANNON BLDG
WASHINGTON DC 20515

IT	685 60527 14 240	INVESTMENT CENTER SHEARSON LEHMAN BROTHERS INC.
	236-78-8645	701 MAIN STREET SUITE 100
CIAL ILTANT	JAMES A JOSEPH 717-421-3100	STROUDSBURG PA 18360

PORTFOLIO INCOME SUMMARY

	CURRENT MONTH	YEAR TO DATE
CASH FUND DIVIDEND	.00	.00
MUNI FUND DIVIDEND	.00	.00
GOVT FUND DIVIDEND	.00	.00
IDENDS	3.60	7.20
ABLE INTEREST	.00	10.80
-TAXABLE INTEREST	.00	.00
ER INCOME	.00	.00
TOTAL	3.60	18.00

ONTH'S AVERAGE BORROWING RATE: 8.75% TO 10.25%.

MONTHLY ACTIVITY SUMMARY

	DEBIT	CREDIT
COMBINED OPENING BALANCE		.00
PORTFOLIO PURCHASES	3.60	
PORTFOLIO SALES		25.00
PORTFOLIO INCOME		3.60
CHECKS/ADVANCES	.00	
GOLD CARD CHARGES PAID	.00	
INTEREST CHARGED	.00	
CASH RECEIVED	.00	.00
CASH WITHDRAWN	25.00	.00
OVERDRAFT LOAN ACTIVITY		.00
OTHER ACTIVITY		.00
COMBINED CLOSING BALANCE		.00

MARKET VALUE	3,217	SHORT BALANCE	.00
EQUITY	3,217	YTD INT CHARGED	.00
BUYING POWER	0	OVERDRAFT LOAN	.00
CASH AVAILABLE	0	AVG DAILY BAL	.00
LTD PTNRSHIPS*	6,000		

* NOT INCLUDED IN EQUITY OR MARKET VALUE.

YOUR IRA IS MUCH MORE THAN A TAX DEDUCTION. IT ALLOWS YOUR MONEY TO COMPOUND IN A TAX-DEFFERED ENVIRONMENT THEREBY ENABLING YOU TO ACCUMULATE A SIZABLE RETIREMENT NEST EGG.

AND, BECAUSE YOU HAVE A SHEARSON LEHMAN INVESTOR MANAGED IRA, YOU'RE IN THE BEST POSSIBLE POSITION TO RESPOND QUICKLY TO CHANGES BROUGHT ABOUT BY ECONOMIC CONDITIONS, TAX LEGISLATION OR PERSONAL NEEDS. THE FLEXIBILITY AND ADAPTABILITY OF YOUR IRA INVESTMENT ALTERNATIVES WILL ALLOW YOU TO MAKE ANY NECESSARY ADJUSTMENTS TO YOUR RETIREMENT PLAN PORTFOLIO.

YOUR 1986 CUSTODIAL FEE WAS DEBITED ON MAY 2ND. PLEASE REFER TO THE ENCLOSED NOTICE AND PAGE TWO OF THIS STATEMENT FOR DETAILS.

MAN BROTHERS

Client Statement

***NICK JOE RAHALL II 685 60527 14 240 04/28/86 TO 05/31/86 PAGE 2

TRANSACTION ANALYSIS

ATE	QUANTITY BOT/RECD	QUANTITY SOLD/DEL	DESCRIPTION	ENTRY/ PRICE	AMOUNT	BALANCES CASH	BALANCES FUND
			**** OPENING BALANCES ****			.00	
/01/86			UNITS UNCOMMON VALUES UT TR 85 SER-FR UNDIVIDED INT CASH DIV ON 2403 SHS REC 04/15/86 PAY 05/01/86	DIV-RECD.	3.60CR	3.60CR	
/02/86			1986 CUSTODIAL FEE	FEE	25.00	21.40	
5/02/86			SHEARSON DAILY DIVIDEND INC	INVESTMENT	3.60	25.00	
5/02/86			SHEARSON DAILY DIVIDEND INC	REDEMPTION	25.00CR	.00	
5/09/86			SHEARSON DAILY DIVIDEND INC DIV REINVESTED $1.66	DIVIDEND	1.66CR	.00	
			**** CLOSING BALANCES ****			.00	

PORTFOLIO ANALYSIS

UANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	IND. INCOME % YLD	S&P RTG	IND EARNINGS PER SHR	IND EARNINGS P/E
2,403	UNITS UNCOMMON VALUES UT TR 85 SER-FR UNDIVIDED INT	1.19	2,859	77	88.9	.00	.00	.0		.00	
	*** FUND POSITIONS ***										
358	SHEARSON DAILY DIVIDEND INC. INCLUDES ACCRUED DIVIDENDS FROM 04/12/86 THRU 05/09/86 AVG YIELD FOR PERIOD 6.49%	1.00	358	SA	11.1	.00	.00	.0		.00	
	TOTALS		3,217		100.0		.00				

INDUSTRY CLASSIFICATION

INDUSTRY	PORT %	VALUE	CODE	INDUSTRY	PORT %	VALUE
UNITS	88.9	2,859	SA	MONEY FUNDS	11.1	358

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SHEARSON
MAN BROTHERS

An American Express company

Client Statement

***NICK JOE RAHALL II   685 60527 14 240   04/28/86 TO 05/31/86   PAGE 3

LIMITED PARTNERSHIPS

THE FACE AMOUNT DOES NOT NECESSARILY REFLECT CURRENT MARKET VALUE.

DATE	DESCRIPTION	FACE AMOUNT
01/28/86	BALCOR EQUITY PEN INV III	2,000
01/10/85	BALCOR EQUITY PENSION INV II	4,000
	TOTAL FACE AMOUNT	6,000

SHEARSON
MAN BROTHERS
An American Express company

Client Statement

685 60527 14 240

06/01/86 TO 06/29/86 PAGE 1

PURSUANT TO YOUR INSTRUCTIONS THE FOLLOWING
HAVE ALSO RECEIVED COPIES OF THIS STATEMENT:
1. KELLY TO. BLANKENSHIP, CPA

***NICK JOE RAHALL II
SHEARSON AS IRA CUSTODIAN
THE CANNON BLDG
WASHINGTON DC 20515

NT	685 60527 14 240	INVESTMENT CENTER SHEARSON LEHMAN BROTHERS INC.
	236-78-8645	701 MAIN STREET SUITE 100
NCIAL ULTANT	JAMES A JOSEPH 717-421-3100	STROUDSBURG PA 18360

MONTHLY ACTIVITY SUMMARY

	DEBIT	CREDIT
COMBINED OPENING BALANCE		.00
PORTFOLIO PURCHASES	3.60	
PORTFOLIO SALES		.00
PORTFOLIO INCOME		3.60
CHECKS/ADVANCES	.00	
GOLD CARD CHARGES PAID	.00	
INTEREST CHARGED	.00	
CASH RECEIVED	.00	.00
CASH WITHDRAWN	.00	.00
OVERDRAFT LOAN ACTIVITY		.00
OTHER ACTIVITY		.00
COMBINED CLOSING BALANCE		.00

MARKET VALUE	3,319	SHORT BALANCE	.00
EQUITY	3,319	YTD INT CHARGED	.00
BUYING POWER	0	OVERDRAFT LOAN	.00
CASH AVAILABLE	0	AVG DAILY BAL	.00
LTD PTNRSHIPS*	6,000		

* NOT INCLUDED IN EQUITY OR MARKET VALUE.

PORTFOLIO INCOME SUMMARY

	CURRENT MONTH	YEAR TO DATE
CASH FUND DIVIDEND	.00	.00
MUNI FUND DIVIDEND	.00	.00
GOVT FUND DIVIDEND	.00	.00
IDENDS	3.60	21.60
ABLE INTEREST	.00	.00
-TAXABLE INTEREST	.00	.00
ER INCOME	.00	.00
TOTAL	3.60	21.60

NTH'S AVERAGE BORROWING RATE: 8.75% TO 10.25%.

LADY LIBERTY--A SYMBOL OF FREEDOM AND INDEPENDENCE TO ALL AMERICANS

THE GOAL OF THE SERIOUS INVESTOR IS FINANCIAL INDEPENDENCE. AND THE IRA, AS A FINANCIAL BASE, HELPS YOU ACHIEVE THIS GOAL. NOW YOU CAN PUT A WORKING FAMILY MEMBER ON THE PATH TO FINANCIAL FREEDOM BY GIVING A SHEARSON LEHMAN INVESTOR MANAGED IRA. IT'S THE GIFT THAT KEEPS ON GIVING - A PRESENT WITH A FUTURE.

FUNDING AN IRA FOR A SPECIAL PERSON OFFERS YOU THE GRATIFICATION OF KNOWING YOU'VE GIVEN A VALUABLE AND TIMELY GIFT. FOR THE RECIPIENT THE GIFT OF AN IRA OFFERS AN IMMEDIATE TAX DEDUCTION AND GROWS TAX-DEFERRED.

CALL YOUR FINANCIAL CONSULTANT - GIVE FINANCIAL INDEPENDENCE TO SOMEONE SPECIAL.

Shearson Lehman Brothers Inc.
Foster & Marshall Inc.
The Robinson-Humphrey Company, Inc.

SHEARSON
MAN BROTHERS

An American Express company

Client Statemen

***NICK JOE RAHALL II   685 60527 14 240   06/01/86 TO 06/29/86 PAGE

TRANSACTION ANALYSIS

DATE	QUANTITY BOT/RECD	QUANTITY SOLD/DEL	DESCRIPTION	ENTRY/ PRICE	AMOUNT	BALANCES CASH	BALANCES FUND
			**** OPENING BALANCES ****			.00	
06/02/86			UNITS UNCOMMON VALUES UT TR 85 SER-FR UNDIVIDED INT CASH DIV ON 2403 SHS REC 05/15/86 PAY 06/01/86	DIV-RECD.	3.60CR	3.60CR	
06/03/86			SHEARSON DAILY DIVIDEND INC	INVESTMENT	3.60	.00	
06/13/86			SHEARSON DAILY DIVIDEND INC DIV REINVESTED $2.11	DIVIDEND	2.11CR	.00	
			**** CLOSING BALANCES ****			.00	

PORTFOLIO ANALYSIS

QUANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	IND. INCOME % YLD	S&P RTG	IND EARNINGS PER SHR	IND EARNINGS P/E
2,403	UNITS UNCOMMON VALUES UT TR 85 SER-FR UNDIVIDED INT	1.23	2,955	77	89.0	.00	.00	.0		.00	
	*** FUND POSITIONS ***										
363	SHEARSON DAILY DIVIDEND INC. INCLUDES ACCRUED DIVIDENDS FROM 05/10/86 THRU 06/13/86 AVG YIELD FOR PERIOD 6.25%	1.00	363	SA	11.0	.00	.00	.0		.00	
	TOTALS		3,319		100.0		.00				

INDUSTRY CLASSIFICATION

INDUSTRY	PORT %	VALUE	CODE	INDUSTRY	PORT %	VALUE
UNITS	89.0	2,955	SA	MONEY FUNDS	11.0	363

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SHEARSON
IMAN BROTHERS

An American Express company

Client Statemen

***NICK JOE RAHALL II

685 60527 14 240

06/01/86 TO 06/29/86 PAGE

LIMITED PARTNERSHIPS

THE FACE AMOUNT DOES NOT NECESSARILY REFLECT CURRENT MARKET VALUE.

DATE	DESCRIPTION	FACE AMOUNT
01/28/86	BALCOR EQUITY PEN INV III	2,000
01/10/85	BALCOR EQUITY PENSION INV II	4,000
	TOTAL FACE AMOUNT	6,000

SHEARSON
IMAN BROTHERS
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Client Statemen

685 60527 14 240 06/30/86 TO 07/27/86 PAGE 1

PURSUANT TO YOUR INSTRUCTIONS THE FOLLOWING
HAVE ALSO RECEIVED COPIES OF THIS STATEMENT:
1. KELLY TO. BLANKENSHIP, CPA

***NICK JOE RAHALL II
SHEARSON AS IRA CUSTODIAN
THE CANNON BLDG
WASHINGTON DC 20515

INT	685 60527 14 240	INVESTMENT CENTER
		SHEARSON LEHMAN BROTHERS INC.
)	236-79-8645	701 MAIN STREET
		SUITE 100
NCIAL	JAMES A JOSEPH	STROUDSBURG PA
ULTANT	717-421-3100	18360

MONTHLY ACTIVITY SUMMARY

	DEBIT	CREDIT
COMBINED OPENING BALANCE		.00
PORTFOLIO PURCHASES	3,039.18	
PORTFOLIO SALES		2,955.74
PORTFOLIO INCOME		3.60
CHECKS/ADVANCES	.00	
GOLD CARD CHARGES PAID	.00	
INTEREST CHARGED	.00	
CASH RECEIVED	.00	79.84
CASH WITHDRAWN	.00	.00
OVERDRAFT LOAN ACTIVITY		.00
OTHER ACTIVITY		.00
COMBINED CLOSING BALANCE		.00

MARKET VALUE	3,292	SHORT BALANCE	.00
EQUITY	3,292	YTD INT CHARGED	.00
BUYING POWER	0	OVERDRAFT LOAN	.00
CASH AVAILABLE	0	AVG DAILY BAL	.00
LTD PTNRSHIPS*	6,000		

* NOT INCLUDED IN EQUITY OR MARKET VALUE.

PORTFOLIO INCOME SUMMARY

	CURRENT MONTH	YEAR TO DATE
8 CASH FUND DIVIDEND	.00	.00
A MUNI FUND DIVIDEND	.00	.00
A GOVT FUND DIVIDEND	.00	.00
VIDENDS	3.60	25.20
XABLE INTEREST	.00	.00
N-TAXABLE INTEREST	.00	.00
HER INCOME	.00	.00
TOTAL	3.60	25.20

MONTH'S AVERAGE BORROWING RATE: 8.75% TO 10.25%.

DIVERSIFICATION. IT ALLOWS YOU TO INVEST YOUR IRA DOLLARS IN A WIDE VARIETY OF INVESTMENT ALTERNATIVES. FLEXIBILITY. IT ENABLES YOU TO MOVE FROM ONE INVESTMENT ALTERNATIVE TO ANOTHER AS ECONOMIC CONDITIONS OR PERSONAL NEEDS DICTATE.

THEY'RE TWO IMPORTANT FEATURES OF YOUR INVESTOR MANAGED IRA WHICH SHOULDN'T BE IGNORED. SO WHY NOT REVIEW YOUR RETIREMENT PLAN PORTFOLIO TO ENSURE THAT ALL YOUR RETIREMENT DOLLARS ARE WORKING FOR YOU.

AND REMEMBER, YOU GAIN A DEFINITE EARNING ADVANTAGE BY MAKING YOUR 1986 IRA OR KEOGH CONTRIBUTION NOW. THROUGH THE COMPOUNDING OF INTEREST IN A TAX-DEFERRED ENVIRONMENT, YOUR IRA OR KEOGH CAN REALLY GROW.

SHEARSON
IMAN BROTHERS

An American Express company

Client Statement

***NICK JOE RAHALL II   685 60527 14 240   06/30/86 TO 07/27/86 PAGE

TRANSACTION ANALYSIS

DATE	QUANTITY BOT/RECD	QUANTITY SOLD/DEL	DESCRIPTION	ENTRY/PRICE	AMOUNT	BALANCES CASH	BALANCES FUND
			**** OPENING BALANCES ****			.00	
7/01/86			UNITS UNCOMMON VALUES UT TR 85 SER-FR UNDIVIDED INT CASH DIV ON 2403 SHS REC 06/13/86 PAY 07/01/86	DIV-RECD.	3.60CR	3.60CR	
7/02/86			SHEARSON DAILY DIVIDEND INC	INVESTMENT	3.60	.00	
07/11/86			SHEARSON DAILY DIVIDEND INC DIV REINVESTED $1.70	DIVIDEND	1.70CR	.00	
07/16/86	2961		UNITS UNCOMMON VALUES UT TR886 SER-FR UNDIVIDED INT ROLLOVER EXECUTION DT/TMS0771678670014134TMS	.9979	2,954.78	2,954.78	
7/16/86		2403	UNITS UNCOMMON VALUES UT TR 85 SER-FR UNDIVIDED INT ROLLOVER EXECUTION FULL PRICE IS 1.23002000	1 2300	2,955.74CR	.96CR	
7/21/86			SHEARSON DAILY DIVIDEND INC	INVESTMENT	.96	.00	
7/24/86			BALCOR PENSION INVESTORS-II DISTRIBUTION 13251846635591	CHECK	79.84CR	79.84CR	
7/25/86			SHEARSON DAILY DIVIDEND INC	INVESTMENT	79.84	.00	
			**** CLOSING BALANCES ****			.00	

PORTFOLIO ANALYSIS

QUANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	IND. INCOME % YLD	S&P RTG	IND EARNINGS PER SHR	P/E
2,961	UNITS UNCOMMON VALUES UT TR886 SER-FR UNDIVIDED INT	.96	2,842	77	86.3	.00	.00	.0		.00	
	*** FUND POSITIONS ***										
449	SHEARSON DAILY DIVIDEND INC. INCLUDES ACCRUED DIVIDENDS FROM 06/14/86 THRU 07/11/86 AVG YIELD FOR PERIOD 6.18%	1.00	449	SA	13.7	.00	.00	.0		.00	

SHEARSON
IMAN BROTHERS

Client Statemen

***NICK JOE RAHALL II   685 60527 14 240   06/30/86 TO 07/27/86 PAGE

PORTFOLIO ANALYSIS (CONT)

QUANTITY	DESCRIPTION	PRICE	VALUE	PORT		ANNUAL	IND. INCOME		S&P	IND EARNINGS	
				CD	%	DIV/INT	INCOME	% YLD	RTG	PER SHR	P/E
	TOTALS		3,292		100.0		.00				

INDUSTRY CLASSIFICATION

E	INDUSTRY	PORT %	VALUE	CODE	INDUSTRY	PORT %	VALUE
	UNITS	86.3	2,842	SA	MONEY FUNDS	13.7	449

LIMITED PARTNERSHIPS

THE FACE AMOUNT DOES NOT NECESSARILY REFLECT CURRENT MARKET VALUE.

DATE	DESCRIPTION	FACE AMOUNT
01/28/86	BALCOR EQUITY PEN INV III	2,000
01/10/85	BALCOR EQUITY PENSION INV II	4,000
	TOTAL FACE AMOUNT	6,000

SHEARSON
MAN BROTHERS

An American Express company

Client Statement

685 60527 14 240

07/28/86 TO 08/31/86 PAGE

PURSUANT TO YOUR INSTRUCTIONS THE FOLLOWING
HAVE ALSO RECEIVED COPIES OF THIS STATEMENT:
1. KELLY TO. BLANKENSHIP, CPA

***NICK JOE RAHALL II
SHEARSON AS IRA CUSTODIAN
THE CANNON BLDG
WASHINGTON DC 20515

NT	685 60527 14 240	INVESTMENT CENTER SHEARSON LEHMAN BROTHERS INC.
	236-78-8645	701 MAIN STREET SUITE 100
NCIAL ULTANT	JAMES A JOSEPH 717-421-3100	STROUDSBURG PA 18360

MONTHLY ACTIVITY SUMMARY

	DEBIT	CREDIT
COMBINED OPENING BALANCE		.00
PORTFOLIO PURCHASES	3.60	
PORTFOLIO SALES		.00
PORTFOLIO INCOME		3.60
CHECKS/ADVANCES	.00	
GOLD CARD CHARGES PAID	.00	
INTEREST CHARGED	.00	
CASH RECEIVED	.00	.00
CASH WITHDRAWN	.00	.00
OVERDRAFT LOAN ACTIVITY		.00
OTHER ACTIVITY		.00
COMBINED CLOSING BALANCE		.00

MARKET VALUE	3,357	SHORT BALANCE	.00
EQUITY	3,357	YTD INT CHARGED	.00
BUYING POWER	0	OVERDRAFT LOAN	.00
CASH AVAILABLE	0	AVG DAILY BAL	.00
LTD PTNRSHIPS*	6,000		

* NOT INCLUDED IN EQUITY OR MARKET VALUE.

PORTFOLIO INCOME SUMMARY

	CURRENT MONTH	YEAR TO DATE
A CASH FUND DIVIDEND	.00	.00
A MUNI FUND DIVIDEND	.00	.00
A GOVT FUND DIVIDEND	.00	.00
VIDENDS	3.60	28.80
XABLE INTEREST	.00	.00
N-TAXABLE INTEREST	.00	.00
HER INCOME	.00	.00
TOTAL	3.60	28.80

MONTH'S AVERAGE BORROWING RATE: 8.50% TO 10.00%.

TAX REFORM--WHAT WILL IT MEAN TO YOUR RETIREMENT PLAN STRATEGY?

IN RECENT WEEKS THERE HAS BEEN A LOT OF DISCUSSION ABOUT THE IMPACT OF TAX REFORM ON YOUR IRA. AS A SERIOUS INVESTOR, YOU NEED THE FACTS IN ORDER TO MAKE WISE INVESTMENT DECISIONS.

THAT'S WHY WE ARE PLEASED TO ENCLOSE A SPECIAL "TAX REFORM" ISSUE OF STRATEGY. IT WILL CLARIFY THE ISSUES SURROUNDING THE PROPOSED TAX LEGISLATION.

SINCE IT APPEARS THAT 1986 WILL BE THE LAST YEAR EVERYONE CAN MAKE A DEDUCTIBLE IRA CONTRIBUTION, DON'T DELAY. MAKE IT NOW AND GET THOSE DOLLARS WORKING FOR YOU.

SHEARSON
MAN BROTHERS

Client Statement

***NICK JOE RAHALL II   685 60527 14 240   07/28/86 TO 08/31/86   PAGE

TRANSACTION ANALYSIS

DATE	QUANTITY BOT/RECD	QUANTITY SOLD/DEL	DESCRIPTION	ENTRY/PRICE	AMOUNT	BALANCES CASH	BALANCES FUND
			**** OPENING BALANCES ****			.00	
8/01/86			UNITS UNCOMMON VALUES UT TR 85 SER-FR UNDIVIDED INT CASH DIV ON 2403 SHS REC 07/15/86 PAY 08/01/86	DIV-RECD.	3.60CR	3.60CR	
8/04/86			SHEARSON DAILY DIVIDEND INC	INVESTMENT	3.60	.00	
08/08/86			SHEARSON DAILY DIVIDEND INC DIV REINVESTED 01.84	DIVIDEND	1.84CR	.00	
			**** CLOSING BALANCES ****			.00	

PORTFOLIO ANALYSIS

QUANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	IND. INCOME % YLD	S&P RTG	IND EARNINGS PER SHR	IND EARNINGS P/E
2,961	UNITS UNCOMMON VALUES UT TR886 SER-FR UNDIVIDED INT	.98	2,901	77	86.4	.00	.00	.0		.00	
	*** FUND POSITIONS ***										
455	SHEARSON DAILY DIVIDEND INC. INCLUDES ACCRUED DIVIDENDS FROM 07/12/86 THRU 08/08/86 AVG YIELD FOR PERIOD 5.95%	1.00	455	SA	13.6	.00	.00	.0		.00	
	TOTALS		3,357		100.0		.00				

INDUSTRY CLASSIFICATION

INDUSTRY	PORT %	VALUE	CODE	INDUSTRY	PORT %	VALUE
UNITS	86.4	2,901	SA	MONEY FUNDS	13.6	455

Shearson Lehman Brothers Inc.
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The Robinson-Humphrey Company, Inc.

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Client Statement

***NICK JOE RAHALL II   685 60527 14 240   09/01/86 TO 09/28/86   PAGE 3

LIMITED PARTNERSHIPS

THE FACE AMOUNT DOES NOT NECESSARILY REFLECT CURRENT MARKET VALUE.

DATE	DESCRIPTION	FACE AMOUNT
01/28/86	BALCOR EQUITY PEN INV III	2,000
01/10/85	BALCOR EQUITY PENSION INV II	4,000
	TOTAL FACE AMOUNT	6,000

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Client Statement

***NICK JOE RAHALL II 685 60527 14 240 09/01/86 TO 09/28/86 PAGE 2

TRANSACTION ANALYSIS

DATE	QUANTITY BOT/RECD	QUANTITY SOLD/DEL	DESCRIPTION	ENTRY/ PRICE	AMOUNT	BALANCES CASH	BALANCES FUND
			**** OPENING BALANCES ****			.00	
9/02/86			UNITS UNCOMMON VALUES UT TR886 SER-FR UNDIVIDED INT CASH DIV ON 2961 SHS REC 08/14/86 PAY 09/01/86	DIV-RECD.	4.15CR	4.15CR	
9/03/86			SHEARSON DAILY DIVIDEND INC	INVESTMENT	4.15	.00	
9/12/86			SHEARSON DAILY DIVIDEND INC DIV REINVESTED $2.47	DIVIDEND	 2.47CR	.00	
9/17/86			BALCOR EQUITY PENSION III PREFORMATION 13251846681068	CHECK	61.54CR	61.54CR	
9/18/86			SHEARSON DAILY DIVIDEND INC	INVESTMENT	61.54	.00	
			**** CLOSING BALANCES ****			.00	

PORTFOLIO ANALYSIS

QUANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	IND. INCOME % YLD	S&P RTG	IND EARNINGS PER SHR	IND EARNINGS P/E
2,961	UNITS UNCOMMON VALUES UT TR886 SER-FR UNDIVIDED INT	.86	2,546	77	82.9	.00	.00	.0		.00	
	*** FUND POSITIONS ***										
523	SHEARSON DAILY DIVIDEND INC. INCLUDES ACCRUED DIVIDENDS FROM 08/09/86 THRU 09/12/86 AVG YIELD FOR PERIOD 5.68%	1.00	523	SA	17.1	.00	.00	.0		.00	
	TOTALS		3,070		100.0		.00				

INDUSTRY CLASSIFICATION

INDUSTRY	PORT %	VALUE	CODE	INDUSTRY	PORT %	VALUE
UNITS	82.9	2,546	SA	MONEY FUNDS	17.1	523

Shearson Lehman Brothers Inc.
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685 60527 14 240

09/29/86 TO 10/31/86 PAGE

PURSUANT TO YOUR INSTRUCTIONS THE FOLLOWING HAVE ALSO RECEIVED COPIES OF THIS STATEMENT:
1. KELLY TO. BLANKENSHIP, CPA

***NICK JOE RAHALL II
SHEARSON AS IRA CUSTODIAN
THE CANNON BLDG
WASHINGTON DC 20515

JNT	685 60527 14 240	INVESTMENT CENTER SHEARSON LEHMAN BROTHERS INC.
)	236-78-8645	701 MAIN STREET SUITE 100
ANCIAL SULTANT	JAMES A JOSEPH 717-421-3100	STROUDSBURG PA 18360

PORTFOLIO INCOME SUMMARY

	CURRENT MONTH	YEAR TO DATE
A CASH FUND DIVIDEND	.00	.00
A MUNI FUND DIVIDEND	.00	.00
A GOVT FUND DIVIDEND	.00	.00
VIDENDS	4.15	37.10
XABLE INTEREST	.00	.00
N-TAXABLE INTEREST	.00	.00
HER INCOME	.00	.00
TOTAL	4.15	37.10

MONTH'S AVERAGE BORROWING RATE: 7.75% TO 9.25%.

MONTHLY ACTIVITY SUMMARY

	DEBIT	CREDIT
COMBINED OPENING BALANCE		.00
PORTFOLIO PURCHASES	4.15	
PORTFOLIO SALES		.0
PORTFOLIO INCOME		4.15
CHECKS/ADVANCES	.00	
GOLD CARD CHARGES PAID	.00	
INTEREST CHARGED	.00	
CASH RECEIVED	.00	79.8
CASH WITHDRAWN	.00	.0
OVERDRAFT LOAN ACTIVITY		.0
OTHER ACTIVITY		.0
COMBINED CLOSING BALANCE		79.8

MARKET VALUE	3,253	SHORT BALANCE	.00
EQUITY	3,333	YTD INT CHARGED	.00
BUYING POWER	0	OVERDRAFT LOAN	.00
CASH AVAILABLE	0	AVG DAILY BAL	.00
LTD PTNRSHIPS*	6,000		

* NOT INCLUDED IN EQUITY OR MARKET VALUE.

YOUR IRA IS STILL A CRITICAL PART OF YOUR OVERALL FINANCIAL STRATEGY. 1986 MAY BE THE LAST YEAR THAT YOU WILL BE ELIGIBLE TO MAKE A TAX DEDUCTIBLE IRA CONTRIBUTION. HOWEVER, AN IRA IS MORE THAN A TAX DEDUCTION. IT ALLOWS YOUR MONEY TO COMPOUND IN A TAX-DEFERRED ENVIRONMENT.

SO MAKE YOUR 1986 IRA CONTRIBUTION NOW AND ENJOY THE BENEFITS OF TAX-DEFERRED GROWTH FROM THIS EARLY CONTRIBUTION.

UNDER TAX REFORM, YOU MAY NO LONGER QUALIFY FOR AN IRA DEDUCTION, BUT YOU MAY BE ELIGIBLE FOR A MUCH GREATER DEDUCTION. IF YOU HAVE SELF-EMPLOYED INCOME, YOU MAY QUALIFY FOR A DEDUCTION OF AS MUCH AS 25% OF EARNED INCOME OR $30,000. BUT YOUR KEOGH MUST BE ESTABLISHED BY DECEMBER 31! CALL YOUR FINANCIAL CONSULTANT NOW.

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IMAN BROTHERS

An American Express company

Client Statemen

***NICK JOE RAHALL II   685 60527 14 240   09/29/86 TO 10/31/86   PAGE

TRANSACTION ANALYSIS

DATE	QUANTITY		DESCRIPTION	ENTRY/ PRICE	AMOUNT	BALANCES	
	BOT/RECD	SOLD/DEL				CASH	FUND
			**** OPENING BALANCES ****			.00	
0/01/86			UNITS UNCOMMON VALUES UT TR886 SER-FR UNDIVIDED INT CASH DIV ON 2961 SHS REC 09/15/86 PAY 10/01/86	DIV-RECD.	4.15CR	4.15CR	
10/02/86			SHEARSON DAILY DIVIDEND INC	INVESTMENT	4.15	.00	
10/10/86			SHEARSON DAILY DIVIDEND INC DIV REINVESTED $2.15	DIVIDEND	2.15CR	.00	
10/31/86			BALCOR EQUITY PENSION II DISTRIBUTION 13251846635591	CHECK	79.84CR	79.84CR	
			**** CLOSING BALANCES ****			79.84CR	

PORTFOLIO ANALYSIS

QUANTITY	DESCRIPTION	PRICE	VALUE	PORT		ANNUAL	IND. INCOME		S&P	IND EARNING	
				CD	%	DIV/INT	INCOME	% YLD	RTG	PER SHR	P/
2,961	UNITS UNCOMMON VALUES UT TR886 SER-FR UNDIVIDED INT	.92	2,724	77	83.7	.00	.00	.0		.00	
	*** FUND POSITIONS ***										
529	SHEARSON DAILY DIVIDEND INC. INCLUDES ACCRUED DIVIDENDS FROM 09/13/86 THRU 10/10/86 AVG YIELD FOR PERIOD 5.36%	1.00	529	SA	16.3	.00	.00	.0		.00	
	TOTALS		3,253		100.0		.00				

INDUSTRY CLASSIFICATION

	INDUSTRY	PORT %	VALUE	CODE	INDUSTRY	PORT %	VALUE
	UNITS	83.7	2,724	SA	MONEY FUNDS	16.3	529

Shearson Lehman Brothers Inc
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***NICK JOE RAHALL II 685 60527 14 240 09/29/86 TO 10/31/86 PAGE

LIMITED PARTNERSHIPS

THE FACE AMOUNT DOES NOT NECESSARILY REFLECT CURRENT MARKET VALUE.

DATE	DESCRIPTION	FACE AMOUNT
01/28/86	BALCOR EQUITY PEN INV III	2,000
01/10/85	BALCOR EQUITY PENSION INV II	4,000
	TOTAL FACE AMOUNT	6,000

Shearson Lehman Brothers Inc
Foster & Marshall Inc
The Robinson-Humphrey Company, Inc

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Client Statement

685 60527 14 240

11/01/86 TO 11/30/86 PAGE 1

PURSUANT TO YOUR INSTRUCTIONS THE FOLLOWING HAVE ALSO RECEIVED COPIES OF THIS STATEMENT:
1. KELLY TO. BLANKENSHIP, CPA

***NICK JOE RAHALL II
SHEARSON AS IRA CUSTODIAN
THE CANNON BLDG
WASHINGTON DC 20515

NT	685 60527 14 240	INVESTMENT CENTER SHEARSON LEHMAN BROTHERS INC. 701 MAIN STREET SUITE 100 STROUDSBURG PA 18360
	236-78-8645	
NCIAL ULTANT	JAMES A JOSEPH 717-421-3100	

PORTFOLIO INCOME SUMMARY

	CURRENT MONTH	YEAR TO DATE
CASH FUND DIVIDEND	.00	.00
MUNI FUND DIVIDEND	.00	.00
GOVT FUND DIVIDEND	.00	.00
IDENDS	4.15	41.25
ABLE INTEREST	.00	.00
I-TAXABLE INTEREST	.00	.00
ER INCOME	.00	.00
TOTAL	4.15	41.25

ONTH'S AVERAGE BORROWING RATE: 7.75% TO 9.25%.

MONTHLY ACTIVITY SUMMARY

	DEBIT	CREDIT
COMBINED OPENING BALANCE		79.84
PORTFOLIO PURCHASES	83.99	
PORTFOLIO SALES		.00
PORTFOLIO INCOME		4.15
CHECKS/ADVANCES	.00	
GOLD CARD CHARGES PAID	.00	
INTEREST CHARGED	.00	
CASH RECEIVED	.00	.00
CASH WITHDRAWN	.00	.00
OVERDRAFT LOAN ACTIVITY		.00
OTHER ACTIVITY		.00
COMBINED CLOSING BALANCE		.00

MARKET VALUE	3,311	SHORT BALANCE	.00
EQUITY	3,311	YTD INT CHARGED	.00
BUYING POWER	0	OVERDRAFT LOAN	.00
CASH AVAILABLE	0	AVG DAILY BAL	.00
LTD PTNRSHIPS*	6,000		

* NOT INCLUDED IN EQUITY OR MARKET VALUE.

THERE IS NO TIME LIKE THE PRESENT TO CONTRIBUTE TO YOUR 1986 IRA AND KEOGH ACCOUNT. REMEMBER, THE EARLIER YOU FUND YOUR RETIREMENT ACCOUNT THE GREATER THE TAX DEFERRED SAVINGS.

HOW YOU INVEST YOUR RETIREMENT DOLLARS IS JUST AS IMPORTANT AS WHEN YOU MAKE YOUR CONTRIBUTION. CALL YOUR FINANCIAL CONSULTANT FOR INVESTMENT IDEAS!

ALONG WITH NEXT MONTH'S STATEMENT WILL BE OUR NEXT ISSUE OF "STRATEGY". THIS ISSUE WILL BE DIRECTLY RELATED TO TAX REFORM.

SHEARSON
IMAN BROTHERS

Client Statement

***NICK JOE RAHALL II   685 60527 14 240   11/01/86 TO 11/30/86   PAGE 2

TRANSACTION ANALYSIS

DATE	QUANTITY BOT/RECD	QUANTITY SOLD/DEL	DESCRIPTION	ENTRY/ PRICE	AMOUNT	BALANCES CASH	BALANCES FUND
			**** OPENING BALANCES ****			79.84CR	
1/03/86			SHEARSON DAILY DIVIDEND INC	INVESTMENT	79.84	.00	
1/03/86			UNITS UNCOMMON VALUES UT TR886 SER-FR UNDIVIDED INT CASH DIV ON 2961 SHS REC 10/15/86 PAY 11/01/86	DIV-RECD.	4.15CR	4.15CR	
11/04/86			SHEARSON DAILY DIVIDEND INC	INVESTMENT	4.15	.00	
11/14/86			SHEARSON DAILY DIVIDEND INC DIV REINVESTED $2.86	DIVIDEND	2.86CR	.00	
			**** CLOSING BALANCES ****			.00	

PORTFOLIO ANALYSIS

QUANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	IND. INCOME % YLD	S&P RTG	IND EARNINGS PER SHR	IND EARNINGS P/E
2,961	UNITS UNCOMMON VALUES UT TR886 SER-FR UNDIVIDED INT	.91	2,694	77	81.4	.00	.00	.0		.00	
	*** FUND POSITIONS ***										
616	SHEARSON DAILY DIVIDEND INC. INCLUDES ACCRUED DIVIDENDS FROM 10/11/86 THRU 11/14/86 AVG YIELD FOR PERIOD 5.24%	1.00	616	SA	18.6	.00	.00	.0		.00	
	TOTALS		3,311		100.0		.00				

BOND PRICES MAY DIFFER FROM CURRENT MARKET QUOTES. COMPUTERIZED PRICING SERVICES ARE OFTEN UNABLE TO SUPPLY US WITH UP-TO-THE-MINUTE INFORMATION. PRICES ARE PROVIDED ONLY AS A GENERAL GUIDE TO PORTFOLIO VALUE.

INDUSTRY CLASSIFICATION

INDUSTRY	PORT %	VALUE	CODE	INDUSTRY	PORT %	VALUE
UNITS	81.4	2,694	SA	MONEY FUNDS	18.6	616

SHEARSON
MAN BROTHERS

Client Statement

***NICK JOE RAHALL II   685 60527 14 240   11/01/86 TO 11/30/86   PAGE 3

LIMITED PARTNERSHIPS

THE FACE AMOUNT DOES NOT NECESSARILY REFLECT CURRENT MARKET VALUE.

DATE	DESCRIPTION	FACE AMOUNT
01/28/86	BALCOR EQUITY PEN INV III	2,000
01/10/85	BALCOR EQUITY PENSION INV II	4,000
	TOTAL FACE AMOUNT	6,000

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Client Statement

685 60527 14 240

12/01/86 TO 12/31/86 PAGE 1

PURSUANT TO YOUR INSTRUCTIONS THE FOLLOWING
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1. KELLY TO. BLANKENSHIP, CPA
2. N JOE RAHALL

***NICK JOE RAHALL II
SHEARSON AS IRA CUSTODIAN
THE CANNON BLDG
WASHINGTON DC 20515

NT	685 60527 14 240	INVESTMENT CENTER SHEARSON LEHMAN BROTHERS INC.
	236-78-8645	701 MAIN STREET SUITE 100
NCIAL ULTANT	JAMES & JOSEPH 717-421-3100	STROUDSBURG PA 18360

PORTFOLIO INCOME SUMMARY

	CURRENT MONTH	YEAR TO DATE
CASH FUND DIVIDEND	.00	.00
MUNI FUND DIVIDEND	.00	.00
GOVT FUND DIVIDEND	.00	.00
IDENDS	4.15	45.40
ABLE INTEREST	.00	.00
-TAXABLE INTEREST	.00	.00
ER INCOME	.00	.00
TOTAL	4.15	45.40

NTH'S AVERAGE BORROWING RATE: 9.75% TO 11.25%.

MONTHLY ACTIVITY SUMMARY

	DEBIT	CREDIT
COMBINED OPENING BALANCE		.00
PORTFOLIO PURCHASES	4.15	
PORTFOLIO SALES		.00
PORTFOLIO INCOME		4.15
CHECKS/ADVANCES	.00	
GOLD CARD CHARGES PAID	.00	
INTEREST CHARGED	.00	
CASH RECEIVED	.00	.00
CASH WITHDRAWN	.00	.00
OVERDRAFT LOAN ACTIVITY		.00
OTHER ACTIVITY		.00
COMBINED CLOSING BALANCE		.00

MARKET VALUE	3,319	SHORT BALANCE	.00
EQUITY	3,319	YTD INT CHARGED	.00
BUYING POWER	0	OVERDRAFT LOAN	.00
CASH AVAILABLE	0	AVG DAILY BAL	.00
LTD PTNRSHIPS*	6,000		

* NOT INCLUDED IN EQUITY OR MARKET VALUE.

DON'T FORGET TO MAKE YOUR 1986 IRA AND KEOGH CONTRIBUTION BY APRIL 15, 1987.
THIS IS THE LAST TAX YEAR IN WHICH EVERYONE CAN TAKE AN IRA DEDUCTION.
CONTRIBUTE NOW AND TAKE ADVANTAGE OF THE TAX-DEFERRED COMPOUNDING.

NEXT MONTH YOU WILL SEE AN ENHANCEMENT TO THE IRA STATEMENT. THE NEW STATEMENT
WILL REFLECT PRIOR/CURRENT YEAR CONTRIBUTIONS AS WELL AS DISTRIBUTIONS.
DUE TO TAX REFORM, THE 1987 CONTRIBUTIONS WILL BE DESIGNATED AS THE FOLLOWING:
DEDUCTIBLE, NON-DEDUCTIBLE AND NON-SPECIFIED.

Shearson Lehman Brothers Inc
Foster & Marshall Inc
The Robinson-Humphrey Company Inc

SHEARSON
IMAN BROTHERS

An American Express company

Client Statement

***NICK JOE RAHALL II   685 60527 14 240   12/01/86 TO 12/31/86 PAGE 2

TRANSACTION ANALYSIS

DATE	QUANTITY BOT/RECD	QUANTITY SOLD/DEL	DESCRIPTION	ENTRY/ PRICE	AMOUNT	BALANCES CASH	BALANCES FUND
			**** OPENING BALANCES ****			.00	
2/01/86			UNITS UNCOMMON VALUES UT TR#86 SER-FR UNDIVIDED INT CASH DIV ON 2961 SHS REC 11/14/86 PAY 12/01/86	DIV-RECD.	4.15CR	4.15CR	
12/02/86			SHEARSON DAILY DIVIDEND INC	INVESTMENT	4.15	.00	
12/12/86			SHEARSON DAILY DIVIDEND INC DIV REINVESTED $2.50	DIVIDEND	2.50CR	.00	
12/31/86			SHEARSON DAILY DIVIDEND INC DIV REINVESTED $1.82	DIVIDEND	1.82CR	.00	
			**** CLOSING BALANCES ****			.00	

PORTFOLIO ANALYSIS

QUANTITY	DESCRIPTION	PRICE	VALUE	PORT CD	PORT %	ANNUAL DIV/INT	IND. INCOME INCOME	IND. INCOME % YLD	S&P RTG	IND EARNINGS PER SHR	IND EARNINGS P/E
2,961	UNITS UNCOMMON VALUES UT TR#86 SER-FR UNDIVIDED INT	.91	2,694	77	81.2	.00	.00	.0		.00	
	*** FUND POSITIONS ***										
625	SHEARSON DAILY DIVIDEND INC. INCLUDES ACCRUED DIVIDENDS FROM 11/15/86 THRU 12/31/86 AVG YIELD FOR PERIOD 5.41%	1.00	625	SA	18.8	.00	.00	.0		.00	
	TOTALS		3,319		100.0		.00				

BOND PRICES MAY DIFFER FROM CURRENT MARKET QUOTES. COMPUTERIZED PRICING SERVICES ARE OFTEN UNABLE TO SUPPLY US WITH UP-TO-THE-MINUTE INFORMATION. PRICES ARE PROVIDED ONLY AS A GENERAL GUIDE TO PORTFOLIO VALUE.

INDUSTRY CLASSIFICATION

INDUSTRY	PORT %	VALUE	CODE	INDUSTRY	PORT %	VALUE
UNITS	81.2	2,694	SA	MONEY FUNDS	18.8	625

SHEARSON
IMAN BROTHERS
An American Express company

Client Statement

***NICK JOE RAHALL II   685 60527 14 240   12/01/86 TO 12/31/86   PAGE 1

LIMITED PARTNERSHIPS

THE FACE AMOUNT DOES NOT NECESSARILY REFLECT CURRENT MARKET VALUE.

DATE	DESCRIPTION	FACE AMOUNT
01/28/86	BALCOR EQUITY PEN INV III	2,000
01/10/85	BALCOR EQUITY PENSION INV II	4,000
	TOTAL FACE AMOUNT	6,000

Shearson Lehman Brothers Inc
Foster & Marshall Inc
The Robinson-Humphrey Company, Inc

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Client Statement

***NICK JOE RAHALL II   685 60527 14 240   12/01/86 TO 12/31/86   PAGE 4

YEAR-END PORTFOLIO INCOME SUMMARY FOR 1986

DESCRIPTION	TAXABLE DIVIDEND INCOME	NON-TAXABLE DIVIDEND INCOME	TAXABLE INTEREST INCOME	NON-TAXABLE INTEREST INCOME
UNITS UNCOMMON VALUES UT TR 85 SER-FR UNDIVIDED INT	28.80			
UNITS UNCOMMON VALUES UT TR886 SER-FR UNDIVIDED INT	16.60			
TOTALS	45.40	.00	.00	.00
** SHEARSON DAILY DIVIDEND INC	28.96			
TOTALS	28.96	.00	.00	.00
GRAND TOTAL $74.36				

** DIVIDENDS AND/OR INTEREST PAID ON THESE ISSUES (WITH THE EXCEPTION OF FMA FUNDS) ARE NOT INCLUDED IN THE YTD AMOUNT REFLECTED ON PAGE 1 OF THIS STATEMENT.

1986 INVESTMENT SUMMARY

DATE	QUANTITY BOUGHT	QUANTITY SOLD	DESCRIPTION	ACCRUED INTEREST	PRICE	AMOUNT
07/18/86		2403	UNITS UNCOMMON VALUES UT TR 85 SER-FR UNDIVIDED INT ROLLOVER EXECUTION		1 2300	2,955.74CR
01/28/86	2000		BALCOR EQUITY PEN INV III SUBJ TO CLOSE OR ACPT BY SPNSR		1	2,000.00
07/18/86	2961		UNITS UNCOMMON VALUES UT TR886 SER-FR UNDIVIDED INT ROLLOVER EXECUTION		9979	2,954.78
			TOTAL PURCHASES			$4,954.78
			TOTAL SALES			$2,955.74CR

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***NICK JOE RAHALL II

685 60527 14 240

12/01/86 TO 12/31/86 PAGE

1986 TEFRA REPORTING

NET PROCEEDS OF SALES	TAXABLE DIVIDEND INCOME	TAXABLE INTEREST INCOME	TAXABLE ACCRUED INTEREST
$0.00	$0.00	$0.00	$0.00

SHEARSON
MAN BROTHERS

Client Statement

685 60527 14 240

09/01/86 TO 09/28/86 PAGE 1

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THE CANNON BLDG
WASHINGTON DC 20515

NT	685 60527 14 240	INVESTMENT CENTER SHEARSON LEHMAN BROTHERS INC.
	236-78-8645	701 MAIN STREET SUITE 100
CIAL LTANT	JAMES A JOSEPH 717-421-3100	STROUDSBURG PA 18360

PORTFOLIO INCOME SUMMARY

	CURRENT MONTH	YEAR TO DATE
CASH FUND DIVIDEND	.00	.00
MUNI FUND DIVIDEND	.00	.00
GOVT FUND DIVIDEND	.00	.00
IDENDS	4.15	32.95
ABLE INTEREST	.00	.00
-TAXABLE INTEREST	.00	.00
ER INCOME	.00	.00
TOTAL	4.15	32.95

ONTH'S AVERAGE BORROWING RATE: 8.00% TO 9.50%.

MONTHLY ACTIVITY SUMMARY

	DEBIT	CREDIT
COMBINED OPENING BALANCE		.00
PORTFOLIO PURCHASES	65.69	
PORTFOLIO SALES		.00
PORTFOLIO INCOME		4.15
CHECKS/ADVANCES	.00	
GOLD CARD CHARGES PAID	.00	
INTEREST CHARGED	.00	
CASH RECEIVED	.00	61.54
CASH WITHDRAWN	.00	.00
OVERDRAFT LOAN ACTIVITY		.00
OTHER ACTIVITY		.00
COMBINED CLOSING BALANCE		.00

MARKET VALUE	3,070	SHORT BALANCE	.00
EQUITY	3,070	YTD INT CHARGED	.00
BUYING POWER	0	OVERDRAFT LOAN	.00
CASH AVAILABLE	0	AVG DAILY BAL	.00
LTD PTNRSHIPS*	6,000		

* NOT INCLUDED IN EQUITY OR MARKET VALUE.

1986 WILL BE THE LAST TAX YEAR THAT ALL WORKING INDIVIDUALS WILL BE ELIGIBLE TO MAKE A TAX DEDUCTIBLE CONTRIBUTION TO AN IRA.

AT SHEARSON LEHMAN, WE DON'T LOOK AT THIS AS YOUR LAST CHANCE IRA, BUT AS YOUR BEST CHANCE IRA. BY MAKING YOUR IRA CONTRIBUTION NOW, YOU WILL RECEIVE A 1986 IRA DEDUCTION. PLUS, YOU ENJOY THE BENEFIT OF SIX MONTHS TAX-DEFERRED GROWTH FROM EARLY CONTRIBUTIONS.

REMEMBER, THE EARNINGS ON YOUR IRA PORTFOLIO WILL CONTINUE TO GROW TAX-DEFERRED. THEREFORE, IT'S IMPORTANT TO GET ALL YOUR DOLLARS WORKING FOR YOU IN A DIVERSIFIED INVESTMENT STRATEGY.
ALSO, CHECK YOUR SS/TAX ID NUMBER ABOVE, TO INSURE ACCURATE TAX REPORTING.

SHEARSON
HMAN BROTHERS
An American Express company

Client Statemen

***NICK JOE RAHALL II

685 60527 14 240

07/28/86 TO C8/31/86 PAGE

LIMITED PARTNERSHIPS

THE FACE AMOUNT DOES NOT NECESSARILY REFLECT CURRENT MARKET VALUE.

DATE	DESCRIPTION	FACE AMOUNT
01/28/86	BALCOR EQUITY PEN INV III	2,000
01/10/85	BALCOR EQUITY PENSION INV II	4,000
	TOTAL FACE AMOUNT	6,000

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Charles Bernard Rangel
(Full Name)

2330 Rayburn House Office Bldg.
(Mailing Address)

Washington, D. C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

XX Member of the U.S. House of Representatives—District 16th State New York

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __XX__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions: the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Nat'l Assn of Temporary Services 2/5/86	honoraria	500.	
Chamber of Commerce of the US 2/5/86	"	400.	
Voluntary Hospitals of America 2/5/86	"	2,000.	
Nat'l Venture Capital Assn 2/5/86	"	2,000.	
First Boston Corp	"	1,500.	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
New England Mutual Life	Interest	A
The Bowery Savings Bank	"	A
The Equitable Life Assurance Society	"	A
Wright Patman Cong. Federal Credit Union	"	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO XX NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
74 West 132nd Street, New York, NY 10037	D
(a brownstone containing six apartments, one of	
which is my residence)	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
final distribution of CCC Stock	6/13/86	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
New York City Finance Administration 100 Gold Street New York, NY 10038 (balance on mortgage for brownstone containing six apartments, one of which is my residence)	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Joe L. Reed $640. on 5/17/86	air-fare between Miami/Atlanta/ Montgomery/Atlanta/New York in conjunction w/speaking engagement

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 14, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

[illegible]

Page 2 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Charles Bernard Rangel
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
II.A.	Credit Union Nat'l Assn	2/25/86	honoraria	1,000.
	Nat'l Puerto Rican Coalition	2/26/86	"	750.
	Int'l Fiscal Assn USA Branch	2/27/86	"	2,000.
	Republic Nat'l Bank of NY	2/28/86	"	2,000.
	Washington Campus, Inc.	3/3/86	"	500.
	Organization Management, Inc.	3/7/86	"	2,000.
	Impact Educational Fund, Inc.	3/17/86	"	50.
	Graphic Communications Int'l Union	4/14/86	"	1,000.
	Kenneth G.Meuser Associates	4/25/86	"	1,000.
	Squibb Corp	5/28/86	"	2,000.
	Nat'l Assn of Temporary Services	6/10/86	"	500.
	Pfizer, Inc.	7/9/86	"	1,000.
	Ernest Wittenberg Associates	9/[illegible]7/86	"	2,000.
	Lester L. Wolff Consulting Co. (spouse)			

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

ARTHUR RAVENEL JR
(Full Name)

635 East Bay Street
(Mailing Address)

Charleston, S C 29403

MC (Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District First State S C

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

8 7 0 0 3 1 2 3 7 7

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

Respondent was not a Member of the
US House of Representatives in 1986.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Arthur Ravenel jr & Co	Salary	$13,600.00	Personal Use
State of South Carolina	Salary	10,000.00	Personal Use
State of South Carolina	Expense	8,540.00	Business Exp
Arthur Ravenel jr & Co Spouse	Salary	6,500.00	Personal Use

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See Separate statement attached.		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See separate statement attached.	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
See separate statement attached.		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
Mrs Andrew Laurens	E

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13

POSITION	NAME OF ORGANIZATION
President	West Ashley Awning & Manufacturing Company
President	Arthur Ravenel jr & Company
President	Fidelity Investment & Trust Inc
President	The Charleston Corporation

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
ARTHUR RAVENEL JR Arthur Ravenel	May 1, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

ATTACHMENT TO FINANCIAL DISCLOSURE STATEMENT FOR 1986 FOR MEMBERS OF UNITED STATES HOUSE OF REPRESENTATIVES - Due May 15, 1987

ARTHUR RAVENEL JR - MEMBER FOR FIRST DISTRICT OF SOUTH CAROLINA

PART II-B: UNEARNED INCOME

Source		Type	Category
Sthn Bancorprthn - common stock	[S]	Dividend	B
Bell Atlantic - common stock	[S]	Dividend	C
Bellsouth - common stock	[S]	Dividend	C
Foster Wheeler - common stock	[S]	Dividend	A
NBSC Corp - common stock	[S]	Dividend	C
LSB Bancshares - common stock	[S]	Dividend	B
Texaco - common stock	[S]	Dividend	B
RHNB Corp - common stock	[S]	Dividend	A
AT&T - common stock	[S]	Dividend	A
Bellsouth - common stock		Dividend	D
Foster Wheeler - common stock		Dividend	A
LSB Bancshares - common stock		Dividend	A
Liberty National Bank		Interest	A
First Union Bank	[S]	Interest	A
Merrill Lynch Funds	[S]	Interest	C
Real Estate Mortgage - Vernon Staubes (Apartment complex)		Interest	E

PART III: HOLDINGS

West Ashley Awning & Mfg Co - common stock		F
Arthur Ravenel jr & Co - common stock		D
Real Estate Mortgage - Vernon Staubes - Apartments		F
Bellsouth - common stock		E
Foster Wheeler - common stock		C
LSB Bancshares - common stock		C
Ryans Steak House - common stock		C
AT&T - common stock	[S]	C
Bell Atlantic - common stock	[S]	D
Bellsouth - common stock	[S]	D
Foster Wheeler - common stock	[S]	C
LSB Bancshares - common stock	[S]	C
NBSC Corp - common stock	[S]	D
RHNB Corp - common stock	[S]	C
Ryans Steack House - common stk	[S]	E
Texaco - common stock	[S]	C

PART IV: TRANSACTIONS

SOLD - Sthn Bancorprthn common stock	[S]	Apr	E
- PO Folks bond	[S]	Jan	C
- D Lites America common stock	[S]	Dec	A
- Electro Biology common stock	[S]	Dec	B
Purchased - Ryans Steak Hse common stock		Jul	C
- LSB Bancshares common stock		Jul	C
- LSB Bancshares common	[S]	May	D
- NBSC Corp common	[S]	Apr	D
- Ryans Steak Hse common	[S]	Jun/Jul	E
- RHNB Corp common	[S]	Jun	C
- AT&T common	[S]	Jul	C
- Texaco common	[S]	Jul	C

[S] = Spouse

Arthur Ravenel Jr.
635 East Bay Street
Charleston S. C.
29403

INSIDE MAIL

June 2, 1987

U. S. House of Representatives
Committee on Standards of Official Conduct
Suite HT-2, U. S. Capitol
Washington, D. C. 20515

RE: Amended Financial Disclosure Stmt.
for Congressman Arthur Ravenel, Jr.

Gentlemen:

Enclosed you will find a copy of your letter requesting corrections of deficiencies on the Financial Disclosure Statement, the Checklist with notations and the returned copy of the Disclosure Statement from you with additional notations on it. Also, corrections on the Attachment to the Statement.

We chose to use the alternative, which with this cover letter and the corrections we hope will suffice.

If this is not satisfactory to you, please contact us again.

Sincerely,

Helen A. Butler, Bookkeeper

/hab

FINANCIAL DISCLOSURE CHECKLIST--FORM A

FILER: HONORABLE ARTHUR RAVENEL, JR.

PART I. ( ) SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION BOX NOT CHECKED (p. 1).

PART II. INCOME

A. Earned Income
( ) 1. If none, so indicate.
( ) 2. Indicate date of receipt for honoraria.
( ) 3. Honorarium limit of $2,000 per event exceeded.
( ) 4. Source not properly shown.
( ) 5. Type not properly shown.
( ) 6. Gross amount not properly shown.
( ) 7. Outside earned income limitation exceeded by _.
( ) 8. Explanation: _

B. Unearned Income
( ) 1. If none, so indicate.
( ) 2. Source not properly shown.
( ) 3. Type not properly shown.
( ) 4. Category of value not properly shown.
( ) 5. Explanation: _

PART III. HOLDINGS
( ) 1. If none, so indicate.
(X) 2. TRUST HOLDINGS BOX NOT CHECKED (p. 2). checked "No."
(X) 3. Identity or category not properly reported. Footnote on Attachment
( ) 4. Indicate disposition of holdings reported in preceding year.
(X) 5. Assets generating income at Part II-B not reported. ML CMA Fund Closed
(X) 6. Explanation: (3) Show nature of business, location, and major assets for Arthur Ravenel, Jr. & Co. (5) Merrill Lynch funds (show separately if value exceeds $1,000).

PART IV. TRANSACTIONS
( ) 1. If none, so indicate.
( ) 2. Description not properly shown.
( ) 3. Date not properly shown.
( ) 4. Category of value not properly shown.
( ) 5. Indicate whether purchased, sold, or exchanged.
( ) 6. New/old holding(s) not shown as acquisition(s)/sale(s).
( ) 7. Explanation: _

PART V. LIABILITIES
( ) 1. If none, so indicate.
( ) 2. Liabilities not identified sufficiently.
(X) 3. Type of indebtedness not indicated. Mortgage - see Part V.
( ) 4. Explanation: _

PART VI. GIFTS

A. Transportation, Lodging, Food, or Entertainment (value of $250 or more)
( ) 1. If none, so indicate.
( ) 2. Source not sufficient.
( ) 3. Description not sufficient.
( ) 4. Explanation: _

B. All Other Gifts (value of $100 or more)
( ) 1. If none, so indicate.
( ) 2. Source not sufficient.
( ) 3. Description not sufficient.
( ) 4. Value not properly reported.
( ) 5. Explanation: _

PART VII. REIMBURSEMENTS
( ) 1. If none, so indicate.
( ) 2. Source not sufficient.
( ) 3. Description not sufficient.
( ) 4. Explanation: _

PART VIII. POSITIONS
( ) 1. If none, so indicate.
( ) 2. Position not sufficiently identified.
( ) 3. Name of organization not sufficient.
( ) 4. Explanation: _

PART IX. AGREEMENTS
( ) 1. If none, so indicate.
( ) 2. More detail needed on agreements.
( ) 3. Explanation: _

( ) STATEMENT NOT SIGNED OR DATED.
( ) ILLEGIBLE.

All changes made as requested.

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

ARTHUR RAVENEL JR
(Full Name)

635 East Bay Street
(Mailing Address)

Charleston, S C 29403

MC
1987 JUN -5
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District Firs State S C

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

Respondent was not a Member of the
US House of Representatives in 1986.

For more information, see detailed Instruction Booklet at page 7

A SOURCE	TYPE	AMOUNT	DISPOSITION
Arthur Ravenel jr & Co	Salary	$13,600.00	Personal Use
State of South Carolina	Salary	10,000.00	Personal Use
State of South Carolina	Expense	8,540.00	Business Exp
Arthur Ravenel jr & Co Spouse	Salary	6,500.00	Personal Use

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See Separate statement attached.		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __XX__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See separate statement attached.	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
See separate statement attached.		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Mrs Andrew Laurens [home mortgage]	E

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE		BRIEF DESCRIPTION
	None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
President	West Ashley Awning & Manufacturing Company
President	Arthur Ravenel jr & Company
President	Fidelity Investment & Trust Inc
President	The Charleston Corporation

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
ARTHUR RAVENEL JR ✓ Arthur Ravenel Jr	May 1, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

ATTACHMENT TO FINANCIAL DISCLOSURE STATEMENT FOR 1986 FOR MEMBERS
OF UNITED STATES HOUSE OF REPRESENTATIVES - Due May 15, 1987

ARTHUR RAVENEL JR - MEMBER FOR FIRST DISTRICT OF SOUTH CAROLINA

PART II-B: UNEARNED INCOME

Source		Type	Category
Sthn Bancorprthn - common stock	[S]	Dividend	B
Bell Atlantic - common stock	[S]	Dividend	C
Bellsouth - common stock	[S]	Dividend	C
Foster Wheeler - common stock	[S]	Dividend	A
NBSC Corp - common stock	[S]	Dividend	C
LSB Bancshares - common stock	[S]	Dividend	B
Texaco - common stock	[S]	Dividend	B
RHNB Corp - common stock	[S]	Dividend	A
AT&T - common stock	[S]	Dividend	A
Bellsouth - common stock		Dividend	D
Foster Wheeler - common stock		Dividend	A
LSB Bancshares - common stock		Dividend	A
Liberty National Bank		Interest	A
First Union Bank	[S]	Interest	A
Merrill Lynch Funds - CMA (Disposed of in June 1986)	[S]	Interest	C
Real Estate Mortgage - Vernon Staubes (Apartment complex)		Interest	E

PART III: HOLDINGS

West Ashley Awning & Mfg Co - common stock		F
Arthur Ravenel jr & Co - common stock [see footnote]		D
Real Estate Mortgage - Vernon Staubes - Apartments		F
Bellsouth - common stock		E
Foster Wheeler - common stock		C
LSB Bancshares - common stock		C
Ryans Steak House - common stock		C
AT&T - common stock	[S]	C
Bell Atlantic - common stock	[S]	D
Bellsouth - common stock	[S]	D
Foster Wheeler - common stock	[S]	C
LSB Bancshares - common stock	[S]	C
NBSC Corp - common stock	[S]	D
RHNB Corp - common stock	[S]	C
Ryans Steack House - common stk	[S]	E
Texaco - common stock	[S]	C

PART IV: TRANSACTIONS

SOLD	- Sthn Bancorprthn common stock	[S]	Apr	E
	- PO Folks bond	[S]	Jan	C
	- D Lites America common stock	[S]	Dec	A
	- Electro Biology common stock	[S]	Dec	B
Purchased	- Ryans Steak Hse common stock		Jul	C
	- LSB Bancshares common stock		Jul	C
	- LSB Bancshares common	[S]	May	D
	- NBSC Corp common	[S]	Apr	D
	- Ryans Steak Hse common	[S]	Jun/Jul	E
	- RHNB Corp common	[S]	Jun	C
	- AT&T common	[S]	Jul	C
	- Texaco common	[S]	Jul	C

[S] = Spouse

Note: Arthur Ravenel jr & Co, formerly a residential contruction company, is now chiefly a maintenance service company for privately held rental units and is located in Charleston, SC. Company assets, totalling less than $100M, is made up chiefly of marketable securities and notes receivable plus one land lot, also located in Charleston, SC.

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Richard B. Ray
(Full Name)

425 Cannon House Office Building
(Mailing Address)

Washington, D.C 20515

mc
(Office Use Only)

Check the appropriate box and fill in the blanks:

☒ Member of the U.S. House of Representatives—District 3 State GA

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See Attachment 1			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See Attachment 1		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See,* Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See Attachment 2	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
See Attachment 4		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
See Attachment 3	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
See Attachment 5	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Richard B. Ray	May 29 87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

ATTACHMENT 1

Richard B. and Barbara G. Ray
Financial Disclosure
Statement for 1986
Supporting Information

Part II - Earned Income

A. SOURCE	DATE	TYPE	AMOUNT
McDonnell Douglas Corp.	rec'd 1/27	Honorarium	$1,000
Boeing	rec'd 1/27	"	2,000
Northrop Corp	rec'd 2/3	"	2,000
Lockheed	rec'd 2/17	"	1,000
Rockwell Int'l Corp	rec'd 3/5 , 9/8	"	2,000
KMS Fusion Labs	rec'd 4/16	"	1,000
Cessna Aircraft Co	rec'd 9/8	"	1,000
Beech	rec'd 9/8	"	1,000
General Dynamics	rec'd 9/8	"	1,000
Hughes Aircraft	rec'd 9/16	"	2,000
FMC	rec'd 9/19	"	1,000
TRW, Inc	rec'd 9/15	"	1,000
Textron	rec'd 11/12	"	1,000

Part II - Unearned Income

B. SOURCE	TYPE	CATEGORY
EIF First Exchange ST AT&T	Dividends	A
American Electric Power Co	Dividends	A
CMA Government Fund	Dividends	B
Columbus Bank & Trust	Interest	A
Trust Co. Bank of Middle, GA., Macon, GA	Interest	A
First American Bank of VA	Interest	A
Citizens Bank of Fort Valley, GA	Interest	C
Duplex - #5 Maryland Crt, Highland Hill Subdivision, Columbus, GA	Rents	D
Historic Prop. - Land Lot 114 & Bldgs., 6th District, Peach Co. Rum Road Byron, GA	Rents	C
House, Byron, GA	Rents	B
2 Houses & bldg. - 1895, 1915, & 2090 2nd Street, Macon, GA	Rents	D
Bonds - EIF First Exchange ST AT&T	Capital Gain	B
Stock - Houston Lake Development	Capital Gain	D

ATTACHMENT 2

HOLDINGS

STOCKS AND BONDS:

NAME	FAIR MARKET VALUE	DATE OF VALUATION
7 GA Municipal Electric	F	12/31/86
4 Burke Co, GA	E	12/31/86
Savannah, GA	B	12/31/86
Waycross, GA	C	12/31/86
Monroe Co, GA	C	12/31/86
Clayton Co, GA	B	12/31/86
Richmond, VA	B	6/06/86
Richmond, VA	C	6/06/86
AT&T	C	7/25/86
Houston Lake Development, Perry,GA	C	12/08/86

REAL ESTATE:

DESCRIPTION	FAIR MARKET VALUE
In Byron, GA:	
- 6 acres with 2 houses and 2 barns	F
- brick home on Highway 42	D
In Columbus, GA: Duplex at #5 Maryland Court	E
In Macon, GA:	
1895 2nd Street	C
1915 2nd Street	B
2090 2nd Street	B

INTEREST BEARING ACCOUNTS:

DESCRIPTION:	CATEGORY
Columbus Bank and Trust	A
Citizens Bank of Ft. Valley	C
First American Bank of VA.	A
Merrill Lynch - IRA	A
Merrill Lynch - Cash Management Acct.	A

INVESTMENTS:	CATEGORY
McMullen Mortuary, Columbus, GA	C

[illegible]

ATTACHMENT 3

LIABILITIES

NAME	CATEGORY	TYPE
Bank of Perry, GA	B	Personal
Columbus Bank & Trust, GA	C	Personal
Bank South, Perry, GA	B	Personal
First South, Ft. Valley, GA	B	Personal
Middle Georgia Bank, Byron, GA	E	Construction
Rhodes Tractor Company, Perry, GA	A	Personal
Riggs National Bank, Washington, D.C.	A	Personal
Citizens Bank of Fort Valley, GA	C	Personal
Citizens Bank of Fort Valley, GA	C Pd. 7/24	Personal
Decatur Federal, GA	E	Mortgage

ATTACHMENT 4

TRANSACTIONS

DESCRIPTION	DATE	CATEGORY
STOCKS AND BONDS SOLD:		
Houston Lake Development Perry, GA	12/8/86	C
Richmond, VA	6/6/86	B
Richmond, VA	6/6/86	C
BONDS REDEEMED:		
AT&T	7/25/86	C
BONDS PURCHASED:		
Burke Co, GA	6/06/86	C
GA Municipal Electric	7/15/86	C
Burke Co, GA	7/15/87	C
INVESTMENTS MADE:		
McMullen Mortuary, Inc	2/13/86	C

[illegible]

ATTACHMENT 5

REIMBURSEMENTS

8/86	Tour and Briefing at Hughes Aircraft California Facility. Expenses - lodging and airline travel

[illegible] 5 3

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Richard B. Ray
(Full Name)

425 Cannon House Office Building
(Mailing Address)

Washington, D.C. 20515

Mc ✓
(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 3 State GA

☐ Officer or Employee—Employing Office

☒ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.

- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in ~~calendar year~~ 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.

- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

8 7 0 1 1 2 2 0 3 5 1

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See Attachment 1			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See Attachment 1		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See Attachment 2	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
See Attachment 4		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
See Attachment 3	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
none	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
none		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
See Attachment 5	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Richard Ray	June 12. 87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE

[illegible]

ATTACHMENT 1

Richard B. and Barbara G. Ray
Financial Disclosure
Statement for 1986
Supporting Information

Part II - Earned Income

A. SOURCE	DATE	TYPE	AMOUNT
McDonnell Douglas Corp.	rec'd 1/27	Honorarium	$1,000
Boeing	rec'd 1/27	"	2,000
Northrop Corp	rec'd 2/3	"	2,000
Lockheed	rec'd 2/17	"	1,000
Rockwell Int'l Corp	rec'd 3/5 , 9/8	"	2,000
KMS Fusion Labs	rec'd 4/16	"	1,000
Cessna Aircraft Co	rec'd 9/8	"	1,000
Beech	rec'd 9/8	"	1,000
General Dynamics	rec'd 9/8	"	1,000
Hughes Aircraft	rec'd 9/16	"	2,000
FMC	rec'd 9/19	"	1,000
TRW, Inc	rec'd 9/15	"	1,000
Textron	rec'd 11/12	"	1,000

Part II - Unearned Income

B. SOURCE	TYPE	CATEGORY
EIF First Exchange ST AT&T	Dividends	A
American Electric Power Co	Dividends	A
CMA Government Fund	Dividends	B
Columbus Bank & Trust	Interest	A
Trust Co. Bank of Middle, GA., Macon, GA	Interest	A
First American Bank of VA	Interest	A
Citizens Bank of Fort Valley, GA	Interest	C
Duplex - #5 Maryland Crt, Highland Hill Subdivision, Columbus, GA	Rents	D
Historic Prop. - Land Lot 114 & Bldgs., 6th District, Peach Co. Rum Road Byron, GA	Rents	C
House, Byron, GA	Rents	B
2 Houses & bldg. - 1895, 1915, & 2090 2nd Street, Macon, GA	Rents	D
Bonds - EIF First Exchange ST AT&T	Capital Gain	B
Stock - Houston Lake Development	Capital Gain	D

[illegible]

ATTACHMENT 2

HOLDINGS

STOCKS AND BONDS:

NAME	FAIR MARKET VALUE	DATE OF VALUATION
BONDS:		
7 GA Municipal Electric	F	12/31/86
4 Burke Co, GA	E	12/31/86
Savannah, GA	B	12/31/86
Waycross, GA	C	12/31/86
Monroe Co, GA	C	12/31/86
Clayton Co, GA	B	12/31/86
Richmond, VA	B	6/06/86
Richmond, VA	C	6/06/86
AT&T	C	7/25/86
STOCK:		
Houston Lake Development, Perry,GA	C	12/08/86

REAL ESTATE:

DESCRIPTION	FAIR MARKET VALUE
In Byron, GA:	
- 6 acres with 2 houses and 2 barns	F
- brick home on Highway 42	D
In Columbus, GA: Duplex at #5 Maryland Court	E
In Macon, GA:	
1895 2nd Street	C
1915 2nd Street	B
2090 2nd Street	B

INTEREST BEARING ACCOUNTS:

DESCRIPTION:	CATEGORY
Columbus Bank and Trust	A
Citizens Bank of Ft. Valley	C
First American Bank of VA.	A
Merrill Lynch - IRA	A
Merrill Lynch - Cash Management Acct.	A

INVESTMENTS:	CATEGORY
McMullen Mortuary Inc, Columbus, GA (Formerly Sowega Commercial Realty)	C

ATTACHMENT 3

LIABILITIES

NAME	CATEGORY	TYPE
Bank of Perry, GA	B	Personal
Columbus Bank & Trust, GA	C	Personal
Bank South, Perry, GA	B	Personal
First South, Ft. Valley, GA	B	Personal
Middle Georgia Bank, Byron, GA	E	Construction
Rhodes Tractor Company, Perry, GA	A	Personal
Riggs National Bank, Washington, D.C.	A	Personal
Citizens Bank of Fort Valley, GA	C	Personal
Citizens Bank of Fort Valley, GA	C Pd. 7/24	Personal
Decatur Federal, GA	E	Mortgage

ATTACHMENT 4

TRANSACTIONS

DESCRIPTION	DATE	CATEGORY
STOCKS AND BONDS SOLD:		
Houston Lake Development Perry, GA	12/8/86	C
Richmond, VA	6/6/86	B
Richmond, VA	6/6/86	C
BONDS REDEEMED:		
AT&T	7/25/86	C
BONDS PURCHASED:		
Burke Co, GA	6/06/86	C
GA Municipal Electric	7/15/86	C
Burke Co, GA	7/15/87	C
INVESTMENTS MADE:		
McMullen Mortuary, Inc (formerly Sowega Commercial Realty)	2/13/86	C

ATTACHMENT 5

REIMBURSEMENTS

August 1986 Tour and Briefing at Hughes Aircraft California Facility. Expenses - Lodging at the Newporter Hotel in Los Angeles, California for Congressman and Mrs. Ray from 8/29/86 to 9/1/86.

TATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Ralph Regula
(Full Name)

2209 Rayburn HOB
(Mailing Address)

Washington, D. C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 16 State Ohio

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __x__ NA ______

For more information, see detailed Instruction Booklet at page 7.

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
SEE ADDITIONAL SHEET			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
SEE ADDITIONAL SHEET		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8

IDENTITY	CATEGORY
SEE ADDITIONAL SHEET	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
1986 Sale of undivided 1/2 int. in 23 acres in	6/25/86	C
Sec. 17, R. 9, Erie Ave. S.W., Beth. Twp.,		
Stark County, Ohio		
Sale of 187 shares of Independent Community Bank	12/26/86	C
Sale of 100 shares of Seaway Foodtown	12/26/86	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
Nevarre Deposit Bank (personal loan)	B
First Savings & Loan (business loan) Regula Bros PTSHP.	C
United Bank Mortgage " " " " "	C
United Bank (business loan) Regula Bros PTSHP.	E
PCA " " " " "	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
United Technologies	Airfare, Meals and Lodging to Ft. Lauderdale, Fla.

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Partner	Regula Brothers Partnership
Trustee	Mt. Union College
Trustee	Stark Wilderness Center

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 8, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987 [illegible]

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Part 5 of 5

ETHICS IN GOVERNMENT ACT-FINANCIAL DISCLOSURE STATEMENT

RALPH REGULA

Continuation Sheet

II. INCOME

A. SOURCE	TYPE	AMOUNT	
Regula Bros. Partnership	Partners Share	$ 496	1986
Malone College (Mary Regula)	Honoraria	250.	5/2/86
Malone College	Honoraria	300.	6/17/86
National Forest Products	"	1000.	5/12/86
United Technologies	"	1000.	3/24/86
DAR	"	50.	4/29/86

(All Honoraria donated to charities)

B. SOURCE	TYPE	CATEGORY
Apartment and land rent (1/2 int. 147 acres, Sec. 17, Beth. Twp., Stark County, Ohio)	Rent	C
Office Rent	Rent	B
Seaway Food Town	Dividends	A
United Bank	Dividends	B
Independent Community Banks	Dividends	A
Van Dorn	Dividends	B
Navarre Deposit Bank	Dividends	A
Smuckers	Dividends	A
Franklin Oil & Gas (Mary Regula, Spouse) Farm land described below	Royalty	C
Ashland Oil (Mary Regula, Spouse) Farm land described below	Royalty	B
Duquesne Light	Dividends	A
Real Estate Sold - 1986 (1/2 int. 23 Acres Sec. 17, Beth. Twp., Stark County, Ohio	Capital Gain	E
Ohio Public Employees Retirement System	Return of Deposit	C
SALE 187 SHARES IND. COM. BANK	CAPITAL GAIN	E
" 100 SHARES SEWAY FOOD TOWN	" "	B

III. HOLDINGS

Undivided 1/2 int. Part of Lot 68 (50x73') Basin St., Navarre Village, Stark County, Ohio	C
Undivided 1/2 int. in 147 acres Sec. 17, Twp., 9, R. 9, Erie Ave. S.W., Bethlehem Twp., Stark County, O.	D
Van Dorn, Inc. - Common Stock	C
United Bank - Common Stock	C
J. M. Smucker - Common Stock	B
Navarre Deposit Bank - Common Stock	B
Seaway Food Town, Inc. - Common Stock	A
Independent Community Banks, Inc. - Common Stock	C
Regula Brothers - Partnership	D
Maple Park Manor - Partnership	B
200 Acres Sec. 19, Bethlehem Twp., Stark County, Ohio (exclusive of residence)	D
Duquesne Light	A
Navarre Baptist Church - Mortgage (Real Estate)	C

RALPH REGULA
16th District, Ohio

Rayburn House Office Building
Washington, DC 20515
(202) 225-3876

District Office
4150 Belden Village Street NW
Canton, OH 44718
(216) 489-4414

Toll Free District Number
1-800-826-9015

Congress of the United States
House of Representatives
Washington, DC 20515

Committees
APPROPRIATIONS
Subcommittees
INTERIOR
COMMERCE, JUSTICE, STATE, AND JUDICIARY
SELECT COMMITTEE ON AGING
Subcommittee
HEALTH AND LONG-TERM CARE

June 4, 1987

The Honorable Julian Dixon, Chairman
Standards of Official Conduct Committee
HT-2 The Capitol
INSIDE MAIL

mc

Dear Mr. Dixon:

In filing my annual Financial Disclosure Report for 1986, I overlooked two items of income.

My wife, Mary, received interest income in the amount of $587 from the Navarre Baptist Temple and $899 from the Maple Park Partnership.

Sincerely,

Ralph Regula, M.C.

RR:sls

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

John Jacob Rhodes, III
(Full Name)

510 Cannon House Office Building
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 1st State Arizona

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Reynolds, Rhodes and Golston	legal fees	5844.00	
Rhodes and Golston	legal fees	47,686.00	
Desert Samaritan Hospital - Spouse	salary		

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Valley National Bank	interest	A
American Can Company	dividend	A
see attached		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000, C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
American Can Company	C
Rhodes & Golston (law partnership)	C
see attached	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
sold 204 shares American Can Company	8-22-86	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Valley National Bank (personal)	C
Sun National Bank (campaign debt)	C
United Bank of Arizona (campaign debt)	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Partner	Rhodes and Golston - law practice - partnership
Bd. Member, V.P.	Central Arizona Water Conservation District

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5-14-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987 [illegible]

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Page 5 of 5

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

John Jacob Rhodes, III
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II-B (con't)			
	Philadelphia Savings Fund (dependent son)	interest	A
	Sun National Bank (dependent son)	interest	A
	Investment Co. of America (dependent son)	dividend	A
	Webster Cash Reserve (dependent son)	dividend	A
	US West (dependent son)	"	A
	NYNEX (dependent son)	"	A
	Bellsouth (dependent son)	"	A
	Bell Atlantic	"	A
	(dependent son)	"	A
	AT&T (dependent son)	"	A
	Shearson Lehman (dependent son)	"	B
III			
	Philadelphia Savings Fund (dependent son)	interest	B
	Sun National Bank (dependent son)	interest	B
	Investment Co. of America (dependent son)	dividend	B
	Webster Cash Reserve (dependent son)	"	B
	US West (dependent son)	"	B
	NYNEX (dependent son)	"	B
	Bellsouth (dependent son)	"	B
	Bell Atlantic (dependent son)	"	B
	AT&T (dependent son)	"	B
	Shearson Lehman (dependent son)	"	C

[illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

William B. Richardson
(Full Name)

1918 Belmont Rd., NW
(Mailing Address)

Washington, D.C. 20009

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☑ Member of the U.S. House of Representatives—District 3 State NM

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

[illegible]

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
National Cable Television Assn. 4-3-86	Honorarium	$1,500	None
Satellite Television Industry Assn. 4-24-86	"	1,000	"
National Assn. of Broadcasters 5-5-86	"	1,500	"
Television & Radio PAC 5-15-86	"	1,000	"
American Nuclear Energy Council 5-19-86	"	250	"

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Merrill Lynch CMA Fund	Interest	A
Condominium - 2700 Vista Grande, NW, Albuquerque, NM	Gross Rental	C

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO __X__ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Condominium - 2700 Vista Grande, NW, Alb., NM	D
Stan West Stock	B

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Mortgage - First Interstate Bank	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
National Cable Television Assn.	RT airfare, DC/Dallas/DC, 1 night hotel
Satellite Television Industry Assn.	RT airfare, DC/Las Vegas/DC,1 night hotel
National Assn. of Broadcasters	RT airfare, DC/Dallas/DC,1 night hotel
University of Southern California	Hotel, 1 night
America Votes	Airfare, DC/New York/DC

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13

POSITION	NAME OF ORGANIZATION
	NONE

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 13, 87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987

Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

William B. Richardson
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
II-A	University of Southern California 6-23-86	Honorarium	$ 900	None
	Transamerica Occidental Life 7-9-86	"	2,000	"
	United Coal Company 6-11-86	"	2,000	Charity
	Pfizer, Inc. 7-16-86	"	1,000	None
	Outdoor Advertising Assn. of America 7-21-86	"	2,000	"
	Western Fuels Assocaition 8-12-36	"	1,000	"
	Laborers' International Union 9-9-86	"	1,000	"
	Miller Brewing Company 9-12-86	"	1,000	"
	National Council of Farmer Cooperatives 9-19-86	"	1,000	"
	International Management & Development Inst. 9-23-86	"	1,000	"
	R.J. Reynolds Industries 9-30-86	"	2,000	"
	Television & Radio PAC 10-15-86	"	1,000	"
VII	Transamerica Occidental Life - RT airfare, Alb/Los Angeles/Alb. , 1 night hotel & lodging			
	United Coal Company - RT airfare, DC/Bristol,Va/DC			
	Pfizer, Inc. - Airfare, DC/Indianapolis/Alb.			
	Western Fuels Assn. - RT airfare, DC/Alb./DC			
	Laborers' International Union - Airfare, Alb./Miami/DC, 1 night hotel			
	Miller Brewing Company - airfare, DC/Denver/St. Louis/DC			
	National Council of Farmer Cooperatives - Alb./San Diego/Alb. RT airfare			
	R.J. Reynolds Industries - airfare, DC/Winston-Salem/Alb/DC			
	Pacific Institute - airfare, Member & 1 staff person- DC/Taipei/Seoul/ Bangkok/DC, Lodging & food for 8 days			
	Valero Company - RT airfare, spouse-DC/New Orleans/DC, Member-Alb/ New Orleans/DC, 2 nights hotel			

GPO [illegible] 61-[illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

TOM RIDGE
(Full Name)

1714 LONGWORTH HOB, WASHINGTON, DC
(Mailing Address)

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 21 State PA

☐ Officer or Employee—Employing Office

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.

- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.

- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Security Industries Association	Honorarium	$2,000	3/4/86
Dealer Bankers Association	Honorarium	$1,000	3/14/86
Marine Midland Bank	"	1,000	4/2/86
International Development Management Institute	"	1,000	9/17/86
Mellon Bank	"	1,000	9/26/86
Michele M. Ridge	Salary		1986

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Law Practice - 1982 (Accounts Receivable)		A
IDS Savings Account		A
Security National Savings Account		A
IDS Securities Account		A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO XX ______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
IDS Savings Account	C
Penn Atty. Title Insurance Co.	A
Carlisle XIII	B
IDS Securities Account	B

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
(1/2 interest) Prestwick Case #19 PGA National, West Palm Beach, Florida	7/1/85	D
2701 Arlington Blvd., #201, Arlington, VA 22201	4/1/86	D

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Universal Mortgage Co., West Palm Beach, FL	D
Chevy Chase Savings Bank	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Dealer Bankers Association, Boca Raton, FL Seminar	Travel, lodging and meals

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Thomas J. Ridge	May 14, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987

HAND DELIVERED

WASHINGTON OFFICE
1714 LONGWORTH BLDG
WASHINGTON DC 20515
(202) 225-5406

COMMITTEES
BANKING FINANCE
AND URBAN AFFAIRS

VETERANS AFFAIRS

SELECT COMMITTEE
ON AGING

TOM RIDGE
21ST DISTRICT PENNSYLVANIA

Congress of the United States
House of Representatives
Washington, DC 20515

DISTRICT OFFICES
108 FEDERAL OFFICE BLDG
ERIE PA 16501
(814) 456-2038

305 CHESTNUT STREET
MEADVILLE PA 16335
(814) 724-8414

91 EAST STATE STREET
SHARON PA 16146
(412) 981-8440

June 12, 1987

Mr. Donnald K. Anderson,
Clerk
Office of Records and Registration
1036 Longworth House Office Bldg.
Washington, DC 20515

Mc

Dear Mr. Anderson:

I would like to request the Financial Disclosure Statement which I filed with your office on May 15, 1987, be amended to reflect the following information:

II. Unearned Income

Source	Type	Category
Law Practice-1982	Accts Receivable	A
IDS Savings Acct.	Interest	A
Security Nat'l Svgs Account	Interest	A
IDS Securities Account	Interest	A

A monthly deposit is made to a joint IDS Securities Account. I have neither control of, nor knowledge of, securities purchased for the account.

III. Holdings

Identity	Category
IDS Savings Account	C
Penn Atty. Title Insurance Co. (10 shares)	A
Carlisle XIII	B
IDS Securities Account	A
Security National Savings Account	C
Law Practice-1982 (Accounts Receivable)	A

A monthly deposit is made to a joint IDS Securities Account. I have neither control of, nor knowledge of, securities purchased for the account. The Carlisle XIII is a limited partnership real estate investment I purchased through IDS. I have no control over the purchase of the various pieces of real estate which I understand are scattered throughout the country.

[illegible]

IV. TRANSACTIONS

mc

Description	Date	Category
Purchase of 2701 Arlington Blvd., #201, Arlington, VA 22201	4/1/86	D

The reference to the 1/2 interest in the property at PGA National in West Palm Beach, Florida, should be deleted. The purchase was made in 1985 and was not subject to any transaction during 1986.

V. LIABILITIES

Identity	Category
Universal Mortgage Co., West Palm Beach, Florida - Mortgage	D
Chevy Chase Savings Bank, Chevy Chase, Maryland - Mortgage	D

VI. GIFTS

Source	Description
Grocery Manufacturers Association Congressional Charity Golf Tourament Greenbriar, West Virginia	Lodging & Meals

Thanking you for your assistance in amending the Disclosure Statement, I am

Very truly yours,

Tom Ridge

Tom Ridge
Member of Congress

TR:lf

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Matthew J. Rinaldo
(Full Name)

142 Headley Terrace
(Mailing Address)

Union, New Jersey 07083

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 7 State New Jersey

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and
(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and
(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA X

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
1/2/86 Florida Sugar Cane League	Honoraria	$1,000	
1/2/86 Dow, Lohnes & Alburtson	"	$1,000	
1/3/86 Florida Power & light	"	500	
1/7/86 Securities Industry Assoc.	"	2,000	
1/23/86 National Assn. of Broadcasters	"	2,000	
See continuation sheet			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Condominium - Florida	Rent	D
Union Center NAtional Bank	Interest	A
Detroit Edison	Dividend	A
Florida Powe~ & Light	"	B
E F Hutton	"	A
CenterBancorp	"	C
See continuation Sheet		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO ____ NA X

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Florida Power & Light	C
Detroit Edison	B
Union Center National Bank	C
Cash Reserve Management - Money Market	D
Condominium Florida	E
See Continuation Sheet	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sales Jackson Township - Bond	1/1/86	B
Rockaway Valley SA - Bond	4/14/86	C
N.J. Health Care - Bond	7/1/86	B
Monmouth County - Bond	7/15/86	C
Camden County - Bond	8/13/86	C
See Continuation Sheet		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Colonial Savings & Loan Assn.	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Miller & Schroeder (speech)	round trip air fare, Newark-San Diego
N.J. Bell (speech)	air transportation, Wash. D.C. to Atlantic City to Linden, N.J. - 1 night lodging
National Assn. of Broadcasters (Seminar)	round trip air fare, Wash. D.C. to Dallas, Texas & 3 nights lodging

see continuation sheet

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
	NONE

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5-13-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

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Page 1 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Matthew J. Rinaldo
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II A	2/16/86 Maximum Service Telecasters	Honoraria	$1,000
	2/22/86 Int'l Assn. of Financial Pla.	"	1,000
	2/25/86 Chicago Mercantile Exchange	"	500
	4/2/86 Connell Rice & Sugar	"	2,000
	4/2/86 Nat'l Cable Television Assn.	"	1,500
	4/7/86 N.J. Bell	"	2,000
	5/5/86 Nat'l Assn. of Broadcasters	"	1,500
	5/9/86 N.J. Bell	"	2,000
	6/23/86 Brookings Institution	"	300
	6/26/86 Int'l Assn. of Financial Pla.	"	1,000
	7/14/86 Assn. of Data Communica.Users	"	500
	7/15/86 Bonner Associates	"	500
	8/18/86 Miller & Schroeder Fin. Inc.	"	2,000
	8/4/86 U.S. Sprint	"	500
	Donation to St. Michael's Church		(270)
IIB	Source	Type	Category
	City Federal Preferred	Dividend	A
	Jackson Township	Interest	A
	Rockaway Valley SA	"	A
	N.J. Health Care	"	A
	Monmouth County	"	A
	Camden County	"	B
	Mt. Laurel	"	C
	Manasquan River SA	"	B
	Puerto Rico	"	A
	Randolph Township	"	B
	Middlesex County	"	A
	Atlantic County	"	A
	N.J. Sports Authority	"	A
	Lodi	"	B
	N.J. Mtge. Fin. Agency	"	A
	Asbury Park	"	A
	Randolph Township	"	B
	N.J. Cert. of Participation	"	B
	Cape May	"	B
	Atlantic City	"	A
	Vernon Township BOE	"	A
	Jersey City BOE	"	A
	Howell Township MUA	"	A
	Randolph Township	"	B
	Caldwell/W. Caldwell BOE	"	A
	Condominium, Union	Sale	D
	Paulsboro BOE	Interest	A
III	Identity		Category
	Condominium, Union (sold 1986)		E
	Jackson Township Bond		B
	Rockaway Valley SA-Bond		C
	N.J. Health Care - Bond		B
	Monmouth County - Bond		C
	Camden County - Bond		C
	City Fed Fin. Corp. Stock		A
	Mt. Laurel - Bond		C
	Manasquan River SA - Bond		C
	Puerto Rico - Bond		B
	Randolph Township - Bond		C
	Middlesex County "		A
	Atlantic County "		B
	N.J. Sports Auth. "		A

GPO: 1986 61-080 (m)

[illegible]

Page 2 of 2

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Matthew J. Rinaldo
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
III Cont.d	Identity		Category
	Lodi Bond		C
	N.J. Mtge. Fin. Agency Bond		B
	Asbury Park - Bond		A
	Randolph Township - Bond		C
	N.J. Cert. of Participation Bond		C
	Cape May - Bond		C
	Randolph Township - Bond		C
	Atlantic County - Bond		C
	Vernon Township - BOE - Bond		C
	Paulsboro BOE "		C
	Jersey City BOE "		C
	Howell Township MUA "		C
	Caldwell/W. Caldwell BOE "		C
IV	Brief Description	Date	Category
	Sales - Mount Laurel - Bond	10/1/86	C
	Manasquan SA - "	10/17/86	C
	Condominium, Union	11/18/86	E
	Purchases		
	Atlantic Cty	8/15/86	C
	Vernon BOE	8/5/86	C
	Jersey City BOE	8/14/86	C
	Howell Township MUA	8/5/86	C
	Caldwell/W. Caldwell BOE	8/14/86	C
	Condominium Union	10/24/86	E
	Paulsboro BOB	11/13/86	C

Part	Source	Description
VII	National Assn. of Broadcasters Forum	Round trip air fare New York City to St. Maarten & 3 nights lodging
	Securities Industry Assn.	Speech - round trip air fare Washington, D.C. to Miami - 1 night lodging
	Florida Power & Light	(speech) Round trip air fare Newark to Fort Lauderdale

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A–For use by Members, officers, and employees

DON RITTER
(Full Name)

2447 Rayburn HOB
(Mailing Address)

Washington, D. C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives–District 15th State PA

☐ Officer or Employee–Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
(Wife-Edie) Small Business Development Center			
Lehigh University	Salary		
(Wife-Edie) Member of Board of Directors,	Non-employee Compensation		
Lehigh Valley Bank			
See Attached (Item IIA)			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See Attached (Item IIB)		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See Attached (Item III)	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
See Attached (Item IV)		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
1/2 D.C. Condo	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
See Attached (Item VII)	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Don Ritter	5/15/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987 [illegible]

Page 5 of 7

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

DON RITTER
(Name)

Continuation Sheet

IIA.	Source	Type	Amount
8/13/86	ASAE	Honorarium	$1,000.00
7/17/86	Alliance of American Insurers	"	$ 500.00
5/23/86	American Home Products	"	$1,000.00
3/15/86	American Hungarian Fed.	"	$ 500.00
9/29/86	Bell Atlantic	"	$2,000.00
3/17/86	Cable Television Assoc.	"	$1,500.00
1/14/86	City University - Seattle	"	$2,000.00
5/19/86	Delaware Valley Equipment Distributors Assoc.	"	$1,000.00
2/10/86	IBM	"	$1,000.00
1/5/86	INTV	"	$1,000.00
6/16/86	Kemper Group	"	$2,000.00
4/14/86	NAB	"	$1,500.00
12/12/86	Pfizer	"	$1,000.00
2/21/86	Space/STTI	"	$1,000.00
9/18/86	Travel Industry Association	"	$1,000.00
9/17/86	U.S. Sprint	"	$1,000.00
4/23/86	Bell of PA	(CHARITY)	$2,000.00

IIB. Source	Type	Category
Merrill Lynch Ready Assets	Interest	A
Custodial Acct. GNMA/Son	Interest	A
Custodial Acct. GNMA/Daughter	Interest	A
Puerto Rico Commonwealth Bond	Dividend	A
Northampton County Bond	Dividend	A
Piedmont Utility Bond	Dividend	A
Polaris Income Fund	Dividend	A
Washington Public Power Supply Systems	Capital Gains	B
Home/Farm Land	Rental	A

Page 6 of 7

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

DON RITTER
(Name)

Continuation Sheet

III. Identity	Category
Accuray Corp.	B
Coherent Inc.	A
Custodial Account for son GNMA	B
Custodial Account for daughter GNMA	B
Forum Group Inc.	A
GATX Corp.	B
Louisiana Pacific	A
Merrill Lynch Ready Assets	A
National Educ. Corp.	B
Northampton County Bond	A
Piedmont Utility	B
Puerto Rico Comm. Bond	B
Recognition Equipment	A
Telxon Corp.	A
Tenneco	A
U. S. Surgical Corp.	A
Washington Public Power Supply Systems	B
Xerox	B
1/2 D. C. Condo. (Rental)	

IV. Brief Description		Date	Category
Accuray Corp.	Sold	1/30/86	B
National Ed.	Sold	12/10/86	D
RCA	Sold	1/30/86	C
Telxon	Sold	8/25/86	D
Louisiana Pacific	Bought	11/13/86	A
National Ed.	Bought	12/10/86	B
Recognition Equipment	Bought	5/30/86	A
Tenneco	Bought	11/13/86	A
U.S. Surgical Corp.	Bought	9/19/86	A
Xerox	Bought	1/20/86	B

[illegible]

Page 7 of 7

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

DON RITTER
(Name)

Continuation Sheet

VII. Source	Brief Description
Afghan Foundation	Round trip air travel: ABE - NYC 1 night lodging/food
American Home Products	Air travel: Wash., DC - NYC Auto return to Coopersburg, PA
American-Hungarian Federation	Train: DC to NYC Auto return to Coopersburg, PA 1 night lodging/food
Bell Atlantic	Air travel: ABE to Greenbrier, W.VA to Wash., DC
Bell of PA	Round trip air travel: Wash., DC to Hot Springs, VA
Cable Television Association	Air travel: ABE to Dallas to Wash., DC 1 night lodging/food
City University - Seattle	Round trip air travel between NYC and Zurich, Switzerland and 3 days lodging/food
INTV	Round trip air travel: ABE to Los Angeles, CA and 5 days lodging/food (with spouse)
Kemper Group	Air travel: ABE to Montreal, Can. to Wash., DC and 1 night lodging/food
Meridian Bank	Auto travel: Coopersburg, PA to NYC Pennsylvania Society, annual dinner and 1 day lodging (with spouse)
NAB	Air travel: ABE to Dallas, TX. and Wash., D.C. and 1night lodging/food
Pfizer	One way air travel: ABE to NYC for Member and 1 day lodging/food (with spouse)
Space/STTI	Air travel Wash., DC to Las Vegas, Nev. to ABE, plus 1day lodging/food

[illegible]

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

DON RITTER
(Full Name)

2447 Rayburn HOB
(Mailing Address)

Washington, D.C. 20515

mc
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 15th State PA

☐ Officer or Employee—Employing Office

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

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REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES _____ NO __X__ NA _____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
(Wife-Edie) Small Business Development Center			
Lehigh University	Salary		
(Wife-Edie) Member, Board of Directors,	Non-employee Compensation		
Lehigh Valley Bank			
See Attached (item IIA)			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See Attached (Item IIB)		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO _X_ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See Attached Item IIIB)	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
See Attached (Item IV)		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
1/2 D.C. Condo (mortgage holder-Goldome Realty Credit Corp)	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
See Attached (Item VII)	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Don Ritter	5/15/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

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Page 5 of 7

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

DON RITTER
(Name)

Continuation Sheet

IIA.	Source	Type	Amount
8/13/86	ASAE	Honorarium	$1,000.00
7/17/86	Alliance of American Insurers	"	$ 500.00
5/23/86	American Home Products	"	$1,000.00
3/15/86	American Hungarian Fed.	"	$ 500.00
9/29/86	Bell Atlantic	"	$2,000.00
3/17/86	Cable Television Assoc.	"	$1,500.00
1/14/86	City University - Seattle	"	$2,000.00
5/19/86	Delaware Valley Equipment Distributors Assoc.	"	$1,000.00
2/10/86	IBM	"	$1,000.00
1/5/86	INTV	"	$1,000.00
6/16/86	Kemper Group	"	$2,000.00
4/14/86	NAB	"	$1,500.00
12/12/86	Pfizer	"	$1,000.00
2/21/86	Space/STTI	"	$1,000.00
9/18/86	Travel Industry Association	"	$1,000.00
9/17/86	U.S. Sprint	"	$1,000.00
4/23/86	Bell of PA	(CHARITY)	$2,000.00

IIB. Source	Type	Category
Merrill Lynch Ready Assets	Interest	A
Custodial Acct. GNMA/Son	Interest	A
Custodial Acct. GNMA/Daughter	Interest	A
Puerto Rico Commonwealth Bond	Dividend	A
Northampton County Bond	Dividend	A
Piedmont Utility Bond	Dividend	A
Polaris Income Fund	Dividend	A
Washington Public Power Supply Systems	Capital Gains	B
Home/Farm Land	Rental	A

Page 6 of 7

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

DON RITTER
(Name)

Continuation Sheet

III. Identity	Type	Category
Accuray Corp.	Stock	B
Baxter Travenol	Stock	A
Coherent Inc.	Stock	A
Custodial Account for son GNMA	Savings	B
Custodial Account for daughter GNMA	Savings	B
Forum Group Inc.	Stock	A
GATX Corp.	Stock	B
Louisiana Pacific	Stock	A
Matrix	Stock	A
Merrill Lynch Ready Assets	Savings	A
National Educ. Corp.	Stock	B
Northampton County Bond	Bond	A
Piedmont Utility	Bond	B
Polaris	Bond	A
Puerto Rico Comm. Bond	Bond	B
Recognition Equipment	Stock	A
Rehab. Hosp. Services Corp.	Stock	A
Telxon Corp.	Stock	A
Tenneco	Stock	A
U. S. Surgical Corp.	Stock	A
Washington Public Power Supply Systems	Bond	B
Xerox	Stock	B
1/2 D. C. Condo.,212 3rd St.NE, Wash, DC	Rent	D
Home/Farm (50 acres), Coopersburg, PA	Rent	A

IV. Brief Description		Date	Category
Accuray Corp.	Sold	1/30/86	B
National Ed.	Sold	12/10/86	D
RCA	Sold	1/30/86	C
Telxon	Sold	8/25/86	D
Louisiana Pacific	Bought	11/13/86	A
National Ed.	Bought	12/10/86	B
Polaris	Bought	1/30/86	A
Recognition Equipment	Bought	5/30/86	A
Tenneco	Bought	11/13/86	A
U.S. Surgical Corp.	Bought	9/19/86	A
Xerox	Bought	1/20/86	B

Page 7 of 7

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

DON RITTER
(Name)

Continuation Sheet

VII. Source	Brief Description
Afghan Foundation	Round trip air travel: ABE - NYC 1 night lodging/food
American Home Products	Air travel: Wash., DC - NYC Auto return to Coopersburg, PA
American-Hungarian Federation	Train: DC to NYC Auto return to Coopersburg, PA 1 night lodging/food
Bell Atlantic	Air travel: ABE to Greenbrier, W.VA to Wash., DC
Bell of PA	Round trip air travel: Wash., DC to Hot Springs, VA
Cable Television Association	Air travel: ABE to Dallas to Wash., DC 1 night lodging/food
City University - Seattle	Round trip air travel between NYC and Zurich, Switzerland and 3 days lodging/food
INTV	Round trip air travel: ABE to Los Angeles, CA and 5 days lodging/food (with spouse)
Kemper Group	Air travel: ABE to Montreal, Can. to Wash., DC and 1 night lodging/food
Meridian Bank	Auto travel: Coopersburg, PA to NYC Pennsylvania Society, annual dinner and 1 day lodging (with spouse)
NAB	Air travel: ABE to Dallas, TX. and Wash., D.C. and 1night lodging/food
Pfizer	One way air travel: ABE to NYC for Member and 1 day lodging/food (with spouse)
Space/STTI	Air travel Wash., DC to Las Vegas, Nev. to ABE, plus 1day lodging/food

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Pat Roberts
(Full Name)

2203 White Oaks Drive
(Mailing Address)

Alexandria VA. 22306

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 1 State Kansas

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

8 7 1 7 2 3 1 1 1 8 7

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Spouse	Commission		
Honorariums (see attached sheet)			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Perpetual American Savings Bank	Interest	B
BRU Partnership (final payment on installment sale of rental unit)	Bal. and Int.	D
River Towers Condo (rental) ½ interest	Rent	D
Nuveen Mun. Bond Fund	Dividend reinv.	B
Fed. Credit Union	CD interest	A
20th Century Mutual Fund	Dividend reinv.	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __x__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Lot 10, Country Club Hghts., Dodge City, Ks. (½ interest)	B
River Towers Condo, Alex. VA. (½ interest)	C
Nuveen Mun. Bond Fund (stock)	B
Perpetual American Savings Bank (savings)	C
20th Century Mutual Fund (stock)	B
Zero Coupon Bonds (CD, Columbia S&L)	B

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
Bankers Trust (stock) sale	1/86	A
Seligman Info. Comm. Fund (stock) sale	5/86	B
Gulf States Utilities (stock) purchase	3/86	A
J&K Ranch, : Dodge City, Ks. (stockholder)		
purchase -- 80 acres-2% int.	1/86	A
Commercial lot, Broad Extension, Sumter,	12/86	D
S.C., one fifth int. purchase		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
Fleet Funding Mortage Co., Columbia S.C.	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
Mississippi Soybean Assn., Greenwood Miss.	
1/10/86 (speaking engagement)	Travel, food.
Kansas Farm Bureau, Wichita, Ks.	
1/27/86 (speaking engagement	Travel, food.

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Pat Roberts	May 15, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987 60-230 (a)

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Page 1 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Pat Roberts
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II. Income A			
	Mississippi Soybean Assn.	1/10	$1,000
	National Restaurant Assn.	4/22	1,000
	Nat. Agric. Chem. Assn.	4/24	1,500
	Chicago Merc. Exchange	5/12	500
	Chicago Bd. of Trade	5/12	500
	Am. Farm Bureau Fed.	5/5	500
	Grocery Man. Assn.	9/10	2,000
	Kansas Hosp. Assn.	9/13	500
	United Fruit and Veg. Assn	9/25	1,000
	Natl. Soybean Assn	9/25	500
	Grocery Man. Assn.	11/14	2,000
	Sugar Cane League	12/18	1,000
III. Holdings			
	J&K Ranch, Dodge City Ks.	(80 acres-2% int. (stockholder)	A
	Gulf States Utilities (stock)		A
	Commercial lot, Broad Extension, Sumter, S.C., one fifth int.,		D
	Allegheny Bonds		A
	Manor Care (stock)		A
	Marriott Corp. (stock)		A
	Software AG (stock)		A
	S.C. National Bank (stock)		A
	International American Homes (stock)		A
	Ryans Steak House (stock)		A
	Audio Video (stock)		A
	Ply Mgt. Systems Corp. (stock)		A
	Putnam Convert. Mut. Fund		A
	Hutton VIP Fixed Fund		B
	Corp. Invest. Trust High Yield Fund		A
	H.I.S. Govt. Securities Fund		A
IV. Transactions			
	Allegheny Bonds purchase	9/86	A
	Manor Care (stock) purchase	10/86	A
	Marriott Corp. (stock) purchase	10/86	A
	Software AG (stock) purchase	7/86	A
	S.C. Nat. Bank (stock) purchase	7/86	A
	International American Homes (stock) purchase	8/86	A
	Ryans Steak House (stock) purchase	6/86	A
	Audio Video (stock) purchase	5/86	A
	Ply Mft. Systems Corp. (stock) purch.	7/86	A
	Putnam Convert. Mut. Fund - purchase	2/86	A
	Hutton VIP Fixed Fund - purchase	2/86	B
	Corp. Invest. Trust High Yield Fund purchase	5/86	A
	H.I.S. Govt. Securities Fund	5/86	A
VII. Reimbursements			
	Chicago Merc. Exchange, Chicago Ill. 5/12/86 (fact finding trip)	Travel, lodging meals.	
	Bill Emerson Re-Elect. Committee Cape Girado, Mo. 6/13/86 (speech)	Travel, lodging meals.	

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Page 2 of 2

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Pat Roberts
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
VII. Reimbursements cont.		
	Kansas Hospital Assoc., Manhattan Ks. 9/13/86 (Speaking engagement)	Travel, meals, Lodging.
	Nat.'l Ag. Chemicals Assn., White Sulphur Sps. W. Va. 9/26/86 (speaking engagement)	Travel, meals, Lodging
	Sugar Cane League, New Orleans, La. 12/17/86 (fact finding event)	Travel, meals Lodging

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Tommy F. Robinson
(Full Name)

1541 Longworth HOB
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

XX Member of the U.S. House of Representatives—District 2nd State Arkansas

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and
(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and
(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
PLEASE SEE ADDENDUM "A"			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
NONE		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See,* Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Investment Properties III - Little Rock, AR	B

8 7 7 / 7 2 1 7 5 9 3

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Commercial South, Inc.-Little Rock, AR	D
Jerral W. Jones -Little Rock, AR	E
First National Bank - Hot Springs, AR	B
Worthen Bank & Trust - Little Rock, AR	C
National Bank of Arkansas - North Little Rock, AR	C
Citizens Bank & Trust - Carlisle, AR	C

CONTINUED ON ADDENDUM "B"

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Roy Ledbetter - Camden, AR	airfare & football tickets to game in Dallas, TX

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
SEE ADDENDUM "C"	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Partner	Investment Properties III

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5-15-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

ADDENDUM "A" [illegible]

Page 5 of 7

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

TOMMY F. ROBINSON
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
	1986		
II-A all entries	Jan 13-15	GENERAl Electric - FLA - honoraria	1,000-
		MARTIN MARIETTA - " "	1,000-
	1/80	UNITED TECHNOLOGIES - " "	1,000-
	MAR 6	TEAMSTERS UNION - DC - honoraria	2,000-
	MAR 7	ELECTRICAL LEAGUE OF AR - AR - honoraria	1,000
	MAR 13	LTV CORPORATION - TX - honoraria	1,000-
	MAR 14	McDONNELL DOUGLAS CORP. MO - HONORARIA	1,000-
	MAR 14	NORTHROP CORP - CA - honoraria	2,000-
	MAR 31	TEXTRON, INC - CA - honoraria	1,000-
	APRIL 3	AMERICANS FOR A SAFE ISRAEL - NY - honoraria	750-
	APRIL 18	KOLLSMAN INSTRUMENT - VA - honoraria	1,500-
	APRIL 16	Food-A-RAMA - AR - honoraria	500-
	APRIL 28	PULASKI INDUSTRIAL COUNCIL - AR - honoraria	1,000-
	MAY 14	PFIZER - DALLAS - honoraria	1,000-
	MAY 15	GENERAL DYNAMICS - DALLAS - honoraria	1,000-
	JUNE 10	AM. TRUCKER'S ASSOC - DC - honoraria	1,000-
	AUG 11	AR FURNITURE ASSOC - AR - honoraria	500-
	SEPT 18	FIVE TOWNS PAC - NY - honoraria	1500-
	OCT 12	FIVE TOWNS PAC - NY - honoraria	500-
	NOV 17	ARKANSAS POULTRY FEDERATION - AR - honoraria	2,000-
	DEC 22	ARKANSAS RECLAMATION CO - AR - honoraria	1,000-
		($750.00 ASSIGNED TO CHAIRTY)	

ADDENDUM "B"

Page 6 of 7

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

TOMMY F. ROBINSON
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
V	Cranford Johnson Robinson-Little Rock, AR	F
V	American Savings - Chicago, IL	D

ADDENDUM "C"

[illegible]

Page 7 of 7

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

TOMMY F. ROBINSON
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
VII All entries	1-13/15/86 GENERAL ELECTRIC MARTIN MARIETTA UNITED TECHNOLOGIES (SPEAKING + FACT FINDING)	FLA trip	Shared expenses for travel food + lodging including spouse expenses
	3-13/14/86 NORTHROP TEXTRON (BELL) (SPEAKING + FACT FINDING)	CA trip	Expenses provided for travel, food + lodging
	4-15/17/86 AMS. FOR SAFE ISRAEL (SPEAKING)	NY trip	EXPENSES for travel, food + lodging including spouse
	4-21/86 KOLLSMAN (SPEAKING)	VA trip	EXPENSES for travel + food
	9-18/86 FIVE TOWNS PAC (SPEAKING)	NY TRIP	EXPENSES for travel, food + lodging including spouse
	11-19/86 ARKANSAS POULTRY FEDERATION (SPEAKING)	AR trip	EXPENSE FOR FOOD + TRAVEL
	8-8/86 MARSHALL BRACHMAN (SPEAKING)	TX TRIP	EXPENSE FOR FOOD + TRAVEL
	5-14/86 JEWISH NATIONAL FUND	NY TRIP	EXPENSE FOR FOOD + TRAVEL

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Honorable Peter W. Rodino, Jr.
(Full Name)

2462 Rayburn H.O.B.
(Mailing Address)

Washinqotn, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 10th State New Jersey

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA __X__

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Baltimore Sun for article - 1/20/86	Fee	100	
Christian Science Publishing Society			
for article - 2/13/86	Fee	85	
New York Times for article - 4/12/86	Fee	150	
Baltimore Sun for article - 8/18/86	Fee	100	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
see attachment page 1		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO ____ NA X

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
see attachment page 2	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION		DATE	CATEGORY
Capital Cities	Sale	7/10/86	E
New Jersey H&MFA RV H/M	Purchase	7/24/86	E
Capital Cities	Sale	11/10/86	E
Capital Cities	Sale	11/10/86	F

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Peter W Rodino	5/11/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

[illegible]

Page 1 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Hon. Peter W. Rodino, Jr.
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*	
II B	Capital Cities	Dividends A
	First Fidelity Bank	Interest A
	Peter W. Rodino, III - note	Interest D
	Dade County Florida	Interest D
	New Jersey HCF FIN AU RV	Interest E
	New Mexico MTG FIN AU	Interest E
	RMA Tax Free Fund	Interest B
	Santa Rosa California	Interest C
	South Jersey Pt Corp NJ	Interest D
	Washington State HFC M/FAM M/RV	Interest E
	Capital Cities	Capital gains G
	Capital Cities	Capital gains G
	Capital Cities	Capital gains G

[illegible]

Page 2 of 2

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Hon. Peter W. Rodino, Jr.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
III	Capital Cities	Stocks	F
	Dade County Florida	Bonds	E
	New Jersey HCF FIN AU RV	Bonds	F
	New Mexico MTG FIN AU	Bonds	F
	New Jersey H&MFA RV H/M	Bonds	E
	Santa Rosa California MFMR FHA	Bonds	D
	South Jersey Pt Corp NJ	Bonds	D
	RMA Tax Free Fund	Bonds	F
	First Fidelity Bank	Savings	B

GPO: 1985 61-[illegible] (m)

2574

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Robert A. Roe
(Full Name)

P.O. Box 407
(Mailing Address)

Wayne, New Jersey 07470

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 8 State NJ

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA X

For more information, see detailed Instruction Booklet at page 7.

8 7 1 7 0 2 3 1 2 3

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Honorarium - per schedule attached		$22,500.	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
NONE		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO __X__ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
NONE	

[illegible]

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sale - Condominium apartment - Pompton Lakes, NJ	9/04/86	E
Sale - One-eightieth (1/80) interest in Arabian stallion "Muslin"	12/27/86	B
Sale - Arabian mare "Rarkharenza"	12/27/86	B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Ramapo Bank - Notes	C
Trust Company of New Jersey - Note	C
Ramapo Bank - Mortgage on condominium apartment	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Partner	Carol Associates, Wayne, NJ (Construction consultants)
Trustee	Chilton Memorial Hospital, Pompton Plains, NJ

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
Nov., 1969	State of New Jersey Public Employees Retirement System	Public pension at "normal" retirement age based on employment through November, 1969. Payments began March 1, 1984.

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	11 May 87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987

ROBERT A. ROE

U.S. House of Representatives
District 8 State of New Jersey

1986 HONORARIUMS

1/15/86	Regular Common Carrier Conference 2200 Mill Road Alexandria, Virginia 22314	$ 1,000.00
2/07/86	Gannett Outdoor Company of Kansas City 2459 Summit Street Kansas City, Missouri 64108	$ 500.00
2/10/86	Gannett Outdoor Company of Texas P.O. Box 70208 Houston, Texas 77270-0208	$ 500.00
2/10/86	Gannett Outdoor 185 Route 46 Fairfield, New Jersey 07006-2380	$ 500.00
2/13/86	Gannett Outdoor Company of Michigan 88 Custer Avenue Detroit, Michigan 48202	$ 500.00
3/24/86	Wickwire, Gavin & Ginns, P.C. 8230 Boone Boulevard, 4th Floor Vienna, Virginia 22180	$ 500.00
4/29/86	Union Camp 1600 Valley Road Wayne, New Jersey 07470	$ 1,000.00
4/09/86	American Cyanamid Company 1575 Eye Street, N.W. Washington, D.C. 20005	$ 1,000.00
4/01/86	Port of Oakland 66 Jack London Square Oakland, California 94607	$ 2,000.00
4/08/86	Lipsen, Hamberger, Whitten & Hamberger 1725 De Sales St., N.W., 8th Floor Washington, D.C. 20036	$ 2,000.00
5/06/86	National Electrical Manufacturers Assc. Suite 300 2101 L Street, N.W. Washington, D.C. 20037	$ 2,000.00
4/24/86	New Jersey Bell 540 Broad Street Newark, New Jersey 07101	$ 1,000.00
5/27/86	Association of Metropolitan Sewerage Agencies Suite 200, 1015 18th St., N.W. Washington, D.C. 20036	$ 1,500.00
6/12/86	American Consulting Engineers Council 1015 Fifteenth St., N.W., Suite 802 Washington, D.C. 20005	$ 2,000.00
5/28/86	The Boeing Company P.O. Box 3999 Seattle, Washington 98124-2499	$ 2,000.00
6/12/86	National Forest Products Assoc. 1250 Connecticut Ave., N.W. Washington, D.C. 20036	$ 1,000.00
7/31/86	The American Waterways Operators, Inc. 1600 Wilson Boulevard Arlington, Virginia 22209	$ 1,000.00
8/04/86	RCA Aerospace and Defense Moorestown, New Jersey 08057	$ 2,000.00

ROBERT A. ROE

U.S. House of Representatives
District 8 State of New Jersey

1986 HONORARIUMS

12/16/86	The Washington Caucus Suite 1100 1629 K St., N.W. Washington, D.C. 20006		$ 500.00
		TOTAL:	$22,500.00

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Charles Elson Roemer, III (Buddy)
(Full Name)

U. S. House of Representatives
(Mailing Address)

Washington, D. C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 4th State LA

☐ Officer or Employee—Employing Office

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES _____ NO X NA _____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION".
IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Nat'l Federation of Independent Bankers - 3/7/86	Honorarium	$1,000	
Standard Mfg. Co., Inc. - 4/28/86	"	2,000	
Federal Home Loan Bank Dallas, Texas - 4/21/86	"	2,000	
Howard, Weil, Labouisse, Friedrichs, Inc - 8/4/86	"	2,000	
Labor Policy Ass'n, Inc. - 9/16/86	"	1,000	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000

B. SOURCE	TYPE	CATEGORY
Rental Bossier Parish, Louisiana - House	Rent	B
Rental Arlington, Virginia - Apartment Condo	Rent	B
Rental Baton Rouge, Louisiana - House	Rent	A
Miscellaneous	Hobby (Net)	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.**
IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Stock in Innovative Data Systems of Louisiana, Inc.	C
Rental Property (House in Bossier Parish, LA)	D
Equity Interest in Family Owned and Operated Partnerships	C
Rental Property (Condo Apartment in Arlington, VA)	D
Rental Property (House in Baton Rouge, LA)	C

8 7 0 7 0 2 1 1 1 3 7

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
First National Bank of Mansfield, LA - mortgage on rental property	D
Red River Valled Bank, Bossier City, LA - mortgage on rental property	C
Minden Bank and Trust Company, Minden, LA - personal	B
Pioneer Bank and Trust Company, Shreveport, LA - demand note	C
First National Bank of Shreveport, LA - demand note	D
Liberty Bank & Trust, Shreveport, LA - demand note	D
Commercial National Bank, Shreveport, LA - personal	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

[illegible] 7 0 7 0 2 1 1 1 3 5

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
Louisiana Mortgage Bankers (Speech)	Air fare, D.C. to Mobile, Alabama; 1 night food & lodging.
Independent Bankers Ass'n of America (Panel participation)	Air fare, Mobile, Alabama to San Antonio, TX; 1 night food & lodging.
Independent Community Banks of North Dakota (Speech)	Air fare, D.C. to Bismarck, N.D. to Chicago, Ill; 1 night food & lodging.

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Board Member	Northwest LA Chapter, American Diabetes Association
Partner (Inactive)	Holdings of Family Owned & Operated Partnerships

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Charles E Roemer III	May 15, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

[illegible]

Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Hon. Charles Elson Roemer, III (Buddy)
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*		
II-A	Kellogg's - 12/22-86	$1,000	Honorarium
	Federated Department Stores, Inc. - 12/8/86	2,000	"
	Mobile Area Chamber of Commerce - 12/30/86	2,000	"
VII	Mortgage Bankers PAC Group (Speech)	- Air fare, Chicago, Ill. to New Orleans, LA to D.C.; 1 night food & lodging.	
	AT&T Corp. (Meetings with top AT&T officials re employment situation & future of Shreveport Plant) (self, spouse & child)	- Round-trip air fare, D.C. to San Francisco, CA (limo to Monterey) & return to D.C. for spouse & child; & to Palm Springs, CA & return to Shreveport, LA for self. 3 days food and lodging.	
	Nat'l Federation of Independent Business (Speech)	- Air fare, portion of above trip; 1 night lodging for self.	
	Louisiana League of Savings Institutions (Speech)	- Air fare, D.C. to Ft. Worth, TX, to Shreveport, LA.	
	Cong. Charles Stenholm (Participate in fundraiser for Member) (self, spouse & child)	- Round-trip air fare, D.C. to Abilene, TX, to D.C. (for spouse & child); air fare, Baton Rouge, LA to Abilene, TX to D.C. for self.	
	Kellogg Company (for Nat'l Bankers-Ass'n) (Speech)	- Round-trip air fare, D.C. to New Orleans, LA, to D.C.	
	Federated Department Stores, Inc. (Speech)	- Air fare, Shreveport, LA to Los Angeles, CA to New Orleans, LA. 1 night food & lodging.	
	Mobile Area Chamber of Commerce (Speech)	- Air fare, New Orleans, LA to Mobile, Alabama, to Shreveport, LA.	
	The Shreveport Chamber of Commerce- (Meetings, seminars with Japanese officials, bankers, businessmen & top leaders & businessmen from the Shreveport/Bossier area -- an industry seeking mission)	- Round-trip air fare, San Francisco, CA, to Tokyo, Japan.	

HAND DELIVERED

BUDDY ROEMER
4TH DISTRICT
LOUISIANA

WASHINGTON OFFICE
[illegible] CANNON HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
[illegible]

SHREVEPORT OFFICE
[illegible]

[illegible] OFFICE
[illegible]

Congress of the United States
House of Representatives
Washington, DC 20515

BANKING, FINANCE AND URBAN AFFAIRS COMMITTEE
SUBCOMMITTEES
FINANCIAL INSTITUTIONS SUPERVISION, REGULATION AND INSURANCE
HOUSING AND COMMUNITY DEVELOPMENT
INTERNATIONAL FINANCE, TRADE AND MONETARY POLICY
GENERAL OVERSIGHT AND INVESTIGATIONS
SMALL BUSINESS COMMITTEE
SUBCOMMITTEE
SBA AND THE GENERAL ECONOMY

May 19, 1987

Honorable Donnald K. Anderson
Clerk
U. S. House of Representatives
H-105, The Capitol
Washington, D. C. 20515

MC ✓

Dear Donn:

By this letter I wish to amend my Financial Disclosure Statement for 1986 as follows:

II. INCOME, B. Source:

Item 4: Miscellaneous -- Hobby-Poker Playing (gross).......E

III. HOLDINGS:

Item 2: Rental Property (House on 0.62 acres in South Bossier Parish, Rt. 1, Box 434, Bossier City, LA 71112)..............................D
Item 3: 1/6 Share Equity in Family Owned and Operated Partnerships (Scopena Plantation Operating Co. & Curtis Gin Operating Co., Rural South Bossier Parish, LA - agriculture)...........................C
Item 4: Rental Property (Condo Apartment at 5565 Columbia Pike, Unit 401, Arlington, VA).......D
Item 5: Rental Property (House received through inheritance by spouse at 1519 Kaufman St., Baton Rouge, LA)...................................C

Sincerely,

Buddy Roemer
BUDDY ROEMER
Member of Congress

BR:mn

BUDDY ROEMER
4TH DISTRICT
LOUISIANA

WASHINGTON OFFICE
103 CANNON HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
(202) 225-2777

SHREVEPORT OFFICE
228 SPRING STREET, SUITE 100
SHREVEPORT, LA 71101
(318) 226-5080

LEESVILLE OFFICE
203 E. TEXAS STREET
LEESVILLE, LA 71446
(318) 239-8816

Congress of the United States
House of Representatives
Washington, DC 20515

BANKING, FINANCE AND URBAN AFFAIRS COMMITTEE
SUBCOMMITTEES
FINANCIAL INSTITUTIONS SUPERVISION, REGULATION AND INSURANCE
HOUSING AND COMMUNITY DEVELOPMENT
DOMESTIC MONETARY POLICY
ECONOMIC STABILIZATION
SMALL BUSINESS COMMITTEE
SUBCOMMITTEE
TAX, ACCESS TO EQUITY CAPITAL AND BUSINESS OPPORTUNITIES

INSIDE MAIL

May 26, 1987

Honorable Donnald K. Anderson
Clerk
U.S. House of Representatives
H-105, The Capitol
Washington, D.C. 20515

MC

Dear Donn:

By this letter I wish to amend my Financial Disclosure Statement for 1986 as follows:

II. INCOME, B. Source:

Item 4: Miscellaneous -- Hobby (Poker) $9,849 (gross)
$8,706 (net)

Sincerely,

Buddy Roemer

BUDDY ROEMER
Member of Congress

BR:jab

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A: For use by Members, officers, and employees

[illegible]
(Full Name)

[illegible]
(Mailing Address)

[illegible]

MC
(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 5 State [illegible]

☐ Officer or Employee—Employing Office ________

☐ Check if amended Statement

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WHO MUST FILE AND WHEN:

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- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, **do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse**, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. **THE 1986 LIMIT FOR MEMBERS IS $22,530.** Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION." **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Consumers United for Rail Equity: 2/5/86	Honorarium	$500	
Ashland Oil, Inc.: 3/10/86	Honorarium	$2000	
The Tobacco Institute: 3/18/86	Honorarium	$1000	
National Association of Broadcasters: 4/15/86	Honorarium	$1500	
American Mining Congress: 5/5/86	Honorarium	$1000	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Ham and Bailiff (formerly Rogers and Ham)	Capital Gain	D
Cumberland Security Bank	Dividends	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property **held for the production of income**, during calendar year 1986, including business interests, that had a fair market value **exceeding $1,000 as of the end of the year**, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Rogers and Ham, Attorneys At Law—contract sale	C
Cumberland Security Bank (formerly Bank of Somerset)—stock	B
Citizens Bancshares, Kentucky—stock	F
Citizens National Bank, Kentucky—stock	A
Southern Belle Dairy, Kentucky—stock	A

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which **exceeds $1,000** in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Citizens National Bank of Somerset--home mortgage	[illegible]

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT **related to official duties**, whether those expenses were **REIMBURSED** to the individual or **PAID DIRECTLY** by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Mississippi Valley Coal Export Council, New Orleans, LA (speech before Fourth Annual World Coal Conference)	airfare:DCtoNO; food, lodging for 3 days
National Association of Broadcasters, Dallas, TX (speech before NAB's 64th Annual Convention)	rt transportation, food, lodging 3 days, spouse included
Pacific Community (paper presentation)	rt transportation from Lexington, KY, to Asia (stops included Seoul, Korea; Taipei, Taiwan; and Bangkok, Thailand), food and lodging for 10 days.

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director	Citizens National Bank, Somerset, Kentucky
Director	Citizens Bancshares, Inc., Somerset, Kentucky

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Harold D. Rogers	May 13, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

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Page ____ of ____

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

HAROLD D. ROGERS
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
II. A.	Kentucky Association of Health Care Facilities: 10/27/86, Honorarium, $1000
II. A.	Harlan County Coal Association: 11/15/86, Honorarium, $500
II. A.	Citizens National Bank: annual, Country Club Membership Dues, $750
II. A.	Citizens National Bank: annual, Director's Fees and bonus, $12,000
VIII.	Norwegian Caribbean Lines (seminar participant; expenses for self and spouse) Roundtrip transportation between Lexington, Kentucky, and Nassau, food and lodging for four days
VIII.	Miami Congressional Workshop (seminar participant; expenses for self and spouse) Roundtrip transportation between Lexington, KY, and Miami, FL, food and lodging for three days

[illegible]

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A–For use by Members, officers, and employees

Charles Grandison Rose, II
(Full Name)

2230 Rayburn
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives–District 7th State N.C.

☐ Officer or Employee–Employing Office ________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO _x_ NA ____

For more information, see detailed Instruction Booklet at page 7.

8 1 7 1 7 2 1 1 5 3 3

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See attached list of honorariums	Honorariums	$21,250.00	
Brown & Finn (SPACE)	Honorarium	$3,000.00	$1,000.00 assigned to charity

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500, C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Wright Patman Federal Credit Union	Savings/Capitol/Checking Dividends	B
House at 27 Sunset Lane, Alexandria, VA	rental	C

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO X______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Wright Patman Federal Credit Union Savings/Capitol/Checking	C
Rental Unit, 27 Sunset Lane, Alexandria, VA	E
Cascade Mountain, VA ski lot, 3/4 acre	B
New Hanover County, N.C. 10 acres	E

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
Sale of house at 27 Sunset Lane, Alexandria, VA	10-1-86	E
Purchase of 2/3 interest in New Hanover Co. property	12-29-86	F

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
Southern National Bank Note	C
Mortgage on 27 Sunset Drive, Alexandria, VA	B
Mortgage on 1/3 interest New Hanover County property, 10 acres (until 12-28-86) owed to Gleason Allen, trustee, Wilmington, N.C.	D
Mortgage on New Hanover County property, 10 acres (12-29-86 until end of year) owed to Gleason Allen, trustee, Wilmington, N.C.	F

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
See attached list of reimbursements	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Charlie Rose	May 15, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

[illegible]

Congressman Charlie Rose
Financial Disclosure, 1986
II. Income (Honorariums)

Date	Name	Amount	Expense	Phone	Contact
Jan 23, 1986	PFIZER	$1,000.00	$256.00	202-783-7070	BURT E. ROSEN
Feb 20, 1986	SPACE (BROWN & FINN)	$3,000.00	$648.75	202-887-0600	RICK BROWN
Feb 22, 1986	US TOBACCO	$1,000.00	$320.00	203-661-1100	BARBARA STERLING
Mar 11, 1986	OUTDOOR ADVERTISING ASSOCIATION	$2,000.00		202-223-5566	VERNON CLARK
Mar 30, 1986	ALL-AMERICAN BEVERAGE CO. INC	$2,000.00	$1,400.00	805-928-3866	GAIL BRUCE
Apr 4, 1986	CONNELL RICE &SUGAR CO.	$2,000.00	$106.00	201-233-0700	GROVER CONNELL
Apr 17, 1986	The TOBACCO INSTITUTE	$2,000.00		202-457-4846	BOB LEWIS
Apr 29, 1986	MCI COMMUNICATIONS CORPORATION	$2,000.00		202-887-2696	ED HALL
May 5, 1986	AMERICAN FARM BUREAU FEDERATION	$500.00		202-484-2222	JOHN C. DATT
May 8, 1986	NATIONAL RESTAURANT ASSOCIATION	$1,250.00		202-638-6100	DENNIS CLARK
Jun 11, 1986	XEROX CORPORATION	$500.00		703-247-6710	SHIRLEY MYERS
Jul 24, 1986	MEYERS & WHITE	$2,000.00	$858.00	202-484-2773	LARRY MEYERS
Sep 19, 1986	REAL ESTATE TAX INSTITUTE	$2,000.00		202-528-5644	TERESA ELLIS
	Total:	$21,250.00	$3,588.75		

Congressman Charlie Rose
Financial Disclosure, 1986
VII. Reimbursements

Pfizer - Pfizer provided round-trip air-fare between Washington, D.C. and Raleigh N.C. and one day food and lodging for a speaking engagement.

SPACE - Brown and Finn provided round-trip air-fare between Washington, D.C. and Las Vegas, Nevada and one day food and lodging for a speaking engagement.

U. S. Tobacco - U.S. Tobacco provided round-trip air-fare between Washington, D.C. and Palm Beach, Florida and one day food and lodging for a speaking engagement.

All American Beverage Association - All American Beverage Association provided air-fare between Washington, D.C. and Palm Springs, California for myself and spouse and three days food and lodging for speaking engagement.

Meyers and White - Meyers and White provided air-fare between Washington, D.C. and Dallas, Texas, including travel by car to Ardmore, Oklahoma for myself and spouse and one day food and lodging for speaking engagement.

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Dan Rostenkowski
(Full Name)

2111 Rayburn H.O.B.
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 8 State Illinois

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO x ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See Statement 1			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See Statement 2		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES x NO ____ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See Statement 3	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
See Statement 4		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
none	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
See Statement 5		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
See Statement 6	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
National Advisory Committee Member	Professional Golfers' Association

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5-14-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987

DAN ROSTENKOWSKI

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

STATEMENT 1 - II. Income - Part A.

SOURCE & DATE	TYPE	AMOUNT
American Automotive Leasing Association - 10/30/86	Honorarium	$7500.*
Municipal Issuers Service Corporation - 4/28/86	Honorarium	$5000.*
American Horse Council, Incorporated - 2/27/86	Honorarium	$2000.
AT&T - 1/23/86	Honorarium	$2000.
Price Waterhouse Office of Government Services - 9/25/86	Honorarium	$7500.*
Richard S. Pepper - 4/30/86	Honorarium	$2500.*
Cosmetic Toiletry & Fragrance Association - 3/3/86	Honorarium	$2000.
American Society of Association Executives - 1/15/86	Honorarium	$2000.
National Truck Leasing System - 10/21/86	Honorarium	$3500.*
National Construction Industry Council - 4/30/86	Honorarium	$2500.*
First Winthrop Corporation - 5/1/86	Honorarium	$5000.*
AT&T - 5/14/86	Honorarium	$3000.*
Board of Trade of the City of Chicago - 4/15/86	Honorarium	$5000.*
American Society of Association Executives - 4/16/86	Honorarium	$8000.*
Mayo Foundation - 1/9/86	Honorarium	$2000.
The Claremont Colleges - 5/12/86	Honorarium	$7500.*
Western Association of Equipment Lessors - 6/18/86	Honorarium	$5000.*
First Chicago - 4/24/86	Honorarium	$2000.
William M. Mercer-Meidinger, Inc. - 5/30/86	Honorarium	$5000.*
Health Industry Manufacturers Association - 1/15/86	Honorarium	$2000.
New England Mutual Life Insurance Company - 4/28/86	Honorarium	$2000.*
Midwest Stock Exchange, Inc. - 1/1/86	Honorarium	$2000.
Blue Cross/Blue Shield of Illinois - 4/18/86	Honorarium	$3000.*
Board of Trade of the City of Chicago - 5/27/86	Honorarium	$5000.*
National Broadcasting Co. - 5/2/86	Honorarium	$2000.*
Distilled Spirits Council of the United States, Inc. - 1/31/86	Honorarium	$2000.
Mayo Foundation - 4/25/86	Honorarium	$3000.*
First Chicago - 4/24/86	Honorarium	$3000.*
Sugar Users Group - 5/8/86	Honorarium	$5000.*
The Bond Club of New York - 6/30/86	Honorarium	$5000.*
Illinois Bell Telephone Company - 4/21/86	Honorarium	$5000.*
Health Industry Manufacturers Association - 4/16/86	Honorarium	$3000.*
New England Mutual Life Insurance Company - 1/9/86	Honorarium	$2000.
American Furniture Manufacturers Association - 6/25/86	Honorarium	$5000.*
MCA, Inc. - 7/11/86	Honorarium	$2000.*
Joseph E. Seagrams & Sons - 6/20/86	Honorarium	$5000.*
Cleveland Browns - 4/17/86	Honorarium	$2500.*
Insurance Tax Conference, Inc. - 4/15/86	Honorarium	$5000.*
Drexel, Burnham, Lambert - 5/21/86	Honorarium	$5000.*
American Advertising Federation - 7/1/86	Honorarium	$5000.*
Sherwin Williams Company - 4/21/86	Honorarium	$2500.*
Midwest Stock Exchange, Inc. - 5/5/86	Honorarium	$3000.*
AT & T - 7/21/86	Honorarium	$5000.*
Building Owners and Managers Association, International - 7/28/86	Honorarium	$7500.*
American Council for Capital Formation; Center for Policy & Research - 9/5/86	Honorarium	$5000.*
Blue Cross/Blue Shield of Illinois - 1/3/86	Honorarium	$2000.
Confidence Insurance Agency, Inc. (Mrs. Rostenkowski)	Wages	$2364.

*Denotes Charitable Contribution

DAN ROSTENKOWSKI

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

Statement 2 - II. Income - Part B.

SOURCE	TYPE	CATEGORY
Real Estate Rental Income	Rent	D
Athlone, Inc.	Dividend	B
Deluxe Check Printers	Dividend	A
Egyptian Trotting Association	Dividend	NONE
Qualified Blind Trust	Trust Distribution	D
Security Federal Savings & Loan Association	Interest	C
Northwestern Savings & Loan Association	Interest	C
National Security Bank of Chicago	Interest	A
Verne-Lyn Real Estate Partnership	Partnership Income	E

DAN ROSTENKOWSKI

ETHICS IN GOVERNMENT - FINANCIAL DISCLOSURE STATEMENT

STATEMENT 3 - III. HOLDINGS

IDENTITY	CATEGORY
Athlone Industries Stock	C
Deluxe Check Printers Stock	C
Egyptian Trotting Association Stock	B
GST Laboratories Stock	A
Equity Ownership in Ridgemoor Country Club	A
Northwestern Savings & Loan Association Savings Account	C
Security Federal Savings & Loan Association Savings Account	D
Partnership Interest in A,N,B & T Co. (Mrs. Rostenkowski - assets consist of real estate at 1449 W. Augusta Boulevard, Chicago, Illinois)	B
1/3 Interest in Lot #36-24-16-0010-05600-0000, Unit 6, Lot 26, Pasco County, Florida	B
Hernando County, Block 1304, Unit 20, Lots 7-14, Spring Hill, Florida	C
Collier County, Lot #49801200002, "Deltona", Marco Island, Florida	C
2158 North Damen Avenue, Chicago, Illinois	B
N.E. Corner of Blackhawk & Noble Streets, Chicago, Illinois	A
1362 West Evergreen Avenue, Chicago, Illinois	A
Corner of Ada and Evergreen Avenues, Chicago, Illinois	A
1/3 Interest in N.E. Corner of Evergreen and Noble Streets, Chicago, Illinois	D
Corner of Haddon & Noble Streets, Chicago, Illinois	A

DAN ROSTENKOWSKI

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

STATEMENT 4 - IV. Transactions

BRIEF DESCRIPTION	DATE	CATEGORY
Termination of Vern-Lyn Real Estate Partnership (Mrs. Rostenkowski) Assets consisted of real estate at 1368 West Evergreen Avenue, Chicago, Illinois	12/31/86	D
Transfer of Chemworth Stock to Blind Trust	1/1/86	A

DAN ROSTENKOWSKI

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

STATEMENT 5 - VI. GIFTS - Part B.

SOURCE	BRIEF DESCRIPTION AND VALUE
AT & T Pebble Beach Charity Golf Tournament	Golf Clothing & Accessories - under $100.
Ladies Kemper-Helene Curtis Charity Golf Tournament	Golf Clothing & Accessories - under $100.
U.S.F. & G. Charity Golf Tournament	Golf Clothing & Accessories - under $100.
Skins Game Chairty Golf Tournament	Golf Clothing & Accessories - under $100.
Danny Thompson Memorial Charity Golf Tournament	Golf Clothing & Accessories - under $100.
Bob Hope-Chrysler Charity Golf Tournament	Golf Clothing & Accessories - under $250.

DAN ROSTENKOWSKI

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

STATEMENT 6 - VII. REIMBURSEMENTS

SOURCE	BRIEF DESCRIPTION
Hotel, Motel, Restaurant Workers Union	Lodging (spouse also)
Claremont McKenna	Travel & Lodging
Outdoor Advertising Association	Lodging (spouse also)
Tobacco Institute	Lodging (spouse also)
Bob Hope Chrysler Pro Am	Travel (spouse also)
Distilled Spirits Council	Travel & Lodging (spouse also)
AT&T	Lodging (spouse also)
Joseph E. Seagrams & Son	Travel (spouse also)
The Bond Club of New York	Travel
Sugars Users Association	Travel & Lodging (spouse also)
Cosmetic, Fragrance & Toiletry Association	Travel & Lodging (spouse also)
Winthrop Financial Corp.	Travel
Kemper, Helene Curtis Charity Pro Am	Travel & Lodging (spouse also)
Futures Industry Association	Travel & Lodging
U. S. F. & G. Charity Pro Am	Travel & Lodging
Western Association of Equipment Lessors	Travel & Lodging (spouse also)
Orchard Lakes School	Travel
MCA, Inc.	Travel & Lodging
Pacific National Bank	Travel & Lodging
AT&T	Travel
American Advertising Association	Travel
Economic Policy Group	Travel
Dart/Kraft	Travel
Consumption Tax Group	Travel
Federated Department Stores	Travel
American Stock Exchange	Travel
Chicago District Golf Association	Travel
Municipal Bond Insurance Association	Travel & Lodging
Skins Pro Am Charity Golf Tournament	Travel & Lodging (spouse also)

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Toby Roth
(Full Name)

9524 Locust Hill Drive
(Mailing Address)

Great Falls VA 22066

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

XX Member of the U.S. House of Representatives—District 08 State WI

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

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I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

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STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Secura Companies (Name change from Home Insurance)	Director Fee	$5450	
See Attached Sheet (Page 1 of 3)			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Valley Northern Bank, Appleton WI	Interest	D
Valley Western Bank, Appleton WI	Interest	B
United Virginia Bank, Great Falls VA	Interest	A
Real Estate	Rent	E
527 West 6th Street, Appleton WI (Land Contract Sale)	Capital Gains	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO X______ NA ______

For more information, see detailed Instruction Booklet at page 8

IDENTITY	CATEGORY
972-74 Ida Street, Menasha WI (Duplex	C
739 South Fairview, Appleton WI (Single Family)	C
1434-36 East Amelia Street, Appleton WI (Duplex)	C
1366-68 Home Avenue, Menasha WI (Duplex)	C
1525 West Wisconsin Avenue, Appleton WI (Single Family)	C
See Attached Sheet (Page 2 of 3)	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
527 West 6th Street, Appleton WI, SOLD via Land Contract	11/86	

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
First Wisconsin Bank, Menasha WI	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
See Attached Sheet (Page 3 of 3)	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director	Secura Companies

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Toby Roth	May 13, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

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Page 1 of 3

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Toby Roth
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*			
II-A	01/20/86	Natl Assn of Realtors (WI)	Honorarium	$ 500.
	02/20/86	Natl Assn of Realtors	"	2000.
	03/06/86	American Car Rental Assn	"	2000.
	03/14/86	California Bankers Assn	"	2000.
	06/04/86	Mortgage Insurance Co of America	"	500.
	06/13/86	Chicago Mercantile Exchange	"	500.
	06/13/86	Board of Trade, City of Chicago	"	500.
	07/16/86	Credit Union Natl Assn Inc	"	1000.
	08/13/86	Travel Industry Assn of America	"	1000.
	09/09/86	Natl Cncl of Savings Institutions	"	2000.
	09/17/86	Intl Management & Development Institute	"	1000.
	09/23/86	Natl Customs Brokers & Forwarders Assn	"	500.
	09/23/86	Natl Restaurant Assn	"	1000.
	11/14/86	Assn of Bank Holding Companies	"	2000.

GPO: 1986 61-080 (m)

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Page 2 of 3

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Toby Roth
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
III-A	1521 West Kamps Avenue, Appleton (Four-Plex)	D
	417-19 East Longview Drive, Appleton (Duplex)	D
	527 West 6th Street, Appleton (Sold via Land Contract)	C
	Valley Northern Bank, Appleton (Savings Account)	D
	Valley Western Bank, Appleton (Savings Account)	C
	United Virginia Bank, Great Falls VA (Interest Checking)	A

GPO 1986 61-688 (m)

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Page 3 of 3

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Toby Roth
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*
VII	American Car Rental Assn
	Travel WDC to Las Vegas, meals and lodging
	California Bankers Assn
	Travel WDC to Los Angeles, meals and Lodging
	Intl Management and Development Institute
	Travel WDC to London, Frankfurt, Stockholm, meals and lodging
	Chicago Mercantile Exchange
	Travel WDC to Chicago and Appleton, and meals

INSIDE MAIL

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A–For use by Members, officers, and employees

Margaret Roukema
(Full Name)

249 Greenway Road
(Mailing Address)

Ridgewood, New Jersey 07450

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives–District #5 State New Jersey

☐ Officer or Employee–Employing Office ________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
University of Medicine and Dentistry of N.J.	Spouses-Wages	---	
Medical Practice - Schedule C - Income	Spouse	---	
Honoraria - Continuation Sheet		13,200	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
(See Continuation Sheet attached)		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding **$1,000** as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See Continuation Sheet attached	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sale-Capital Stock-Roukfort, Inc.	9-15-86	G
Purchase-Alliance Tax Exempt Reserves, Inc. (Fund)	10-7-86	G
Purchase-Municipal Bond - State of NJ CTFS	12-8-86	C
Purchase-Municipal Bond - Sioux City, Iowa Hosp.Rev.Ref.	12-23-86	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Officer and Director	(Spouse) Roukfort Corp.
Professor	(Spouse) University of Medicine and Dentistry of N.J.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Margaret Roukema
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*		
Part II - A	Hononaria:		Amount
	3-3-86	New England Life Insurance	$ 2000.
	3-14-86	Grocery Manufacturers Assn.	2000.
	3-4-86	Security Industries Assn.	2000.
	5-14-86	National Commercial Finance Assn.	600.
	6-18-36	Automobile Importers of America	2000.
	7-5-86	Borg Warner	1000.
	7 -86	Taft Institute - Rutgers Univ.	100.
	7-31-86	National Retail Merchants Assn.	1000.
	9-11-86	Labor Policy Assn.	1000.
	11-24-86	CPC International	1500.

Part II - B	Unearned Income:	Type	Category
	National Community Bank	Interest	A
	Ridgewood Savings and Loan Assn.	Interest	A
	Donaldson Lufkin & Jenrette	Interest	A
	Public Service Enterprises	Dividends	A
	Roukfort, Inc.	Capital Gain	C
	Great Western Life	Canc.of Policy	C
	Alliance Tax Exempt Reserves Inc.	Interest	C
	Municipal Bonds - State of N.J.	Interest	A

Part III	Holdings:	
	Spouses Retirement Plans	E
	Savings Accounts:	
	National Community Bank	A
	Ridgewood Savings and Loan Assn.	A
	Condominium-Washington, DC (Not rental property)	E
	Vacation Home - Warren, VT.(Not rental property)	E
	DLJ Penn Eight Associates LP	C
	Municipal Bonds and Tax Exempt Bond Funds:	
	State of N.J. CTFS 6-15-90	C
	Sioux City, Iowa Hosp. Rev. Ref 7-1-94	C
	Alliance Tax Exempt Reserves Gen Port	E

GPO: 1986 61-[illegible] (m)

INSIDE MAIL
INSIDE MAIL

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Margaret Roukema
(Full Name)

249 Greenway Road
(Mailing Address)

Ridgewood, New Jersey 07450

No Signature ✓
MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 5 State New Jersey

☐ Officer or Employee—Employing Office ______________________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT–2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225–7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
University of Medicine and Dentistry of N.J.	Spouses-Wages	---	
Medical Practice - Schedule C - Income	Spouse	---	
Honoraria - Continuation Sheet		13,200	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,5[illegible]1-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
(See Continuation Sheet attached)		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO _____ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See Continuation Sheet attached	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sale-Capital Stock-Roukfort, Inc.	9-15-86	G
Purchase-Alliance Tax Exempt Reserves, Inc. (Fund)	10-7-86	G
Purchase-Municipal Bond - State of NJ CTFS	12-8-86	C
Purchase-Municipal Bond - Sioux City, Iowa Hosp.Rev.Ref.	12-23-86	C
Purchase-DLJ Penn Eight Associates LP	12-30-86	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Officer and Director	(Spouse) Roukfort Corp.
Professor	(Spouse) University of Medicine and Dentistry of N.J.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Marge Roukema, M.C.	June 5, 1987.

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

8 / 0 / 7 2 2 0 / 5 0

Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Margaret Roukema
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
Part II - A	Honoraria:		Amount
	3-3-86	New England Life Insurance	$ 2000.
	3-14-86	Grocery Manufacturers Assn.	2000.
	3-4-86	Security Industries Assn.	2000.
	5-14-86	National Commercial Finance Assn.	600.
	6-18-86	Automobile Importers of America	2000.
	7-5-86	Borg Warner	1000.
	7 -86	Taft Institute - Rutgers Univ.	100.
	7-31-86	National Retail Merchants Assn.	1000.
	9-11-86	Labor Policy Assn.	1000.
	11-24-86	CPC International	1500.

Part	Source	Type	Category
Part II - B	Unearned Income:		
	National Community Bank	Interest	A
	Ridgewood Savings and Loan Assn.	Interest	A
	Donaldson Lufkin & Jenrette	Interest	A
	Public Service Enterprises	Dividends	A
	Roukfort, Inc.	Capital Gain	C
	Great Western Life	Canc.of Policy	C
	Alliance Tax Exempt Reserves Inc.	Interest	C
	Municipal Bonds - State of N.J.	Interest	A

Part III	Holdings:	
	Spouses Retirement Plans	E
	Savings Accounts:	
	National Community Bank	A
	Ridgewood Savings and Loan Assn.	A
	Condominium-Washington, DC (Not rental property)	E
	Vacation Home - Warren, VT.(Not rental property)	E
	DLJ Penn Eight Associates LP	C
	Municipal Bonds and Tax Exempt Bond Funds:	
	State of N.J. CTFS 6-15-90	C
	Sioux City, Iowa Hosp. Rev. Ref 7-1-94	C
	Alliance Tax Exempt Reserves Gen Port	E

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

J. Roy Rowland
(Full Name)

423 Cannon House Office Building
(Mailing Address)

Washington, D.C. 20515

✓
MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 8th State Georgia

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA X

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Medical Center (a partnership)	Medical Fees	$15,655	
Dublin Medical Arts Center, Inc.	Director Fees	5,250	
See continuation sheet	Honoraria	850	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Citizens and Southern Bank of Dublin	Interest	A
Reliance Trust Company	Interest	A
Farmers and Merchants Bank	Interest	B
Paine Webber	Dividends	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO ____ NA X

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See continuation sheet	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Contributed to IRA, Citizens & Southern Bank, Dublin, Ga.	12-31-86	A
Contributed to SEP, Citizens & Southern Bank, Dublin, Ga.	12-31-86	A
Contributed to Keogh, Citizens & Southern Bank, Atlanta, Ga.	12-31-86	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Family Practice Development Group	See continuation sheet
Eye Bank Association of America, Inc.	See continuation sheet

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
General Partner	Medical Center, Dublin, Georgia
Director	Dublin Medical Arts Center, Inc. Dublin, Georgia
Director	J-Mal, Inc. Dublin, Georgia

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
9-1-82	Medical Center and Member	See continuation sheet

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
X	May 7, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

Page ____ of ____

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

J. Roy Rowland
(Name)

Continuation Sheet

Part — *Source, Type, Amount, Category, Description or Value (As Applicable)*

IIA. Earned Income: Honoraria

Source	Amount	Received
Eyebank Association of America	$500.00	6-19-86
Family Practice Development Group	350.00	7-23-86
Total	$850.00	

III

Holdings:	Category
Farm (46 acres); Laurens County, Ga.	C
Farm (212 acres); Johnson County, Ga.	D
Dublin Medical Arts Center, Inc., Dublin, Ga. Common Stock	C
J-Mal, Inc., Dublin, Ga. Common Stock	C
Pine Forest Methodist Church, Dublin, Ga. Bonds	A
Medical Center (a partnership), Dublin, Ga. 3% interest in earnings	A
IRA- Citizens and Southern Bank, Dublin, Ga.	C
IRA- Citizens and Southern Bank, Dublin, Ga.	C
SEP (Simplified Employee Pension), Citizens and Southern Bank, Dublin, Ga.	A
Keogh (Medical Center), Citizens and Southern National Bank, Atlanta, Ga.	E
Farmers and Merchants Bank, Dublin, Ga. Money Market Account	C
Paine Webber, Cash Fund (Money Market Fund)	B

VII

Reimbursements:	Brief Description
Family Practice Development Group	Lodging and Meal Expenses for Member and Spouse at Callaway Garden Inn
Eye Bank Association of America, Inc.	Accomodations and Travel Expenses for member at Marriott Chrystal Gateway Hotel in Chrystal City, Va. Made speech on overview of Health Issues

IX

Agreements:

On 9-1-82, member withdrew and retired from the partnership (Medical Center, Dublin, Ga.). Pursuant to the agreement, member will participate in the division of partnership earnings (based on a point system adopted by said partnership) and also participate in the partnership's retirement (Keough) plan through February 29, 1992.

UNITED STATES HOUSE OF REPRESENTATIVES

MAY 11 1987

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A–For use by Members, officers, and employees

John Grosvenor Rowland
(Full Name)

86 Cables Avenue
(Mailing Address)

Waterbury, CT 06710

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives–District 5 State Connecticut

☐ Officer or Employee–Employing Office ______

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
SEE ATTACHED SCHEDULE			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
George Lathrop	Interest	A
The Banking Center	Interest	A
Shearson Cash Fund	Dividend	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO ____ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Shearason Cash Fund	A

[illegible] 7 2

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
George Lathrop - Release of Mortgage	3-27-86	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
U.S. Tobacco Co.	Travel expenses
National Restaurant Assoc.	" "
Independent Insurance Agents of Conn.	" "

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director	American Cancer Society
Director	The Banking Center

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
John G. Rowland	4/20/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987 [illegible]

SCHEDULE II. Earned Income

SOURCE		TYPE	AMOUNT
The Banking Center	(2/27/86)	Director Fee	$ 300
U.S. Tobacco Co.	(11/12/86)	Honorarium	1000
National Restaurant Assoc.	(5/08/86)	"	1000
Aetna Life Insurance	(1/17/86)	"	1000
Conn. Food Stores Assoc.	(5/01/86)	"	1000
Ind. Insurance Agents of Conn.	(5/19/86)	"	1000
Holy Cross High School	(6/03/86)	"	200
		Total	$5500

ROWLAND, JOHN G. (Conn. 5)

JOHN G. ROWLAND
5TH DISTRICT, CONNECTICUT

WASHINGTON OFFICE
512 CANNON HOUSE OFFICE BUILDING
PHONE (202) 225-3822

DISTRICT OFFICES
135 GRAND STREET
WATERBURY, CT 06701
(203) 573-1418

42 MAIN STREET
DANBURY, CT 06810
(203) 743-3384

INSIDE MAIL

Congress of the United States
House of Representatives
Washington, DC 20515

COMMITTEE ON PUBLIC WORKS AND TRANSPORTATION
SUBCOMMITTEE ON INVESTIGATIONS AND OVERSIGHT
SUBCOMMITTEE ON SURFACE TRANSPORTATION

COMMITTEE ON VETERANS' AFFAIRS
SUBCOMMITTEE ON HOSPITALS AND HEALTH CARE
SUBCOMMITTEE ON HOUSING AND MEMORIAL AFFAIRS

SELECT COMMITTEE ON NARCOTICS ABUSE AND CONTROL

May 18, 1987

The Honorable Donnald K. Anderson
Clerk
U.S. House of Representatives
Office of Records & Registration
1036 Longworth HOB
Washington, D.C. 20515

✓
MC

Dear Mr. Anderson:

I kindly request that the following amendments be made to my Financial Disclosure Statement received by your office on May 14, 1987:

Part I

The Spouse and Dependent Disclosure Exemption Box should be checked "NO."

Part III

The Trust Holdings Box should be checked "NO."

Part VII

3. & 4.

U.S. Tobacco Co. - Flight to Florida for a speaking engagement in November 1986 for 5 days.

National Restaurant Assoc. - Flight to Chicago for a speaking engagement in May 1986 for 2 days.

Independent Insurance Agents of Conn. - Flight to Boston for a speaking engagement in May 1986 for 1 day.

Thank you for your consideration of this matter.

Sincerely yours,

John G. Rowland

JOHN G. ROWLAND
Member of Congress

JGR/rr

[illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Honorable Edward R. Roybal
(Full Name)

2211 Rayburn House Office Building
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 25 State CA

☐ Officer or Employee—Employing Office

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.

- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.

- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
American Society of Anesthesiologists:2/19/86	Honoraria	$1,000	
Wine Institute:3/7/86	Honoraria	$1,000	
Nat'l Assoc. of Beverage Importers:3/20/86	Honoraria	$2,000	
Nat'l Assoc. of State Units on Aging:8/14-22	Honoraria	$ 500	
MERK & Co., Inc.:12/4/86	Honoraria	$2,000	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
311 Maryland Avenue Washington, DC -- 3 units	Rent	E
419 N. Hill Street, Pasadena, CA -- 5 units		E
348 Euclid Avenue, Oakland, CA -- 6 units:1/2 owner		D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
3 unit apartment, 311 Maryland Avenue, Washington, D.C	E
5 unit apartment, 419 N. Hill Street, Pasadena CA	E
6 unit apartment, 1/2 owner, 348 Euclid Avenue, Oakland, CA	E
Columbia Savings and Loan	E
Citizens Bank of Maryland	A

9 7 0 4 0 2 1 3 1 1 2

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
311 Maryland Avenue, Washington, DC	November 1986	E

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
Great Western Savings and Loan -- 1st trust	E
American Security Corporation -- personal property 1st trust	C
Wright Patman Credit Union	C
Virginia Mortgage and Investment Company -- 1st Trust	D
Imperial Savings and Loan	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
College of William and Mary	Speaking engagement
NALEO Conference	Speaking engagement
Blue Shield of California	Speaking engagement

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
President	National Association of Latino Elected and Appointed Officials
President	East Los Angeles Children's Fund, Inc.
President	Edward R. Roybal Foundation
Member of Advisory Group	Retirement Housing Foundation

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Edward R. Roybal	May 21, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

EDWARD R. ROYBAL
25TH DISTRICT, CALIFORNIA

2211 RAYBURN OFFICE BUILDING
WASHINGTON, DC 20515
TELEPHONE (202) 225-6235

LOS ANGELES OFFICE
ROOM 7108, NEW FEDERAL P.O. BLDG.
300 N. LOS ANGELES STREET
LOS ANGELES, CA 90012
TELEPHONE (213) 894-4870

Congreſs of the United States
House of Representatives
Washington, DC 20515

COMMITTEE ON APPROPRIATIONS
CHAIRMAN
SUBCOMMITTEE ON
TREASURY POSTAL SERVICE
GENERAL GOVERNMENT
MEMBER
SUBCOMMITTEE ON
LABOR HEALTH AND HUMAN
SERVICES EDUCATION

CHAIRMAN
SELECT COMMITTEE ON AGING
CHAIRMAN
SUBCOMMITTEE ON
RETIREMENT INCOME
AND EMPLOYMENT

INSIDE MAIL

June 2, 1987

Honorable Julian C. Dixon
Chairman
U.S. House of Representatives
Committee on Standards of Official Conduct
Washington, D.C. 20515

mcl

Dear Mr. Chairman:

By this letter I wish to amend my 1986 Financial Disclosure Statement to read as follows:

PART V. LIABILITIES

IDENTITY	CATEGORY
Great Western Savings and Loan; first trust	E
American Security Corporation; personal property first trust	C
Wright Patman Credit Union; personal loan	B
Virginia Mortgage and Investment Company; first trust	D
Imperial Savings and Loan; 2nd trust, Hill Street property	D

PART VII. REIMBURSEMENTS

SOURCE	BRIEF DESCRIPTION
College of William and Mary (Speech)	2 days meals/lodging (self and spouse)
NALEO Conference (Speech)	1 day; round trip airfare; meals/lodging;LA/NV/LA (self)
Blue Shield of California (Speech)	1 day; airfare from LA/SF/LA (self)

Should you need further information, please do not hesitate to call me at 225-6235.

Sincerely,

Edward R. Roybal

EDWARD R. ROYBAL
Member of Congress

ERR/cmm

8 7 0 [illegible] 1 0 5 0

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Honorable Marty Russo
(Full Name)

2233 Rayburn House Office Bldg.
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 3rd State Illinois

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A SOURCE	TYPE	AMOUNT	DISPOSITION
1/8-Allied Signal Co.	Honorarium	$2,500	donated to charity
1/10-Hotel Employees & Restaurant Employees Int'l Union	"	2,000	charity
1/12-Outdoor Advertising Association	"	1,000	charity
1/28-National Venture Capital Association	"	2,000	
1/31-AT&T	"	1,500	charity

continued on page 2 . . . .

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B SOURCE	TYPE	CATEGORY
Townhouse located at 3538 Gunston Road Alexandria, Virginia	Rent	D
Jackson National Life	Interest	A
River Oaks Bank	Interest	A
Baton Rouge Associates	Dividend	A
PruTech	Dividend	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO __X__ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Townhouse located at 3538 Gunston Road, Alexandria, Virginia	D
River Oaks Bank (savings account)	B
Polaris Trust IV	C
Baton Rouge Associates	C
PruTech	A

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Polaris Trust IV (purchase)	2/25/86	C
Baton Rouge Associates (purchase)	4/27/86	B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Townhouse located at 3538 Gunston Road, Alexandria, Virginia	D
Greenwood Finance	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
Midlothian Country Club	Honorary membership/golfing privileges	$400.00
Bob Hope Classic	Golf clothing & accessories	250.00
Kemper Open	Golf clothing & accessories	245.50

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Pratt & Whitney	Travel (Mrs. also)
Hotel Employees & Restaurant Employees Int'l Union	Travel
AT&T	Travel & lodging
Barrett Consultants	Travel
Loews Corporation	Travel & lodging

continued on page 2 . .

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Of Counsel	Coffield, Ungaretti, Harris & Slavin
Citizens Board	Illinois Masonic Medical Center

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Marty Russo	5-14-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

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Page 2 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Honorable Marty Russo
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
II.A.	2/18-National Apartment Association	Honorarium	$2,500	Donated to charity
	2/20-Distilled Spirits Council	"	1,000	charity
	3/8-Futures Industry Association	"	1,000	charity
	4/14-International Graphic Communications Union	"	1,000	charity
	5/21-Paine Webber	"	1,500	charity
	6/17-Staley Continental, Inc.	"	1,000	charity
	6/18-Johnson & Johnson Co.	"	1,000	charity
	6/19-Paine Webber	"	1,500	charity
	6/26-Upjohn Company	"	1,000	charity
	7/1-Smites Users Group	"	1,000	charity
	9/20-Illinois Optometric Association	"	2,000	charity
	10/14-National Association of Accountants	"	500	charity
	10/22-Ostrow, Reisin, Berk & Abrams, Ltd.	"	2,000	charity
	10/26-Mortgage Bankers Assoc. of America	"	2,000	charity
	11/6-Ford City Merchants' Association	"	1,000	charity
	12/23-Ferrara Pan Candy Co.	"	1,500	charity
	1986 Chicago Board of Education	Spouse salary		
	1986 Coffield, Ungaretti, Harris & Slavin	Salary	20,000	

Part	Source	Description
VII	Kogovsek & Associates	Travel
	Florida Fresh Fruits & Vegetables	Travel (Mrs. also)
	Jerry Ford Invitational Golf Tournament	Lodging while participating as a celebrity guest in charity golf tournament

[illegible]

HAND DELIVERED

MARTY RUSSO
3d District, Illinois

COMMITTEE ON
WAYS AND MEANS

COMMITTEE ON
THE BUDGET

DEMOCRATIC STEERING
AND POLICY COMMITTEE

DEPUTY WHIP

Congress of the United States
House of Representatives
Washington, DC 20515

OFFICES
2233 Rayburn Building
Washington, DC 20515
202-225-5736

10634 South Cicero
Oak Lawn, IL 60453-5295
312-353-8093

May 14, 1987

The Honorable Donnald K. Anderson
Clerk of the House
1036 Longworth House Office Building
Washington, D.C. 20515

✓
MC

Dear Mr. Anderson:

In November of 1986, I closed out a safety deposit box which I had held for a number of years. In doing so, I discovered shares of a stock which I had acquired prior to my election as a United States Representative. Since I did not realize that I had these stock certificates in my possession they have never been reported on my Financial Disclosure statements. I would, therefore, like to amend my Financial Disclosure statements for the years 1974 through 1986 to reflect the following information:

August 1974 - acquired 250 shares of National Mortgage Fund at a value falling within Category B under HOLDINGS.

November 24, 1986 - sold National Mortgage Fund shares which had been converted to 39 Parkway Co. shares at a value less than $1,000.

Thank you for your cooperation in amending these statements.

Sincerely,

Marty Russo

MARTY RUSSO
Member of Congress

MAR/rvm

MARTY RUSSO
3D DISTRICT, ILLINOIS

COMMITTEE ON
WAYS AND MEANS

COMMITTEE ON
THE BUDGET

DEMOCRATIC STEERING
AND POLICY COMMITTEE

DEPUTY WHIP

Congress of the United States
House of Representatives
Washington, DC 20515

OFFICES
2233 RAYBURN BUILDING
WASHINGTON, DC 20515
202-225-5736

10634 SOUTH CICERO
OAK LAWN, IL 60453-5295
312-353-8093

June 17, 1987

INSIDE MAIL

The Honorable Donnald K. Anderson
Clerk of the House
1036 Longworth House Office Building
Washington, D.C. 20515

mc ✓

Dear Mr. Anderson:

I wish to amend my Financial Disclosure statement for the year 1986 by way of further clarification of Part VII - Reimbursements:

Pratt & Whitney (fact finding tour)	Round trip air fare between Chicago, Illinois and West Palm Beach, Florida. (Spouse also)
Hotel Employees & Restaurant Employees Int'l Union (speech)	Round trip air fare between Chicago, Illinois and San Diego, California
AT&T (celebrity guest in charity golf tournament and speech)	Air fare between Chicago, Illinois and San Francisco, CA and from Monteray, CA to Chicago, Illinois, 8 days lodging
Barrett Consultants (speech)	Round trip air fare between Chicago, Illinois and Los Angeles, California
Loews Corporation (speech)	Air fare from Chicago, Illinois to New York, New York to Washington, D.C., 1 day lodging
Kogovsek & Associates (speech)	Air fare from Washington, D.C. to Denver, Colorado to Chicago, Illinois
Florida Fresh Fruits & Vegetable (speech)	Round trip air fare between Chicago, Illinois and Orlando, Florida (spouse also)
Jerry Ford Invitational Golf Tournament (celebrity guest in charity golf tournament)	4 days lodging

Also, under Part V - Liabilities please accept the following as additional information:

First Federal Savings & Loan Association of Northern Virginia Category of Value: D	Mortgage on townhouse located at 3538 Gunston Road, Alexandria, Virginia
Greenwood Finance Category of Value: C	Personal loan

Thank you for your cooperation in amending my statement as indicated above.

Sincerely,

Marty Russo

MARTY RUSSO
Member of Congress

MAR/rvm

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Martin Olav Sabo
(Full Name)

U.S. House of Representatives
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☐ Member of the U.S. House of Representatives—District 5th State Minnesota

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

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REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
National Soft Drink Association	Honorarium	$1,000.	
1101 - 16th Street, N.W.			
Washington, D.C. 20036			
(speech 4/15/86 to ass'n conference in D.C.)			
*See continuation sheet			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Government Investors Trust, Arlington, Virginia	Interest	B
James Heckman, Divide County, North Dakota	Farm Rent	A
IDS Mutual Fund, Minneapolis, Minnesota	Dividend	A
*See continuation sheet		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO __X__ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
IDS Mutual Fund, Inc., Minneapolis, Minnesota	A
237 acres farm land (owned in fee) Clinton Township, Divide County, N.D.	C
316 acres farm land (remainder interest; life estate in Clara Sabo in Clinton Township, Divide, County, N.D.)	D
Government Investors Trust, Arlington, Va.	C

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
National Restaurant Association	Roundtrip airfares from D.C. to Chicago
311 First Street, N.W.	plus hotel accommodations for self and
Washington, D.C. 20001	spouse for speech 4/15/86 in Chicago
*See continuation page	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Martin Olav Sabo	5-12-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE [illegible]

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Page 1 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Martin Olav Sabo
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*
II.A. (Income)	American Public Transit Asssociation 1225 Connecticut Avenue, N.W. Washington, D.C. 20036 Speech 3/11/86 to APTA's Legislative Conference in Washington,DC. Honorarium, $1,000.
II.A. (Income)	National Restaurant Association 311 First Street, N.W. Washington, D.C. 20001 Speech 5/17/86 at Annual Conference in Chicago Honorarium $1,000.
II.A. (Income)	American Public Transit Association 1225 Connecticut Avenue, N.W. Washington, D.C. 20036 Speech 5/19/86 at APTA's Eastern Ed. & Training conference in Cincinnati, Honorarium $1,000.
II.A. (Income)	Northrop Corporation 1000 Wilson Boulevard, Suite 2300 Arlington, Virginia 22209 Dinner Speech 5/27/86 to Northrop executives in La Reina, Calif., Honorarium, $2,000.
II.A. (Income)	McDonnell Douglas Corporation 1225 Jefferson Davis Highway, Suite 800 Arlington, Virginia 22202 Speech 5/28/86 to McDonnell Douglas executives in Long Beach, Calif., Honorarium, $1,000.
II.A. (Income)	TRW, Inc. 1000 Wilson Boulevard, Suite 2600 Arlington, Virginia 22209 Speech 5/29/86 to TRW executives in La Reina, Ca., Honorarium, $1,000.
II.A. (Income)	Lutheran Brotherhood 625 Fourth Avenue South Minneapolis, Minnesota 55415 Speech 6/13/86 at Nat'l Flag Day ceremony for their employees in Minneapolis, Honorarium, $2,000.
II.A. (Income)	National Association of Letter Carriers 100 Indiana Avenue, N.W. Washington, D.C. 20001 Speech 6/25/86 at nat'l convention in St. Paul, Mn. Honorarium, $1,000
II.A. (Income)	Miller and Schroeder Financial, Inc. 7900 Xerxex Avenue South, Suite 2300 Minneapolis, Minnesota 55431 Speech 8/18/86 to Miller and Schroeder Forum in Minneapolis, Mn., Honorarium, $2,000.
II.A. (Income)	Opperman and Paquin 2200 Washington Square 100 Washington Avenue South Minneapolis, Minnesota 55401 Speech 10/30/86 at Forum in Minneapolis, Mn., Honorarium, $2,000.
II.A. (Income)	Congressional Quarterly 1414 - 22nd Street, N.W. Washington, D.C. 20037 Seminar speaker 12/5/87 in Washington, Honorarium, $300.
II.A. (Income)	Diet Center 370 Maple Avenue West Vienna, Virginia 22180. Spouse's salary

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[illegible]

Page 2 of 2

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Martin Olav Sabo
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*
II.B. (Income)	Fortuna Farmers Elevator, Fortuna, North Dakota, Type: grain sale Category: B
II.B. (Income)	Commodity Credit Corporation, Type: deficiency payment, Category A
VII.(Reimbursements)	American Public Transit Association 1225 Connecticut Avenue, N.W. Washington, D.C. 20036 One-way airfare from Cincinnati to Washington, D.C., plus hotel accommodation for speech 5/19/86
VII.(Reimbursements	Northrop Corporation 1000 Wilson Boulevard, Suite 2300 Arlington, Virginia 22209 paid 1/3 of airfare roundtrip Washington, D.C. to Los Angeles, Calif. plus 1/3 hotel accommodations for speech 5/27/86
VII.(Reimbursements)	McDonnell Douglas Corporation 1225 Jefferson Davis Highway, Suite 800 Arlington, Virginia 22202 paid 1/3 of airfare roundtrip Washington, D.C. to Los Angeles, Calif. plus 1/3 hotel accommodations for speech 5/28/86
VII.(Reimbursements)	TRW, Inc. 1000 Wilson Boulevard, Suite 2600 Arlington, Virginia 22209 paid 1/3 of airfare roundtrip Washington, D.C. to Los Angeles, Calif. plus 1/3 hotel accommodations for speech 5/29/86
VII.(Reimbursements)	CSX Transportation 1000 North Charles Street Baltimore, Maryland 21201 travel and accommodations for self and spouse for panel participation at conference on 7/11/86
VII.(Reimbursements)	National Association of Letter Carriers 100 Indiana Avenue, N.W. Washington, D.C. 20001 roundtrip airfare from Washington, D.C. to Minneapolis for speech 6/25/86

GPO 1986 61-680 (m)

[illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Patricia F Saiki
(Full Name)

1407 Longworth HOB
(Mailing Address)

Washington, DC 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 1 State Hawaii

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Hawaii Retirement System	pension	$ 8,537.04	none
Amfac, Inc.	Director fees	44,411.98	"
Hawaiian Airlines	" "	700.00	"
American Trust Co. (spouse)	pension		
Social Security (spouse)	pension		

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Hawaiian Airlines	Dividend	A
Whaler - Kaanapali Beach - Maui #1220	Rent	C
6710 Hawaii Kai Dr. #910	Rent	D
Mt. Thomas - Wailuku, Maui	Rent	C
First Hawaiian Bank - checking	Interest	A
" " " - Maximizer acct	"	A
General American Insurance	"	A

* - See Continuation Sheet *

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See,* Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Colorado Properties - Joint Venture	C
Whaler on Kaanapali Beach - Maui #1220	F
6710 Hawaii Kai Dr. #910 - Honolulu	E
Mt. Thomas Condo - Wailuku Maui	D
Pension Fund - American Trust Co. (spouse)	F
First Hawaiian Bank - checking acct.	C

* See Continuation Sheet *

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
~~Honolulu Federal Savings & Loan~~ - Mortgage	~~[illegible]~~
Hawaii National Bank - mortgage	C
GECC Financial - mortgage	C
International Savings & Loan - mortgage	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
none	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
none		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director	Amfac, Inc.
Director	Hawaiian Airlines – up to April, 1987
Trustee	Ferguson Foundation
Trustee	University of Hawaii Foundation – up to Feb, 1987
Trustee	Straub Foundation – up to Feb 1987

* See continuation sheet *

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Patricia F. Saiki	5/11/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

Page ____ of ____

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Patricia F. Saiki
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*		
II - B	GECC Financial - Savings	INTEREST	C
II - B	" " - "	"	A
II - B	Occidental Life Ins.	INTEREST	A
III	Prudential Bache - Savings		B
III	GECC - Savings Acct		C
III	Hawaii State Bonds		D
III	Hawaiian Airlines - Common stock		B
III	Amfac, Inc		C
VIII	Member - St. Francis Hospital Research Committee		

GPO: 1986 61-688 (m)

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Fernand J. St Germain
(Full Name)

2108 Rayburn Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 1st State R.I.

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
State of Rhode Island & Providence Plantations	Pension	3,300	
U.S. League of Savings Institutions	Honoraria	2,000	
Securities Industry Association	Honoraria	2,000	
N.Y. Mutual Savings Banks	Honoraria	2,000	Charity 2,000

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See Continuation Sheets Pages 5 &6		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See Continuation Sheets Pages 7 Through 9 Inclusive	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
See Continuat on Sheet: Page 10		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
See Continuation Sheet: Page 11	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
Kemper Open Pro-Am Golf Tournament	Golf Clothing & Accessories	245.50

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
See Continuation Sheet: Page 12	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

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Signature

Date 5/15/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

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U.S. GOVERNMENT PRINTING OFFICE: 1987

FINANCIAL DISCLOSURE STATEMENT FOR 1986

Hon. Fernand J. St Germain

Continuation Sheet

PART	SOURCE	TYPE	CATEGORY
IIB	Allied Stores	Capital Gain on Stock Sale	A
	Anheuser-Busch	Capital Gain on Stock Sale	B
	Associated Dry Goods (Keogh)	Capital Gain on Stock Sale	B
	Borden	Cap. Gain on Stock Sale & Div.	A
	Cole Industries	Interest	A
	Commerce Savings and Loan	Interest on Cert. of Deposit	B
	Consolidated Stores, Inc. (Keogh)	Capital Gain on Stock Sale	B
	Eastland Savings Bank	Interest	A
	Eli Lilly & Company	Capital Gain on Stock Sale	A
	Federated Dept. Stores (Keogh)	Capital Gain on Stock Sale	A
	Florida Federal S & L (IRA)	Interest	A
	Florida Progress Corporation	Dividend	A
	Four Seasons East Group	Limited Partnership Income	C
	Giant Foods, Inc.	Cap. Gain on Stock Sale & Div.	B
	Government Investors Trust	Money Market Fund Dividends	B
	Gulf & Western	Capital Gain on Stock Sale	A
	Jewel Corporation	Capital Gain on Stock Sale	A
	Lamar Savings & Loan	Interest on Cert. of Deposit	B
	Mann & Kerr Trust	Limited Partnership	B
	McNeil	Capital Gain on Stock Sale	B
	Multimedia (IRA)	Capital Gain on Stock Sale	A
	Owens Corning (Keogh)	Capital Gain on Stock Sale	B
	Parkview Associates	Limited Partnership Income	E
	People's Bank (IRA)	Interest	A
	E. B. Porter Trust	Limited Partnership	C
	Rhode Island Housing & Mortg. Bonds	Interest	E

FINANCIAL DISCLOSURE STATEMENT FOR 1986

Hon. Fernand J. St Germain

Continuation Sheet

PART	SOURCE	TYPE	CATEGORY
IIB	Sandpiper Key Condominium Unit 205 Englewood, FL	Gross Rents	C
	School Street Associates	Limited Partnership Income	A
	Shearson Daily Dividend, Inc.	Dividend from Money Market Fund	C
	Syntex Corporation	Capital Gain on Stock	A
	Village Square Condominium Unit 104 Titusville, FL	Gross Rents	D
	Wright Patman Congressional Federal Credit Union	Interest on Checking Acct.	A
	International House of Pancakes 1045 Reservoir Avenue Cranston, RI	Rents	E
	International House of Pancakes 2526 University Boulevard Wheaton, MD	Rents	E
	International House of Pancakes 1010 South Central Expressway Richardson, TX	Rents	E
	International House of Pancakes 4340 Boston Post Road Bronx, NY	Rents	E

FINANCIAL DISCLOSURE STATEMENT FOR 1986

Hon. Fernand J. St Germain

Continuation Sheet

PART	HOLDING	CATEGORY
III	Allied Research (stock)	A
	Allied Stores (stock)	B
	Anhueser-Busch (stock)	B
	ARKLA, Inc. (stock) (IRA)	A
	Associated Dry Goods (stock) (Keogh)	B
	Borden (stock)	B
	Britoil PLC (stock) (Keogh)	B
	Cole Industries (bond)	A
	Commerce Savings & Loan (Cert. of Deposit 1/1-3/5/86)	D
	Conrac Corporation (stock)	A
	Consolidated Stores,Inc. (stock)	B
	Crown Cork & Seal (stock)	B
	Eastland Savings Bank (Savings Account)	A
	Eli Lilly & Company (stock) (Keogh & Personal)	B
	Enerserv Products (stock)	A
	Feathersound Country Club (stock)	B
	Federated Department Stores (stock) (Keogh)	B
	Florida Fed. Savings & Loan (IRA)(1/1/86-10/17/86)	B
	Florida Progress Corporation (stock)	A
	Gannett (stock)	B
	Giant Food, Inc. (stock)	B
	Government Investors Trust (Money Market Fund)	B
	Gulf & Western (stock)(Keogh)	A
	Interpublic Group of Cos. Inc. (stock)	B
	Iroquois Brands (stock) (Keogh)	B
	James River Corporation (stock)	A
	Jewel Corporation (stock)	B
	Lamar Savings and Loan (Cert. of Deposit 3/6-6/1/86)	D
	McCormick & Co., Inc. (stock)	A
	McNeil (stock) (Keogh & Personal)	B
	Maytag Company (stock)	B
	Multimedia (stock)(IRA)	A
	Navistar Intl. (stock) (IRA)	A
	ORFA Corporation(stock)	A
	Owens Corning (stock) (Keogh)	B
	People's Bank (IRA) (1-/18-12/31/86)	B
	Rhode Island Housing & Mortgage Bonds (Dividend	F
	SBARRO, Inc. (stock) (Keogh)	A
	Shearson American Express (Money Market Fund)	C
	Syntex Corporation (stock)	A
	U.S. Air Group (stock)	B
	U.S. West (stock)	B
	Landvest Properties (Ltd. Partnership Interest)	A

FINANCIAL DISCLOSURE STATEMENT FOR 1986

Hon. Fernand J. St Germain

Continuation Sheet

PART III

HOLDINGS	DATE ACQUIRED	PURCHASE PRICE	DESCRIPTION
Real Estate Holdings:			
Alachua Hills Land Trust	12/23/80	$ 34,000	38% beneficial interest in land trust owning unimproved acreage being developed as 65 residential lots in Alachua Hills, Florida
Four Seasons East Group	01/02/71	$ 400	2% limited partnership interest in partnership owning apartment building located at 26 Arth Street, East Providence, Rhode Island
Parkview Associates	06/30/76	$ 7,500	15% limited partnership interest in partnership owning apartment buildings in Pawtucker, Rhode Island
One Financial Place Limited Partnership	03/01/85	$164,156 (1)	0.178% of limited partnership interest in partnership owning office building in Chicago, Illinois
Los Angeles Gateway Limited Partnership	12/31/83	$100,000 (1)	0.431% of limited partnership interest in partnership owning two office buildings in Los Angeles, California
Hamilton Associates	06/25/62	$ 76,184	0.16% of limited partnership interest in partnership owning office building in Peapack, New Jersey
Mann & Kerr Trust	02/19/82	$ 13,144	1.4835% beneficial interest in land trust owning unimproved land parcels on Twigg Street, Tampa Florida

(1) Finance all or portion of purchase price --- see liabilities schedule on page 12

FINANCIAL DISCLOSURE STATEMENT FOR 1986

Hon. Fernand J. St Germain

Continuation Sheet

PART III

HOLDINGS	DATE ACQUIRED	PURCHASE PRICE	DESCRIPTION
Real Estate Holdings:			
E. B. Porter Trust	06/23/82	$ 74,742	5% interest in land trust owning 5 parcels of land in Hillsborough Co., FL consisting of 4 unimproved parcels of 18, 44 and 11 acres, respectively and of 1 parcel in Tampa, Florida improved for parking lot.
Unit 205, 141 Beach Road Englewood, Florida	01/17/83	$190,000	rental residential condominium unit in Sandpiper Key Condominium
Unit 104, Building 1 Titusville, Florida	02/01/84	$ 47,900	rental residential condominium unit in Village Square Condominium
Other Partnership Investment Holdings:			
Comcast Cable Investors L.P.	06/10/83	$ 15,000	.0988% limited partnership interest in partnership owning cable systems serving St. Claire Shores, Michigan and Corinth, MS
Health Services Partners	06/28/83	$117,331	2 units of limited partnership interest of partnership owning property at 140 E. 125th Street, New York, New York

FINANCIAL DISCLOSURE STATEMENT FOR 1986

Hon. Fernand J. St Germain

Continuation Sheet

PART III

HOLDINGS	DATE ACQUIRED	CATEGORY	DESCRIPTION
Real Estate Holdings:			
International House of Pancakes 1045 Reservoir Avenue, Cranston, Rhode Island	03/07/72	F	lot improved with restaurant facility leased to International House of Pancakes (IHOP)
International House of Pancakes Spring Valley Road, Richardson, Texas	07/10/72	F	23,133 sq. ft. lot improved with restaurant facility leased to IHOP
International House of Pancakes 2526 University Blvd., Wheaton, Maryland	03/07/73	F	10,370 sq. ft. lot improved with restaurant facility leased to IHOP
International House of Pancakes 4340 Boston Post Rd., Bronx, New York	12/15/72	F	15,000 sq. ft. lot improved with restaurant facility leased to IHOP

FINANCIAL DISCLOSURE STATEMENT FOR 1986

Hon. Fernand J. St Germain

Continuation Sheet

PART	DESCRIPTION		DATE	TRANSACTION	CATEGORY
IV	Allied Stores	(stock)	08/05/86	Purchase	A
	Allied Stores	(stock)	09/05/86	Sale	B
	Anheuser-Busch	(stock)	04/22/86	Sale	B
	Associated Dry Goods (Keogh)	(stock)	05/28/86	Purchase	B
	Associated Dry Goods (Keogh)	(stock)	06/23/86	Sale	B
	Borden	(stock)	04/22/86	Purchase	B
	Borden	(stock)	07/07/86	Sale	B
	Britoil PLC (Keogh)	(stock)	11/22/86	Purchase	B
	Conrac Corporation	(stock)	12/24/86	Sale	A
	Consolidated Stores, Inc.	(stock)	07/08/86	Purchase	B
	Consolidated Stores, Inc.	(stock)	09/01/86	Sale	B
	Consolidated Stores, Inc. (Keogh)	(stock)	03/19/86	Purchase	B
	Consolidated Stores, Inc. (Keogh)	(stock)	05/28/86	Sale	B
	Crown Cork & Seal	(stock)	08/12/86	Purchase	B
	Eli Lilly & Company (Keogh)	(stock)	06/23/86	Purchase	A
	Eli Lilly & Company	(stock)	07/21/86	Purchase	A
	Eli Lilly & Company	(stock)	08/19/86	Sale	A
	Enerserv Products	(stock)	07/31/86	Sale	A
	Federated Dept. Stores (Keogh)	(stock)	06/23/86	Purchase	A
	Federated Dept. Stores (Keogh)	(stock)	11/12/86	Sale	B
	Florida Progress Corp.	(stock)	07/21/86	Purchase	A
	Gannett	(stock)	05/28/86	Purchase	B
	Gannett	(stock)	08/11/86	Sale	A
	Giant Foods, Inc.	(stock)	04/22/86	Purchase	B
	Giant Foods, Inc.	(stock)	08/11/86	Sale	B
	Gulf & Western (Keogh)	(stock)	01/09/86	Sale	A
	Interpublic Group of Cos., Inc.	(stock)	08/14/86	Purchase	B
	Iroquois Brands (Keogh)	(stock)	01/10/86	Purchase	B
	Iroquois Brands (Keogh)	(stock)	09/17/86	Sale	A
	James River Corp. of Va.	(stock)	09/27/86	Purchase	A
	Jewel Corporation	(stock)	02/06/86	Purchase	A
	Jewel Corporation	(stock)	05/28/86	Sale	B
	McCormick & Co., Inc.	(stock)	08/05/86	Purchase	A
	McNeil (Keogh)	(stock)	03/19/86	Purchase	A
	McNeil (Keogh)	(stock)	06/23/86	Sale	A
	McNeil	(stock)	03/17/86	Purchase	B
	McNeil	(stock)	07/21/86	Sale	B
	Maytag Company	(stock)	06/23/86	Purchase	B
	Multimedia (IRA)	(stock)	04/04/86	Sale	A
	Navistar International	(stock)	04/14/86	Purchase	A
	ORFA Corporation	(stock)	04/29/86	Sale	A
	Owens Corning (Keogh)	(stock)	03/19/86	Sale	B
	Rhode Island Housing and Mortgage Bonds	(bonds)	11/18/86	Redemption	D
	SBARRO, Inc. (Keogh)	(stock)	05/29/86	Purchase	A
	SBARRO, Inc. (Keogh)	(stock)	11/12/86	Sale	A
	Syntex Corporation	(stock)	02/06/86	Sale	A
	U.S. Air Group, Inc.	(stock)	03/17/86	Sale	B
	U.S. West, Inc.	(stock)	08/05/86	Purchase	B
	Feathersound Country Club	(stock)	01/27/86	Purchase	B
	Landvest Properties	(Ltd. Ptnrshp. Dist.)	03/20/86	Distribution	A
	Parkview Associates	(Ltd. Ptnrshp. Dist.)	12/31/86	Distribution	C
	School Street Assoc.	(Ltd. Ptnrshp. Dist.)	12/31/86	Distribution	A

FINANCIAL DISCLOSURE STATEMENT FOR 1986

Hon. Fernand J. St Germain

Continuation Sheet

PART	IDENTITY OF CREDITOR	CATEGORY
V	Gulf Coast Investment Corporation, Houston, Texas, servicing agent for Old Stone Bank, Providence, R.I. (International House of Pancakes, Richardson, Texas)	E
	Fleet National Bank, Providence, RI. (International House of Pancakes, Cranston, Rhode Island	D
	Rhode Island Hospital Trust, Providence, Rhode Island (International House of Pancakes, Bronx, New York)	E
	Marquette Credit Union, Woonsocket, Rhode Island (International House of Pancakes, Wheaton, Maryland	E
	Florida Federal Savings and Loan, St. Petersburg, Florida (Sandpiper Key Condominium)	E
	Old Stone Bank, Providence, Rhode Island (Village Square Condominium)	C
	One Financial Place Limited Partnership	D
	Los Angeles Gateway Limited Partnership	D
	Hamilton Associates	C
	Health Services Partners/Royal Bank & Trust Co., New York, NY	D

[illegible] Page 13 of 13

FINANCIAL DISCLOSURE STATEMENT FOR 1986

Hon. Fernand J. St Germain

Continuation Sheet

PART VII

SOURCE	DATE/LOCATION	DESCRIPTION
New York Fed. Home Loan Bank	Feb. 5-9, San Juan, PR Cong. & Mrs. StG	Transportation, Food & Lodging
Securities Industry Association	May 6, New York, NY	Food & Lodging
Calif. League of Savings Inst.	July 9-10, San Francisco, California	Transportation, Food & Lodging
Securities Industry Association	Oct. 19-20, New York, NY	Food and Lodging
U.S. League of Savings Inst.	Nov. 11-12, San Francisco, California	Transportation, Food & Lodging
N.Y. Mutual Savings Banks	Nov. 12-16, Boca Raton, Florida - Cong. & Mrs. StG	Transportation, Food & Lodging
Securities Industry Association	Dec. 1-2, Boca Raton, Florida	Transportation, Food & Lodging

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

GUS SAVAGE
(Full Name)

1121 L.H.O.B.
(Mailing Address)

WASHINGTON, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 2ND State ILL.

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE 5/21/86	TYPE	AMOUNT	DISPOSITION
Chicago Mercantile Exchange	Honorarium	$500.00	Used
Chicago Board of Trade	Honorarium	$500.00	Used

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
NONE		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
NONE	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

[redacted]

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §704 and 18 U.S.C. §1001).

Signature	Date
	5/14/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

GUS SAVAGE
(Full Name)

1121 L.H.O.B.
(Mailing Address)

WASHINGTON, D.C. 20515

✓

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 2ND State ILL.

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE 5/21/86	TYPE	AMOUNT	DISPOSITION
Chicago Mercantile Exchange	Honorarium	$500.00	Used
Chicago Board of Trade	Honorarium	$500.00	Used

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
None		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.** **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ [✓ NO] NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
None	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

[redacted]

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 12.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Gus Savage	5/14/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

MAY 1 1987

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1987

FORM A—For use by Members, officers, and employees

THOMAS C. SAWYER
(Full Name)

1298 N. HOWARD ST.,
(Mailing Address)
AKRON, OHIO 44310

MC
(Office Use Only)

Check the appropriate box and fill in the blanks

☑ Member of the U.S. House of Representatives—District 14 State Ohio

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO ✓ NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
COPLEY-FAIRLAWN Bd. of EDUCATION (spouse)	salary	—	
MAYOR - CITY OF AKRON	salary	$63,916.	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
BURT MFG. CO. STOCK	Sale of Stock	F
RENTAL PROPERTY - 158 EDGERTON RD., AKRON	RENT	D
COMMUNICATIONS SATELLITE CO.	Dividend	A
SUMMIT FEDERAL CREDIT UNION	INTEREST	A
National City Bank	Interest	A
TOM SAWYER COMMITTEE	INTEREST	A

interest — on loan to T. Sawyer Committee (paid then to National City Bank through which loan to SAWYERS WAS MADE)

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.** **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO ✓ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
RENTAL PROPERTY - 158 EDGERTON RD., AKRON, 44303	D

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
SALE OF BURT MFG. STOCK	6/86	D

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
TRANSOHIO – MORTGAGE ON 158 EDGERTON, AKRON, 44303	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Thomas C Sawyer	5/10/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Hugh James Saxton
(Full Name)

12 Meadowyck Lane
(Mailing Address)

Vincentown, N. J. 08088

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 13 State N. J.

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2 Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ✓ NA ______

For more information, see detailed Instruction Booklet at page 7.

[illegible] 3 4 0 7

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting **honoraria**, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Saxton Realty, H. James Saxton, Inc.	Commission	$22530	None

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
911 Route 206, Bordentown, NJ	Rent	D
Bank of Mid Jersey, Bordentown, NJ	Dividend	A
Mt. Holly State Bank, Mt. Holly, NJ	Interest	A
Wright Patman Credit Union, Washingtonm D. C.	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See,* Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
James Saxton, Inc. - Common Stock	A
James Saxton Realty of Bordentown, Inc. Common Stock	A
James Saxton Realty of Florence, Inc. Common Stock	A
911 Rt 206, Bordentown, N.J. - Commercial Real Estate	E
Bank of Mid Jersey, Bordentown, N. J. - IRA	B
Mt. Holly STate Bank, Mt. Holly, N. J. - Savings	B
Wright Patman Credit Union, Washington, D. C. - Savings	B

0 7 1 7 0 2 1 3 4 0 3

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Bank of Mid Jersey, Bordentown, N.J., IRA - $2250, contribution for 1985	1986	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Mortgage on 911 Route 206, Bordentown, N.J.	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
President	H. James Saxton, Inc.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
12/18/84	Dale Reising & Cindy Egeli	May return to real estate business and share one-third of profits, anytime during next 10 years.

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
H. James Saxton	5/14/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVE

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Daniel L. Schaefer (Dan Schaefer)
(Full Name)

10755 West Iliff Avenue
(Mailing Address)

Lakewood, Colorado 80227

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 6 State Colorado

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT 2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Jefferson County Public Schools (spouse)	Salary		
Aetna Life (March 9)	Honoria	1,500	
Purchasing Agents (March 14)	Honoria	500	
Bristol Myers(July 18)	Honoria	500	
Colorado Community T.V. Association (July 26)	Honoria	800	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
½ Interest Commercial Rental	Rents	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Public Employees Retirement Association (spouse)	B
½ Interest Commercial Rental - Grand Junction, CO	E
Investors Partnership Group - Undeveloped Land - Golden, CO	C
DLM Joint Venture - Part Ownership in Oil Well- Weld CO,CO	A
Adams Investors Group - Realestate Investment - Northglen, CO	B

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
¼ Interest Condominium - Dillon, CO	1/1/86	B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Sovran Mortgage - Virginia Townhouse Mortgage	C
Colorado National Bank - Lakewood - Personal Loan	B
1st Bank Westland - Business & Personal Loan	D
Maynard Westblade & Don D. Park - Undeveloped Land -Business Loan	B
1st National Bank of Grand Junction - Commercial Business Loan	D
United Bank of Denver - Business Loan	A

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Aetna Life	Lodging, meals and airfare to Phoenix, AZ for speaking engagement.
Balcor	Lodging, meals and airfare to Chicago, IL for speaking engagement.

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Partner	Investors Partnership Group
Partner	DLM Joint Venture
Partner	Adams Investment Group

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5/14/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
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Washington, D.C. 20515

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U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Daniel L. Schaefer
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II. Income	Source	Type	Amount
	American Tobacco Institute (August 14)	Honoria	1,000
	American Mining Congress (September 9)	Honoria	300
	Balcor (September 9)	Honoria	1,000
	American Institute of Geologists (September 18)	Honoria	1,000
	Hanifen Imhoff (October 9)	Honoria	2,000

Part	Source	Brief Description
VII. Reimbursements		
	Western Regional Council	Lodging, meals and airfare to Arizona December 3rd.
	Turkey Chamber of Commerce	Lodging, meals, and round trip airfare for fact finding mission to Turkey from June 28 through July 9th.
	Purchasing Agents	Lodging, meals and airfare from Denver to Grand Junction, CO. (March 14)

GPO : 1985 - 43-135

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

JAMES H. SCHEUER
(Full Name)

2466 Rayburn House Office Bldg.
(Mailing Address)

Washington, D.C. 20515

mc
(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 8 State NY

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and
(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and
(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Hellmuth, Obata & Kassabaum, Inc. (Spouse)	Salary		
Sperry (UNISYS) Corp.	Honorarium	$2,000	Charity
The New York Times (op-ed article)	Honorarium	$150	Staff Director
Federation for American Immigration Reform (FAIR)	Honorarium	$250	Charity

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See attached Schedule II B - Income		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See attached Schedule III - Holdings	

[illegible]

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
See Attached Schedule IV - Transactions		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
Grantor - Trust under Agreement DTD 2/28/69, James H. Scheuer (Grantor)	
a) Re: Capitol Towers, Unit II Trust, Sacramento, CA (Business)	F
b) Overseas Discount Corp. & 511 Equities Corp. Re: Capitol Mall Tract III, Sacramento, CA (Business)	F

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Global Committee of Parliamentarians on Population and Development	5/86 Transportation/lodging - London & Zimbabwe re All-African Parliamentary Conference on Population & Development

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary, and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Sperry (UNISYS) Corp.	6/86 Transportation/meal re speech at Sperry NEXRAD facility, Bloomfield, Connecticut

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Partner	Air Rights Hotel
Partner	Base Line, Inc.
Partner	Horn Finkelstein Ltd. Partnership
Partner	Maryland Salisbury Associates
Partner	Wisconsin Associates
Chairman of the Board	Global Committee of Parliamentarians on Population & Development

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature James H Scheuer	Date 6-4-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987

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Schedule II-Income Part B

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ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT for 1986

James H. Scheuer, M.C.
(Name)

Continuation Sheet

Part — *Source, Type, Amount, Category, Description or Value (As Applicable)*

Security	Type	Category
A O Smith Corp.	Capital Gain	B
Air Express International Corp.	Capital Gain	A
Alexander's Inc.	Capital Gain	B
American Biltrite Inc.	Capital Gain	D
American Biometics Inc.	Capital Gain	C
Andover Togs Inc.	Capital Gain	A
Arundel Corp.	Capital Gain	C
Beatrice Companies Inc.	Capital Gain	D
BCI Holdings	Capital Gain	B
Bethlehem Steel Corp.	Capital Gain	C
Bristol Myers Co.	Capital Gain	C
City Investing Co. Liquidating Trust	Capital Gain	D
Collective Federal Savings & Loan Accociation	Capital Gain	B
Columbia Savings & Loan Association	Capital Gain	D
Craftmatic Contour Industries Inc.	Capital Gain	B
Crown Books Corp.	Capital Gain	C
Emerson Radio Corp.	Capital Gain	D
Equion Corp.	Capital Gain	D
Florida Gulf Realty Trust	Capital Gain	E
Galoob Lewis Toys Inc.	Capital Gain	C
Gencorp Inc.	Capital Gain	A
General Development Corp.	Capital Gain	B
Genstar Corp.	Capital Gain	B
Gulf Broadcast Co.	Capital Gain	D
Harris Corp.	Capital Gain	B
Healthco International Inc.	Capital Gain	E
Highland Apartments Corp.	Capital Gain	F
Home Group Inc.	Capital Gain	C
International Controls Corp. Disc. Deb. 14.5% Due 1/1/06	Capital Gain	A
Jackson National Life Insurance Co.	Capital Gain	B
K Mart Corp.	Capital Gain	D
Kenner Parker Toys Inc.	Capital Gain	A
LLC Corp.	Capital Gain	B
Lehigh Press Inc.	Capital Gain	C
Lehigh Valley Industries Inc.	Capital Gain	A
Lucky Stores Inc.	Capital Gain	C
MGM/UA Entertainment Co.	Capital Gain	B
Mattel Inc.	Capital Gain	D
Millicom Inc.	Capital Gain	D
Mobile Communications of America Class B	Capital Gain	C
NL Industries Inc.	Capital Gain	D
Nevada Savings & Loan Association	Capital Gain	D
Newhall Investment Properties Depository Receipt	Capital Gain	E
Nobel Insurance LTD	Capital Gain	A
Pandick Inc.	Capital Gain	A
Papercraft Corp. Jr. Disc. 0% Due 11/1/2000	Capital Gain	B
Quotron Systems Inc.	Capital Gain	D
Reading Co. $0.01	Capital Gain	C
Revco D S Inc.	Capital Gain	D
Revlon Inc. $9 pfd. 15.25	Capital Gain	A
SFN Cos Inc. 16.25% ser A	Capital Gain	C
Scott & Fetzer Co.	Capital Gain	D
Service Merchandise Co. Inc.	Capital Gain	B
Sheller Globe Corp.	Capital Gain	A
Stone & Webster Inc.	Capital Gain	B
Sun Electric Corp.	Capital Gain	A
Sybron Corp.	Capital Gain	C
Toys R Us	Capital Gain	B
Turner Broadcasting Systems Inc. A $1.4459 pfd	Capital Gain	B
Tyco Toys Inc.	Capital Gain	D

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ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT for 1986

James H. Scheuer, M.C.

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Continuation Sheet

Part *Source, Type, Amount, Category, Description or Value (As Applicable)*

Security	Type	Category
Union Carbide Corp.	Capital Gain	B
United Aircraft Products Inc.	Capital Gain	C
United Artists Communications	Capital Gain	C
United Television Inc.	Capital Gain	F
Valley Federal Savings & Loan Association	Capital Gain	E
View-Master Ideal Group Inc.	Capital Gain	D
Warnaco Inc.	Capital Gain	E
Western Union Corp.	Capital Gain	B
Woods Brothers Homes Inc.	Capital Gain	A
Worthen Banking Corp.	Capital Gain	C

Trusts		
Grantor Trust Under Agreement DTD 2/28/69 (James H. Scheuer, Grantor): 50% interest in Marin Station Associates	Capital Gain	F

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ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT for 1986

James H. Scheuer, M.C.

(Name)

Continuation Sheet

Part *Source, Type, Amount, Category, Description or Value (As Applicable)*

Security	Type	Category
A O Smith Corp. Class B	Dividend	A
Allegheny International Inc.	Dividend	A
Allegheny International Inc. $11.25 cv. pfd.	Dividend	D
American Biltrite Inc.	Dividend	A
American Dredging Co.	Dividend	A
BCI Holdings Corp. Jr. exch. 15.25% Due 5/1/2002	Dividend	A
Beatrice Companies Inc.	Dividend	B
Champion Spark Plug Co.	Dividend	A
Comdata Network Inc.	Dividend	A
Firestone Tire & Rubber Co.	Dividend	A
Fremont General Corp.	Dividend	A
Gould Inc.	Dividend	B
Hechinger Company Class B	Dividend	A
ITT Corp.	Dividend	A
K Mart Corp.	Dividend	A
Lehigh Press Inc.	Dividend	A
MGM/UA Entertainment Co.	Dividend	A
Mobil Corp.	Dividend	B
NL Industries Inc.	Dividend	A
NL Industries Inc. Depository Receipt ser. C	Dividend	A
Nevada Savings & Loan Association	Dividend	A
Newhall Investment Properties-Depository Receipt	Dividend	C
Newhall Resources-Depository Receipt	Dividend	C
Nobel Insurance LTD	Dividend	A
Orion Capital Corp. $2.125 pfd.	Dividend	D
P T Components Inc.	Dividend	A
Portec Inc.	Dividend	A
Revco D.S. Inc.	Dividend	B
Rorer Group Inc.	Dividend	B
Royal Palm Beach Colony	Dividend	C
SFN Cos Inc. 16.25% cum. sr. Exch. pfd. ser-A	Dividend	D
Sandusky Plastic Inc.	Dividend	A
Sheller Globe Corp.	Dividend	A
Southdown Inc.	Dividend	E
Stone & Webster Inc.	Dividend	A
Union Expl. Partners Ltd.	Dividend	A
United Artists Communications	Dividend	A
Unocal Corp.	Dividend	B
Wrather Corp.	Dividend	A

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ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT for 1986

James H. Scheuer, M.C.
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Continuation Sheet

Part

Source, Type, Amount, Category, Description or Value (As Applicable)

Security	Type	Category
American Telephone & Telegraph 6.40% Due 8/26/86	Interest	B
American Telephone & Telegraph 6.125% Due 9/10/86	Interest	B
American Telephone & Telegraph 5.40% Due 10/15/86	Interest	B
American Telephone & Telegraph 5.45% Due 10/29/86	Interest	B
American Telephone & Telegraph 5.50% Due 12/2/86	Interest	B
Amoco Co. 7.60% Due 2/26/86	Interest	A
Amoco Co. 5.90% Due 10/7/86	Interest	A
Amoco Co. 5.80% Due 1/20/87	Interest	A
Borden Inc. 7.75% Due 1/13/86	Interest	A
Borden Inc. 7.75% Due 2/19/86	Interest	C
Borden Inc. 7.55% Due 5/6/86	Interest	B
BCI Holdings Corp. 15.25% Due 5/1/2002	Interest	B
Chemical Bank NY 6.95% Due 4/8/86	Interest	A
Chemical Bank NY 6.65% Due 4/15/86	Interest	A
Chemical Bank NY 6.30% Due 4/15/86	Interest	A
Chemical Bank NY 5.25% Due 7/2/86	Interest	A
Chemical Bank Repo 6%	Interest	A
Coca Cola Co. 7.60% Due 3/11/86	Interest	B
Coca Cola Co.7% Due 5/28/86	Interest	A
Coca Cola Co. 6.50% Due 7/15/86	Interest	A
Coca Cola Co. 6.10% Due 9/3/86	Interest	A
Consolidated Oil & Gas Debentures Reg. Series F 11.50% Due 2/1/93	Interest	D
Equitable Life Assurance 5.55% Due 11/25/86	Interest	A
Federated Department Stores Inc. 5.65% Due 12/30/86	Interest	A
GMAC 5.725% Due 11/5/86	Interest	A
General Dynamics 7.60% Due 4/29/86	Interest	A
Great American Mgmt.& Invt. SR Sub NT 14% Due 4/2/92	Interest	C
Hancock (John) Capital Corp. 7.65% Due 1/28/86	Interest	B
Hanover Petroleum Corp. 11.50% Due 12/31/90	Interest	B
IBM 7.65% Due 1/21/86	Interest	A
IBM 7.50% Due 2/4/86	Interest	A
IBM 7.55% Due 3/4/86	Interest	B
IBM 7.10% Due 5/13/86	Interest	B
IBM 6.40% Due 7/2/86	Interest	A
IBM 6.70% Due 7/29/86	Interest	A
IBM 6.55% Due 8/19/86	Interest	A
IBM 5.45% Due 10/21/86	Interest	A
IBM 5.70% Due 12/16/86	Interest	A
IBM 5.95% Due 1/27/87	Interest	A
Internorth Inc. s/d 10.50% Due 6/1/2008	Interest	D
K Mart 5.65% Due 12/16/86	Interest	A
K Mart 5.70% Due 1/5/87	Interest	A
Macy's Credit Corp. 7.80% Due 1/28/86	Interest	A
Mite Corp. 10% Due 7/15/97	Interest	B
Mobil Oil Corp. 7.60% Due 3/18/86	Interest	A
Mobil Oil Credit Corp. 7.70% Due 3/18/86	Interest	C
Mobil Oil Credit Corp. 7.15% Due 5/20/86	Interest	C
Mobil Oil Credit Corp. 7.05% Due 6/3/86	Interest	B
Mobil Oil Exploration 6.65% Due 7/8/86	Interest	B
Mobil Oil Exploration 6.75% Due 7/22/86	Interest	B
Mobil Oil Exploration 5.725% Due 12/9/86	Interest	A
Monon Railroad 6% Due 1/1/2007	Interest	C
Pepsi Inc. 6.20% Due 9/23/86	Interest	A
Pepsi Inc. 5.70% Due 11/12/86	Interest	A
Philbro Solomon Inc. 7.70% Due 4/1/86	Interest	B
Philbro Solomon Inc. 7.625% Due 4/8/86	Interest	B
Philbro Solomon Inc. 6.85% Due 6/10/86	Interest	A
Phillips Petroleum Co. 14.75% Due 3/15/2000	Interest	D
Pitney Bowes 6.60% Due 8/12/86	Interest	A
Pitney Bowes 6.05% Due 9/29/86	Interest	A
Procter & Gamble Co. 7.75% Due 1/21/86	Interest	A

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ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT for 1986

James H. Scheuer, M.C.
(Name)

Continuation Sheet

Part *Source, Type, Amount, Category, Description or Value (As Applicable)*

Security	Type	Category
Procter & Gamble Co. 7.60% Due 3/25/86	Interest	C
Revlon Inc. 11.75% Due 9/1/95	Interest	A
Sixty One LI III Associates	Interest	C
Unilever Capital Corp. 5.60% Due 11/18/86	Interest	A
United Technology Financial Service 7.70% Due 2/11/86	Interest	B
Walt Disney Production 6.40% Due 6/24/86	Interest	A
Wisconsin Central Rail Road 4.50% Due 1/1/2029	Interest	B
Xerox Corp. 7.70% Due 1/6/86	Interest	A

Schedule III - Holdings

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ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT for 1986

James H. Scheuer, M.C.
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Continuation Sheet

Part | *Source, Type, Amount, Category, Description or Value (As Applicable)*

IDENTITY	Category
Capitol Park Transient Services, Inc. - Stock & Loans	A
Crescent Property Services, Inc. - Washington, D.C.	F
Capitol Towers, Unit I Trust, Sacramento, CA - Loans Receivable	D
Capitol Towers, Unit II Trust, Sacramento,CA - Loans Receivable	D
Capitol Mall Tract III (Joint Venture) Sacramento, CA - Loan Receivable	F

Common Stocks	Category
ACS Enterprises Inc.	B
AEL Industries Inc. Class A	D
A O Smith Corp. Class B	C
Allegheny International Inc.	B
American Dredging Co.	A
Animed Inc.	B
Axlon Inc.	A
Benequity Holdings LTD Partnership	C
BSC Note Liquidating Trust	A
BSC Stock Liquidating Trust	A
Charter Co.	C
Clevepak Corp. in escrow	A
Comdata Network Inc.	C
Compact Video Inc.	B
Control Data Corp.	C
Craftmatic Contour Industries Inc.	A
Dome Petroleum LTD	A
Facet Enterprises Inc.	B
Galoob Lewis Toys Inc.	C
Gould Inc.	C
Gulf Broadcasting Liquidating Trust	A
Hechinger Co. Class B	C
Home Group Inc.	C
Houston Oil Trust UBI	A
Lucky Stores Inc.	C
Mesa Offshore Trust	A
Mesabi Trust	A
Mobil Corp.	C
NL Industries Inc.	B
Newhall Resources-Depository Receipt	C
Paterson Parchment Paper Co.	B
R B Industries Inc.	C
RPC Energy Services Inc.	C
Resorts International Inc. Class A	D
Royal Palm Beach Colony	C
Sandusky Plastics Inc.	B
Southdown Inc.	F
Southwest Realty LTD-Depository Receipt	C
Stereo Village Inc.	A
Town & Country Jewelry Manufacturing Corp.	B
Union Expl. Partners LTD	A
United Stockyards Corp.	B
Unocal Corp.	C
Valley Federal Savings & Loan Association	C
Wolverine World-Wide Inc.	C
Wrather Corp.	D

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ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT for 1986

James H. Scheuer, M.C.
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Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*

Commercial Paper	Category
American Telephone & Telegraph 5.60% Due 1/13/87	E
American Telephone & Telegraph 6.50% Due 2/10/87	A
Amoco 5.80% Due 1/20/87	E
Borden 6.25% Due 2/3/87	D
Eastman Kodak 6% Due 2/3/87	D
IBM 5.95% Due 1/27/87	E
K Mart 5.70% Due 1/5/87	C
Solomon Inc. 6% Due 1/27/87	D

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ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT for 1986

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Continuation Sheet

Part *Source, Type, Amount, Category, Description or Value (As Applicable)*

Preferred Stocks	Category
Allegheny Int'l. Inc. $11.25 cv pfd.	C
Clevepak Corp. $2.23 pfd A in escrow	A
Clevepak Corp. $1.84 pfd B in escrow	A
Orion Capital Corp. $2.125 cv pfd	D
Palm Beach Inc. 10% exch. pfd.	A

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James H. Scheuer, M.C.
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Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*

Corporate Bonds	Category
BCI Holdings Corp. Jr. exch. s/d 15.25% Due 5/1/2002	B
Consolidated Oil & Gas Debentures Reg. Series F 11.50% Due 2/1/93	C
Global Marine Inc. sr cv s/d Reg 13% Due 2/1/2003	C
Great American Management & Investment sr sub nt 14% Due 4/2/92	D
Hanover Petroleum Corp. 11.50% Due 12/31/90	C
Internorth Inc. s/d 10.50% Due 6/1/2008	E
Mite Corp. Sub. Deb. 10% Due 7/15/97	C
Monon Railroad 6% Due 1/1/2007	C
Phillips Petroleum Co. 14.75% Due 3/15/2000	D
Smith International Deb. 9.85% Due 5/15/2004	C
Wisconsin Central RR 4.50% Due 1/1/2029	B

Schedule III Holdings

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ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT for 1986

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Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*

Options	Category
Call Benequity Holdings @ 26.50 exp. 3/31/87 sold short	A

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Part | *Source, Type, Amount, Category, Description or Value (As Applicable)*

Partnership Interest	Category
Air Rights Hotel	D
Base Line Inc.	C
Horn Finkelstein Ltd Partnership	A
Maryland Salisbury Associates	D
Wisconsin Associates	D

Sub Chapter S Corp.	Category
Bethesda Arc Corp.	B
Crescent Property Services, Inc.	F

Trusts	Category
Trust F/B/O James Scheuer U/D 6/1/26 (Assets, Transactions, and income shown separately)	
Trust F/B/O James & Emily Scheuer U/D 1/30/80:	
.6 unit of Comcast Cablevision of Maryland	C
.28% interest in 61 Cable Associates	D
2.7% interest in 61 Hoboken Associates	D
.75% interest in 61 LI III Associates	D
Grantor Trust Under Agreement DTD 2/28/69 (James H. Scheuer, Grantor):	
a) 99% interest in Capitol Mall Tract III, Joint Venture, Sacramento, Calif.	F
b) 100% interest in Capitol Towers, Unit I Trust, Sacramento, Calif.	F
c) 100% interest in Capitol Towers, Unit II Trust, Sacramento, Calif.	F

Schedule IV Transactions

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ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT for 1986

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Continuation Sheet

Part *Source, Type, Amount, Category, Description or Value (As Applicable)*

Security	Type	Date	Category
ACS Enterprises Inc.	Purchase	2/27/86	B
AO Smith Corp. Class B	Purchase	3/20/86	C
AO Smith Corp. Class B	Purchase	5/21/86	B
AO Smith Corp. Class B	Purchase	5/22/86	A
AO Smith Corp.	Short Sale	3/26/86	C
AO Smith Corp.	Short Sale Cover	5/21/86	B
AO Smith Corp.	Short Sale Cover	5/22/86	A
AO Smith Corp.	Short Sale Cover	6/25/86	B
Air Express International Corp	Sale	4/28/86	B
Air Rights Communications	Exchange	1/1/86	B
Alexander's Incorporated	Purchase	11/19/86	C
Alexander's Incorporated	Sale	11/20/86	C
American Biltrite Inc.	Sale	5/13/86	B
American Biltrite Inc.	Sale	6/26/86	B
American Biltrite Inc.	Sale	7/1/86	C
American Biometics Inc.	Purchase	5/20/86	A
American Biometics Inc.	Sale	6/9/86	A
American Telephone & Telegraph 6.40% due 8/26/86	Purchase	7/8/86	E
American Telephone & Telegraph 6.40% due 8/26/86	Sale	8/26/86	E
American Telephone & Telegraph 6.125% due 9/10/86	Purchase	7/22/86	E
American Telephone & Telegraph 6.125% due 9/10/86	Sale	9/10/86	E
American Telephone & Telegraph 5.40% due 10/15/86	Purchase	8/26/86	E
American Telephone & Telegraph 5.40% due 10/15/86	Sale	9/24/86	B
American Telephone & Telegraph 5.40% due 10/15/86	Sale	10/15/86	E
American Telephone & Telegraph 5.45% due 10/29/86	Purchase	9/10/86	E
American Telephone & Telegraph 5.45% due 10/29/86	Sale	10/29/86	E
American Telephone & Telegraph 5.5% due 12/2/86	Purchase	10/15/86	E
American Telephone & Telegraph 5.5% due 12/2/86	Purchase	10/29/86	C
American Telephone & Telegraph 5.5% due 12/2/86	Purchase	11/13/86	C
American Telephone & Telegraph 5.5% due 12/2/86	Sale	11/25/86	C
American Telephone & Telegraph 5.5% due 12/2/86	Sale	12/2/86	E
American Telephone & Telegraph 5.60% due 1/13/87	Purchase	11/26/86	E
American Telephone & Telegraph 6.50% due 2/10/87	Purchase	12/23/86	A
Amoco Co. 7.60% due 2/26/86	Sale	2/4/86	B
Amoco Co. 5.90% due 10/7/86	Purchase	8/19/86	D
Amoco Co. 5.90% due 10/7/86	Sale	10/7/86	D
Amoco Co. 5.80% due 1/20/87	Purchase	12/2/86	E
Amoco Co. 5.80% due 1/20/87	Sale	12/30/86	C
Andover Togs Inc.	Purchase	6/11/86	B
Andover Togs Inc.	Sale	6/18/86	B
Animed Inc.	Purchase	2/20/86	B
Arundel Corp.	Sale	11/4/86	C

Schedule IV Transactions

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James H. Scheuer, M.C.
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Continuation Sheet

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Source, Type, Amount, Category, Description or Value
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Security	Type	Date	Category
Archer Daniels Midland Co.	Purchase	11/12/86	C
Archer Daniels Midland Co.	Sale	11/18/86	C
Archer Daniels Midland Co.	Sale	11/20/86	B
Axlon Inc.	Purchase	8/21/86	B
Axlon Inc.	Sale	12/31/86	A
BCI Holdings Corp. Preferred	Exchange	4/17/86	C
BCI Holdings Corp. exch. 15.25% Due 5-1-2002	Exchange	8/1/86	C
BCI Holdings Corp. exch. 15.25% Due 5-1-2002	Sale	12/19/86	B
Beatrice Companies Inc.	Exchange	4/17/86	D
Benequity Holdings Ltd Partnership @26.50 Due 3-31-87	Sold Short	12/17/86	A
Bethesda Arc Corp.	Exchange	1/1/86	B
Bethlehem Steel Corp.	Purchase	4/8/86	C
Bethlehem Steel Corp.	Sale	4/28/86	C
Borden Inc. 7.75% due 1/13/86	Sale	1/13/86	E
Borden Inc. 7.75% due 2/19/86	Sale	1/8/86	B
Borden Inc. 7.75% due 2/19/86	Sale	1/14/86	D
Borden Inc. 7.55% due 5/6/86	Purchase	3/4/86	D
Borden Inc. 7.55% due 5/6/86	Sale	3/26/86	B
Borden Inc. 7.55% due 5/6/86	Sale	5/6/86	D
Borden Inc. 6.25% due 2/3/87	Purchase	12/16/86	C
Borden Inc. 6.25% due 2/3/87	Purchase	12/30/86	C
Bristol Meuers Co.	Exchange	2/13/86	C
Bristol Meyers Co.	Sale	2/14/86	C
Champion Spark Plug Co.	Purchase	2/21/86	B
Champion Spark Plug Co.	Sale	8/12/86	B
Charter Co.	Purchase	12/19/86	C
Chemical Bank NY 6.95% due 4/8/86	Purchase	4/3/86	C
Chemical Bank NY 6.95% due 4/8/86	Sale	4/8/86	C
Chemical Bank NY 6.65% due 4/15/86	Purchase	4/10/86	C
Chemical Bank NY 6.65% due 4/15/86	Sale	4/15/86	C
Chemical Bank NY 6.30% due 4/15/86	Purchase	4/11/86	C
Chemical Bank NY 6.30% due 4/15/86	Sale	4/15/86	C
Chemical Bank NY 5.25% due 7/2/86	Purchase	6/30/86	D
Chemical Bank NY 5.25% due 7/2/86	Sale	7/2/86	D
City Investing Co. Liquidating Trust	Sale	7/11/86	B
Clevepak Corp.	Exchange	4/2/86	C
Clevepak Corp. $2.23 PFD	Exchange	4/2/86	D
Clevepak Corp. CV PFD $1.84	Exchange	4/2/86	C
Coca Cola Co. 7.60% due 3/11/86	Purchase	1/6/86	C
Coca Cola Co. 7.60% due 3/11/86	Sale	3/11/86	C
Coca Cola Co. 7% due 5/28/86	Purchase	3/25/86	C
Coca Cola Co. 7% due 5/28/86	Sale	5/28/86	C
Coca Cola Co. 6.50% due 7/15/86	Purchase	5/28/86	C
Coca Cola Co. 6.50% due 7/15/86	Sale	7/15/86	C
Coca Cola Co. 6/10% due 9/3/86	Purchase	7/15/86	D
Coca Cola Co. 6/10% due 9/3/86	Sale	9/3/86	D
Collective Federal Savings & Loan Assoc.	Purchase	10/1/86	B
Collective Federal Savings & Loan Assoc.	Sale	10/8/86	B
Colonial Group Inc. Cl. A	Purchase	7/3/86	C
Colonial Group Inc. Cl. A	Sale	8/5/86	C
Columbia Savings & Loan Association	Purchase	3/19/86	C
Columbia Savings & Loan Association	Sale	3/27/86	C
Columbia Savings & Loan Association	Sale	3/31/86	C
Comdata Network Inc.	Purchase	4/9/86	B
Comdata Network Inc.	Purchase	9/12/86	A
Comdata Network Inc.	Purchase	11/14/86	B

Schedule IV Transactions

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ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT for 1986

James H. Scheuer, M.C.
(Name)

Continuation Sheet

Part

Source, Type, Amount, Category, Description or Value
(As Applicable)

Security	Type	Date	Category
Compact Video Inc.	Purchase	2/4/86	A
Compact Video Inc.	Purchase	9/12/86	B
Compact Video Inc.	Purchase	11/26/86	A
Compact Video Inc.	Sale	4/24/86	B
Compact Video Inc.	Sale	5/7/86	B
Compact Video Inc.	Sale	5/8/86	B
Continental Information Systems	Sale	1/13/86	B
Craftmatic Contour Industries, Inc.	Purchase	3/26/86	B
Craftmatic Contour Industries, Inc.	Purchase	5/16/86	B
Craftmatic Contour Industries, Inc.	Sale	9/2/86	B
Craftmatic Contour Industries, Inc.	Sale	12/31/86	A
Crown Books Corp.	Purchase	4/24/86	D
Crown Books Corp.	Sale	7/14/86	D
Dome Petroleum Ltd.	Sale	12/3/86	A
Eastman Kodak 6% Due 2/3/87	Purchase	12/16/86	D
Emerson Radio Corp.	Purchase	3/17/86	C
Emerson Radio Corp.	Purchase	7/7/86	C
Emerson Radio Corp.	Sale	4/22/86	C
Emerson Radio Corp.	Sale	7/25/86	C
Equion Corp.	Sale	7/15/86	C
Equitable Life Assurance 5.55% due 11/25/86	Purchase	10/7/86	D
Equitable Life Assurance 5.55% due 11/25/86	Purchase	10/29/86	C
Equitable Life Assurance 5.55% due 11/25/86	Sale	11/25/86	E
Facet Enterprises Inc.	Purchase	2/5/86	B
Federated Dept. Stores, Inc. 5.65% due 12/30/86	Purchase	11/12/86	E
Federated Dept. Stores, Inc. 5.65% due 12/30/86	Sale	11/18/86	C
Federated Dept. Stores, Inc. 5.65% due 12/30/86	Sale	12/30/86	E
Firestone Tire & Rubber Co.	Purchase	6/27/86	B
Firestone Tire & Rubber Co.	Sale	10/16/86	B
Florida Gulf Realty Trust SBI	Sale	11/26/86	C
Fremont General Corp.	Purchase	3/18/86	D
Fremont General Corp.	Sale	7/21/86	C
GMAC 5.725% due 11/5/86	Purchase	10/29/86	C
GMAC 5.725% due 11/5/86	Sale	11/5/86	C
Galoob Lewis Toys Inc.	Purchase	4/21/86	C
Galoob Lewis Toys Inc.	Purchase	7/7/86	C
Galoob Lewis Toys Inc.	Purchase	10/10/86	B
Galoob Lewis Toys Inc.	Sale	5/14/86	B
Galoob Lewis Toys Inc.	Sale	5/20/86	B
Gencorp Inc.	Sale	1/28/86	D
General Development Corp.	Short Sale	5/5/86	A
General Development Corp.	Short Sale Cover	6/18/86	A
General Development Corp.	Short Sale	6/20/86	A
General Development Corp.	Short Sale Cover	7/3/86	A
General Dynamics 7.60% due 4/29/86	Purchase	2/26/86	A
General Dynamics 7.60% due 4/29/86	Sale	4/9/86	A
Genetic Systems Corp.	Exchange	2/13/86	C
Genstar Corp.	Purchase	3/21/86	C
Genstar Corp.	Sale	3/25/86	C
Global Marine Inc. 13% due 2/1/2003	Purchase	3/19/86	C
Gould Inc.	Sale	1/21/86	C
Gould Inc.	Sale	2/5/86	B
Gould Inc.	Sale	2/7/86	B
Gould Inc.	Sale	8/6/86	B
Gould Inc.	Sale	8/25/86	B
Gould Inc.	Sale	9/29/86	B

Schedule IV Transactions

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ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT for 1986

James H. Scheuer, M.C.
(Name)

Continuation Sheet

Part

Source, Type, Amount, Category, Description or Value (As Applicable)

Security	Type	Date	Category
Great American Management & Investment note 14% due 4/2/92	Exchange	4/2/86	D
Gulf Broadcast Co.	Sale	4/15/86	A
Gulf Broadcast Co.	Exchange	5/30/86	A
Gulf Broadcast Liquidating Trust	Fxchange	5/30/86	A
Hancock, John Capital Corp.7.65% due 1/28/86	Sale	1/14/86	B
Hancock, John Capital Corp.7.65% due 1/28/86	Sale	1/15/86	B
Hancock, John Capital Corp.7.65% due 1/28/86	Sale	1/28/86	E
Harris Corp.	Purchase	6/25/86	C
Harris Corp.	Sale	7/18/86	C
Healthco International Inc.	Sale	6/18/86	D
Hechinger Co. Class B	Sale	7/21/86	A
Highland Apartments Corp.	Sale	1/21/86	D
Home Group Inc.	Sale	7/11/86	C
Horn Finkelstein Ltd. Partnership	Purchase	5/6/86	A
IBM 7.65% due 1/21/86	Sale	1/21/86	C
IBM 7.50% due 2/4/86	Sale	1/28/86	A
IBM 7.50% due 2/4/86	Sale	2/4/86	C
IBM 7/55% due 3/4/86	Purchase	1/8/86	E
IBM 7.55% due 3/4/86	Sale	3/4/86	E
IBM 7/10% due 5/13/86	Purchase	3/11/86	D
IBM 7.10% due 5/13/86	Purchase	3/25/86	D
IBM 7.10% due 5/13/86	Sale	4/2/86	C
IBM 7/10% due 5/13/86	Sale	4/15/86	B
IBM 7.10% due 5/13/86	Sale	5/13/86	E
IBM 6.40% due 7/2/86	Purchase	5/6/86	D
IBM 6.40% due 7/2/86	Purchase	6/2/86	B
IBM 6.40% due 7/2/86	Sale	6/2/86	B
IBM 6.40% due 7/2/86	Sale	6/6/86	B
IBM 6.40% due 7/2/86	Sale	7/2/86	D
IBM 6.70% due 7/29/86	Purchase	6/10/86	D
IBM 6.70% due 7/29/86	Sale	6/16/86	C
IBM 6.70% due 7/29/86	Sale	7/16/86	B
IBM 6.70% due 7/29/86	Sale	7/29/86	C
IBM 6.55% due 8/19/86	Purchase	7/2/86	E
IBM 6.55% due 8/19/86	Purchase	7/29/86	C
IBM 6.55% due 8/19/86	Sale	7/10/86	D
IBM 6.55% due 8/19/86	Sale	7/15/86	A
IBM 6.55% due 8/19/86	Sale	8/19/86	D
IBM 5.45% due 10/21/86	Purchase	9/3/86	E
IBM 5.45% due 10/21/86	Sale	9/19/86	C
IBM 5.45% due 10/21/86	Sale	10/2/86	D
IBM 5.45% due 10/21/86	Sale	10/21/86	C
IBM 5.70% due 12/16/86	Purchase	11/5/86	C
IBM 5.70% due 12/16/86	Purchase	11/7/86	C
IBM 5.70% due 12/16/86	Purchase	11/13/86	A
IBM 5.70% due 12/16/86	Sale	12/16/86	C
IBM 5.95% due 1/27/87	Purchase	12/9/86	E
IBM 5.95% due 1/27/87	Purchase	12/30/86	C
IBM 5.95% due 1/27/87	Sale	12/22/86	B
International Controls Corp. Disc. Deb. 14½% due 1/1/06	Exchange	1/1/86	D
International Controls Corp. Disc. Deb. 14½% due 1/1/06	Sale	3/10/86	D
Jackson National Life Insurance Co.	Purchase	9/25/86	C
Jackson National Life Insurance Co.	Sale	12/8/86	D

Schedule IV Transactions

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ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT for 1986

James H. Scheuer, M.C.
(Name)

Continuation Sheet

Part

Source, Type, Amount, Category, Description or Value (As Applicable)

Security	Type	Date	Category
K Mart 5.65% due 12/16/86	Purchase	10/30/86	C
K Mart 5.65% due 12/16/86	Sale	12/16/86	C
K Mart 5.70% due 1/5/87	Purchase	11/18/86	C
K Mart 5.70% due 1/5/87	Sale	12/22/86	B
K Mart Corporation	Purchase	2/6/86	C
K Mart Corporation	Sale	3/12/86	E
Kenner Parker Toys Inc.	Sale	1/15/86	B
LLC Corp.	Purchase	6/23/86	B
LLC Corp.	Sale	9/4/86	B
Lehigh Press Inc.	Purchase	4/18/86	C
Lehigh Press Inc.	Sale	7/8/86	C
Lehigh Press Inc.	Sale	7/9/86	C
Lehigh Valley Industries Inc.	Purchase	4/10/86	B
Lehigh Valley Industries Inc.	Sale	4/14/86	B
Leisure Concepts Inc.	Purchase	10/10/86	B
Leisure Concepts Inc.	Sale	10/27/86	B
Leisure Concepts Inc.	Sale	10/29/86	B
Lucky Stores Inc.	Purchase	10/10/86	C
Lucky Stores Inc.	Purchase	11/20/86	C
Lucky Stores Inc.	Purchase	12/15/86	C
Lucky Stores Inc.	Sale	12/23/86	C
MGM/UA Entertainment Co.	Sale	4/7/86	C
Macy's Credit Corp. 7.80% due 1/28/86	Sale	1/28/86	D
Marshall Industries	Purchase	4/18/86	C
Marshall Industries	Sale	7/15/86	C
Mattel Inc.	Purchase	2/21/86	B
Mattel Inc.	Purchase	2/27/86	B
Mattel Inc.	Purchase	6/20/86	B
Mattel Inc.	Purchase	6/26/86	B
Mattel Inc.	Purchase	9/18/86	B
Mattel Inc.	Sale	1/9/86	C
Mattel Inc.	Sale	5/14/86	B
Mattel Inc.	Sale	5/15/86	B
Mattel Inc.	Sale	5/20/86	B
Mattel Inc.	Sale	7/7/86	B
Mattel Inc.	Sale	7/9/86	B
Mattel Inc.	Sale	9/24/86	C
Milgray Electronics Inc.	Purchase	5/16/86	C
Milgray Electronics Inc.	Sale	8/21/86	B
Milgray Electronics Inc.	Sale	11/13/86	B
Millicom Inc.	Purchase	6/27/86	B
Millicom Inc.	Sale	7/2/86	C
Millicom Inc.	Sale	9/24/86	A
Mobil Oil Corp. 7.60% due 3/18/86	Purchase	3/5/86	B
Mobil Oil Corp. 7.60% due 3/18/86	Sale	3/18/86	B
Mobil Oil Credit Corp. 7.70% due 3/18/86	Purchase	1/13/86	E
Mobil Oil Credit Corp. 7.70% due 3/18/86	Sale	3/18/86	E
Mobil Oil Credit Corp. 7.15% due 5/20/86	Purchase	3/18/86	E
Mobil Oil Credit Corp. 7.15% due 5/20/86	Sale	5/20/86	E
Mobil Oil Credit Corp. 7.05% due 6/3/86	Purchase	4/1/86	E
Mobil Oil Credit Corp. 7.05% due 6/3/86	Purchase	5/20/86	C
Mobil Oil Credit Corp. 7.05% due 6/3/86	Purchase	5/22/86	C
Mobil Oil Credit Corp. 7.05% due 6/3/86	Sale	6/3/86	E
Mobil Oil Exploration 6.65% due 7/8/86	Purchase	5/13/86	E
Mobil Oil Exploration 6.65% due 7/8/86	Sale	7/8/86	E
Mobil Oil Exploration 6.75% due 7/22/86	Purchase	6/3/86	E
Mobil Oil Exploration 6.75% due 7/22/86	Sale	7/22/86	E
Mobil Oil Exploration 5.725% due 12/9/86	Purchase	10/21/86	C
Mobil Oil Exploration 5.725% due 12/9/86	Sale	12/9/86	C
Mobile Communications Corp. of America Class B	Purchase	5/13/86	B
Mobile Communications Corp. of America Class B	Sale	6/20/86	C

Schedule IV Transactions ?

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ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT for 1986

James H. Scheuer, M.C.
(Name)

Continuation Sheet

Part

Source, Type, Amount, Category, Description or Value
(As Applicable)

Security	Type	Date	Category
NL Industries Inc.	Sale	3/26/86	B
NL Industries Inc.	Sale	7/17/86	C
NL Industries Inc.	Sale	8/11/86	A
Nevada Savings & Loan Association	Sale	2/10/86	B
Nevada Savings & Loan Association	Sale	2/12/86	C
Newhall Investment Properties Depository Receipt	Sale	7/14/86	C
Nobel Insurance Ltd.	Purchase	5/1/86	C
Nobel Insurance Ltd.	Sale	5/19/86	C
PT Components Inc.	Purchase	2/20/86	C
PT Components Inc.	Sale	9/16/86	C
Pandick Inc.	Purchase	12/19/86	C
Pandick Inc.	Sale	12/29/86	C
Papercraft Corp. JR. Disc. 14.75% due 11/1/2000	Sale	4/18/86	B
Park Avenue Realty	Sale	12/31/86	A
Paterson Parchment Paper Co.	Purchase	5/30/86	B
Pepsi Inc. 6.20% due 9/23/86	Purchase	8/5/86	A
Pepsi Inc. 6.20% due 9/23/86	Sale	9/23/86	A
Pepsi Inc. 5.70% due 11/22/86	Purchase	9/23/86	B
Pepsi Inc. 5.70% due 11/22/86	Purchase	10/19/86	D
Pepsi Inc. 5.70% due 11/22/86	Sale	11/6/86	A
Pepsi Inc. 5.70% due 11/22/86	Sale	11/12/86	D
Phibro Solomon Inc. 7.70% due 4/1/86	Purchase	1/28/86	E
Phibro Solomon Inc. 7.70% due 4/1/86	Sale	4/1/86	E
Phibro Solomon Inc. 7.625% due 4/8/86	Purchase	2/4/86	C
Phibro Solomon Inc. 7.625% due 4/8/86	Purchase	3/25/86	B
Phibro Solomon Inc. 7.625% due 4/8/86	Sale	4/8/86	C
Phibro Solomon Inc. 6.85% due 6/10/86	Purchase	4/8/86	C
Phibro Solomon Inc. 6.85% due 6/10/86	Sale	6/10/86	C
Pitney Bowes 6.60% due 8/12/86	Purchase	6/24/86	C
Pitney Bowes 6.60% due 8/12/86	Sale	8/12/86	C
Pitney Bowes 6.05% due 9/29/86	Purchase	8/12/86	C
Pitney Bowes 6.05% due 9/29/86	Sale	9/29/86	C
Portec Inc.	Sale	7/11/86	C
Procter & Gamble Co. 7.75% due 1/21/86	Purchase	1/14/86	C
Procter & Gamble Co. 7.75% due 1/21/86	Sale	1/21/86	D
Procter & Gamble Co. 7.60% due 3/25/86	Purchase	1/21/86	E
Procter & Gamble Co. 7.60% due 3/25/86	Sale	3/25/86	E
Quotron Systems Inc.	Purchase	3/11/86	C
Quotron Systems Inc.	Purchase	3/14/86	C
Quotron Systems Inc.	Sale	3/24/86	D
RB Industries Inc.	Purchase	6/30/86	C
Reading Co. $0.01	Sale	6/9/86	C
Republic American Corp.	Purchase	7/1/86	C
Republic American Corp.	Sale	7/2/86	C
Republic American Corp.	Sale	7/16/86	C
Resorts International Inc. Class A	Purchse	4/21/86	D
Resorts International Inc. Class A	Purchase	4/30/86	C
Resorts International Inc. Class A	Purchase	7/7/86	C
Resorts International Inc. Class A	Sale	10/1/86	B
Revco DS Inc	Purchase	3/13/86	C
Revco DS Inc.	Purchase	4/10/86	C
Revco DS Inc.	Sale	12/29/86	D
Revlon Inc. $9.00 CV PFD	Sale	4/7/86	A
Revlon Inc. 11.75% due 9/1/95	Sale	3/5/86	B

Schedule IV Transactions

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ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT for 1986

James H. Scheuer, M.C.
(Name)

Continuation Sheet

Part

Source, Type, Amount, Category, Description or Value (As Applicable)

Security	Type	Date	Category
Rorer Group Inc.	Purchase	1/8/86	C
Rorer Group Inc.	Purchase	1/23/86	C
Rorer Group Inc.	Sale	3/17/86	C
Rorer Group Inc.	Sale	5/14/86	C
Rorer Group Inc.	Sale	5/29/86	D
SFN Cos Inc. 16.25% exch. Pfd. Ser. A	Sale	11/25/86	D
Sandusky Plastics Inc.	Purchase	5/14/86	B
Scott & Fetzer Co.	Sale	1/10/86	F
Service Merchandise Co., Inc.	Purchase	2/21/86	C
Service Merchandise Co., Inc.	Sale	3/26/86	C
Sheller Globe Corp. Disc. Jr. Note due 6/1/01	Purchase	6/11/86	C
Sheller Globe Corp. Disc. Jr. Note due 6/1/01	Sale	6/27/86	C
Sheller Globe Corp.	Purchase	2/26/86	C
Sheller Globe Corp.	Purchase	2/28/86	C
Sheller Globe Corp.	Purchase	5/30/86	D
Sheller Globe Corp.	Sale	6/11/86	E
Singapore Airlines	Purchase	2/27/86	B
Singapore Airlines	Sale	6/9/86	B
Smith Internationla Deb. 9.85% due 5/15/2004	Purchase	3/17/86	C
Solomon Inc. 6% Due 1/27/87	Purchase	12/12/86	D
Stereo Village Inc.	Purchase	12/31/86	A
Stone & Webster Inc.	Purchase	1/24/86	C
Stone & Webster Inc.	Sale	7/17/86	C
Sun Electric Corp.	Purchase	7/8/86	C
Sun Electric Corp.	Sale	9/5/86	C
Sybron Corp.	Purchase	1/15/86	C
Sybron Corp.	Sale	3/3/86	C
Telecom Plus International Inc.	Purchase	9/30/86	B
Telecom Plus International Inc.	Sale	11/7/86	A
Telecom Plus International Inc.	Sale	11/19/86	A
Thoratec Labs Corp.	Purchase	3/12/86	B
Thoratec Labs Corp.	Sale	4/24/86	A
Town & Country Jewelry Mfg. Corp.	Purchase	6/30/86	C
Town & Country Jewelry Mfg. Corp.	Sale	12/31/86	B
Toys R Us	Purchase	4/7/86	C
Toys R Us	Sale	4/11/86	C
Transway International Corp.	Exchange	1/1/86	E
Turner Broadcasting Systems Inc. Ser. A $1.4459 Pfd.	Purchase	3/25/86	B
Turner Broadcasting Systems Inc. Ser. A $1.4459 Pfd.	Sale	4/10/86	B
Tyco Toys Inc.	Purchase	2/20/86	B
Tyco Toys Inc.	Purchase	3/3/86	B
Tyco Toys Inc.	Purchase	3/4/86	B
Tyco Toys Inc.	Purchase	9/12/86	B
Tyco Toys Inc.	Sale	5/14/86	A
Tyco Toys Inc.	Sale	5/28/86	C
Tyco Toys Inc.	Sale	12/2/86	C
Unifi Inc.	Purchase	4/24/86	C
Unifi Inc.	Purchase	6/3/86	B
Unifi Inc.	Sale	10/20/86	C
Unilever Capital Corp. 5.60% due 11/18/86	Purchase	9/29/86	C
Unilever Capital Corp. 5.60% due 11/18/86	Sale	11/18/86	C
Union Carbide Corp.	Purchase	2/28/86	C
Union Carbide Corp.	Sale	3/3/86	C
United Aircrafts Products Inc.	Sale	1/17/86	C
United Artists Communications Inc. Cl. A	Purchase	3/5/86	C
United Artists Communications Inc. Cl. A	Sale	10/2/86	C
United Stockyards Corp.	Purchase	4/21/86	B
United Stockyards Corp.	Purchase	9/4/86	A

Schedule IV Transactions
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ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT for 1986

James H. Scheuer, M.C.
(Name)

Continuation Sheet

Part

Source, Type, Amount, Category, Description or Value (As Applicable)

Security	*Type*	*Date*	*Category*
United Technology Financial Services 7.70% due 2/11/86	Sale	1/3/86	E
United Technology Financial Services 7.70% due 2/11/86	Sale	2/11/86	B
United Television Inc.	Sale	8/12/86	D
United Television Inc.	Sale	8/18/86	E
United Television Inc.	Sale	8/19/86	B
Valley Federal Savings & Loan Association	Purchase	1/9/86	C
Valley Federal Savings & Loan Association	Purchase	1/27/86	C
Valley Federal Savings & Loan Association	Purchase	2/4/86	C
Valley Federal Savings & Loan Association	Purchase	9/24/86	C
Valley Federal Savings & Loan Association	Sale	2/20/86	C
Valley Federal Savings & Loan Association	Sale	3/26/86	C
Valley Federal Savings & Loan Association	Sale	4/9/86	A
Valley Federal Savings & Loan Association	Sale	5/20/86	A
Valley Federal Savings & Loan Association	Sale	7/18/86	C
View-Master International Group Inc.	Sale	2/11/86	C
Walt Disney Production 6.40% due 6/24/86	Purchase	4/29/86	A
Walt Disney Production 6.40% due 6/24/86	Purchase	5/20/86	C
Walt Disney Production 6.40% due 6/24/86	Sale	6/24/86	D
Warnaco Inc.	Purchase	3/14/86	D
Warnaco Inc.	Sale	4/9/86	C
Warnaco Inc.	Sale	5/16/86	C
Western Union Corp.	Short Sale	1/24/86	C
Western Union Corp.	Short Sale Cover	2/7/86	B
Western Union Corp.	Short Sale Cover	2/11/86	B
Wolverine World-Wide Inc.	Purchase	7/7/86	C
Woods Brothers Homes Inc.	Sale	1/24/86	A
Worthen Banking Corp.	Sale	7/18/86	C
Wrather Corp.	Purchase	1/30/86	C
Xerox Corp. 7.70% due 1/6/86	Sale	1/6/86	E

TRUSTS

Trust F/B/O James & Emily Scheuer U/D 1/30/80:

.6 unit of Comcast Cablevision of Maryland	Purchase	12/23/86	C
.28% interest in 61 Cable Associates	Purchase	1/23/86	D
.75% interest in 61 LI-III Associates	Purchase	5/20/86	D

Grantor Trust Under Agreement DTD 2/28/69
(James H. Scheuer, Grantor)

49% Interest in Capitol Towers, Unit I Trust, Sacramento, Calif.	Purchase	7/11/86	F
50% Interest in Marin Station Associates	Sale	8/21/86	D

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Miss Claudine Cmarada Schneider
(Full Name)

1512 Longworth House Office Building
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 02 State Rhode Island

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA ✓

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
Brookings Institue	3/17/86	Honorarium	$300.00	no
American Association of University Women	5/30/86	Honorarium	$1,000.00	no
Sandoz Corporation	6/12/86	Honorarium	$500.00	no
Business Executives for National Security	7/8/86	Honorarium	$500.00	no
Steak & Ale Restaurant Corporation	7/18/86	Honorarium	$1,000.00	no

(continued)

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Fidelity Cash Reserves	Dividends	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO _____ NA X

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Fidelity Cash Reserves	B

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
1. Electronic Industries Association-Ft.Meyers,FLA. 3/31/86.	Travel & 4 nights lodging for speaking engagement @ legis. conf.
2. American Cancer Society/Good Housekeeping 4/10/86	Travel to Trenton,NJ, & 1 night lodging for speaking engagement.

VIII. POSITIONS

(continued)

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Corporator	Edgehill Newport Foundation, Newport, RI
Board Member	Common Ground, Boston, MA
Director	Bannister House, Providence, RI
Member	Bradley Hospitol Corporation, Providence, RI
Board of Advisors	Donor Alert, Warwick, RI

IX. AGREEMENTS

(continued)

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature

Date May 15, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reasen the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

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Page 1 of 2

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Miss Claudine Cmarada Schneider
(Name)

Continuation Sheet

Part

Source, Type, Amount, Category, Description or Value (As Applicable)

Source Type Amount Disposition

II. Income. Penn State Education Association 8/5/86 Honorarium $250.00 no
Grocery Manufacturersof America 9/18/86 Honorarium $2,000.00 no
American Association of University Women 10/18/86 Honor.$1,000.00
(no dis)

Total: $6550.00 for 1986

VII Reimbursements. Business-Higher Education Forum 4/18/86 Travel to Clifton Forge,VA
& return to DC & 2 nights lodging
for legislative conference.

4. American Association of University Women 5/30/86 Travel to State College,
Pa. and return to DC & 1 night lodging
for speaking engagement.

5. Women's Campaign Fund 6/21/86 Travel to Cincinnati,OH,and return to PVD
for speaking engagement.

6. Steak & Ale Restaurant Corporation 7/18/86 Travel to Jacksonville,Fla.,
& return to DC & 3 nights lodgings for
speaking engagement at legis. conference.

7. Hoffman-La Roche 8/4/86 Travel from PVD to Newark and return to DC
for fact-finding expedition.

8. Penn State Education Assc. 8/5/86 One nights lodging only for speech.

9. American Council for an Energy Efficent Economy 8/19/86 Travel to
San Francisco,CA and return to DC and
2 nights lodging for speaking engagement.

10. Business-Government Relations Council 9/20/86 Travel to Lewisburg,WVA
and return to DC & 2 nights lodging for
legislative conference.

11. Yale's Students Beyond War 9/29/86 Travel to New Haven,Conn. and return
to DC and 1 nights lodging for speaking
engagement.

12. Winchester-Thurston School 12/1/86 Travel to Pittsburgh and return to DC
for speaking engagement.

GPO 1986 [illegible]

[illegible]

Page 2 of 2

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Miss Claudine Cmarada Schneider
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
VIII. Positions		
	Director	Conservation Law Foundation, Boston, MA
	Advisory Board	Women's Action for Nuclear Disarmament, Boston, MA
	Advisory Board	Providence Community Health Institute, Providence, RI
	Board Member	RI Committee on POW/MIAs
	Executive Board	MBA Program, University of RI, Kingston, RI
	Board of Advisors	National Coalition for Science & Technology, Washington, D.C.
	Co-Chairman	Share Our Strength
	Co-Chairman	World Wilderness Congress

INSIDE MAIL

[illegible]

CLAUDINE SCHNEIDER
2ND DISTRICT RHODE ISLAND

WASHINGTON OFFICE:
SUITE 1512
LONGWORTH HOUSE OFFICE BUILDING
WASHINGTON, D.C. 20515
PHONE (202) 225-2735

DISTRICT OFFICE:
30 ROLFE SQUARE
CRANSTON, RHODE ISLAND 02910-2893
PHONE 528-5020

PLEASE REPLY TO:
☐ WASHINGTON OFFICE
☐ DISTRICT OFFICE

Congress of the United States
HOUSE OF REPRESENTATIVES
WASHINGTON, D.C. 20515

COMMITTEES:
MERCHANT MARINE
AND FISHERIES
SUBCOMMITTEES:
FISHERIES AND WILDLIFE
CONSERVATION AND THE
ENVIRONMENT
OCEANOGRAPHY
OVERSIGHT AND INVESTIGATIONS
SCIENCE AND TECHNOLOGY
SUBCOMMITTEES:
NATURAL RESOURCES,
AGRICULTURAL RESEARCH
AND ENVIRONMENT
SCIENCE, RESEARCH
AND TECHNOLOGY
INVESTIGATIONS AND OVERSIGHT
AGING
SUBCOMMITTEE:
HEALTH AND LONG TERM CARE
CO-CHAIR, CONGRESSIONAL
COMPETITIVENESS CAUCUS

June 22, 1987

mc ✓

The Honorable Julian C. Dixon
Chairman
Committee on Standards
of Official Conduct
HT-2
The Capitol
Washington, D.C. 20515

Dear Julian:

Enclosed you will find the amendment to my Financial Disclosure Statement for FY'86. This amends Section III - Holdings.

Thank you in advance for your kind assistance in rectifying this document.

Sincerely,

Claudine

Claudine Schneider
Member of Congress

CS/ejs

THIS STATIONERY PRINTED ON PAPER MADE WITH RECYCLED FIBERS

[illegible]

The Hon. Claudine Schneider (02,RI)

Section III - Holdings.

Rental Portion of Personal Residence
56 Central Street, Narragansett, RI.

Category - E.

INSIDE MAIL

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

[illegible] MAY 12 [illegible] 2 [illegible]

FORM A—For use by Members, officers, and employees

PATRICIA SCHROEDER
(Full Name)

2410 RAYBURN HOB
(Mailing Address)

WASHINGTON, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 1ST State COLO.

☐ Officer or Employee—Employing Office

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
INDUSTRIAL SERVICES PROGRAM / MASSACHUSETTS STATE CONF. WOMAN & CHILDREN / BOSTON - 11/9/85	HONORARIUM	2,000	
NEBRASKA WESLEYAN UNIV., LINCOLN - 1/24/86	"	2,000	
CORNELL LAW SCHOOL, ITHACA, N.Y. - 2/21/86	"	2,000	
ST. MARY'S COLLEGE, MARYLAND - 3/3/86	"	1,000	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
AFFILIATED FUND	LTCG	B
"	DIV	A
FOUNDERS GROWTH FUND	LTCG	B
"	DIV	A
CASH EQUIVALENT FUND	INT	A
TAX-EXEMPT MONEY MARKET FUND	INT	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
REPRESENTATIVE, INC., DENVER, COLO. — STOCK	C
FOUNDERS GROWTH FUND — SHARES	B
AFFILIATED FUND — SHARES	B
DREYFUS GOLD DEPOSITS — TROY OZS.	A
CASH EQUIVALENT FUND — SHARES	B
TAX-EXEMPT MONEY MARKET FUND — SHARES	C

3 7 1 / 2 2 2 1 5 7 2

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
E.F. HUTTON DIRECTIONS UNIT INVESTMENT TRUST SERIES 78 - PURCHASE SHARES	5/9/86	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
WORLD SAVINGS, DENVER, COLO.	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
INDUSTRIAL SERVICES PROGRAM/MASSACHUSETTS STATE CONF., BOSTON - TRAVEL EXPENSES	AIRFARE - RT - BOSTON - D.C./FOOD/HOTEL
MT. HOLYOKE COLLEGE, SOUTH HADLEY, MASS. - TRAVEL EXPENSES	CAR RENTAL/FOOD/LODGING
UCC MINISTERS CONF., ORLANDO, FLA - TRAVEL EXPENSES	AIRFARE - DC - ORLANDO - DC

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
PRESIDENT + DIRECTOR	REPRESENTATIVE, INC., DENVER, COLO. (FAMILY-OWNED CORPORATION)
BOARD MEMBER	BARBARA STREISAND FOUNDATION, LOS ANGELES, CA.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Patricia Schroeder	May 9, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 69-232 (4)

8/7/721150

Page 1 of 2

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

PATRICIA SCHROEDER
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
II. A.	SOURCE	TYPE	AMOUNT	DISPOSITION
	HOUSTON BUSINESS FORUM 3/7/86	HONORARIUM	2,000	
	NATL. CABLE TELEVISION ASSOC. 3/7/86	"	1,500	
	AMERICAN BAR ASSOCIATION – 3/24/86	"	1,000	
	ASCAP 3/27/86	"	2,000	
	NATL. ASSOCIATION OF CREDIT MANAGEMENT – 4/7/86	"	2,000	
	RANCHO SANTIAGO COLLEGE, CA 4/11/86	"	2,000	
	NATL. ASSOCIATION OF BROADCASTERS – 4/14/86	"	1,500	
	DIRECT SELLING ASSN. – 4/22/86	"	300	
	UNITED TECHNOLOGIES/PRATT-WHITNEY – 5/9/86	"	1,000	
	HARVARD UNIV. – 5/13/86	"	1,000	
	L.A. TIMES – 6/13/86	ARTICLE FEE	150	
	WELLESLEY COLLEGE – 3/4/86	HONORARIUM	2,000	CHARITY
	AMERICAN RECORD MEDICAL ASSOC. – 10/14/86	"	1,080	
	AMERICAN ASSOC. FOR COUNSELING AND DEVELOPMENT – 12/5/86	"	500	CHARITY

KAPLAN RUSSIN + VECCHI (SPOUSE)
1215 17th ST., N.W.
WASHINGTON, D.C. 20036
(LAW PRACTICE)

Part	Source	Type	Category
II. B.	SOURCE	TYPE	CATEGORY
	FUND FOR GOVERNMENT INVESTORS	INT	A
	NYLIC	INT	A
	LESLIE J. + CYNTHIA BEARY	INT	D
	RENEE BASSINGER + DEBBIE TALBOT	RENT	D
	CITIBANK/FOCUS	INT	B
	MIKE LEWIS + KINETTE RICHARDS	RENT	D
	LARIMER SQUARE ASSOCIATES, DENVER, COLO. – LTD. PARTNERSHIP	CASH DISTRIBUTION	E

Part	Identity	Category
III.	IDENTITY	CATEGORY
	FUND FOR GOVERNMENT INVESTORS – SHARES	C
	REPRESENTATIVE, INC., DENVER – LOANS	B
	LESLIE J. + CYNTHIA BEARY, DENVER – PROMISSORY NOTE	D
	TDR ASSOCIATES, DENVER (FORMERLY LARIMER SQUARE ASSOC'S. – LTD. PARTNERSHIP INTEREST	B
	CITIBANK/FOCUS – SHARES	A
	UNIT 101, DEXTER PLACE, DENVER – CONDO	D
	UNIT 304, DEXTER PLACE, DENVER – CONDO	D
	E.F. HUTTON DIRECTIONS UNIT INVESTMENT TRUST SERIES 38 – SHARES	A

GPO 1986 61-688 (m)

Page 2 of 2

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

PATRICIA SCHROEDER
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
VII.	SOURCE	BRIEF DESCRIPTION
	CORNELL LAW SCHOOL, ITHACA N.Y. – TRAVEL EXPENSES	AIRFARE – DC – ITHACA
	HOUSTON BUSINESS FORUM, HOUSTON – TRAVEL EXPENSES	AIRFARE – HOUSTON – DC
	RANCHO SANTIAGO COLLEGE, CA. – TRAVEL EXPENSES	AIRFARE – DEN – CA – DEN / FOOD / LODGING
	HARVARD UNIV. – BOSTON – TRAVEL EXPENSES	AIRFARE – DC – BOS – DC
	ALASKA DEMOCRATIC CONVENTION, KENAI, ALASKA	AIRFARES – DC – ALASKA – DC / FOOD / LODGING
	UNITED TECHNOLOGIES / PRATT-WHITNEY, FLA – TRAVEL EXPENSES	RT AIRFARES – DC – PALM BEACH, FLA / FOOD / HOTEL
	UNIV. OF RICHMOND, VA. – TRAVEL EXPENSES	TRAVEL / FOOD / LODGING – RICHMOND, VA.
	UCC WESTERN WOMENS CONF., SAN DIEGO, CA.	AIRFARE – DEN – SAN DIEGO – DEN / FOOD / LODGING
	AMERICAN MEDICAL RECORD ASSOC., DENVER	RT AIRFARES – DC – DEN – DC
	QUANTAS AIRLINES / CONSOLIDATED PRESS HOLDINGS, SYDNEY, AUSTRALIA	RT AIRFARES – DEN – SYDNEY / FOOD / LODGING / LOCAL TRANSPORTATION

87172210423

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

William D. Schuette
(Full Name)

368 East-Saginaw Road
(Mailing Address)

Sanford, Michigan 48657

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☑ Member of the U.S. House of Representatives—District 10 State Michigan

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA ✓

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
MICHIGAN FARM BUREAU, 1/10/86	honoraria	$500.00	
BOARD OF TRADE OF THE CITY OF CHICAGO, 3/5/86	honoraria	$500.00	
CHICAGO MERCANTILE EXCHANGE ; 3/3/86	honoraria	$500.00	
AMERICAN FROZEN FOOD INSTITUTE; 8/12/86	honoraria	$500.00	
MICHIGAN BELL TELEPHONE 6/23/86	honoraria	$750.00	
FARM CREDIT SERVICES 12/3/86	honoraria	$500.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
AMF, INC	Cash receipts	A
CHEMICAL FINANCIAL CORPORATION	DIVIDEND	A
CHEMICAL FINANCIAL CORPORATION	STOCK DIVIDEND	B
DOW CHEMICAL COMPANY	DIVIDEND	E
EATON CORPORATION	DIVIDEND	A
FIRST NATIONAL INVESTMENT COMPANY OF MIDLAND [see attachment]	RENTS	less than $100.00

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.** **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ✓ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY		CATEGORY
CHEMICAL FINANCIAL CORPORATION	stock	C
DOW CHEMICAL COMPANY	STOCK	F
EATON CORPORATION	STOCK	B
FIRST NATIONAL INVESTMENT COMPANY OF MIDLAND	partnership interest	less than $1000.00
FIRST OF AMERICA BANK CORPORATION	STOCK	A - value as of 2/26/87

[see attachment]

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
AMF INC. – STOCK ACQUIRED BY MINSTAR ACQ. CORP.	1/6/86	A (cash rec'd)
NEW CENTURY BANK CORPORATION – MERGED INTO FIRST OF AMERICA BANK CORPORATION, NEW STOCK REISSUED	12/31/86	A
UNITED TECHNOLOGIES, INC. – CONVERSION OF PREFERRED STOCK TO COMMON STOCK	9/2/86	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Chemical Bank And Trust Company Of Midland; motor vehicle loan	B
Chemical Bank And Trust Company Of Midland, motor vehicle loan	B
Chemical Bank And Trust Company Of Midland, mortgage	C
Chemical Bank And Trust Company Of Midland; personal loan	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
BOARD OF TRADE OF CITY OF CHICAGO and CHICAGO MERCANTILE EXCHANGE (SPEECHES)	AIRFARE: SAGINAW, MICHIGAN TO CHICAGO, ILLINOIS & CHICAGO ILLINOIS TO WASHINGTON, D.C 3/3/86

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
TRUSTEE	ROLLIN M. GERSTACKER FOUNDATION

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
1/1/86	CURRIE & KENDALL, P.C.	PROFIT SHARING PLAN; CATEGORY OF VALUE (AS PER PARTS III, IV, V) IS "A"

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5/14/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987

Page 5 of 5

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

WILLIAM D. SCHUETTE
(Name)

Continuation Sheet

Part

Source, Type, Amount, Category, Description or Value (As Applicable)

II B

UNEARNED INCOME (continued)

SOURCE	TYPE	CATEGORY
FIRST OF AMERICA, BANK CORPORATION	DIVIDEND	ZERO
TEXACO, INC.	DIVIDEND	less than $100.00
UNITED TECHNOLOGIES, INC.	DIVIDEND	A
CHEMICAL BANK AND TRUST COMPANY OF MIDLAND	INTEREST	B
COMERICA BANK- MIDLAND	INTEREST	ZERO

III

HOLDINGS (continued)

IDENTITY		CATEGORY
TEXACO, INC.	STOCK	less than $1000.00
UNITED TECHNOLOGIES, INC.	STOCK	A
CHEMICAL BANK AND TRUST COMPANY OF MIDLAND	BANK ACCOUNT	less than $1000.00
CHEMICAL BANK AND TRUST COMPANY OF MIDLAND	BANK ACCOUNT	C
CHEMICAL BANK AND TRUST COMPANY OF MIDLAND	I. R. A.	B
COMERICA BANK- MIDLAND	BANK ACCOUNT	less than $1000.00
SERGEANT AT ARMS	BANK ACCOUNT	A
PERSONAL RESIDENCE LOCATED AT: 348 EAST SAGINAW ROAD SANFORD, MICHIGAN 48657		D

William D Schuette 5/14/87

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Richard T. Schulze
(Full Name)

2369 Rayburn House Office Building
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 5 State PA

☐ Officer or Employee: Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
U.S. House of Representatives	salary		
See Attached	honoraria	$33,100	$10,570(charity

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
condominium rentals	rent	D
McLean Bank (checking account)	interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ [✓ NO] NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
1/2 acre land, Magen's Bay, U.S. Virgin Islands	B
Condominium - 1450 West Chester Pike, West Chester, PA	C
Condominium - 20 Rittenhouse Ct., Wayne, PA	D
Passport Charters	B
Oahu Limited Partnership	B
IRA mutual fund; Linder Fund, Inc.	B
Civil Service Pension Fund	D

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Passport Charters	12/31/86	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Downingtown Savings & Loan (mortgages)	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
See Attached	1986 Kemper Pro-Am Charity Golf Tournament	$245.50

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
See Attached	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
partnership	Passport Charters Associates
limited partnership	Oahu Limited Partnership

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5-12-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987 [illegible]

II. Income A.

Date	Source	Amount	Disposition
10/18/85	Dow, Lohnes and Alberton, Washington, D.C. - recd 1/24/86	$1000	
10/21/85	Preston, Thorgrimson, Ellis & Holman, Washington, D.C. - recd 1/8/86	$1000	
10/24/85	Grocery Manufacturers Assn., Washington, D.C. -- recd 1/17/86	$1000	
11/7/85	Distilled Spirits Council, Washington, D.C. -- recd 2/1/86	$1000	
12/9/85	Miller & Schroeder Financial, Inc., Minneapolis, MN -- recd 2/1/86	$2000	
12/10/85	The Electric Utility Industry Seminars, Washington, D.C. - recd 1/28/86	$1100	
1/2/86	R. Duffy Wall & Assoc. Management Committee -- recd 1/8/86	$1000	
1/10/86	Electronics Industries Assn., Las Vegas, NV -- recd 1/24/86	$1000	
1/17/86	Natl Assn of Home Builders, Dallas, TX -- recd 2/6/86	$2000	
1/20/86	Distilled Spirits Council, San Diego, CA -- recd 2/6/86	$2000	
1/21/86	McDonnell Douglas Aircraft, Long Beach, CA -- recd 1/31/86	$1000	
2/5/86	American Bus Assn. Miami, FL -- recd 2/6/86	$2000	
2/13/86	Pratt & Whitney Aircraft, Miami, FL -- recd 2/20/86	$1000	
3/3/86	Natl Assn. of Independent Insurers, Washington, D.C. - recd 3/4/86	$2000	
3/6/86	Defense Marketing Services, New York, NY recd 5/5/86	$2000	charity
3/21/86	Massachusetts Mutual Life Insurance Co. - recd 4/22/86	$2000	
4/7/86	Beechcraft Aircraft Corp., Wichita, KS - recd 4/28/86	$2000	charity

II. Income

Date	Source	Amount	Disposition
4/11/86	Natl Structured Settlement Trade Assn., Phoenix, AZ - recd 4/23/86	$1000	
4/14/86	Henry Clay Society Washington, D.C. -- recd 4/25/86	$2000	charity ($1570)
8/13/86	American Society of Assn. Executives, Washington, D.C. recd 9/3/86	$1000	charity
9/14/86	American Council for Capital Formation, Washington, D.C. recd 9/15/86	$2000	charity
9/17/86	Figgie International Washington, D.C. -- recd 9/26/86	$2000	charity

VII. Reimbursements

Source	Brief Description
Electronics Industries Assn. (seminar participant)	round trip air fare, to Las Vegas, NV; food/lodging
Natl Assn. of Home Builders (speech)	air fare to Dallas, TX; food/lodging
Distilled Spirits Council (speech)	air fare to San Diego, CA San Diego, CA and Los Angeles, CA to Wash., D.C. for self and spouse; food/lodging
McDonnell Douglas Aircraft (speech)	air fare from San Diego, CA to Long Beach, CA, returning to Los Angeles, CA; food/lodging
American Bus Association (speech)	round trip air fare to Miami, FL for self and spouse; food/lodging
Pratt & Whitney Aircraft (speech)	round trip air fare to West Palm Beach, FL for self and spouse; days food/lodging
Defense Marketing Services (speech)	round trip air fare to New New York for self and spouse; food/lodging
Massachusetts Mutual Life Insurance Co. (speech)	round trip air fare to Phoenix, AZ for self and spouse; food/lodging
Electronic Industries Assn. (seminar participant)	round trip air fare to Ft. Myers, FL for self and spouse; food/lodging
Beechcraft Aircraft Corp. (speech)	round trip air fare to Wichita, KS; food/lodging
Natl Structured Settlement Trade Association	round trip air fare to Phoenix, AZ; food/lodging
American Horse Council (speech)	round trip air fare to Lexington, KY; food/lodging
Great Lakes Public Utilities (seminar participant)	round trip air fare to Greenbriar, WVA for self and spouse; food/lodging

10th Annual Danny Thompson Memorial Golf Tournament	round trip air fare to Sun Sun Valley, ID; food/lodging
3rd Annual Congressional Charity Golf Tournament	round trip bus fare to Greenbriar, WVA for self and spouse; food/lodging
R. Duffy Wall & Associates (speech)	round trip air fare, to St. Thomas; food/ lodging for self and spouse
Pfizer, Inc. (speech & plant tour)	round trip air fare to Easton, PA

KEMPER
OPEN

June 1-7, 1987

Tournament office
Kemper Open
10000 Oaklyn Drive
Potomac, MD 20854
(301) 469-3737

Honorary chairman
James S. Kemper, Jr.

General chairman
Ben F. Brundred, Jr.

Vice chairmen
Ralph V. Guglielmi
David H. Keitel

Executive committee
Ben F. Brundred, Jr.
Michael F. Dineen
Wilfred Dudley, D.D.S.
Steven L. Fine
Ralph V. Guglielmi
James S. Kemper, Jr.
David H. Keitel
Steven H. Lesnik
Rudolph F. Landolt
K. Donald Menefee
Joseph H. Riley
Jim Seeley
Peter T. Standbridge

April 25, 1987

Dear Congressman Schulze:

We have been asked by some of the Members of Congress who participated in the 1986 Kemper Open Pro-Am to furnish them with information on the value of the gifts provided them by our tournament. As a result, we have calculated the total value of the gifts to be $245.50 broken down as follows:

Men's Seiko Watch	$75.00
Ladies' Seiko Watch	57.00
Golf Shirt	16.00
Sweater Vest	17.00
Carry-All	14.50
Golf Bag	26.00
Bag Tag	5.00
Estee Lauder Gift Package	6.00
Astrolite	15.00
Golf Balls	14.00

Each year the Kemper Open Committee invites a number of prominent figures to participate in the Pro-Am portion of our tournament. This Committee is a separate entity from the Kemper Group, and no corporate funds were used to provide any of the above-mentioned items.

As you know, a portion of the proceeds from the Kemper Open Pro-Am is donated to various charities. In 1986 a total of $125,000 was given to the following charities: Boys' and Girls' Clubs of Greater Washington; Literacy Council of Northern Virginia, Boys' Club of America; Juvenile Diabetes Foundation; Heroes, Inc.; Cabin John Volunteer Fire Department; Boy Scouts of America, National Capital Area; Girl Scout Council of the National Capital Area; Children's Hospital; Big Brothers of the National Capital Area; Maryland Sheriff's Boys' Ranch; Montgomery Hospice Society; American Diabetes Association of the National Capital Area; American Cancer Society of Montgomery County; Easter Seal Society of the National Capital Area; Holy Cross Hospital Hospice Fund; and Paul Anderson Youth Home.

We are pleased that you were able to participate in the 1986 Kemper Open Pro-Am.

Sincerely,

Ben

Ben F. Brundred, Jr.

The Honorable Richard Schulze
U. S. House of Representatives
2201 Rayburn House Office Building
Washington, DC 20515

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Charles Ellis Schumer
(Full Name)

126 Cannon House Office Building
(Mailing Address)

Washington, DC 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 10 State New York

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

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STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
U.S. House of Representatives	salary	75,100	
Integrated Resources (spouse)	salary	11,391	
Financial Services Corporation (spouse)	salary	66,511	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Value Line Cash Fund	dividend	A
Merrill Lynch Ready Assets	dividend	B
Merrill Lynch Ready Assets	dividend	C
Citibank CD	interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Merrill Lynch Ready Assets	D
Merrill Lynch Ready Assets	C
Value Line Inc.	A
Citibank CD	A

3 7 0 [illegible] 0 2 1 1 5 0 5

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Citibank CD (in trust for daughter)	2/5/86	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
N/A	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
N/A	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
N/A		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Connecticut Mutual Life Insurance Company	Round-trip airfare and accomodations for speaking engagement in Palm Beach, Fla. (incl. spouse)

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
N/A	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	N/A	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Charles E. Schumer	May 15, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

F. James Sensenbrenner, Jr.
(Full Name)

N76W14726 North Point Drive
Post Office Box 186
(Mailing Address)
Menomonee Falls, WI 53051-0185

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 9th State Wisconsin

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __x__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
2/27/86 Equal Employment Advisory Council	honorarium	$500	none
8/15/86 Conservative Digest	honorarium	$250	none
10.20/86 Gannett Co., Inc.	honorarium	$125	none

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See attached schedule II-B		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO __X__ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See attached schedule III	

9 7 7 1 2 3 7 5

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
See attached schedule IV		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Sovran Mortgage Co. (first trust in Alexandria, Va. residence)	E

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE — BRIEF DESCRIPTION

Milwaukee Brewers (American League pass)
Philadelphia Delegation (transportation, food and lodging for self, spouse and children for December, 1986 congressional weekend)
Miami Congressional Workshop (transportation, food and lodging for self and spouse to attend Miami Congressional Workshop).

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
See above		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
none	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Co-trustee,	F. James Sensenbrenner, Sr. trust
Co-trustee,	F. James Sensenbrenner III trust
Co-trustee,	Robert Alan Sensenbrenner trust
Co-trustee,	F. James Sensenbrenner III trust (spouse)
Co-trustee,	Robert A. Sensenbrenner trust (spouse)

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	none	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	7 May 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987

[illegible]

SCHEDULE II-B. Unearned income

Income of F. James Sensenbrenner, Jr.
Dividend income

Source	Category
Ogden & Co.	A
Continental Corp.	A
Sears Roebuck Co.	A
Amoco Oil Co.	B
First Interstate Bancorp.	B
American Telephone & Telegraph	A
Idaho Power Co.	A
Tenneco Corp.	B
Nevada Power Corp.	B
Anchor Hocking Co.	A
General Mills Co.	A
Kellogg Corp.	A
Dunn & Bradstreet	C
Halliburton Corp.	B
Kimberly-Clark Corp.	D
Insilco Corp.	A
Minnesota Mining & Manufacturing Corp.	A
Rank Organisation ADR	A
Exxon Corp.	B
Kraft, Inc.	A
Eastman Kodak Co.	B
General Electric Co.	A
General Motors Corp.	B
Merck & Co.	B
Warner Lambert Co.	A
Sperry Rand Corp.	A
International Business Machines Co.	B
Monsanto Corp.	A
Wisconsin Securities Company of Delaware	A
Ameritech Co.	A
Bell Atlantic Co.	A
Bell South Co.	A
NYNEX, Inc.	A
Pacific Telesis Group	A
Southwest Bell	A
US West, Inc.	A
W.A. Krueger Co.	A
First Wisconsin Corp.	A
Unisys Corp. Preferred	A
F. James Sensenbrenner, Jr. 1976 trust	B
Anita Goll Luedke Estate	E

Interest income

Source	Category
Timothy Bleach	B
F. James Sensenbrenner, Jr. 1976 trust	A
Marine Bank, N.A.	D
Federated Financial Corp.	A

SCHEDULE II-B. Unearned income

Income of Cheryl Warren Sensenbrenner
Dividend income

Source	Type	Category
Universal Foods Corp.	dividend	A
Phillip Morris Co.	dividend	B
Marine Bank, N.A.	interest	A
Income of F. James Sensenbrenner III		
Burke & Herbert Bank	interest	A
First Wisconsin National Bank	interest	A
Income of Robert A. Sensenbrenner		
Burke & Herbert Bank	interest	A
First Wisconsin National Bank	interest	A
Income of F. James Sensenbrenner III trust		
Burke & Herbert Bank	interest	B
Kimberly-Clark Corp.	dividend	A
Income of Robert A. Sensenbrenner trust		
Kimberly-Clark Corp.	dividend	A
Burke & Herbert Bank	interest	B

SCHEDULE III - Holdings

Holdings of F. James Sensenbrenner, Jr.

Stocks

Source	Category
First Wisconsin Corp.	B
American Telephone & Telegraph	B
Idaho Power Co.	C
First Interstate Bancorp.	C
American Information Technologies	B
Bell Atlantic Company	B
Bell South, Inc.	B
NYNEX, Inc.	B
Pacific Telesis Group	A
Southwest Bell, Inc.	A
US West, Inc.	A
Tenneco Corp.	C
Nevada Power Co.	B
Anchor Hocking Corp.	B
General Mills, Inc.	C
Kellogg Corp.	C
Dunn & Bradstreet, Inc.	E
Halliburton Company	C
Kimberly-Clark Corp.	F
Insilco Corp.	B
Minnesota Mining & Manufacturing Co.	C
Rank Organisation, Ltd., ADR	A
Exxon Corp.	D
Amoco Corp.	C
W.A. Krueger Co.	A
Eastman Kodak Company	D
Kraft, Inc.	C
General Electric Company	C
General Motors Corp.	C
Merck & Co., Inc.	E
Warner Lambert Company	B
Continental Corp.	A
Sears Roebuck & Co.	B
Ogden Corp.	C
OMI Corp.	A
International Business Machines	C
Kenner Parker Toys, Imc.	A
Crystal Brands, Inc.	A
Monsanto Corp.	C
Premark International, Inc.	A
Unisys, Inc., Preferred	B

Bank accounts

Source	Category
Marine Bank, N.A.	D
Federated Financial Savings & Loan	C
Sergeant at Arms, U.S. House of Representatives	B
Burke & Herbert Bank	A
Wisconsin Retirement Fund	C
U.S. House of Representatives Retirement Fund	C
Promissory note from Soboleski	B

SCHEDULE III - Holdings

Holdings of Cheryl Warren Sensenbrenner

Phillip Morris common stock	D
Universal Foods common stock	C
Marine Bank, N.A. money market account	C

Holdings of F. James Sensenbrenner III

Burke & Herbert Bank savings account	A
First Wisconsin National Bank savings account	A

Holdings of Robert Alan Sensenbrenner

Burke & Herbert Bank savings account	A
First Wisconsin National Bank savings account	A

Holdings in trust f/b/o F. James Sensenbrenner III

Kimberly-Clark Corporation common stock	D
Burke & Herbert Bank savings account	C

Holdings in trust f/b/o Robert Alan Sensenbrenner

Kimberly-Clark Corporation common stock	C
Marine Bank, N.A. money market account	C

Holdings in trust f/b/o F. James Sensenbrenner, Jr.

Helmsman Prime Fund (D)	B
Abbott Laboratories common stock	E
Continental Corporation common stock	B
Merck & Co., Inc. common stock	D

[illegible]

SCHEDULE IV - TRANSACTIONS

Sale of Inland Steel Industries common stock	12/9/86	C
Redemption by Timothy Bleach of purchaser's interest in land contract executed 7/8/82 on duplex in Shorewood, Wis. (partially principal residence)	2/24/86	D
Liquidation of Wisconsin Securities Corp. of Delaware	12/31/86	C
Sale of Sperry Corp. common stock	6/27/86	B
Purchase of Tenneco Corp. common stock under dividend reinvestment plan	various	A

F. JAMES SENSENBRENNER, Jr.
NINTH DISTRICT, WISCONSIN

COMMITTEE ON THE JUDICIARY

COMMITTEE ON SCIENCE AND TECHNOLOGY

WASHINGTON OFFICE:
ROOM 2444
RAYBURN HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
202-225-5101

DISTRICT OFFICE:
120 BISHOPS WAY
BROOKFIELD, WI 53005
414-784-1111

OUTSIDE MILWAUKEE METRO
CALLING AREA
1-800-242-1119

Congress of the United States
House of Representatives
Washington, DC 20515

FINANCIAL STATEMENT OF F. JAMES SENSENBRENNER, Jr.

Mr. SENSENBRENNER. Mr. Speaker, through the following statement, I am making my financial net worth as of March 31, 1987, a matter of public record. I have filed similar statements for each of the eight preceding years I have served in the Congress.

ASSETS

REAL PROPERTY	VALUE
Single family residence at 609 Fort Williams Parkway, Alexandria, Virginia, at assessed valuation. (Assessed at $450,400.00. Ratio of assessed to market value - 100%.) (Encumbered.)	$ 450,400.00
Condominium at N76 W14726 North Point Drive, Village of Menomonee Falls, Waukesha County, Wisconsin, at assessor's estimated market value. (Unencumbered.)	67,800.00
Undivided 5/11ths interest in residence at N52 W32654 Maple Lane, Village of Chenequa, Waukesha County, Wisconsin, at 5/11ths of assessor's estimated market value of $200,800.00. (Unencumbered.)	91,272.73
TOTAL REAL PROPERTY	$ 609,472.73

PERSONAL PROPERTY

COMMON & PREFERRED STOCKS

No. of shares		VALUE
338	First Wisconsin Corp. @$25.375	$ 8,576.75
401.402	American Telephone & Telegraph @$23.625	9,483.12
558	Idaho Power Co. @$27.125	15,135.75
450	First Interstate Bancorp. @$58.875	26,493.75

No. of shares		VALUE
59.167	American Information Technologies @$90.375	5,347.22
78.700	Bell Atlantic @$67.50	5,312.25
176.460	Bell South @$39.75	7,014.28
79.483	NYNEX, Inc. @$66.125	5,255.81
148	Pacific Telesis, Inc. @$26.625	3,944.20
40.201	Southwest Bell, Inc. @$117.125	4,708.54
79.351	US West, Inc. @$55.00	4,364.30
504.896	Tenneco Corp. @$47.50	23,982.56
568	Nevada Power Co. @$20.625-	11,715.00
300	Anchor Hocking Corp. @$30.875	9,262.50
720	General Mills, Inc. @$51.00	36,720.00
800	Kellogg Corp. @$59.00	47,200.00
1000	Dunn & Bradstreet, Inc. @$127.75	127,750.00
1000	Halliburton Company @$35.00	35,000.00
2816	Kimberly-Clark Corp. @$112.75	317,504.00
467	Incilco Corp. @$24.125	11,266.38
200	Minnesota Mining & Manufacturing @$129.375	25,875.00
100	Rank Organisation ADR @$11.00	1,100.00
616	Exxon Corp. @$85.75	52,822.00
480	Amoco Corp. @$79.75	38,280.00

No. of shares		VALUE
78	W.A. Krueger Co. @$20.375	1,589.25
720	Eastman Kodak Co. @$77.125	55,530.00
510	Kraft, Inc. @$59.50	30,345.00
200	General Electric Co. @$104.875	20,975.00
204	General Motors Corp. @$78.125	15,937.50
10	General Motors Corp. Series H @$44.125	441.25
1200	Merck & Co., Inc. @$154.625	185,550.00
200	Warner Lambert Co. @$74.25	14,850.00
100	Continental Corp. @$49.50	4,950.00
200	Sears Roebuck & Co. @$50.875	10,175.00
455	Ogden Corp. @$67.25	30,598.75
455	OMI Corp. @$4.875	2,218.12
268	International Business Machines @$150.125	40,233.50
108	Kenner Parker Toys, Inc. @$24.75	2,673.00
72	Crystal Brands, Inc. @$23.875	1,718.00
504	Monsanto Corporation @$78.375	39,501.00
127	Premark International, Inc. @$28.625	3,635.38
100	Unisys, Inc., Preferred @$63.875	6,387.50
333	Benton County Mining Company @ no value	nil.
	TOTAL COMMON & PREFERRED STOCKS	$1,301,421.66

LIFE INSURAMCE POLICIES

Face amount		Cash surrender value
$12,000	Northwestern Mutual #4378000	$ 13,538.31
$30,000	Northwestern Mutual #4574061	32,199.75
$10,000	Massachusetts Mutual #4116575	3,165.85
$100,000	Massachusetts Mutual #4228344	54,136.12
$25,000	Old Line Life Insurance Co. #515950	16,233.77
	TOTAL LIFE INSURANCE POLICIES	$ 119,273.73

BANK & SAVINGS & LOAN ACCOUNTS

Marine Bank, N.A. of Milwaukee Checking account no. 4000-9368	$ 24.10
Marine Bank, N.A. of Milwaukee Checking account no. 0046-2366	2,781.14
Marine Bank, N.A. of Milwaukee Money market checking account no. 4011-5247	64,526.23
Marine Bank, N.A. of Milwaukee Savings account no. 497-525	525.79
Federal Financial Savings & Loan of Butler, Wis. Savings account no. 2-0033296	2,505.07
Sergeant at Arms, U.S. House of Representatives Checking account no. 748	1,809.57
Burke & Herbert Bank of Alexandria, Va. Checking account no. 601-301-5	1,138.52
Federated Financial Savings & Loan of Butler, Wis. Individual retirement account	15,565.32
TOTAL BANK & SAVINGS & LOAN ACCOUNTS	$ 88,875.74

MISCELLANEOUS

1985 Pontiac 6000 automobile (at Blue Book wholesale value)	$ 5,400.00
Office furniture & equipment (estimated)	1,000.00
Furniture, clothing & personal property (estimated)	80,000.00
Stamp collection (estimated)	17,000.00

Interest in Wisconsin Retirement Fund	$ 23,655.18
Deposits in Congressional Retirement Fund	43,678.45
Confessed judgment promissory note from Raymond Sobolleski, Jr., and All-American Home Improvements, Inc., jointly, due in installments through 8/15/87	2,405.00
TOTAL MISCELLANEOUS	$173,138.63
TOTAL ASSETS	$ 2,292,182.49

LIABILITIES

Sovran Mortgage Company of Richmond, Va. (Amount due on mortgage on Alexandria, Va. residence) #564377	$ 186,550.39
Miscellaneous 30 day charge accounts (estimated)	1,000.00
TOTAL LIABILITIES	$ 187,550.39
NET WORTH	$ 2,104,632.10

STATEMENT OF 1986 TAXES PAID

Federal income tax	$ 6,639.00
Wisconsin income tax	9,928.00
Menomonee Falls, Wis. property tax	1,880.53
Chenequa, Wis. property tax	5,349.48
Alexandria, Va. property tax	5,467.00

I further declare that I am the direct beneficiary of one trust and a contingent beneficiary of one trust. I have no control over the assets of the trust of which I am a direct beneficiary and am a co-trustee of the other trust. My wife, Cheryl Warren Sensenbrenner, and I are trustees of separate trusts established for the benefit of our minor sons, F. James Sensenbrenner III and Robert Alan Sensenbrenner and are also custodians of accounts established for the benefit of each son under the Uniform Gifts to Minors Act.

Also, I am neither an officer nor a director of any corporation organized under the laws of the State of Wisconsin or of any other state or foreign country.

F. JAMES SENSENBRENNER, Jr., M.C.

HAND DELIVERED [illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Philip R. Sharp
(Full Name)

2452 Rayburn House Office Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 2 State Indiana

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.

- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.

- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA X

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
1. Aaron Priest Literary Agency	Royalty		
334 E. 51st Street, N.Y., N.Y. 10022	(Spouse)		
2. Sterling Lord Literary Agency	Royalty		
660 Madison Avenue, N.Y., N.Y. 10021	(Spouse)		
3. DePauw University, Greencastle, Indiana	Honoraria	$500.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Savings accounts	interest	B
Rental House, 2112 Euclid, Muncie, Indiana	rent (gross)	C
Business Building, 516 N. Martin Street, Muncie	contract sale	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __x__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Savings Accounts - Central Bank & Trust, Muncie, Indiana	
Wright Patman Federal Credit Union, Washington, D.C.	C
U.S. Savings Bond	A
Dwelling, 2112 Euclid Avenue, Muncie, Indiana	C
IRA Accounts (2)	B

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Mortgage on rental house - 2112 Euclid Avenue, Muncie, Indiana with Merchants National Bank, Muncie, Indiana	E

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
SEE CONTINUATION SHEET	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Board of Directors	Institute for Resource Management (non-profit organization, no compensation provided)

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Philip R. Sharp	May 15, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Philip R. Sharp
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
I-A	No honoraria accepted, however, two honoraria paid directly to charity
	1. Contribution of $1,000 from Edison Electric Institute written directly to the Lynn Tornado Fund, following a speech to their Governmental Affairs Roundtable Luncheon on Wednesday, April 16, 1986.
	2. Contribution of $1,000 from Nuclear Power Assembly written directly to Franklin College Building Fund, following a speech at their annual meeting on May 15, 1986
VII	1. Source - DePauw University (Commencement Address) - $500.00 reimbursement for travel and other expenses, May 1986.
	2. Source - Institute for Resource Management
	a. Travel to conference in Houston, Texas, (negotiations between environmentalists and oil industry regarding outer continental shelf oil leasing program) $373.00, May 1986.
	b. Travel to Board of Directors meeting, Santa Fe, New Mexico, $573.00, October, 1986.

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

E. Clay Shaw, Jr.
(Full Name)

440 Cannon House Office Building
(Mailing Address)

Washington, D.C. 20515

Mc
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 15 State Florida

☐ Officer or Employee—Employing Office U.S. House of Representatives

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Asian-Pacific Anti-Communist League 11/12/86	sp. hon.	$1000.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Shaw Interstate(income from timber & leases.	Partnership Div.	F
Resident-1616 S. Ocean Ln.#138	Rent	C
Rental Property-609 Coral Way	Rent	C
Ft. Worth Basin Partnership	Dividend	A
Giant Foods	Dividend	A
Dania Farms, Inc.	Dividend	F

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8

IDENTITY	CATEGORY
Plant Place, Inc. (stock) a Florida Corp.	C
Dania Farms, Inc. (stock) a Florida Corp.	F
609 Coral Way (residence)	C
Apt. 138 Oceanage Condo, Ft. Lauderdale, Rental	E
Ft. Worth Basin Partnership	A
AT&T	B

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
Sold-CML Group Inc.	9/29/86	C
Hechinger Co. Stock	10/15/86	E
La. Pac. Corp. stock	6/13/86	B
MIT (Merrill-Lynch)	6/13/86	C
" " "	5/14/86	D

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
Pan American Bank (Mortgage on 609 Coral Way, Ft.Lauderdale)	C
Pan American Bank (Mortgage on Apt.138, Oceanage Condo. Ft. Lauderdale)	E

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
-NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION	VALUE

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
FL. Congressional Week-end 1/24/86-1/26-86	Jacksonville, FL.Transportation, lodging, meals-participatory fact-finding week-end
National Agriculture Chemical Assoc.Fall/86	Provided transportation, food, & lodging to Greenbrier,WV

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Trustee	E. Clay Shaw, Trustee (Chiodren's Trust)
Director/President	Dania Farms, Inc.
Director/President	Shaw Farms (inactive corp.)
Director	Plant Place, Inc.
Partner	Shaw Interstate

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	-NONE-	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	June 12, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987 [illegible]

Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

E. Clay Shaw, Jr.
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*	TYPE	CATEGORY
II (B)	Plant Place, Inc.	Interest/Dividend	C
	Merrill Lynch	Interest	B
	Citizens & Southern	Dividend	A
	Merrill Lynch CMA	Interest	B
	Bay County Bond	Interest	A
	Ft. Lauderdale Bond	Interest	A
	So. Bell Tel. & Tel.	Interest	A
	Merrill Lynch MIT	Interest	D
	United Va. Bank	Interest	A
	Attorney's Title Ins. Fund/Interest		A
	Hechinger Co.	Dividend	A
III	Southern Bell		B
	Florida East Coast R.R. (sold)		D
	Florida Power & Light (sold)		B
	Fluor Corp.		C
	Citizens & Southern (formerly Landmark Bank)		C
	Attorney's Title Ins. Fund		C
	Municiple Investment Trusts		C
	Converse, Inc. (sold)		B
	Louisiana Pc. Corp. (sold)		C
	Seagate Technology (sold)		A
	Indian River West, Inc.		E
	Bay County Bonds		B
	Louisiana HFA		C
	Ft. Lauderdale, Gen. Obg. Bonds		B
	Delger Financial Corp.		A
	Merrill Lynch MIT		D
	Fl. St. Broward County Bonds		E
	Hechinger Co. Stock (sold)		C
	Shaw Interstate-a family partnership, with principal place of business in Sumpter Co. Alabama. Assets include farm equipment, farm and timber land, located in Sumpter Co. Alabama. Lauderdale, Co., Miss. and Kemper Co., Miss. and Broward Co. Fl. (Taylor Rd & US I) 1/3 interest. Portion of FL. property under long term lease.		F
IV	Sold-MIT (Merrill Lynch)	6/16/86	D
	" " "	6/24/86	E
	F.E.C. Stock	9/25/86	D
	Fl. P.L Stock	10/17/86	C
	La. Pac Stock	9/29/86	B
	Converse Stock	9/2/86	C
	Heckinger Stock	10/15/86	C
	Seagate	9/22/86	B
	Bought:		
	CML Group Inc. Stock	1/25/86	C
	FEC (stock)	9/86	D
VII	Source	Brief Description	
	Asian-Pacific Anti-Communist League, 11/10/86-11/14/86	Transportation, lodging &meals pd. to Tokyo, Japan for Speech.	
	Soochow University-Taipai, Taiwan 11/14/86-11/21/86	Transportation, lodging, & meals pd. during a fact-finding trip.	
	Majority Congress Committee 8/2/86 Ft. Worth, TX	Airfare to Anti-Drug meeting in Ft.Worth, TX	

INSIDE MAIL

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

E. Clay Shaw, Jr.
(Full Name)

440 Cannon House Office Building
(Mailing Address)

Washington, D.C. 20515

✓ mc

RECEIVED JUN 25 8 05

(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 15 State Florida

☐ Officer or Employee—Employing Office U.S. House of Representatives

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Asian-Pacific Anti-Communist League 11/12/86	sp. hon.	$1000.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Shaw Interstate(income from timber & leases.	Partnership Div.	F
Resident-1616 S. Ocean Ln.#138	Rent	C
Rental Property-609 Coral Way	Rent	C
Ft. Worth Basin Partnership	Dividend	A
Giant Foods	Dividend	A
Dania Farms, Inc.	Dividend	F

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See,* Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Plant Place, Inc. (stock) a Florida Corp.	C
Dania Farms, Inc. (stock) a Florida Corp.	F
609 Coral Way (residence)	C
Apt. 138 Oceanage Condo, Ft. Lauderdale, Rental	E
Ft. Worth Basin Partnership	A
AT&T Stock	B
AT&T Bond	B

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sold-CML Group Inc.	9/29/86	C
Heckinger Co. Stock	10/15/86	E
La. Pac. Corp. stock	6/13/86	B
MIT (Merrill-Lynch)	6/13/86	C
" " "	5/14/86	D

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Pan American Bank (Mortgage on 609 Coral Way, Ft.Lauderdale)	C
Pan American Bank (Mortgage on Apt.138, Oceanage Condo. Ft. Lauderdale)	E

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
-NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
-NONE-		

[illegible]

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

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For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
FL. Congressional Week-end 1/24/86-1/26-86	Jacksonville, FL Transportation, lodging, meals-participatory fact-finding week-end
National Agriculture Chemical Assoc.Fall/86	Provided transportation, food, & lodging to Greenbrier,WV

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Trustee	E. Clay Shaw, Trustee (Chiodren's Trust)
Director/President	Dania Farms, Inc.
Director/President	Shaw Farms (inactive corp.)
Director	Plant Place, Inc.
Partner	Shaw Interstate

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	-NONE-	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	Jun 12, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

[illegible]

Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

E. Clay Shaw, Jr.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	TYPE	CATEGORY
II (B)	Plant Place, Inc.	Interest/Dividend	C
	Merrill Lynch	Interest	B
	Citizens & Southern	Dividend	A
	Merrill Lynch CMA	Interest	B
	Bay County Bond	Interest	A
	Ft. Lauderdale Bond	Interest	A
	So. Bell Tel. & Tel.	Interest	A
	Merrill Lynch MIT	Interest	D
	United Va. Bank	Interest	A
	Attorney's Title Ins. Fund/Interest		A
	Hechinger Co.	Dividend	A
III	Southern Bell	Stock	B
	Florida East Coast R.R. (sold)	stock	D
	Florida Power & Light (sold)	stock	B
	Fluor Corp.	stock	C
	Citizens & Southern (formerly Landmark Bank)	stock	C
	Attorney's Title Ins. Fund		C
	Municiple Investment Trusts		C
	Converse, Inc. (sold)	stock	B
	Louisiana Pc. Corp. (sold)	stock	C
	Seagate Technology (sold)	stock	A
	Indian River West, Inc.	stock	E
	Bay County Bonds		B
	Louisiana HFA	bond	C
	Ft. Lauderdale, Gen. Obg. Bonds		B
	Delger Financial Corp.	stock	A
	Merrill Lynch MIT		D
	Fl. St. Broward County Bonds		E
	Hechinger Co. Stock (sold)		C
	Shaw Interstate-a family partnership, with principal place of business in Sumpter Co. Alabama. Assets include farm equipment, farm and timber land, located in Sumpter Co. Alabama. Lauderdale, Co., Miss. and Kemper Co., Miss. and Broward Co. Fl. (Taylor Rd & US I) 1/3 interest. Portion of FL. property under long term lease.		F
	CMA Merrill-Lynch		C
IV	Sold-MIT (Merrill Lynch)	6/16/86	D
	" " "	6/24/86	E
	F.E.C. Stock	9/25/86	D
	Fl. P.L Stock	10/17/86	C
	La. Pac Stock	9/29/86	B
	Converse Stock	9/2/86	C
	Heckinger Stock	10/15/86	C
	Seagate	9/22/86	B
	Bought:		
	CML Group Inc. Stock	1/25/86	C
	FEC (stock)	8/86	D
VII	Source	Brief Description	
	Asian-Pacific Anti-Communist League, 11/10/86-11/14/86	Transportation, lodging &meals pd to Tokyo, Japan for Speech.	
	Soochow University-Taipai, Taiwan 11/14/86-11/21/86	Transportation, lodging, & meals pd. during a fact-finding trip.	
	Majority Congress Committee 8/2/86 Ft. Worth, TX	Airfare to Anti-Drug meeting in Ft.Worth, TX	

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

NORMAN DAVID SHUMWAY
(Full Name)

1203 LONGWORTH HOB
(Mailing Address)

WASHINGTON, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks

X Member of the U.S. House of Representatives—District 14 State CA

☐ Officer or Employee—Employing Office

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
National Council of Savings Inst.	2/3/86	honorarium	$1,000	
National Assoc. of Federal Credit Unions	3/19/86	"	250	
Dealer Bank Association	3/25/86	"	1,000	
National Ocean Industries Assoc.	4/18/86	"	500	

SEE ATTACHED

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Stockton Savings and Loan	Interest	A
Government Services Savings and Loan	"	A
Columbia 1st Federal Savings and Loan (Formerly Family S&L)	"	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Stockton Savings and Loan (Savings account)	A
Government Services Savings and Loan (Savings account)	B
Columbia 1st Federal Savings and Loan (Savings account) (formerly Family S&L)	B
Phoenix Growth Fund (Mutual fund)	A

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

	SOURCE	BRIEF DESCRIPTION
1.	The Phil Donahue Show-appearance on the Phil Donahue television program	Roundtrip airfare, 2 days food, one night lodging
2.	Dealer Bank Assoc-speech to membership (expenses for self and spouse)	Roundtrip airfare, 2 days food, one night lodging, car rental one day

SEE ATTACHED

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Secretary/Treasurer	Delta Hydro Gardens, Inc.
Secretary	William B. Lyon, Inc.
Secretary	Pacific Biofeedback, Inc.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Norman D. Shumway	MAY 13, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

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Page 1 of 1 Attachments

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

NORMAN DAVID SHUMWAY
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II	EARNED INCOME		
	San Joaquin Farm Bureau Federation	10/24/86	honorarium $ 500
	American Bankers Association	10/26/86	honorarium $2,000
VII	REIMBURSEMENTS		
	Citicorp's Investment Bank-meetings with bank officials (expenses for self and son)	Roundtrip airfare (self only) food one day	
	Securities Industry Assoc.-seminar with industry representatives in New York City (expenses for self and son)	3 nights lodging, 2 days food	
	Miami Congressional Workshop-workshop/seminar participant (expenses for self and spouse)	Roundtrip airfare, 2 nights lodging, 3 days food	
	Independent Bankers Seminar-speech and seminar participant (expenses for self and spouse)	Roundtrip airfare, 4 days food, 3 nights lodging	

HAND DELIVERED

NORMAN D. SHUMWAY
14TH DISTRICT, CALIFORNIA

COMMITTEES
BANKING, FINANCE AND URBAN AFFAIRS
MERCHANT MARINE AND FISHERIES
SELECT COMMITTEE ON AGING

Congress of the United States
House of Representatives
Washington, DC 20515

May 19, 1987

The Honorable Donnald K. Anderson
Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

Dear Donn:

I am writing to amend my Financial Disclosure Statement for 1986 as follows:

Section VII REIMBURSEMENTS (origination and destination points are shown for roundtrip airfares)

	Source	Description
1.	The Phil Donahue Show - appearance on the Phil Donahue television program	Roundtrip airfare, Washington, D.C. to Miami; 2 days food; one night lodging
2.	Dealer Bank Assoc. - speech to membership (expenses for self and spouse)	Roundtrip airfare, Wash., D.C. to West Palm Beach FL; 2 days food; one night lodging in Boca Raton, FL; car rental one day
3.	Citicorp's Investment Bank - meetings with bank officials (expenses for self and son)	Roundtrip airfare, Wash. D.C. to New York City, NY (self only); food one day
4.	Securities Industry Assoc. - seminar with industry representtives in New York City (expenses for self and son)	3 nights lodging, 2 days food
5.	Miami Congressional Workshop - workshop/seminar participant (expenses for self and spouse)	Roundtrip airfare, Wash. D.C. to Miami, FL; 2 nights lodging, 3 days food
6.	Independent Bankers Seminar speech and seminar participant (expenses for self and spouse)	Roundtrip airfare, Stockton CA to Kremmling, CO; 4 days food, 3 nights lodging

The Honorable Donnald K. Anderson
May 19, 1987
Page Two

In addition, I would appreciate your assistance in amending my Disclosure Statement under Section II, Unearned Income, by adding the following:

Source	Type	Category
Phoenix Growth Fund (mutual fund)	Interest/Capital Gains	A

I acquired this holding prior to my initial election to the U.S. House of Representatives. Last year, 1986, was the first year that the unearned income exceeded $100, as per the instructions on the Disclosure Statement.

Thank you for your attention to this matter.

Sincerely,

Norman D. Shumway

NORMAN D. SHUMWAY
Member of Congress

NDS/ljn

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

BUD SHUSTER
(Full Name)

STAR ROUTE 5
(Mailing Address)

EVERETT, PA. 15537

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 9 State PA.

☐ Officer or Employee—Employing Office ____

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See Attached			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Government bonds	interest	D
BTI Investments, Inc.	interest	D
J. Lamens	interest	B
Real Estate, Arlington, Falls Church, VA.	rent	E

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See Attached	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Better Tires, Inc. capital gain from sale of bldg	10/86	B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY		CATEGORY
Midstate Bank	loan	C
Washington Fed. S&L Assn	mortgage	D
Dominion Fed. S&L Assn	mortgage	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
See Attached	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. 1001).

Signature	Date
Bud Shuster	5/13/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

BUD SHUSTER
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
II-A	Shuster Farms - income	$ 4,453.
II-A	BTI Investments, Inc - Income	5,985.
II-A	Outdoor Advertizing Assn of America	1,960.
	Wash- LosAngeles rd. trp air fare,1/6	
	3 nights food lodging	
II-A	American Bus Assn 1/12, rd trip air	2,000
	fare, 4 nights, food lodging, Puerto Rico	
II-A	Assoc. Gen. Contractors, 1/15 Detroit	2,000
	1 night rd trip Wash-Det. air fare, lodging	
II-A	Union Pacific Mgt 2/6 Wash DC	2,000
II-A	Am Public Trans Assn 3/12 DC	2,000
II-A	Gannett Corp.3/31 DC-Los Angeles rd	2,000.
	trp air, lodg, meals, 3 nights	
II-A	Assoc Gen Contrtrs DC-Hawaii, rd trp	2,000.
	air, meals lodge, 3 nights 4/2	
II-A	Am Road&Trans Builders Assn DC 4/18	500.
II-A	Am Trucking Assn Foundation,5/6 Miami	2,000.
	2 nights dc-Miami rd trip air, fd, lodg	
II-A	Reg. Common Carrier Conf. 6/16 DC	2,000.
II-A	Am Council Hiway Adv. 6/25 DC	1,000.
II-A	Am Road& Trans Builders Assn 7/19 rd	2,000
	trp DC-San Fran. 1 night food lodging	
II-A	Am Council of Hiway Adv. DC 9/16	1,000.
II-A	Erie Ins. Co. partial settlement	5,110.
III	Checking & Savings Accounts	B
III	Government Bonds	E
III	Shuster Farms	F
III	BTI Investments, Inc.	F
III	Better Tires, Inc.	E
III	Real Estate, Arlington, Va	D
III	Real Estate, Falls Church, Va.	D
III	C3, Inc. stock	A
III	U.S. Gov. Pension Fund	D

Note: Financial data provided includes members of the Congressman's immediate family.

[illegible] 2 1 3 1 3 [illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

BUD SHUSTER
(Full Name)

STAR ROUTE 5
(Mailing Address)

EVERETT, PENNSYLVANIA 15537

✓
MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 9th State Pennsylvania

☐ Officer or Employee—Employing Office ____________

☒ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See Attached			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Government Bonds	Interest	D
BTI Investments, Inc.	Interest	D
J. Lamens, Star Route 5, Everett, Pa.	Interest on Mortgage	B
Real Estate, Arlington, Va. - Fairlington Vill.	Rent	D
Real Estate, Falls Church, Va.(condo in Layfatee Park)	Rent	C

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See Attached	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Better Tires, Inc. capital gain from sale of building ($12,168)	10/86	B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY		CATEGORY
Mid-State Bank	Loan	C
Washington Fed. S&L Assn.	Mortgage	D
Dominion Fed. S&L Assn.	Mortgage	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
See Attached	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature Bud Shuster	Date May 20, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE : 1987 [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

BUD SHUSTER
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*	
IIA	Shuster Farms - Income - Lease	$4,453
IIA	BTI INVESTMENTS, INC. - Spouse Income Consulting	$5,985
IIA	Outdoor Advertizing Assn of American - Honorarium	$1,960
IIA	American Bus Assn - Honorarium	$2000
IIA	Association of General Contractors - Honorarium	$2,000
IIA	Union Pacific Meeting 2/6 Washington, D.C. Honor.	$2,000
IIA	American Public Trans Assn. 3/12, Washington, D.C. Hon	$2,000
IIA	Gannett Corporation 3/31 Honorarium	$2,000
IIA	Assoc Gen Contractors 4/2 - Honorarium	$2,000
IIA	Am Road & Transp Build Assn, D.C. 4/18 Honorarium	500
IIA	Am Trucking Assn Foundation 5/6 Miami Honorarium	2,000
IIA	Reg. Common Carrier Conf. 6/16 D.C. Honorarium	2,000
IIA	Am Council Highway Adv. 6/25 D.C. Honorarium	1,000
IIA	Am Road & Trans Builders Assn 7/19 Honorarium	2,000
IIA	Am Council of Highway Adv. DC 9/16 Honorarium	1,000
IIA	Erie Ins. Co partial settlement - accident	5,110
III	Checking & Savings Accounts - First Nat'l Bank	
	of Everett, Horizon Fed S&L	B
III	Government Bonds	E
III	Shuster Farms, Everett, Pennsylvania	F
III	BTI Investments, Inc.	F
III	Better Tires, Inc.	E
III	Real Estate Arlington, Va.	D
III	Real Estate, Falls Church, Va.	D
III	C3, Inc. stock	A
III	U.S. Gov. Pension Fund	D
VII	Outdoor Adv. Assn of American Washington - L.A. rd	
	Trip air fare 1/6 3 nights loading	
VII	American Bus Assn 1/12, rd trip air fare, 4 nights	
	food, loding, Puerto Rico	
VII	Assoc Gen. Contractors, 1/15 Detroit 1 night rd trip	
	Washington - Detr air fare, loding	
VII	Ganett Corp 3/31 DC-L.A. Rd trip air, lodging meals	
	3 nights	
VII	Assoc. Gen. Contractors D.C. - Hawaii , rd trip air, meals	
	lodge, 3 nights 4/2	
VII	ATA Foundation 5/6 Miami 2 nights DC-MIAMI rd trip air, food,	
	lodging	
VII	AR&TBA 7/19 rd trip D.C.-San Fran 1 night food lodging	
NOTE:	Financial data provided includes members of the Congressman's	
	immediate family.	

GPO 1986 61-688 (m)

8 7 7 / 7 2 1 3 4 5 9

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Gerry (Gerald Edward) Sikorski
(Full Name)

217 E Street SE
(Mailing Address)

Washington, D.C. 20003

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 6 State MN

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

[illegible]

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Honoraria - Schedule 1	Schedule	$21,900	
Very Special Arts (Spouse)	Salary		

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Oak Park Heights State Bank	Interest	A
Misc. Rent Recieved (From Calender Year 1984)	Rent	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
NONE	

8 7 7 7 2 2 1 3 4 5 1

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Wright Patman Credit Union	C
(Person Residence/Line of Credit Loan)	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Expense Reimbursements	Schedule II

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Member	Children's Defense Fund-Minnesota Project Advisory Board
Member	Advisory Board, MN Mental Health Advocates Coalition
Member	"KARE" Eleven Who Care" Board of Governors

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5/27/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987

Page 5 of 6

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Hon. Gerry Sikorski
(Name)

Schedule I
Part II-A

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)				
	Source	Type	Date	Amount	Dispo
II	Pharmaceutical Mgf. Assn.	Honorarium	1/29/86	$1000	
	Natl. Cable TV Assn.	Honorarium	3/17/86	$1000	
	Chicago Board of Trade	Honorarium	4/7/86	$500	
	Chicago Mercantile Exchange	Honorarium	4/7/86	$500	
	Dayton-Hudson	Honorarium	4/11/86	$250	
	Natl. Assn. of Broadcasters	Honorarium	4/13/86	$1500	
	Graphic Arts Union	Honorarium	4/22/86	$1000	
	Browning-Ferris Waste Systems	Honorarium	5/5/86	$1000	
	Amer. Trucking Inst.	Honorarium	5/22/86	$1000	
	United Coal Co.	Honorarium	6/11/86	$2000	Charity
	Propriety Association	Honorarium	6/12/86	$500	
	Pfizer, Inc.	Honorarium	6/16/86	$1000	
	Amer. Optemetric Assn.	Honorarium	6/23/86	$2000	
	Amer. Crystal Sugar Assn.	Honorarium	8/1/86	$1000	
	Natl. Restaurant Assn.	Honorarium	8/6/86	$500	
	Natl. Pharmaceutical Assn.	Honorarium	8/14/86	$650	
	Ashland Oil Co.	Honorarium	9/29/86	$2000	
	Southern Calif. Edison	Honorarium	12/2/86	$1500	
	Pacific Review Services	Honorarium	12/5/86	$1000	
	Granger Assoc.	Honorairum	12/6/86	$1000	
	Dow, Lohnes & Albertson	Honorarium	12/9/86	$1000	
	Akin, Gump, Struass Hauer, & Feld	Honorarium	12/16/86	$2000	

GPO: [illegible] (m)

Page 6 of 6

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Hon. Gerry Sikorski
(Name)

Schedule II
Part VII

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
VII	Natl Cable TV Assn. 3/16-3/17 Lodging, Transportion
	"Roundtrip airfare-Wash., D.C.--Dallas, TX"
	Chicago Board of Trade 4/7/86 Transportation
	"Roundtrip airfare-Wash., D.C.--Chicago, IL"
	Natl. Assn. of Broadcasters 4/12-4/13 Transportation, Lodging
	"Roundtrip airfare-Wash.,D.C.--Dallas, TX"
	Browning Ferris Waste Systems 5/5/86 Transportation
	"Roundtrip airefare-Wash. D.C.--Houston,TX"
	United Coal Co. 6/9/86 Transportation
	"Roundtrip airfare-Wash., D.C.--Abingdon, VA"
	Pfizer, Inc. 6/16/86 Transportation
	"Roundtrip Airfare-Wash., D.C.--Kansas City, MO"
	American Optometric Assn. 6/22-6/23/86 Transportation, Lodging
	"Roundtrip airfare-Wash., D.C.--San Diego, CA"
	Granger Assoc. 12/2-12/6 Transportation, Lodging
	"Roundtrip airfare-Wash., D.C.--Los Angeles, CA and
	roundtrip airfare-Los Angeles, CA--San Francisco, CA"
	Southern Calif. Edison 12/2/86 Lodging
	Pacific Review Services 12/5/86 Lodging

INSIDE MAIL

GERRY SIKORSKI
8TH DISTRICT, MINNESOTA
WHIP AT LARGE
ENERGY AND COMMERCE
POST OFFICE AND CIVIL SERVICE
CHAIRMAN, INVESTIGATIONS
SELECT COMMITTEE ON
CHILDREN, YOUTH, AND FAMILIES

Congress of the United States
House of Representatives
Washington, DC 20515

WASHINGTON OFFICE
414 CANNON HOUSE OFFICE BLDG
WASHINGTON, DC 20515
(202) 225-2271
DENNIS McGRANN
ADMINISTRATIVE ASSISTANT

DISTRICT OFFICE
8050 UNIVERSITY AVENUE NE
FRIDLEY, MN 55432-1862
(612) 780-5801
DAVID BARTHOLOMAY
DISTRICT DIRECTOR

June 16, 1987

MC ✓

The Honorable Donnald K. Anderson
Clerk of the House of Representatives
H-105 Capitol
Washington, D.C. 20515

Dear Mr. Anderson:

I wish to amend my 1986 Financial Disclosure Statement to include, under Section VII, Reimbursements. My wife, Mrs. Susan Erkel Sikorski, accompanied me on March 16-17, 1987 to National Cable Television Association in Dallas, Texas (lodging, transportation - round trip airfare from Washington, D.C.).

If you have any questions regarding this matter, please call Dennis McGrann at 5-2271.

Sincerely,

Gerry Sikorski

GERRY SIKORSKI
Member of Congress

GS:cem

THIS STATIONERY PRINTED ON PAPER MADE WITH RECYCLED FIBERS

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Norman Sisisky
(Full Name)

2951 South Crater Road
(Mailing Address)

Petersburg, Virginia 23805

MC
(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 4 State Virginia

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Schedule			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Schedule		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Schedule	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Schedule		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Schedule	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Schedule	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Schedule	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
Schedule		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Norman Sisisky	May 15, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

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ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Norman Sisisky
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II. INCOME			
A.	SOURCE	TYPE	AMOUNT
	Anheuser-Busch Cos., Inc.	Honorarium (3-19-86)	$2,000
	National Beer Wholesalers Association	Honorarium (9-16-86)	2,000
	Textron, Inc.	Honorarium (5-15-86)	1,000
	Virginia State Association of Letter Carriers	Honorarium (3-10-86)	250
	Lee Distributing Company, Inc. (Spouse)	Compensation	N/A
	Pepsi-Cola Bottling Company of Petersburg, Inc. (Spouse)	Compensation	N/A
	Rhonor Corporation (Spouse)	Compensation	N/A

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ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Norman Sisisky
(Name)

Continuation Sheet

Part *Source, Type, Amount, Category, Description or Value (As Applicable)*

PART II. INCOME B.

SOURCE	TYPE	CATEGORY
AMERICAN INTERNATIONAL GROUP	DIVIDENDS	A
ANHEUSER-BUSCH COS. INC.	DIVIDENDS	E
ARCHER-DANIELS-MIDLAND COMPANY	CAPITAL GAIN	A
ARCHER-DANIELS-MIDLAND COMPANY	DIVIDENDS	A
(1) BANK OF VIRGINIA	INTEREST	E
BANK OF VIRGINIA RESERVE ACCOUNT	INTEREST	B
(1) BRENCO. INCORPORATED	DIVIDENDS	A
CASH EQUIVALENT FUND	DIVIDENDS	A
CHESAPEAKE CORPORATION	DIVIDENDS	B
CIRCUIT CITY	DIVIDENDS	A
CONGRESSIONAL FEDERAL CREDIT UNION	INTEREST	D
DOUGHTIES FOODS. INC.	DIVIDENDS	A
FAIRFAX CO. MAN. 9.375%. 11/01/94	INTEREST	D
GENERAL MOTORS CORPORATION	DIVIDENDS	B
HARRISONBURG. VA. MAN. 9.75%. 94	INTEREST	C
HECKS. INC.	DIVIDENDS	A
INTERNATIONAL BUSINESS MACHINES	DIVIDENDS	A
INTERPUBLIC GROUP CO	DIVIDENDS	A
LADD FURNITURE INCORPORATED	CAPITAL GAIN	A
LADD FURNITURE INCORPORATED	DIVIDENDS	A
LEE DISTRIBUTING COMPANY. INC.	INTEREST	E
LEE DISTRIBUTING COMPANY. INC.	DIVIDENDS - S CORPORATION	G
MD ENV SVC TAX EXEMPT NOTE	INTEREST	B
MEDIA GENERAL CLASS A	DIVIDENDS	A
METROPOLITAN LIFE	INTEREST	A
MUNICIPAL FUNDS - TEMP. INV.	INTEREST	F
NCR. INC.	DIVIDENDS	A
NEWPORT NEWS. VA. RDH. 8.375%. DUE 05/01/07	INTEREST	C
NOLAND COMPANY	DIVIDENDS	A
NORFOLK. VA. TAX EXEMPT MASTER NOTE	INTEREST	C
NORTHWESTERN MUTUAL LIFE INS CO	INTEREST	B
PANDICK CORPORATION	CAPITAL GAIN	D
PEPSI-COLA BOTTLING COMPANY OF PETERSBURG. INC.	CAPITAL GAIN	G
PEPSI-COLA BOTTLING COMPANY OF PETERSBURG. INC.	RENTS (GROSS)	D
PEPSI-COLA BOTTLING COMPANY OF PETERSBURG. INC.	INTEREST	C
PEPSI-COLA BOTTLING COMPANY OF PETERSBURG. INC.	DIVIDENDS - S CORPORATION	G
(1) PEPSICO. INC.	DIVIDENDS	E
PEPSICO. INC.	CAPITAL GAIN	D
RHONOR CORPORATION	DIVIDENDS	D
RHONOR CORPORATION	CAPITAL GAIN	G
ROUSE COMPANY	DIVIDENDS	A
SIGNET BANK CORP	DIVIDENDS	A
UVA HOSP. PUT 89. 8%. DUE 12/01/18	INTEREST	D
UVA HOSP. REV. 9.5%. 12/01/97	INTEREST	C
UVA HOSP. REV C. 8.75%. DUE 06/01/00	INTEREST	C
VIRGINIA HSG DEV AUTH. 7.25%. DUE 11/1/89	INTEREST	C
VIRGINIA FIRST SAVINGS FSB	INTEREST	E
VPI #23 TAX EXEMPT MASTER NOTE	INTEREST	A
VPI #3-T. TAX EXEMPT MASTER NOTE	INTEREST	B
WHITE CONSOLIDATED INDS BONDS 5.5% DUE 10-23-92	INTEREST	A
WHITE CONSOLIDATED INDS BONDS 5.5% DUE 10-23-92	CAPITAL GAIN	A
WINCHESTER IDA. 10%. DUE 1/1/16	INTEREST	C

(1) INCLUDES OR REPRESENTS INCOME WITH RESPECT TO HOLDINGS OF MEMBER AND HIS SPOUSE AS EXECUTORS AND TRUSTEES UNDER THE WILL OF ISADORE BROWN. DECEASED.

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ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Norman Sisisky
(Name)

Continuation Sheet

Part *Source, Type, Amount, Category, Description or Value (As Applicable)*

PART III. HOLDINGS

	IDENTITY	CATEGORY
	STOCK - ABBOTT LABS	D
	BOND - ALEXANDRIA, VA. HSG. 6.75%. DUE 10/1/93	E
	BOND - ALEXANDRIA, VA. HSG. 7.75%. DUE 10/1/07	E
	STOCK - AMERICAN ELECTRIC POWER	D
	STOCK - AMERICAN INTERNATIONAL GROUP	C
	STOCK - ANHEUSER-BUSCH COS INC.	D
	STOCK - ARCHER-DANIELS-MIDLAND COMPANY	C
	STOCK - ATLANTIC RESEARCH CORPORATION	D
(1)	CASH - BANK OF VIRGINIA	F
	CASH - BANK OF VIRGINIA RESERVE ACCOUNT	D
	STOCK - BAXTER TRAVENOL LABORATORIES, INC.	D
	STOCK - BEECHAM GROUP PLC ADR	C
(2)(6)	VENTURE INTEREST - THE BERKELEY VENTURE	E
(1)	STOCK - BRENCO, INCORPORATED	C
	STOCK - CAPITAL CITIES/ABC INC	E
	FUND - CASH EQUIVALENT FUND	B
	STOCK - CHESAPEAKE CORPORATION	C
	BOND - CHICAGO,IL., PUB HSG. 2.375%. DUE 8/1/93	D
	STOCK - CHUBB CORPORATION	C
	STOCK - CIRCUIT CITY	D
	STOCK - COLUMBIA GAS SYSTEM . INC	D
	BOND - COLUMBUS, OH., PUB HSG. 2.5%. DUE 5/1/93	B
	CASH - CONGRESSIONAL FEDERAL CREDIT UNION	E
	STOCK - COOPER INDUSTRIES, INC.	C
	STOCK - DOUGHTIES FOODS, INC.	A
	STOCK - DOW JONES & CO	C
	STOCK - DU PONT EI DE NEMOURS	D
	STOCK - EASTMAN KODAK CO	E
	BOND - FAIRFAX CO. 9.375%. 11/1/04	D
	STOCK - FEDERATED DEPARTMENT STORES	C
•	STOCK - FIRST VIRGINIA BANKS, INC.	D
	STOCK - GEARHART OWEN INDUSTRIES	A
	STOCK - GENERAL ELECTRIC CORP	C
	STOCK - GENERAL MOTORS CORP	D
	STOCK - HALLIBURTON CO	C
	BOND - HARRISONBURG, VA. 9.75%. DUE 11/1/04	D
	STOCK - HECKS, INC.	B
	BOND - HOUSTON,TX. SWR SY REV. 5.75%. DUE 10/1/93	E
	BOND - ILLINOIS ST BLDG AUTH. 5%. DUE 3/1/94	E
	STOCK - INTERNATIONAL BUSINESS MACHINES	E
	STOCK - INTERNATIONAL PAPER CO	C
	STOCK - INTERPUBLIC GROUP CO	B
	STOCK - K MART CORPORATION	C

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ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Norman Sisisky
(Name)

Continuation Sheet

Part — *Source, Type, Amount, Category, Description or Value (As Applicable)*

PART III. HOLDINGS

		IDENTITY	CATEGORY
	STOCK -	LADD FURNITURE INCORPORATED	B
	LOAN -	LEE DISTRIBUTING CO., INC.	E
(5)(2)	STOCK -	LEE DISTRIBUTING CO., INC.	F
	STOCK -	LINCOLN NATIONAL CORP INC	C
	STOCK -	LUBRIZOL CORPORATION	C
	NOTE -	MD ENV SVC TAX EXEMPT MASTER NOTE	F
	STOCK -	MEDIA GENERAL CLASS A	C
	STOCK -	MERCK & CO., INC.	D
	STOCK -	MESA LTD PTR A PRF UNITS	C
	STOCK -	MINNESOTA MINING & MANUFACTURING	D
	STOCK -	MOTOROLA, INC.	D
	FUND -	MUNICIPAL FUNDS - TEMP. INV.	F
	STOCK -	NCR, INC	C
(5)(2)	LTD PART- NERSHIP INTEREST -	NEW DOMINION LIMITED PARTNERSHIP	F
	ADVANCE -	NEW DOMINION LIMITED PARTNERSHIP	F
	BOND -	NEWPORT NEWS, VA. RDA, 8.375%, DUE 5 1 07	D
	STOCK -	NOLAND COMPANY	B
	NOTE -	NORFOLK, VA. TAX EXEMPT MASTER NOTE	F
	STOCK -	NORTHEAST UTILITIES	C
	BOND -	NY CITY MUN HSG, 8.375%, 1 1 94	D
	BOND -	[illegible] 8.375%, DUE 1 1 93	C
(5)	STOCK -	[illegible] CORPORATION	B
(5)(2)	STOCK -	PEPSI-COLA BOTTLING COMPANY OF PETERSBURG, INC.	F
	LOAN -	PEPSI-COLA BOTTLING COMPANY OF PETERSBURG, INC.	F
(5)	STOCK -	PEPSICO, INC.	F
	STOCK -	PRESTON CORPORATION	C
(5)(2)	STOCK -	RHONOR CORPORATION	F
	STOCK -	ROUSE COMPANY	C
	STOCK -	SCHLUMBERGER LIMITED	D
	STOCK -	SEARS ROEBUCK & CO	C
	STOCK -	SIGNET BANKING CORPORATION (FORMERLY BANK OF VIRGINIA COMPANY)	D
	STOCK -	SOVRAN FINANCIAL CORP	D
(5)	STOCK -	THE STATE BANK OF THE ALLEGHENIES	A
	STOCK -	TANDY CORP.	D
	STOCK -	UNITED DOMINION REALTY TRUST INC.	D
	STOCK -	UNITED VA BANKSHARES INC.	C
	BOND -	UVA HOSP. REV [illegible] 9%, DUE 12 1 [illegible]	C
	BOND -	UVA HOSP. REV., 9.5%, DUE 12 1 97	D
	BOND -	UVA HOSP. REV [illegible] 9.75%, DUE [illegible]	D
	BOND -	VIRGINIA HSG DEV AUTH 7.25% 11 01 99	D
	BOND -	VIRGINIA HSG DEV AUTH 7.00% 11 01 [illegible]	C
	BOND -	VIRGINIA HSG DEV AUTH 7.85% 09 01 12	F
	BOND -	VIRGINIA [illegible] 9.5%, DUE 12 1 [illegible]	D
	CASH -	VIRGINIA FIRST SAVINGS FSB	D
	NOTE -	VPI #2S, TAX EXEMPT MASTER NOTE	F
	NOTE -	VPI #3-T, TAX EXEMPT MASTER NOTE	F
(5)	BOND -	WHITE CONSOLIDATED INDS BONDS, 5.5% DUE 10/15/92	A
	BOND -	WINCHESTER IDA, 10%, DUE 1 1 16	D

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ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Norman Sisisky
(Name)

Continuation Sheet

Part — *Source, Type, Amount, Category, Description or Value (As Applicable)*

PART III. HOLDINGS

	IDENTITY	CATEGORY
	BOND - WINCHESTER IDA. 6%. DUE 1/1/89	D
	BOND - WINCHESTER IDA. 6.25%. DUE 1/1/90	E
	BOND - WINCHESTER IDA. 8%. DUE 1/1/03	F
(4)	UNDIVIDED INTEREST IN 117.9 ACRES OF IMPROVED REAL ESTATE. HIGHWAY 301. CITY OF PETERSBURG. VIRGINIA	F
(4)	IMPROVED REAL ESTATE - BLACKSTONE. VIRGINIA	D
(5)	IMPROVED REAL ESTATE - HIGHWAY 301. EMPORIA. VIRGINIA	E

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(1) INCLUDES OR REPRESENTS HOLDINGS OF MEMBER AND HIS SPOUSE AS EXECUTORS AND TRUSTEES UNDER THE WILL OF ISADORE BROWN. DECEASED.

(2) CLOSELY HELD - BASED ON BOOK VALUE AT END OF ENTITY'S FISCAL YEAR ENDING IN 1986.

(3) BASED ON DATA FURNISHED BY THE ISSUER.

(4) BASED ON ASSESSED VALUE.

(5) BASED ON AMOUNT REALIZED IN 1986 UPON SALE OR LIQUIDATION .

(6) REPRESENTS 20.72% EQUITY INTEREST IN THE JOINT VENTURE. A REAL ESTATE INVESTMENT/DEVELOPMENT COMPANY HAVING ITS PRINCIPAL PLACE OF BUSINESS IN THE CITY OF NEWPORT NEWS. VIRGINIA. THE ASSETS OF WHICH CONSIST OF:

CASH. BANK OF SOUTHSIDE VIRGINIA
NOTES RECEIVABLE. KNOLLWOOD CORPORATION
REAL ESTATE -- LOTS (14) AND ACREAGE (143.62 ACRES).
CITY OF PETERSBURG. VIRGINIA

(7) REPRESENTS 72.80% INTEREST IN A VIRGINIA LIMITED PARTNERSHIP HAVING ITS PRINCIPAL PLACE OF BUSINESS IN THE CITY OF PETERSBURG. VIRGINIA. THE ASSETS OF WHICH CONSIST OF:

CASH VALUE - NET OF VARIOUS LIFE INSURANCE POLICIES
ALEXANDRIA RHA PARK CENTER COMPONENT
T/E NOTE PARTICIPATION
COMMON STOCK. SANTA FE ASSOCIATES. INC. (A DELAWARE CORPORATION)
STATE OF ISRAEL SAVINGS BONDS
COMMON STOCK. VIRGINIA FIRST SAVINGS BANK
IMPROVED REAL ESTATE. CITY OF PETERSBURG. VIRGINIA

(8) A VIRGINIA CORPORATION HAVING ITS PRINCIPAL PLACE OF BUSINESS IN PETERSBURG. VIRGINIA.

GPO: 1986 61-685 (m)

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ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Norman Sisisky
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)

PART IV. TRANSACTIONS

DESCRIPTION PURCHASES :	DATE	CATEGORY
STOCK - ABBOTT LABS	10/29/86	C
STOCK - ABBOTT LABS	12/11/86	C
BONDS - ALEXANDRIA. VA HSG 6.75% DUE 10/01/93	11/20/86	E
BONDS - ALEXANDRIA. VA HSG 7.75% DUE 10/01/07	11/20/86	E
STOCK - AMERICAN ELECTRIC POWER	12/11/86	D
STOCK - AMERICAN INTERNATIONAL GROUP	10/23/86	C
STOCK - BAXTER TRAVENOL LABORATORIES. INC.	12/11/86	D
STOCK - BEECHAM GROUP PLC ADR	10/31/86	C
STOCK - CAPITAL CITIES/ABC INC	10/23/86	D
STOCK - CAPITAL CITIES/ABC INC.	11/10/86	D
BONDS - CHICAGO. ILL. 2.375% PUB HSG DUE 06/01/93	12/12/86	D
STOCK - CHUBB CORPORATION	10/23/86	C
STOCK - COLUMBIA GAS SYSTEM . INC	11/10/86	C
STOCK - COLUMBIA GAS SYSTEM. INC.	12/11/86	C
BONDS - COLUMBUS. OHIO 2.5% PUB HSG DUE 05/01/93	12/04/86	B
STOCK - COOPER INDUSTRIES. INC.	12/11/86	C
STOCK - DOW JONES & CO	11/28/86	C
STOCK - DU PONT EI DE NEMOURS	12/04/86	C
STOCK - DU PONT EI DE NEMOURS	11/29/86	C
STOCK - EASTMAN KODAK CO	11/29/86	C
STOCK - EASTMAN KODAK CO	10/29/86	D
STOCK - EASTMAN KODAK CO	12/04/86	C
STOCK - FEDERATED DEPARTMENT STORES	10/23/86	C
STOCK - FIRST VIRGINIA BANKS. INC.	12/11/86	D
STOCK - GENERAL ELECTRIC CORP	11/10/86	C
STOCK - GENERAL MOTORS CORP	10/20/86	C
STOCK - GENERAL MOTORS CORP	11/10/86	C
STOCK - GENERAL MOTORS CORP	12/11/86	C
STOCK - HALLIBURTON CO	12/11/86	C
BONDS - HOUSTON. TX 5.75% SWR SY REV DUE 10/01/93	12/02/86	E
BONDS - ILLINOIS ST BLDG AUTH 5% DUE 03/01094	12/04/86	E
STOCK - INTERNATIONAL BUSINESS MACHINES	12/11/86	D
STOCK - INTERNATIONAL BUSINESS MACHINES	10/20/86	D
STOCK - INTERNATIONAL PAPER CO	12/04/86	C
STOCK - INTERPUBLIC GROUP CO	10/23/86	B
STOCK - K MART CORPORATION	12/11/86	D
STOCK - LINCOLN NATIONAL CORP IND	11/10/86	D
STOCK - LUBRIZOL CORPORATION	12/11/86	C
NOTE - MD ENV SVC TAX EXEMPT NOTE	9/26/86	F
STOCK - MEDIA GENERAL CLASS A	10/23/86	C
STOCK - MERCK & CO.. INC.	12/04/86	D
STOCK - MESA LTD PFD A DEP UNITS	12/12/86	C
STOCK - MESA LTD PFD A DEP UNITS	11/18/86	C
STOCK - MINNESOTA MINING & MANUFACTURING	12/04/86	D
STOCK - MOTOROLA. INC.	12/11/86	C
STOCK - MOTOROLA. INC.	11/10/86	C
LTD PARTNERSHIP INTEREST-NEW DOMINION LTD. PARTNERSHIP	09/26/86	F

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ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Norman Sisisky
(Name)

Continuation Sheet

Part *Source, Type, Amount, Category, Description or Value (As Applicable)*

PART IV. TRANSACTIONS

	DESCRIPTION	DATE	CATEGORY
BONDS -	NEW YORK CITY 2.375% PUB HSG DUE 01/01/92	12/01/86	B
BONDS -	NEW YORK NY 2.375 % PUB HSG DUE 01/01/92	12/01/86	C
NOTE -	NORFOLK VA TAX EXEMPT MASTER NOTE	9/26/86	F
STOCK -	NORTHEAST UTILITIES	12/11/86	D
STOCK -	PRESTON CORPORATION	10/23/86	C
STOCK -	ROUSE COMPANY	10/23/86	B
STOCK -	SCHLUMBERGER LIMITED	12/11/86	C
STOCK -	SCHLUMBERGER LIMITED	11/10/86	C
STOCK -	SEARS ROEBUCK & CO	10/30/86	C
STOCK -	SIGNET BANKING CORPORATION	12/11/86	D
STOCK -	SOVRAN FINANCIAL CORP	11/10/86	C
STOCK -	SOVRAN FINANCIAL CORP	12/11/86	C
STOCK -	TANDY CORP.	10/31/86	C
STOCK -	TANDY CORP.	12/04/86	C
STOCK -	UNITED DOMINION REALTY TRUST INC.	12/16/86	D
STOCK -	UNITED VA BANKSHARES, INC.	12/16/86	C
STOCK -	UNITED VA BANKSHARES, INC.	11/10/86	C
BONDS -	VA BEACH, VA MR 12/1/96, 6.25%, DUE 12/1/12	12/29/86	D
BONDS -	VHDA, 7.2%, DUE 11/1/22	12/15/86	E
BONDS -	VIRGINIA HSG DEV AUTH 7.55% 09/01/12	11/20/86	F
NOTE -	VPI #29 TAX EXEMPT MASTER NOTE	9/26/86	F
NOTE -	VPI #3-T TAX EXEMPT MASTER NOTE	9/26/86	F
NOTE -	VPI #3-T TAX EXEMPT MASTER NOTE	9/26/86	F
BONDS -	WINCHESTER, VA IDA 6.25% DUE 01/01/90	10/09/86	D
BONDS -	WINCHESTER, VA IDA 6.% DUE 01/01/89	10/09/86	C
BONDS -	WINCHESTER, VA IDA 9% DUE 01/01/03	10/10/86	F

SALES :

STOCK -	ARCHER-DANIELS-MIDLAND	06/13/86	A
REAL ESTATE -	IMPROVED REAL ESTATE ON HIGHWAY 301, EMPORIA, VIRGINIA	09/26/86	E
STOCK -	LADD FURNITURE INCORPORATED	01/30/86	A
NOTE -	MD ENV SVC TAX EXEMPT NOTE	10/01/86	F
FUNDS -	NORFOLK VA TAX EXEMPT MASTER NOTE	10/01/86	F
STOCK -	PANDICK CORPORATION	12/26/86	B
STOCK -	PEPSI-COLA BOTTLING COMPANY OF PETERSBURG.INC.	09/26/86	F
STOCK -	PEPSICO INCORPORATED	7/14/86	C
STOCK -	RHONOR CORPORATION (LIQUIDATED)	12/31/86	F
NOTE -	VPI #28 TAX EXEMPT MASTER NOTE	10/01/86	F
NOTE -	VPI #3-T TAX EXEMPT MASTER NOTE	10/01/86	F
NOTE -	VPI #3-T TAX EXEMPT MASTER NOTE	10/01/86	F
BOND -	WHITE CONSOLIDATED INDS BONDS 5.5% DUE 10-23-92	06/06/86	A

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ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Norman Sisisky
(Name)

Continuation Sheet

Part — Source, Type, Amount, Category, Description or Value (As Applicable)

V. LIABILITIES

IDENTITY	CATEGORY
Lee Distributing Company, Inc. - advances	F
Pepsi-Cola Bottling Company of Petersburg, Inc. - advances	B

VII. REIMBURSEMENTS

In connection with the Member's speaking engagement in San Francisco, California on September 16, 1986 (see Part II-A of this Financial Disclosure Statement), the Member was reimbursed for round-trip passage issued by United Airlines from Washington, D.C. to San Francisco, California and one meal and was provided lodging for one night.

VIII. POSITIONS

	NAME OF ORGANIZATION
Partner	The Berkeley Venture
Trustee	Virginia State University Foundation
Co-Executor and Co-Trustee	Estate of Isadore Brown, deceased
Limited Partner	New Dominion Limited Partnership

IX. AGREEMENTS

As previously reported, the Member is a vested participant in a qualified pension plan sponsored by former employers. Under this plan, the employer makes contributions to a qualified pension trust, based generally on participants' compensation. Because the Member prior to 1985 ceased to be an employee of any contributing employer, no future contributions to such pension plan on behalf of the Member are anticipated.

GPO: 1986 61-[illegible] (m)

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

David E. Skaggs
(Full Name)

1723 Longworth HOB
(Mailing Address)

Washington, D. C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 2 State Colo.

☐ Officer or Employee—Employing Office

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
State of Colorado, General Assembly	Salary and Perdiem	$22,370	

UNEARNED INCOME includes, but is not limited to earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Rental unit, 12 Peakview, Boulder, Colo.	Rent	C

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Rental unit, 12 Peakview, Boulder, Colo.	C
IRA, Mt. State Bank, Denver, Colo.	A
IRA, Dean, Witter, Reynolds	A
Grace Petroleum, oil royalty interest (spouse)	C
IRA, 1st Nat'l Bank, Boulder (spouse)	B
Liquid Asset Acct., Dean Witter Reynolds	C
Retirement Acct., PERA, Denver, Colo.	B

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
1st Nat'l Bank, Boulder, Colo., Mortgages	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Trustee	Rocky Mt. Planned Parenthood
Director	Mental Health Association of Colorado

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
David E Skaggs	May 14, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible] (a)

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Joseph Richard Skeen
(Full Name)

1007 Longworth HOB
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 2nd State N.M.

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

GROSS-$151,352.00

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Business	Ranch	NET-802.00	
Business	Oil & Gas	GROSS-$930,NET-$97.	
American Trucking Association - 10/86	Honorarium	$1000.	Campaign

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
R.C. Jones	Interest	B
Installment Sale - to R.C. Jones	Capital Gain	A
United New Mexico Bank	Interest	A
United New Mexico Bank	Dividend	A
E. F. Hutton	Dividend	A
Wright Patman Federal Credit Union	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X ____ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Common Stock ***See Additional Page	A
United N.M. Bank - Checking Acct.	A
Federal Land Bank - Deposit	C
Federal Land Bank - Stock	C
Real Estate - Ruidoso, N.M. and Roswell, N.M.	D
Ranch - 15,000 acres & Improvements	F

***See Additional Page

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Federal Land Bank	F
Roswell Escrow Company	C
State Land Commissioner	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Joe Skeen	4-8-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Joseph Richard Skeen
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable) IDENTITY	CATEGORY
III	COMMON STOCK - BRISTOL-MYERS	A
	UNC RES., INC.	A
	PAN-AMERICAN CORP.	A
	SOUTHERN UNION CO.	A
	SEAGULL ENERGY CROP.	A
	R.C. JONES INSTALLMENT NOTE	C
	LIVESTOCK	B
	TRANSPORTATION EQUIPMENT	C
	WRIGHT PATMAN FEDERAL CREDIT UNION	P

2823

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Ike Skelton
(Full Name)

2453 Rayburn House Office Building
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 4 State Missouri

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE		TYPE	AMOUNT	DISPOSITION
Burger King	1/5/86	Honorarium	$1,500	
Emerson Electric	2/21/86	Honorarium	500	
U.S. Telephone Assoc.	1/17/86	Honorarium	2,000	
Nat'l Assoc. Small Business Investment Co.	3/1/86	Honorarium	500	
General Electric	4/14/86	Honorarium	1,000	

(Continued on page 5)

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Installment sale of farm property (John B. Skelton)	Int., Cap. Gains	A
Taetz Co. stock (Spouse)	Int., Cap. Gains	A
Virginia B. Anding Trust (Spouse)	Int.& Trust Dist.	D
Carolyn B. Skelton Trust	Int.& Trust Dist.	D
Sun Oil Co., net income (Spouse)	Royalties	B
2008 South Street property, Lexington, MO	Rent	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES __X__ NO ____ NA ____

For more information, see detailed Instruction Booklet at page 8

IDENTITY	CATEGORY
U.S. Savings Bonds	A
Trust, Virginia B. Anding Estate (Spouse)	$215,584
Trust, Carolyn B. Skelton Estate	$152,735
Lafayette County Bancshares, Inc.	D
Fractional interest in store bldg. in Delhi, LA (Spouse)	B
Installment receivable on sale of farm property	A

(Continued on page 5)

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
Elcor Corporation stock purchased by son	1/15/86	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
Lafayette County Bank, Lexington, MO	C
Lafayette County Bank, Lexington, MO (secured loan on residence and apartments)	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
Arkansas Tourism Development Foundation	Travel expenses from Washington, D.C. to Little Rock, Arkansas & return (Member and son) 2-1/2 days
General Electric	Travel expenses from Washington, D.C. to Lynn, MA & return (1 day)

(Continued on page 5)

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13

POSITION	NAME OF ORGANIZATION
Trustee	Ike Skelton, Sr., Memorial Trial Practice Award (U of Missouri Law School)
Trustee	Ike Skelton, Sr., Outstanding Sigma Chi Award (U of Missouri)

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5-15-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987

Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Ike Skelton
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*			
II-A	KMS Fusion	4/28/86	Honorarium	$1,000
	Eldon School District R-1	5/19/86	Honorarium	150
	Trinity Lutheran School	5/28/86	Honorarium	50
	Knob Noster School District	5/28/86	Honorarium	100
	Outdoor Advertising Assoc.	6/17/86	Honorarium	1,000
	Tobacco Institute	7/8/86	Honorarium	1,000
	Marquardt Company	7/17/86	Honorarium	2,000
	E-Systems	8/8/86	Honorarium	1,000
	Sperry	9/22/86	Honorarium	1,000
	Burton Industries	9/22/86	Honorarium	500
	Alken Industries	9/22/86	Honorarium	500
	Barnett Banks of Florida	11/24/86	Honorarium	2,000
	Missouri Valley ASTA	11/21/86	Honorarium	1,000
	American Hospital Assoc.	2/7/86	Honorarium	1,000
III	Taetz note (Spouse)		B	
	Sun Oil Co. Royalties (Spouse)		B	
	2008 South Street, Lexington, MO		C	
	Elcor Corporation stock (Son)		A	
VII	KMS Fusion	Travel expenses from Washington, D.C. to Ann Arbor, Michigan & return (1 day)		
	E-Systems	Travel expenses to Dallas, TX, & return plus lodging (1 day)		
	Grumman Corp.	Travel expenses from Washington, D.C. to Long Island, NY, & return (1 day)		
	Barnett Banks	Travel expenses from Washington, D.C. to Jacksonville, Florida, & return (1 day)		
	American Defense Preparedness Association	Travel expenses from Washington, D.C. to New Orleans, LA, & return, plus lodging (Member & Spouse) 1-1/2 days		

IKE SKELTON
4TH DISTRICT, MISSOURI

Congress of the United States
House of Representatives
Washington, DC 20515

2453 Rayburn House Office Building
Washington, DC 20515
Telephone (202) 225-2876

314 Jackson Street
Jefferson City, MO 65101
(314) 635-3499

1700 W. 40 Highway
Blue Springs, MO 64015
(816) 228-4242

319 South Lamine
Federal Building
Sedalia, MO 65301
(816) 826-2675

[illegible]DE MAIL

May 15, 1987

The Honorable Julian C. Dixon
Chairman
Standards of Official Conduct
HT2 Capitol
Washington, D.C. 20515-6328

✓
MC

Dear Mr. Chairman:

Regarding my financial disclosure for 1986, page 2, I am now answering "Yes" to the question, "Do you, your spouse or dependent child receive income from or have a beneficial interest in a trust or other financial arrangement whose holdings were not reported because the trust is a 'qualified blind trust' or other excepted trust?" In previous years, I inadvertently answered "No". Specifically this is in reference to two trusts which I report as unearned income and holdings. One is the Virginia B. Anding Estate (my deceased mother-in-law), in trust with the United Missouri Bank in Kansas City, Missouri, and the other is the Carolyn B. Skelton Estate (my deceased mother), in trust with the Commerce Bank in Kansas City, Missouri. The first stated trust is composed of various stocks, bonds, and real estate, and the second trust is also composed of various stocks, bonds, and real estate.

Best regards.

Very truly yours,

IKE SKELTON
Member of Congress

IS/jc

8 7 1 1 2 1 1 . 3 5

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

James C. Slattery
(Full Name)

1440 Longworth House Office Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

XX Member of the U.S. House of Representatives—District 2nd State Kansas

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See attached Continuation Sheet.			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Highland Park Bank	Interest	B
Sovran Bank	Interest	B
Kansas Credit Union	Interest	A
Real Estate Contracts	Interest	C
Real Estate Investments/Apartments,Topeka,Kansas	Gross Rent Income	E
Real Estate Investments/Office buildings,Topeka,Ks. (990 Fairlawn;1907 Cage; 6th Oakley)	Gross Rent Income	E

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See,* Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO __X__ NA ____

For more information, see detailed Instruction Booklet at page 8

IDENTITY	CATEGORY
Real Estate, apartments & office building, Topeka, Kansas	F
Personal Savings- Highland Park Bank & Trust,Topeka,Kansas	B
Personal Savings-Sovran Bank, Virginia	C
Brock Hotel Corporation Stock	A
Real Estate, Atchison,Ks.-farm land, approximately 80 acres	C

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sale of office building-1907 Gage, Topeka, Kansas	9-86	E
Purchase of real estate-29th Terrace, Topeka, Kansas	9-86	D
Purchase of stock in Great Lakes Hotel Corp.	1986	E

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
See Continuation Sheet.	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
See attached continuation sheet.	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Partner	Westside Properties
Partner	B.O.S. Properties
Director	Great Lakes Hotel Corporation

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	[illegible] 14, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

[illegible]

Page 1. of 1.

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

James C. Slattery
(Name)

Continuation Sheet

Part — *Source, Type, Amount, Category, Description or Value (As Applicable)*

II. Income

A.

SOURCE:	TYPE:	AMOUNT:
Committee For A Responsible Federal Budget Received 1-31-86	Honorarium	$1,000.00
Morgan Guaranty Trust Company of New York Received 5-14-86	Honorarium	$1,000.00
President's Executive Exchange Alumni Association Received 5-6-86	Honorarium	$1,000.00
American Council On Education Received 5-20-86	Honorarium	$1,000.00
United Coal Company-Received 6-11-86	Honorarium	$2,000.00
US Sprint Received 10-18-86	Honorarium	$1,000.00
Rubber Manufacturers Association, Inc. Received 11-19-86	Honorarium	$2,000.00
Great Lakes Hotel Corporation	Director Fees	$4,000.00
	TOTAL INCOME:	$13,000.00

V. Liabilities:

IDENTITY:		CATEGORY:
Real Estate Mortgage	Ronald Robb	C
Real Estate Mortgages	Topeka Savings Association	D
Real Estate Mortgages	Capitol Federal Savings	C
Real Estate Mortgage	J.C. Sargent Mtg.Co.	B
Real Estate Mortgage	Peoples Savings & Loan Assn.	C
Real Estate Loan	Mrs. Robert Irwin	D
Real Estate Loan	Highland Park Bank	F
Real Estate Loan	Fidelity State Bank	D
Stock Loan	Landmark Hotel Corp.	E

VII Reimbursements

SOURCE:	BRIEF DESCRIPTION:
Committee For A Responsible Federal Budget	rd.trip airfare between Washington, DC & New York City; 2 days lodging; for Rep. and Spouse. (symposium participant)
United Coal Company	rd.trip airfare between Washington, D.C. & Blountville, TN (panel participant & fact-finding tour)
Farm Foundation	rd.trip airfare between Washington, D.C. & Denver, Colorado; 1 night lodging; cab fare to airport. (speech)

GPO 1986 [illegible]

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

James C. Slattery
(Full Name)

1440 Longworth House Office Building
(Mailing Address)

Washington, D.C. 20515

mc
(Office Use Only)

Check the appropriate box and fill in the blanks:

☒ Member of the U.S. House of Representatives—District 2nd State Kansas

☐ Officer or Employee—Employing Office

☒ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceed $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See attached Continuation Sheet.			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See attached Continuation Sheet.		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8

IDENTITY	CATEGORY
See attached Continuation Sheet.	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sale of office building-1907 Gage, Topeka, Kansas	9-86	E
Purchase of real estate-29th Terrace, Topeka, Kansas	9-86	D
Purchase of stock in Great Lakes Hotel Corp.	3-86	E

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
See Continuation Sheet.	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
See attached Continuation Sheet.	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Partner	Westside Properties
Partner	B.O.S. Properties
Director	Great Lakes Hotel Corporation

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
James Slattery	6-10-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

Page 1 of 2.

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

James C. Slattery
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II. Income			
A.	SOURCE:	TYPE:	AMOUNT:
	Committee For A Responsible Federal Budget Received 1-31-86	Honorarium	$1,000.00
	Morgan Guaranty Trust Company of New York Received 5-14-86	Honorarium	$1,000.00
	President's Executive Exchange Alumni Association Received 5-6-86	Honorarium	$1,000.00
	American Council On Education Received 5-20-86	Honorarium	$1,000.00
	United Coal Company-Received 6-11-86	Honorarium	$2,000.00
	US Sprint Received 10-18-86	Honorarium	$1,000.00
	Rubber Manufacturers Association, Inc. Received 11-19-86	Honorarium	$2,000.00
	Great Lakes Hotel Corporation	Director Fees	$4,000.00
		TOTAL INCOME:	$13,000.00
B.	SOURCE:	TYPE:	CATEGORY:
	Highland Park Bank	Interest	B
	Sovran Bank	Interest	B
	Kansas Credit Union	Interest	A
	Contracts on real estate, Topeka, Kansas	Interest	C
	Real Estate Investments/Apartments in Topeka, Kansas	Gross Rent Income	E
	Real Estate Investments/Office buildings in Topeka, Kansas	Gross Rent Income	E

Part	IDENTITY:	CATEGORY:
III Holdings:	Personal Savings/Highland Park Bank & Trust, Topeka, Kansas	B
	Personal Savings/Sovran Bank, Virginia	C
	Brock Hotel Corporation Stock	A
	Real Estate, Atchison, Ks.-farm land, approximately 80 acres	C
	Contracts on real estate/29th Street Terrace, Topeka, Ks.	D
	Real Estate/Office buildings in Topeka, Kansas: 990 Fairlawn; 1907 Gage; 3300 W.6th	F
	Real Estate/apartments in Topeka, Kansas: 619 Taylor; 1550 McAlister; 2620 Central Park; 2408 Moundview; 535 Oakley; 2113 & 2115 Jewell; 2309 Moundview; 2405 W.24th; 805 Saline.	F

Part	IDENTITY:		CATEGORY:
V. Liabilities:	Real Estate Mortgage	Ronald Robb	C
	Real Estate Mortgages	Topeka Savings Association	D
	Real Estate Mortgages	Capitol Federal Savings	C
	Real Estate Mortgage	J.C. Sargent Mtg.Co.	B
	Real Estate Mortgage	Peoples Savings & Loan Assn.	C
	Real Estate Loan	Mrs. Robert Irwin	D
	Real Estate Loan	Highland Park Bank	F
	Real Estate Loan	Fidelity State Bank	D
	Stock Loan	Landmark Hotel Corp.	E

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Page 2. of 2.

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

James C. Slattery
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*	
VII Reimbursements		
	SOURCE:	BRIEF DESCRIPTION:
	Committee For A Responsible Federal Budget	rd.trip airfare between Washington,D.C. & New York City; 2 days lodging; for Rep. and Spouse (symposium participant)
	United Coal Company	rd.trip airfare between Washington,D.C. & Blountville,TN (panel participant & fact-finding tour)
	Farm Foundation	Rd.trip airfare between Washington,D.C. & Denver,Colorado; 1 night lodging; cab fare to airport (speech)

[illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

DANIEL FRENCH SLAUGHTER, JR.
(Full Name)

319 CANNON HOUSE OFFICE BUILDING
(Mailing Address)

WASHINGTON, D. C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 07 State Virginia

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES x NO ____ NA ____

For more information, see detailed Instruction Booklet at page 7

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION".
IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Veterans Administration (re injuries received during military service in World War II	disability compensation	$5880.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See attached list		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.**
IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES X NO ____ NA ____

No money received

For more information, see detailed Instruction Booklet at page 8

IDENTITY	CATEGORY
See attached list -	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
On last year's (1985) Disclosure Statement, the property		
listed under "Spouse" in this section, to-wit: "Purchase		
of lot (2 acres) and mobile homes at 9065 Twin Peaks Road,		
Pima County, Arizona", was erroneously reported as being		
purchased 5/15/86. The correct date was 5/15/85.		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Second National Bank, Culpeper, VA - personal notes	D
Loans on Life Insurance Policies	C
Deed of Trust note payable to Central Fidelity Bank, Culpeper, VA (East St.)	B
Deed of Trust note payable to Second Nat'l Bank, Culpeper, VA (Culpeper St.)	C
SPOUSE: Second National Bank, Culpeper, VA - personal notes	C
Jefferson Savings & Loan Assn, Culpeper, VA - personal Note	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
David French Slaughter Jr	May 11, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

DANIEL FRENCH SLAUGHTER, JR.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II.B. SOURCE	Second National Bank, Culpeper, VA	dividends	B
	Second National Bank, Culpeper, VA	interest	A
	Provident Mutual Life Insurance Co.	interest	A
	Wheat First Securities, Richmond,VA (Cash Equiv.Fund)	dividends	A
	Button Office Bldg., 139 W.Davis St.,Culpeper,VA	rent	D
	House/lot, East St., Culpeper, VA	rent	D
	House/lot, Culpeper St., Culpeper, VA	rent	B
	SPOUSE: Second National Bank, Culpeper, VA	interest	E
	Jefferson Savings & Loan Assn.,Culpeper,VA	interest	B
	U. S. Steel Co.	interest	A
	Mobil Oil Corporation	dividend	A
	Davenport & Co.,Richmond,VA -Phillips Lamp	dividend	A
	Davenport & Co.,Richmond,Va -Tax-Free Instruments Trusts	dividend	B
	Lot & Mobile homes, 9065 Twin Peaks Road, Pima County, Arizona	rent	D
III. HOLDINGS	Second National Bank -Bank Accts. including savings & IRA		B
	Second National Bank - common stock, unlisted		D
	Jefferson Savings & Loan Assn - common stock, unlisted		C
	40% undivided interest in Button Office Bldg.,Culpeper, VA		D
	1/2 undivided interest in house/lot, East St.,Culpeper, VA		C
	1/3 undivided interest in house/lot, Culpeper St.,Culpeper, VA		C
	3 lots/Rolling Hills Sub.,White Shop Rd., Culpeper, VA (owned jointly with spouse)		A
	Stotler & Company, Chicago, Illinois - account		B
	Wheat First Securities, Richmond, VA - Cash Equivalent Fund		B
	SPOUSE: Davenport & Co., Richmond, VA (Tax-Free Instruments Trust)		C
	300 shares, Phillips Lamp - common stock, over-the-counter		B
	Second National Bank - bank accts, including savings money market funds and accts, certificates of deposit		F
	Lot & Mobile homes, 9065 Twin Peaks Road, Pima County, Arizona		D
	Jefferson Savings & Loan Assn - savings account		C

GPO [illegible] 41-[illegible] (m)

D. FRENCH SLAUGHTER, JR.
7TH DISTRICT, VIRGINIA

COMMITTEES:
JUDICIARY
SCIENCE, SPACE, AND TECHNOLOGY
SMALL BUSINESS

WASHINGTON OFFICE:
[illegible] CANNON HOUSE OFFICE BUILDING
TELEPHONE (202) 225-6561

HAND DELIVERED

Congreſs of the United States
House of Representatives
Washington, DC 20515

PLEASE REPLY TO:
OFFICES IN THE 7TH DISTRICT:

100 COURT SQUARE ANNEX
(P.O. BOX 136)
CHARLOTTESVILLE, VA 22902
TELEPHONE (804) 295-2106

110 SOUTH WEST STREET
(P.O. BOX 1075)
CULPEPER, VA 22701
(703) 825-3495

SUITE 203, 904 PRINCESS ANNE STREET
(P.O. BOX 336)
FREDERICKSBURG, VA 22404
TELEPHONE (703) 373-0536

112 NORTH CAMERON STREET
(P.O. BOX 714)
WINCHESTER, VA 22601
TELEPHONE (703) 667-0990

May 14, 1987

The Clerk, U. S. House of Representatives
Office of Records and Registration
1035 Longworth House Office Building
Washington, D.C. 20515

MC

Dear Sirs:

I am enclosing my Financial Disclosure Statement along with two copies for 1986. My wife's assets are listed separately. She is now deceased (date of death, April 2, 1986). I am executor and sole beneficiary of her estate.

Upon completion of the administration of my wife's estate, I shall file an amendment to the enclosed disclosure showing the distribution of the assets of her estate.

Very truly yours,

French Slaughter

D. French Slaughter, Jr.

DFS/am

Enclosures (3)

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Louise McIntosh Slaughter
(Full Name)

1313 Longworth Bldg.
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 30 State NY

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure; all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

[illegible] 3 4 2 1

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
State of New York	Salary	43,000.00	
Eastman Kodak Co. (Spouse)	Salary		

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Oppenheimer Mutual Fund	Mutual Fund	A
Proctor & Gamble	Stock	A
Clorox	Stock	A
American Can Co.	Stock	A
Fidelty Daily Income Trust	Money Market	A
Kodak Stock Ownership Plan	Stock	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Oppenheimer Mutual Fund	A
Proctor & Gamble	B
Clorox	A
American Can Co.	B
Fidelty Daily Income Trust	C
Kodak Stock Ownership Plan	A

9 7 1 7 0 1 0 3 4 2 2

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Northwestern Mutal Life Ins. Co. - Policy Loan	A
Southern Life Ins. Co. - Policy Loan	A
Acacia Mutual Life Ins. Co. - Policy LOan	A

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

2 7 0 7 0 2 2 7 4 2 3

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Louise M. Slaughter	May 15, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

Hon. Louise McIntosh Slaughter
1313 Longworth Bldg.

UNEARNED INCOME:

B. SOURCE	TYPE	CATEGORY
Marine Midland Bank	Savings	A
Columbia Banking	Mortgage Escrow Interest	A

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Christopher Henry Smith
(Full Name)

422 Cannon HOB
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 4th State New Jersey

☐ Officer or Employee—Employing Office ______________________

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA X

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
National Association of Wholesalers	Honorarium	$1,000.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Congressional Federal Credit Union	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.** **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA X

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Melissa Smith-Certificate Accrual on Treasury Securities	C
Chris Smith-Certificate Accrual on Treasury Securities	C
Michael Smith-Certificate Accrual on Treasury Securities	C

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
N/A		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
N/A	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
N/A	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
N/A		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses **aggregating $250** or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
National Denver Right to Life (Speaker)	Roundtrip airfare:Washington/ Denver/Philadelphia same day

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
	N/A

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	N/A	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature Christopher H Smith	Date May 13, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ND DELIVER

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Cong Denny Smith
(Full Name)

1213 Longworth House Office Bldg.
(Mailing Address)

Washington, DC 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 5th State Oregon

☐ Officer or Employee—Employing Office

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Eagle Newspapers Inc.	Dir Fees	$1,900.00	
American Federal Savings	Dir Fees	$4,800.00	
Eagle Newspapers, Inc. (Spouse)	Salary	N/A	
National Association of Home Builders	Honorarium	$2,000.00	
Boeing Co.	Honorarium	$2,000.00	

(continued)

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Aircraft Rental/Lease	Rent	F
Queen Avenue Development	Dividend	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO __X__ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
American Federal Savings - IRA	C
40 Shares - Selected Investors, Inc.	A
2 Beech Aircraft	E
350 Shares (Pfd) Eagle Newspapers, Inc.	A

8 7 0 7 0 2 0 1 5 0 3

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
Calapooia Properties, Partner (sold)	Dec '86	C
Queen Ave Development, Corp (sold)	Dec '86	D

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
U. S. National Bank of Oregon	E
U. S. Bancorp Leasing - Financial	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
N/A	N/A

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
N/A	N/A	N/A

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
National Association of Home Builders:	Food, Lodging, Transportation; PDX-DFW-DCA
Chicago Mercantile Exchange:	Food, Lodging, Transportation; DCA-ORD-DCA
Lucas County GOP:	Food, Lodging, Transportation; DTW-DCA
LTV Corporation:	Food, Transportation; PDX-DFW-DCA
Council for National Policy:	Food, Lodging, Transportation; DCA-BNA-PDX
(continued)	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Chairman, Director	Eagle Newspapers, Inc.
Director	American Federal Savings
Trustee	Elmo Smith Estate

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
Dec 1980	Eagle Newspapers Inc.	Leave of Absence, Group Life
Dec 1979	Pan American World Airways, Inc.	Leave of Absence

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature Denny Smith	Date 12 MAY 87

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

[illegible]

Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Denny Smith
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*	
II	Congressional Quarterly, Inc.	Honoraria - $ 300.00
	Chicago Mercantile Exchange	Honoraria - 500.00
	Board of Trade of the City of Chicago	Honararia - 500.00
	National Institute of Thift Executives	Honoraria - 1,000.00
	LTV Aerospace & Defense Co.	Honoraria - 1,500.00
	Evergreen Air Center, Inc.	Honoraria - 2,000.00
	TOTAL HONORARIA:	$9,800.00
VII	Evergreen Air Center, Inc: Food, Transportation; PHX-PDX	
	Business Executives for National Security: Lodging, Transportation DCA-SEA-DCA	
	Dave Dietz and Associates: Lodging	
	Martin Marietta: Lodging	

Denny Smith

12 MAY 87

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Lamar S, Smith
(Full Name)

2208 Foxboro Place, N.W.
(Mailing Address)

Washington, D.C. 20007

mc
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 21 State Texas

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
N/A			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See Statement 2		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See Statement 3	
and 3a	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
SOLD: Gannett Co., Inc. Stock	1986	C
SOLD: Lago Vista Joint Venture interest 14.8%	1987	D
Real Estate Partnership-Bandera County		
SOLD Oak Forest Joint Venture interest 40%	1987	E
Real Estate Partnership -Bandera County		
SOLD: San Antonio Country Club (1 share)	1987	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
N/A	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. *The source and a brief description of gifts of transportation, lodging, food, or entertainment aggregating $250 or more in value received from any source during calendar year 1986.*

SOURCE	BRIEF DESCRIPTION
N/A	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
N/A		

[illegible]

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
N/A	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Partner	Lamar Seeligson Ranch

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
N/A		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Lamar Smith	June 1, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE : 1987

8 7 1 7 1 2 2 0 0 2 5

Statement 2
Income IIb

Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Lamar Smith
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*
Category	Interest Income
A	Congressional Credit Union
A	National Bank of Commerce
A	National Financial Services
	Dividend Income
A	National Bancshares
A	American Express
A	AT & T
A	General Electric
A	DST Inc.
A	Pacific Telephone
A	Bell Atlantic
A	U.S. West
A	Bell South
A	Nynex
A	SW Bell
A	General Investment Corp.
D	Through Nat'l. Financial Services Corp.
	Rent and Royalty Income
	Through Lamar Seeligson Ranch
G	Mineral Royalties
A	Surface and Delay Rental
B	Surface Damages
E	Livestock and Crops
	Jane Smith (wife) Interest Income
A	Proctor and Gamble
A	Southwest Airlines

GPO 1986 81-488 (m)

Statement 3
Holdings III

Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Lamar S. Smith
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*
Category	Various Holdings
E	One-half undivided interest vacant lot Bexar County
F	Life tenant undivided surface and mineral (25%) interest L. Seeligson Ranch
D	Partnership Interest (50%)
	Lamar Seeligson Ranch
	Stock Holdings
*C	General Electric
*C	National Bancshares Corp.
*D	American Express
*D	CBS Inc.
*G	IBM
*F	Pepsico
B	Congressional Credit Union
* B	AT & T
* A	Pacific Tele.
* A	Bell Atlantic
* A	U.S. West
* A	Bell South
* A	NYNEX
*	Lamar Smith, Life Tenant (life interest only)
	Jane Smith (wife) Stock Holdings
A	Proctor and Gamble
A	Southwest Airlines

GPO: 1986 61-688 (m)

Statement 3a [illegible]

Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Lamar S. Smith/Children Tobin and Nell
(Name)

Continuation Sheet

Part — Source, Type, Amount, Category, Description or Value (As Applicable)

Dependent Children - Holdings

Tobin Smith

Source	Year	Type	Amount	Value
Cullen-Frost Bank (stock)	1987	value no dividend	D	
Louisiana Land and Exploration Royalty Trust	1987	dividend	A	
Nat'l. Bancshares Corp. of TX	1987	value	D	
Money Market Fund	1987	dividend	B	C
Joint venture Lago Vista (property)	1987	value	C	interest E
Joint venture Oak Forest (property)	1987	value	B	interest D

Nell Smith

Source	Year	Type	Amount	Value
Cullen-Frost Bank (stock)	1987	value	D	
Louisiana Land and Exploration Royalty Trust	1987	dividend	A	
Nat'l. Bancshares Corp. of TX	1987	value	E	
Money Market Fund	1987	dividend	C	D
Joint venture Lago Vista (property)	1987	value	C	interest E
Joint venture Oak Forest (property)	1987	value	B	interest D

	Description	Transactions	Year	Category
Tobin:	Sold	Eastman Stock	1987	B
	Sold	Kodak " "	1987	B
Nell:	Sold	Eastman Stock	1987	C
	Sold	McDonalds " "	1987	C

Description	Liabilities	Category
Tobin	Indebtedness on Lago Vista	A
	" " on Oak Forest	C
Nell	" " on Lago Vista	A
	" " on Oak Forest	C

HAND DELIVERED

LAMAR SMITH
21st DISTRICT, TEXAS
JOHN W. LAMPMANN
ADMINISTRATIVE ASSISTANT

COMMITTEE ON THE JUDICIARY
SUBCOMMITTEE ON CRIME
SUBCOMMITTEE ON ADMINISTRATIVE LAW
AND GOVERNMENTAL RELATIONS

COMMITTEE ON SCIENCE, SPACE
AND TECHNOLOGY
SUBCOMMITTEE ON ENERGY
RESEARCH AND DEVELOPMENT
SUBCOMMITTEE ON SCIENCE
RESEARCH AND TECHNOLOGY

Congress of the United States
House of Representatives

509 Cannon House Office Building
WASHINGTON, DC 20515
(202) 225-4236

10010 San Pedro Avenue
Suite 530
SAN ANTONIO, 78216
(512) 229-5880

201 West Wall Street
Suite 104
MIDLAND, 79701
(915) 687-5232

33 East Twohig Street
Suite 302
SAN ANGELO, 76903
(915) 653-3971

1006 Junction Highway
KERRVILLE, 78028
(512) 895-1414

June 2, 1987

The Honorable Julian Dixon
Chairman
Committee of Standards
on Financial Conduct
HT-2 Capitol
Washington, D.C. 20009

Mc ✓

Dear Mr. Chairman:

Enclosed you will find an amended statement for my financial disclosure form filed June 1.

Your assistance in this matter is much appreciated.

Sincerely,

Lamar Smith
Member of Congress

LS:cp

HAND DELIVERED [illegible]

Statement 3
Holdings III

AMENDED

Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Lamar S. Smith
(Name)

ymc ✓

Continuation Sheet

Part | *Source, Type, Amount, Category, Description or Value (As Applicable)*

Category	Various Holdings
E	One-half undivided interest vacant lot Bexar County
F	Life tenant undivided surface and mineral (25%) interest L. Seeligson Ranch
D	Partnership Interest 50% Lamar Seeligson Ranch
	Stock Holdings
*C	General Electric
*B	National Bancshares Corp.
*B	American Express
*B	CBS Inc.
*E	IBM
*D	Pepsico
B	Congressional Credit Union
*B	AT & T
*A	Pacific Tele.
*A	Bell Atlantic
*A	U.S. West
*A	Bell South
*A	NYNEX
*	Lamar Smith, Life Tenant (life interest only)
	Jane Smith (wife) Stock Holdings
A	Proctor and Gamble
A	Southwest Airlines

GPO: 1986 61-[illegible] (m)

HAND DELIVERED
21ST DISTRICT, TEXAS
JOHN W. LAMPMANN
ADMINISTRATIVE ASSISTANT

COMMITTEE ON THE JUDICIARY
SUBCOMMITTEE ON CRIME
SUBCOMMITTEE ON ADMINISTRATIVE LAW AND GOVERNMENTAL RELATIONS

COMMITTEE ON SCIENCE, SPACE AND TECHNOLOGY
SUBCOMMITTEE ON ENERGY RESEARCH AND DEVELOPMENT
SUBCOMMITTEE ON SCIENCE, RESEARCH AND TECHNOLOGY

Congress of the United States
House of Representatives

509 Cannon House Office Building
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(915) 687-5232

33 East Twohig Street
Suite 302
San Angelo 76903
(915) 653-3971

1006 Junction Highway
Kerrville 78028
(512) 895-1414

June 9, 1987

The Honorable Julian C. Dixon
Chairman, Committee on Standards
of Official Committee
Suite HT-2 U.S. Capitol
Washington, D.C. 20515

Mc ✓

Dear Mr. Chairman:

This letter answers questions pertaining to my Financial Disclosure form filed June 1.

Under Part III Holdings:

4. Amend candidate's form by deleting Duncan Smith and Underwood-Neuhaus under "holdings." Both are firms that served as brockers and holders of securities for my accounts.

5. National Financial Services should be listed under "holdings" (category A.)

Under section IV. Transactions:

Number three should be amended to include the following dates:

Sold: Gannett Co. Inc. Stock	May 1986
Sold: National Bancshares Corp. of Texas stock	May 1986
Sold: Lago Vista	January 1987
Sold: Oak Forest	January 1987
Sold: San Antonio Country Club (one share)	May 1987

To answer number six, amend candidate's form to include under "holdings": AT&T, Pacific Telephone, Bell Atlantic, U.S. West, Bell South, NYNEX, Proctor & Gamble, Southwest Airlines, Cullen-Frost, National Bancshares and the Money Market Fund.

Part V Liabilities:

2. The liabilities listed on statement 3A are held by my children, Tobin and Nell Smith.

Thank you for your assistance and guidance in amending my statement.

Sincerely,

Lamar Smith

Lamar Smith
Member of Congress

LS:cp

HAND DELIVERED

[illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Lawrence J. Smith
(Full Name)

3511 N. 52nd Avenue
(Mailing Address)

Hollywood, Florida 33021

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 16 State Florida

☐ Officer or Employee—Employing Office ________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.

- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.

- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See Attached Schedule			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
California Federal Savings & Loan	Interest	B
Standard Federal Savings & Loan	Interest	B
Gold Coast Savings	Interest	A
Prudential-Bache	Dividends	A
Smith and Berman	Capital Gain	E

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO __X__ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Partnership-Tallwood Associates, 1844 Larette Dr. Tall., Fl.	
Capital Account and Appreciation	B
Gold Coast Savings--100 Shares	A
S. Corp: Lauderdale Airport Assoc., Inc. Griffin Rd., Dania, Fl.	A
Hollywood Fed. Savings & Loan Assoc. of Hollywood Florida	A
Standard Federal Savings & Loan, Bethesda, Md.	C
3214 Prudential Bache Money Market	A
California Federal Savings & Loan, Hollywood, Florida	B
WRIGHT PATMAN FEDERAL CREDIT UNION, WASH. D.C.	A

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Transfer of Partnership Interest in Sherwood M. Weiser as Trustee to S. Corporation (exchange) Interest in Lauderdale Airport Associates, Inc.	12/86	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
Miscellaneous Donors	Unsolicited gifts delivered to office mostly books, tapes, & nic-nacs.	$250-$300

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
See attached schedule	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director	Gold Coast Savings Bank--Broward County, Florida
Partner	Tallwood Associates--Tallahassee, Florida
Shareholder	Lauderdale Airport Associates, Inc.--Broward Cty. Fl.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5-12-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

Page ____ of ____

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Lawrence J. Smith
(Name)

Continuation Sheet

Part		Source, Type, Amount, Category, Description or Value (As Applicable)			
IIA		Source	Date	Type	Amount
	a.	Elect. Ind. Assoc.	1/86	Honorarium	$1000.00
	b.	S. Broward Jewish Fed.	3/31/86	Honorarium	$2000.00
	c.	Dev. Corp. for Israel	3/3/86	Honorarium	$1000.00
	d.	Block Yeshiva High School	4/86	Honorarium	$1500.00
	e.	Natl. Assoc. Broadcasters	5/14/86	Honorarium	$1500.00
	f.	American Cyanamid Corp.	7/1/86	Honorarium	$1000.00
	g.	Lulac Foundation	7/9/86	Honorarium	$1000.00
	h.	Presidential Airways	8/13/86	Honorarium	$1000.00
	i.	Winters Chocolate	9/15/86	Honorarium	$1000.00
	j.	HUV PAC	11/23/86	Honorarium	$1500.00
	k.	Dev. Corp. for Israel	12/4/86	Honorarium	$ 300.00
	l.	So. Broward Jewish Fed.	12/8/86	Honorarium	$2000.00
	m.	U.J.A. Richmond, VA.	12/23/86	Honorarium	$1000.00
					$15,800
		Gold Coast Savings 1986 Director's Fee			$200.00
VII		Elect. Ind. Assoc.	1/86	Travel & Lodging	
		Block Yeshiva High School	4/86	Travel & Lodging	
		Nat. Assoc. Broadcasters	5/86	Travel & Lodging	
		American Cyanimid Corp.	7/86	Travel	
		Lulac Foundation	7/86	Travel & Lodging	
		Hudson Valley PAC	11/86	Travel	
		Dev. Corp. for Israel	12/86	Travel & Lodging	
		United Jewish Appeal	12/86	Travel	

GPO [illegible]

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Neal Smith
(Full Name)

7504 NE 12th Ave.
(Mailing Address)

Altoona, Iowa 50009

(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 4th State Iowa

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

RE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR ROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY EET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Gross Income from farming		71,661	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Interest in Real Estate Contract		B
House Rent		B
Land Rent		B
Interest		C

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Share of Green Belt (agricultural land & crops)	E
Share of Farm Farm Eelt (Family Farm Corporation)	F
Share of Romack Farms	F
Farm operation including land, equipment & related property	F
Note: holdings listed include joint property with spouse	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Not applicable		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Real Estate Mortgage - Equitable Ins. Co.	D
Business line of credit - 1st Interstate	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Not applicable	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
Not applicable		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
Not applicable	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Consultant-Representative	Farm Belt & family farming enterprises

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
		None

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Neal Smith	4/11/1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

[illegible]

NEAL SMITH
MEMBER OF CONGRESS
FOURTH DISTRICT, IOWA

Congress of the United States
House of Representatives
Washington, DC 20515

HAND DELIVERED

May 12, 1987

MC

Committee on Standards of Official Conduct
House of Representatives
Washington, D.C. 20515

Dear Sirs:

Enclosed please find a response and further explanation as requested.

Sincerely,

Neal Smith
Neal Smith
Member of Congress

HAND DELIVERED

8 7 2 ' 2 2 2 1 3 1 2

FINANCIAL DISCLOSURE CHECKLIST--FORM A

FILER: HONORABLE NEAL SMITH

PART I. ( ) SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION BOX NOT CHECKED (p. 1).

PART II. INCOME
A. Earned Income
( ) 1. If none, so indicate.
( ) 2. Indicate date of receipt for honoraria.
( ) 3. Honorarium limit of $2,000 per event exceeded.
( ) 4. Source not properly shown.
( ) 5. Type not properly shown.
( ) 6. Gross amount not properly shown.
( ) 7. Outside earned income limitation exceeded by _.
( ) 8. Explanation: _
B. Unearned Income
( ) 1. If none, so indicate.
(X) 2. Source not properly shown.
( ) 3. Type not properly shown.
( ) 4. Category of value not properly shown.
(X) 5. Explanation: List the specific source for each item in Part II-B.

PART III. HOLDINGS
( ) 1. If none, so indicate.
( ) 2. TRUST HOLDINGS BOX NOT CHECKED (p. 2).
( ) 3. Identity or category not properly reported.
( ) 4. Indicate disposition of holdings reported in preceding year.
(X) 5. Assets generating income at Part II-B not reported.
(X) 6. Explanation: List holding generating "House Rent" in Part II-B.

IT IS Shown. Rented house is a part of the Farm operation listed under part III.

PART IV. TRANSACTIONS
( ) 1. If none, so indicate.
( ) 2. Description not properly shown.
( ) 3. Date not properly shown.
( ) 4. Category of value not properly shown.
( ) 5. Indicate whether purchased, sold, or exchanged.
( ) 6. New/old holding(s) not shown as acquisition(s)/sale(s).
( ) 7. Explanation: _

PART V. LIABILITIES
( ) 1. If none, so indicate.
( ) 2. Liabilities not identified sufficiently.
( ) 3. Type of indebtedness not indicated.
( ) 4. Explanation: _

PART VI. GIFTS
A. Transportation, Lodging, Food, or Entertainment (value of $250 or more)
( ) 1. If none, so indicate.
( ) 2. Source not sufficient.
( ) 3. Description not sufficient.
( ) 4. Explanation: _
B. All Other Gifts (value of $100 or more)
( ) 1. If none, so indicate.
( ) 2. Source not sufficient.
( ) 3. Description not sufficient.
( ) 4. Value not properly reported.
( ) 5. Explanation: _

PART VII. REIMBURSEMENTS
( ) 1. If none, so indicate.
( ) 2. Source not sufficient.
( ) 3. Description not sufficient.
( ) 4. Explanation: _

PART VIII. POSITIONS
( ) 1. If none, so indicate.
( ) 2. Position not sufficiently identified.
( ) 3. Name of organization not sufficient.
( ) 4. Explanation: _

PART IX. AGREEMENTS
( ) 1. If none, so indicate.
( ) 2. More detail needed on agreements.
( ) 3. Explanation: _

( ) STATEMENT NOT SIGNED OR DATED.
( ) ILLEGIBLE.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting **honoraria**, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT **must be indicated.** Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a **calendar year**. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, **assigned** to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Gross Income from farming		71,661	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Interest in Real Estate Contract	(Purchased)	B
House Rent	(Purchased)	B
Land Rent	(Inherited)	B
Interest	(Gov't. Bond - BANK act.)	C

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.** **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Share of Green Belt (agricultural land & crops)	E
Share of Farm Farm Belt (Family Farm Corporation)	F
Share of Romack Farms	F
Farm operation including land, equipment & related property	F
Note; holdings listed include joint property with spouse	

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Robert Clinton Smith
(Full Name)

Box 658
(Mailing Address)

Wolfeboro, New Hampshire 03894

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 1st State New Hampshire

☐ Officer or Employee—Employing Office ____

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION" **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
NONE			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
State Farm Life Ins. Co., Bloomington, Illinois	interest	A
Home FederalSavings Loan, Torrance, Calif.	interest	A
BankEast, Wolfeboro, N.H.	dividend	A
Congressional Federal Credit Union	interest	A
Commerce Income Shares, Inc., Clay St., Houston, TX	dividend	A
New Perspective Fund, Inc., Box 60829, L.A., Calif.	dividend	B
American Capital Fund-Enterprise	dividend	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Land - woodlot, Tuftonboro, N.H. 30+acres	C
Land - Wilderness woodlot (250 acres) Wolfeboro, NH	E
Building - Wolfeboro, NH (real estate)	A
Common stock - Panetto's Mex. Buffet	A
Mutual Fund - Commerce Income Shares, Inc.	A
Stock - BankEast (Kingswood Bank & Trust) Wolfeboro, NH	B

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Partial proceeds from sale of business stock, Yankee Pedlar	Dec. 1986	B
Mortgage payoff from sale of real property to Milligan/ Legge, Wolfeboro, NH	Oct. 1986	C
Sale of real property - Tuftonboro, NH to Carl Hansen, Ctr. Tuftonboro	Apr. 1986	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
BankEast - Kingswood, Wolfeboro, NH (1st mortgage, Wolfeboro,NH)	D
BankEast - Kingswood, Wolfeboro, NH (demand note)	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Colorado Veterans Alliance	POW/MIA speech
Fort Collins, Colorado	Airfare (round trip) $410.00
(airfare, hotel (2 nights), meal)	Hotel accomodations $123.64

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Treasurer -	The Yankee Pedlar, Inc., Wolfeboro, N.H.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Robert C Smith	5/13/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987 69-228 (A)

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Page _____ of _____

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Robert C. Smith, 1st, New Hampshire
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As applicable)*		
II-B	Lee's Candy Kitchen	Rent	Category A
II-B	Ron Milligan & Sharon Legge, Wolfeboro, NH	interest	Category C
II-B	Eugene Schulz, 108 Lowell St., Andover, MA	interest	Category B
II-B	George Hutchinson, Wolfeboro, NH	interest	Category C
III	Mutual Fund - New Perspective, Inc.		Category A
III	Mutual Fund - American Cap. Enterprise		Category B
III	Common stock - Fifth Avenue Cards		Category A
III	Real Estate Mortgage - Miller/Legge, Wolfeboro,NH		Category C
III	Real Estate Mortgage - Eugene Schulz, Ossipee, NH		Category C
III	Real Estate Mortgage - George Hutchinson from sale of business in Wolfeboro, NH		Category B
III	Savings accounts at Congressional Federal Credit Union and savings at Kingswood Bank in Wolfeboro, NH and Home Federal in Torrance, Calif.		Category C
III	IRA Account at Wolfeboro National Bank, Wolfeboro,NH		Category A

GPO: 1[illegible] 61-[illegible] (m)

[illegible]

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A–For use by Members, officers, and employees

ROBERT FREEMAN SMITH
(Full Name)

118 Cannon House Office BUilding
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks:

☒ Member of the U.S. House of Representatives–District 2nd State OREGON

☐ Officer or Employee–Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "print-outs" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and
(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and
(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Associated General Contractors of America	Honorarium	$2,000	
Pacwest Bancorp	Director fees	$3,750	
Key Bank of Oregon	"	" 2,800	
Oregon Soft Drink Association	Honorarium	$1,000	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Residence, Burns, Oregon	Rent	D
United States Nat'l Bank	Interest	B
Silvies Valley Grazing Assoc.	Interest	D
Tenneco, Inc.	Dividends	A
Cattle Ranch (spouse)	Rent	E
Wilburn Bldg, Burns, OR.	Rent	D
Tiller Bldg, Burns	Rent	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Ranch Property, Burns, Oregon	F
Wilburn Building, Burns, Oregon	C
Tiller BUilding, Burns, Oregon	B
Pacwest Stock	E
Silvies Valley Grazing Assoc. Harney County, Oregon	C

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Connecticut Mutual Insurance Co.	E
Federal Land Bank	D
United Virginia Bank	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Associated General Contractors of America	Transp. lodging & food, Honolulu, HI
Chinese Nat'l Assc of Industry & Commerce	Transp, lodging & food, Taiwan
Hawaiian Sugar	Lodging & food, Honolulu, HI

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director	Key Bank of Oregon
Director	Pacwest Bancorp
Trustee	Willamette University, Board of Directors
Trustee	Orville Corbett Estate

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Robert F Smith (Ore)	May 6, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

Page 1 of 1

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

ROBERT F. SMITH
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*
II Unearned Income	Louisiana Pacific Dividends A

3 [illegible] 2 7 3 2 2 3 1 2 5

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

HONORABLE VIRGINIA DODD SMITH
(Full Name)

2202 Rayburn House Office Building
(Mailing Address)

Washington, DC 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 03 State Nebraska

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
None		None	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Douglas County Data Documents, 8%-1990	Interest	A
Douglas County Pamida IDR 6.15%-1990	"	A
Douglas County #219, 6.70%-1991	"	B
First Insured Series #39	"	B
First Insured Series #43	"	B
First Insured Series #59	"	C

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO _____ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Douglas County Data Documents, 8%-1990	B
Douglas County Pamida IDR, 6.15%-1990	B
Douglas County #219, 6.70%-1991	C
First Insured Series #39	C
First Insured Series #43	C
First Insured Series #59	C

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Nuveen Bond Trust, Purchased	May/Aug'86	E
Spouse: Nuveen Bond Trust, Purchased	July '86	E

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Virginia D Smith	May 14, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 69-228 (a)

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Page 5 of 6

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

HONORABLE VIRGINIA DODD SMITH
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*		
B	First Insured Series #84	INTEREST	B
B	First Insured Series #89	"	C
B	Fremont Electric Rev., 5.60%-2001	"	A
B	Grand Island Electric Rev., 6.00%-1991	"	A
B	Hastings Electric Rev., 7.00%-2019	"	B
B	Lexington, Nebrasa, Rev., 9.25%-1991	"	B
B	Lincoln Electric Rev., 5.90%-2014	"	B
B	Lincoln Electric Rev., 6.125%-2011	"	A
B	Lincoln Electric Rev., 9.50%-2016	"	C
B	Midlands Hospital, 6.75%-2001	"	A
B	Montana University Housing, 3.70%-1998	"	A
B	Municipal Inv. Trust Series "T"	"	A
B	Municipal Inv. Trust 2nd Month Series	"	A
B	Municipal Inv. Trust 9th Month Series	"	B
B	Municipal Inv. Trust 10th Month Series	"	A
B	Municipal Inv. Trust 25th Month Series	"	A
B	Municipal Inv. Trust 298th Month Series	"	C
B	Nebraska Mtg. Finance Inv. Fund, 9.875%-2011	"	C
B	Nebraska Public Power District, 6.30%-2004	"	C
B	Omaha Public Power Distirct, 6.125%-2017	"	C
B	Valentine, Nebraska G.O., 5.75%-1994	"	A
B	Valley, Nebraska, 5.80%-1992	"	A
B	Valley, Nebraska, 6.00%-1994	"	A.
B	Farmland, Shenandoah, Ia, Chappell, NE	Rent	E
B	Savings Account	Interest	D
B	Union Electric Co. 7.875%-2001	Interest	A
B	Nuveen Bond Fund	Interest	C
Spouse B	Douglas Co. #219, 6.60%-1990	Interest	A
Spouse B	Cozad Hospital, 5.60%-1991	"	B
Spouse B	First Insured Series #37	"	B
Spouse B	First Insured Series #39	"	B
Spouse B	First Insured Series #56	"	B
Spouse B	First Insured Series #59	"	C
Spouse B	First Insured Series #99	"	D
Spouse B	Lincoln Electric Rev., 5.90%-2014	"	B
Spouse B	Lincoln Electric Rev., 6.10%-2011	"	A
Spouse B	Lincoln Electric Rev., 6.125%-2011	"	A
Spouse B	Nebraska Mtg. Finance Fund. 9.875%-2011	"	A
Spouse B	Nebraska Public Power District, 7.10%-2017	"	D
Spouse B	Nebraska Public Power District, 6.124%-2017	"	B
Spouse B	Omaha Public Power District, 6.125%-2017	"	B
Spouse B	Valentine, Nebraska G.O. 5.75%-1994	"	A
Spouse B	Farmland, Chappell, NE	Rent	D
Spouse B	Savings Account	Interest	B
Spouse B	Nuveen Bond Trust	Interest	C
III	First Insured Series #84		C
III	First Insured Series #89		C
III	Fremont Electrict Rev., 5.60%-2001		B
III	Grand Island Electrict Rev., 6.00%-1991		A
III	Hastings Electric Rev., 7.00%-2019		C
III	Lexington, Nebraska Rev., 9.25%-1991		C
III	Lincoln Electric Rev., 5.90%-2014		B
III	Lincoln Electric Rev., 6.125%-2011		B
III	Lincoln Electric Rev., 9.50%-2016		C
III	Midlands Hospital, 6.75%-2001		B

GPO : 1985 - 43-135

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Page 6 of 6

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

HONORABLE VIRGINIA DODD SMITH
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
III	Montana University Housing, 3.70%-1998	A
III	Municipal Investment Trust, Series "T"	A
III	Municipal Investment Trust, 2nd Month Series	B
III	Municipal Investment Trust, 9th Month Series	C
III	Municipal Investment Trust, 10th Month Series	B
III	Municipal Investment Trust, 25th Month Series	A
III	Municipal Investment Trust, 298th Month Series	C
III	Nebraska Mtg. Finance Inv. Fund, 9.875%-2011	C
III	Nebraska Public Power Dist., 6.30%-2004	C
III	Omaha Public Power Dist., 6.125%-2017	C
III	Valentine, Nebraska G.O., 5.75%-1994	B
III	Valley, Nebraska 5.80%-1992	B
III	Valley, Nebraska 6.00%-1994	A
III	Union Electric Co., 7.875%-2001	A
III	Savings Account	D
III	Farmland, Chappell, NE 800 Ac, Shenandoah, IA, 748 Ac	F
Spouse III	Douglas Co. #219, 6.60%-1990	B
Spouse III	Cozad Hospital, 5.60%-1991	C
Spouse III	First Insured Series #37	C
Spouse III	First Insured Series #39	C
Spouse III	First Insured Series #56	C
Spouse III	First Insured Series #59	C
Spouse III	First Insured Series #99	D
Spouse III	Lincoln Electric Rev., 5.90%-2014	B
Spouse III	Lincoln Electric Rev., 6.10%-2011	B
Spouse III	Lincoln Electric Rev., 6.125%-2011	A
Spouse III	Nebraska Mtg. Finance Fund, 9.875%-2011	B
Spouse III	Nebraska Public Power Dist., 7.10%-2017	D
Spouse III	Nebraska Public Power Dist., 6.125%-2017	B
Spouse III	Omaha Public Power Dist., 6.125%-2017	C
Spouse III	Valentine, Nebraska G.O., 5.75%-1994	B
Spouse III	Farmland, Chappell, NE 1200 Acres	F

GPO : 1985 - 43-135

HAND DELIVERED [illegible]

VIRGINIA SMITH
3D DISTRICT, NEBRASKA

2202 RAYBURN BUILDING
(202) 225-6435

Congress of the United States
House of Representatives
Washington, DC 20515

COMMITTEE
APPROPRIATIONS
SUBCOMMITTEES
AGRICULTURE
ENERGY AND
WATER DEVELOPMENT

May 19, 1987

Clerk
US House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

✓

mc

Dear Sir:

By this letter, I wish to amend my Financial Disclosure Statement.

Inadvertently in"Part III. Holdings," I overlooked checking the"Trust Holdings Box".

Regarding the question in Part III -- "Do you, your spouse or dependent child receive income from or have a beneficial interest in a turst or other financial arrangement whose holdings were not reported because the trust is a "Qualified Blind Trust" or other Excepted Trust?" My answer is: NO.

With best wishes, I am

Sincerely,

Virginia Smith

VIRGINIA SMITH
Member of Congress

VS/cc

HAND DELIVERED [illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A–For use by Members, officers, and employees

Olympia J. Snowe
(Full Name)

2464 Rayburn House Office Building
(Mailing Address)

Washington, D.C.20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives–District 2nd State Maine

☐ Officer or Employee–Employing Office ________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION" **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
New England Life (check received June 16, 1986)	Honorarium	$2,000.	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A--not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Municipal Investment Fund	Interest	C
Key Bank	Interest	B
Wright-Patman Congressional Federal Credit Union	Interest	A
Municipal Investment Fund	Capital Gains	A
Moseley, Hallgarten Coastal Savings	Dividend Income	A
Key Bank	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F--over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust, or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? **YES** ______ **NO** XX **NA** ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY		CATEGORY
Municipal Investment Fund	(Bonds)	C
Key Bank	(IRA)	B
Key Bank	(Ira contribution)	A
Key Bank	(Savings)	C
Coastal Savings	(Common Stock)	E
Moseley, Hallgarten	(Money Market)	E

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION		DATE	CATEGORY
Municipal Investment Fund	(Bond Maturity)	8/15/86	B
Municipal Investment Fund	(Bond Maturity)	11/15/86	A
Municipal Investment Fund	(Bond Maturity)	10/15/86	A
Municipal Investment Fund	(Bond Maturity)	3/15/86	A
Municipal Investment Fund	(Bond Maturity)	5/15/86	A
Coastal Savings	(Sale of Common Stock)	12/29/86	E

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Washington, D.C. residence (Key Bank)	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
New England Mutual Life Insurance Company	Reimbursement for Airfare
Boston, Massachusetts	Portland to Boston to
	Washington,D.C. $191.50

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Corporator	Mechanics Savings Bank
Trustee	Kents Hill School
Trustee	Dole Foundation

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Olympia J. Snowe	May 13, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

[illegible]

Page 1 of 1

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

- Olympia J. Snowe
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II. B.	Moseley, Hallgarten	Interest	A
II. B.	Coastal Savings	Capital Gains	E
III.	Wright-Patman Congressional Federal Credit		
	Union (savings)		C
III.	Equitec Real Estate Interest Fund XIII		
	(limited partnership)		C

GPO [illegible]

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A–For use by Members, officers, and employees

STEPHEN J. SOLARZ
(Full Name)
1536 Longworth Building
(Mailing Address)
Washington, DC 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

XX Member of the U.S. House of Representatives–District 13 State New York

☐ Officer or Employee–Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
SEE ATTACHED CONTINUATION SHEET			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Rental Income - Vacation Home	Rental	E
Blind Trust I	Div. & Int.	D
Blind Trust II	Div. & Int.	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _XX_ NO ____ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Vacation Home - Seaview, New York	F

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
Sanford Greenberg	Clock	150.00

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
SEE ATTACHED CONTINUATION SHEET	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Trustee	Brandeis University
Board of Directors	International Rescue Committee

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 15, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

Continuation Sheet -- Cong. Stephen J. Solarz - PART II 1 of 2

1986 HONORARIA

Date of Receipt	Source	Type	Amount
1/21/86	St. John's University	Speech	$2,000
1/23/86	Ocean County Jewish Federation	Speech	2,000
1/23/86	Christian Science Monitor	Article	85
2/5/86	United Jewish Appeal	Speech	1,000
2/5/86	United Jewish Appeal	Speech	1,000
2/5/86	United Jewish Appeal	Speech	2,000
2/5/86	United Jewish Appeal	Speech	2,000
2/5/86	Foreign Policy Association	Speech	250
2/12/86	The Washington Post	Article	100
2/12/86	CNN "Crossfire"	Interview	50
2/19/86	University of New Haven	Speech	1,250
3/3/86	Kollel Bais - Yitzchok Institute for Torah Studies	Speech	2,000
3/11/86	University of Massachusetts at Amherst	Speech	1,500
3/11/86	The New York Times	Article	150
4/17/86	St. Francis College	Speech	150
4/17/86	Brandeis University Brin Lecture	Speech	1,000
4/17/86	Brookings Institution	Speech	1,000
5/7/86	The Fay Improvement Company	Speech	1,000
5/7/86	Aspen Institute	Speech	1,000
5/27/86	General Foods	Speech	2,000
6/2/86	"Firing Line"	Interview	250
6/2/86	The Washington Post	Article	150
6/11/86	Congregation Etz Chaim	Speech	1,000
6/11/86	The Washington Institute	Speech	2,000*

Continuation Sheet - Cong. Stephen J. Solarz - PART II 2 of 2

6/11/86	United Jewish Appeal	Speech	2,000
7/15/86	American Friends of Hebrew Univ.	Speech	2,000
7/15/86	The Los Angeles Times	Article	150
8/1/86	Foreign Policy Magazine	Article	400
8/13/86	The New York Times	Article	150
8/21/86	The Christian Science Monitor	Article	50
8/21/86	The Los Angeles Times	Article	150
9/25/86	Harvard University - East Asia Conference	Speech	250
10/14/86	Aspen Institute	Speech	1,000
10/14/86	Young Men's and Young Women's Hebrew Association	Speech	1,500
11/11/86	The Washington Post	Article	100
11/11/86	University of Wisconsin Int'l. Cooperation and Studies Program	Speech	1,000
11/11/86	The Los Angeles Times	Article	150
11/20/86	The Washington Institute	Speech	2,000*
12/15/86	The Wall Street Journal	Article	300
	TOTAL HONORARIA RECEIVED IN 1986		$36,135
	EARNED INCOME IN EXCESS OF LIMITATION		$13,605*

*(Donated to Charities)

Continuation Sheet -- Cong. Stephen J. Solarz - PART VII 1 of 2

1986 REIMBURSEMENTS

Source	Brief Description
United Jewish Appeal (Speech) Albany, New York	Roundtrip airfare: DC-Albany-DC; 1 night lodging.
Univ. of New Haven (Speech) New Haven, Conn.	Roundtrip airfare: NY-New Haven, Conn.-NY; 1 night lodging.
United Jewish Appeal (Speech) Chicago, Illinois	Roundtrip airfare: DC-Chicago-DC
United Jewish Appeal (Speech) New York City	One way airfare: NY-DC
United Jewish Appeal (Speech) Toms River, New Jersey	Roundtrip airfare: DC-Newark-DC
Kollel Bais - Yitzchok Institue Torah Studies (Speech) Pittsburgh, PA	Roundtrip airfare: NY-for Pittsburgh-NY; 1 night lodging
Congressional Charity Tennis Tournament (Participant) White Sulphur Springs, W.Va.	Roundtrip bus service: DC-White Sulphur Springs, W.Va.-DC; 2 nights lodging and meals.
United Jewish Appeal (Speech) Cleveland, Ohio	Roundtrip airfare: NY-Cleveland-NY
Brookings Institution (Speech; expenses for self and spouse) Seoul, Korea	Roundtrip airfare: DC-Seoul, Korea-DC; 3 nights lodging.
Brandeis University (Speech) Waltham, Mass.	Roundtrip airfare: DC-Boston-DC
Wellesley College (Speech; expenses for self and spouse) Wellesley, Mass.	Roundtrip airfare: DC-Boston-DC; 1 night lodging and meals
Yale Univ. Center for Int'l. and Studies (Speech) Haven, Conn.	Roundtrip travel: NY-New Area Haven, Conn.-NY; 1 night New lodging and meals.
"Firing Line" Show (Interview) New York City	Roundtrip airfare: DC-NY-DC
General Foods (Speech) Jacksonville, Florida	Roundtrip airfare: DC-Jacksonville, Fl.-NY-DC; 1 night lodging and meals

Hartford Jewish Community Center (Speech)	Roundtrip travel: NY-Hartford-DC; 1 night lodging
American Friends of Hebrew University (Speech) Milwaukee, Wisconsin	Roundtrip airfare: NY-Milwaukee-Boston; 1 night lodging and meals.
American Jewish Historical Society (Speech) Boston, Mass.	One way airfare: Boston-DC
Univ. of Wisconsin African Studies Assoc. (Speech) Madison, Wisconsin	Roundtrip airfare: NY-Madison-DC
Formosan Assoc. for Public Affairs (Speech) Los Angeles, Calif.	Airfare: DC-Los Angeles; 1 night lodging
Aspen Institute (Seminar participant) Bermuda	4 nights lodging and meals for self and spouse.
Centre for Strategic and Int'l. Studies (Speech; expenses for spouse)	Roundtrip airfare: DC-Jakarta-DC
Turkish Foreign Policy Institute (Conference Participant; expenses for self and spouse) Turkey	Roundtrip airfare: DC-Istanbul-Warsaw-DC; 3 nights lodging and meals.

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

STEPHEN J. SOLARZ
(Full Name)

1536 Longworth Building
(Mailing Address)

Washington, DC 20515

mc
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 13 State New York

☐ Officer or Employee—Employing Office ______

☑ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
SEE ATTACHED CONTINUATION SHEET			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Rental Income - Vacation Home	Rental	F
Blind Trust I	Div. & Int.	D
Blind Trust II	Div. & Int.	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES XX NO ______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Vacation Home - Seaview, New York	F

[illegible]

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
Sanford Greenberg	Clock	150.00

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
SEE ATTACHED CONTINUATION SHEET	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Trustee	Brandeis University
Board of Directors	International Rescue Committee

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	June 10, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

Continuation Sheet -- Cong. Stephen J. Solarz - Part II 1 of 2

1986 HONORARIA

Date of Receipt	Source	Type	Amount
1/21/86	St. John's University	Speech	$2,000
1/23/86	Ocean County Jewish Federation	Speech	2,000
1/23/86	Christian Science Monitor	Article	85
2/5/86	United Jewish Appeal Albany, New York	Speech	1,000
2/5/86	United Jewish Appeal Chicago, Illinois	Speech	1,000
2/5/86	United Jewish Appeal New York City	Speech	2,000
2/5/86	United Jewish Appeal Toms River, New Jersey	Speech	2,000
2/5/86	Foreign Policy Association	Speech	250
2/12/86	The Washington Post	Article	100
2/12/86	CNN "Crossfire"	Interview	50
2/19/86	University of New Haven	Speech	1,250
3/3/86	Kollel Bais - Yitzchok Institute for Torah Studies	Speech	2,000
3/11/86	University of Massachusetts at Amherst	Speech	1,500
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5/7/86	Aspen Institute	Speech	1,000
5/27/86	General Foods	Speech	2,000
6/2/86	"Firing Line"	Interview	250
6/2/86	The Washington Post	Article	150

Continuation Sheet -- Cong. Stephen J. Solarz - Part II 2 of 2

6/11/86	Congregation Etz Chaim	Speech	1,000
6/11/86	The Washington Institute	Speech	2,000*
6/11/86	United Jewish Appeal	Speech	2,000
7/15/86	American Friends of Hebrew Univ.	Speech	2,000
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	TOTAL HONORARIA RECEIVED IN 1986		$36,135
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*(Donated to Charities)

Continuation Sheet -- Cong. Stephen J. Solarz - Part VII 1 of 2

1986 REIMBURSEMENTS

Source	Brief Description
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United Jewish Appeal (Speech) New York City	One way airfare: NY-DC
United Jewish Appeal (Speech) Toms River, New Jersey	Roundtrip airfare: DC-Newark-DC
Kollel Bais - Yitzchok Institue Torah Studies (Speech) Pittsburgh, PA	Roundtrip airfare: NY-for Pittsburgh-NY; 1 night lodging
Congressional Charity Tennis Tournament (Participant) White Sulphur Springs, W.Va.	Roundtrip bus service: DC-White Sulphur Springs, W.Va.-DC; 2 nights lodging and meals.
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Brookings Institution (Speech; expenses for self and spouse) Seoul, Korea	Roundtrip airfare: DC-Seoul, Korea-DC; 3 nights lodging.
Brandeis University (Speech) Waltham, Mass.	Roundtrip airfare: DC-Boston-DC
Wellesley College (Speech; expenses for self and spouse) Wellesley, Mass.	Roundtrip airfare: DC-Boston-DC; 1 night lodging and meals
Yale Univ. Center for Int'l. and Area Studies (Speech) Haven, Conn.	Roundtrip travel: NY-New Haven, Conn.-NY; 1 night New lodging and meals.
"Firing Line" Show (Interview) New York City	Roundtrip airfare: DC-NY-DC
General Foods (Speech) Jacksonville, Florida	Roundtrip airfare: DC-Jacksonville, Fl.-NY-DC; 1 night lodging and meals

Continuation Sheet -- Cong. Stephen J. Solarz - Part VII 2 of 2

Hartford Jewish Community Center (Speech)	Roundtrip travel: NY-Hartford-DC; 1 night lodging
American Friends of Hebrew University (Speech) Milwaukee, Wisconsin	Roundtrip airfare: NY-Milwaukee-Boston; 1 night lodging and meals.
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Univ. of Wisconsin African Studies Assoc. (Speech) Madison, Wisconsin	Roundtrip airfare: NY-Madison-DC
Formosan Assoc. for Public Affairs (Speech) Los Angeles, Calif.	Airfare: DC-Los Angeles; 1 night lodging
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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Hon. Gerald B. Solomon
(Full Name)

2342 Rayburn House office Building
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 24 State NY

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

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WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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STANDARDS FOR EXEMPTION

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(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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GENERAL GUIDELINES:

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EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
U.S. Government Disability Compensation		2,616.00	
New York State Retirement		2,013.41	
Washington Inst./U.S. Comm on Asia-Pacific Peace and Stability	Honoraria	2,000.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Lake George Ventures Inc. Note (Replaces Top O' World Stock)	Interest	C
Congressional Federal Credit Union	Interest	A
First National Bank	Interest	A
Glens Falls National Bank	Interest	A
Associates of Glens Falls, Inc. Note	Interest	C
NYS Dormitory Authority Bonds	Interest	B
Associates of Glens Falls, Inc. Note	Capital Gains	D
Shearson Money Market Fund	Interest	A (sold)

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Glens Falls National Bank	B
First National Bank	B
Balance of Associates of Glens Falls, Inc., Buy and Sell Note	D
New York State Dormitory Bonds	B
Shearson Money Market Funds (SOLD)	A
Top O' The World Associates Limited Partnership Stock (SOLD)	D
Congressional Federal Credit Union	B
Lake George Ventures Inc. (Stock) (Replaces Top O' the World Stock)	C
Lake George Ventures Inc. (Note)	D

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Shearson Money Market Funds (SOLD)	Jan 86	A(SOLD)
Top O' World Limited Partnership (SOLD)	March 86	D(SOLD)
Lake George Ventures (Note for Sale of Top O' World)	March 86	D
Lake George Ventures Inc. Stock Purchase (Purchase)	March 86	C(Purchase)

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
First National Bank of Glens Falls	D
Lake George Ventures Inc. (Note payable for stock purchase L.G.V. Inc.)	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
ILHAE Foundation,Inst.of Intern. Rel. Found. and Pacific Community Institute (Touro College): Provided round trip transportation, food and lodging to participate in Pacific Institute Forum on trade and Foreign Policy Issues.	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Gerald -Limited Partner	Top O' The World Associates Limited Partnership (Resigned)
Gerald-Director	Shartera-D Development Co., Inc. (Resigned)
Wife-Freda-Sec/Treas/Dir	Shartera-D Development Co., Inc. (Resigned)
Wife-Freda-Sec/Treas/Dir	Top O' The World Homeowners Assoc. (Resigned)
Wife-Freda-Sec/Treas/Dir	Top O' The World Water Co., Inc. (Resigned)

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Solomon	5/14/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

GERALD B. SOLOMON
MEMBER OF CONGRESS
24TH DISTRICT, NEW YORK
ROOM 2342 RAYBURN BUILDING
WASHINGTON, DC 20515
(202) 225-5614

GASLIGHT SQUARE
SARATOGA SPRINGS, NY 12866
(518) 587-9800

MEMBER
HOUSE TASK FORCE ON AMERICAN
PRISONERS OF WAR AND
MISSING IN SOUTHEAST ASIA

Congress of the United States
House of Representatives
Washington, DC 20515

COMMITTEE ON VETERANS' AFFAIRS
VICE CHAIRMAN AND
SENIOR RANKING REPUBLICAN

COMMITTEE ON FOREIGN AFFAIRS
RANKING MEMBER
HUMAN RIGHTS AND
INTERNATIONAL ORGANIZATIONS

INTERNATIONAL ECONOMIC
POLICY AND TRADE

MEMBER
HOUSE TASK FORCE ON
NATIONAL DEFENSE

ASSISTANT FLOOR WHIP

May 7, 1987

Honorable Donnald Anderson
Clerk of the House
U.S. House of Representatives
Office of Records and Registration
1036 Longworth
Washington, D.C. 20515

Dear Don:

As required under section II A and B, I have reported all of the actual cash received in the Earned and Unearned catagories; however I would also like to report what (under the Financial Disclosure Rule) might be considered income because the Internal Revenue Service considers it to be taxable income even though the stock interest in a Limited Partnership called Top O' the World Associates was actually sold at a cash loss. The reason the I.R.S. takes this position is that early losses of the Limited partnership were passed on to the individual limited partners for write off purpose thereby depreciating the value of the stock.

I sold the stock, for which I paid $75,000.00, on March 31, 1986 for $56,250.00, to be paid to me in monthly payments over a 2 year period beginning one month after final development plans are approved. Payment is due to begin next month.

The sale, according to the I.R.S., generated a taxable income broken down as follows:

1. Net Ordinary Income	....	15,712.00
2. Capital Gains Income	....	40,333.00

The Stock Interest in Top O' the World Associates was jointly held in the name of Gerald and Freda Solomon.

Sincerely,

GERALD B. SOLOMON
Member of Congress

GBS/tbl

FRANCIS J. McMANUS
ANTHONY P. RICCIARDELLI
RICHARD E. COFFEY
[illegible]
[illegible]
[illegible]
[illegible]
[illegible]
[illegible]
[illegible]
PAUL A. CURTIS
[illegible]
[illegible]
[illegible]

[illegible]
[illegible]
[illegible]
[illegible]
[illegible]
[illegible]
[illegible]
[illegible]
[illegible]

EDWARDS, WILLIAMS, McMANUS,
RICCIARDELLI AND COFFEY, P.C.

CERTIFIED PUBLIC ACCOUNTANTS
[illegible] GLENWOOD AVENUE, P.O. BOX [illegible], GLENS FALLS, NY [illegible] • [illegible]

May 8, 1987

Hon. Gerald B. and Freda Solomon
23 North Road
Glens Falls, New York 12801

Dear Jerry and Freda:

This letter is pursuant to our discussion regarding your income from the sale of your limited partnership interest in Top-O-The-World Associates.

As a limited partner, your share of the ordinary income from the sale of your limited partnership interest was $21,788. However, you incurred additional expenses on behalf of the partnership which you paid personally in the amount of $6,076. Therefore, your net taxable income from the partnership was $15,712. In addition, your share of the capital gain on the sale of the partnership assets was $40,333. Of this amount, $16,133 was subject to tax after your long-term capital gain deduction.

If you have any other questions or need further assistance regarding the reporting of your partnership income, please do not hesitate to call.

Very truly yours,

FOR THE FIRM

Paul Curtis

Paul A. Curtis

PAC:rt

[illegible] STREET CENTER
SARATOGA SPRINGS, NY [illegible]
[illegible]
[illegible]

[illegible] BROAD STREET
PLATTSBURGH, NY [illegible]
[illegible]

INSIDE MAIL

GERALD B. SOLOMON
MEMBER OF CONGRESS
24TH DISTRICT, NEW YORK

ROOM 2342 RAYBURN BUILDING
WASHINGTON, DC 20515
(202) 225-5614

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HUMAN RIGHTS AND
INTERNATIONAL ORGANIZATIONS

INTERNATIONAL ECONOMIC
POLICY AND TRADE

MEMBER
HOUSE TASK FORCE ON
NATIONAL DEFENSE

ASSISTANT FLOOR WHIP

May 19, 1987

Honorable Donnald Anderson
Clerk of the Hosue
U.S. House of Representatives
Office of Records and Registration
1036 Longworth
Washington, D.C. 20515

✓
MC

Dear Don:

This letter is to serve as an amendment to my 1986 Financial Disclosure Statement.

Under part III, I should have checked the Box on Trusts NO!

Under part IV, third item listed is a long term note from Lake George Ventures Inc. payable to me for the purchase of my limited partnership interest in Top O The World Associates.

Under Part V, the First item listed is a continuing bank loan payable by me to the First National Bank for a personal loan. It has appeared on my statement for several years.

Sincerely,

GERALD B. SOLOMON
Member of Congress

GBS/tbl

INSIDE MAIL

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Floyd Davidson Spence
(Full Name)

2113 Rayburn House Office Building
(Mailing Address)

Washington, D. C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 2nd State SC

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions, the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
None			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Mortgage-Stephen Lyman	Interest	C
Desree Lightle	Interest	D
Lexington Acres-Maggie Valley, NC	Capital Gain	C
Sale of 2 lots to Lyman	Long term capital gain	D
Sale of 1 lot to Lightle	Long term capital gain	C
Sale of 1 lot to Lightle	Long term capital gain	D
(See continued sheet)		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO ____ NA _X_

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Capital Holding Corp.-Stock-40 shares (as of 5/6/87)	A
Venture Investments (1/29 interest in unimproved property	B
Real Property 258 acres unimproved property in Lexington County, SC	D
Real Property 123 acres in Lexington County (Lake Murray)	F
Real Property ½ interest in 2 unimproved lots-West Columbia, SC	A

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
Real Property Haywood County, Maggie Valley, NC Sale	7/1/86	A
Deeded 51.6 acres of 258 acre tract to 4 sons	1/1/86	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Board of Directors	Indian Waters Council-BSA
Advisory Council	Lutheran Theological Seminary

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Lloyd A. Spence	May 11, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

ATTACHED SCHEDULE

FLOYD DAVIDSON SPENCE FINANCIAL DISCLOSURE 1986

UNEARNED INCOME:

II-B. SOURCE (CONTINUED)	TYPE	CATEGORY
Jim Johnson (Mortgage)	Interest	C
Jim Johnson (Sale of one lot)	Long term capital gain	D
Lexington State Bank	Interest	C

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

John M. Spratt, Jr.
(Full Name)

1118 Longworth House Office Bldg.
(Mailing Address)

Washington, D. C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 5 State South Carolina

☐ Officer or Employee—Employing Office ____

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
U. S. Government (Congressional salary)	Salary	$75,100	
The Brookings Institution (3/27/86)	Honorarium	300	Charity*
Congressional Quarterly, Inc. (3/27/86)	Honorarium	300	Charity*
NCNB of South Carolina (10/31/86)	Honorarium	1,000	Charity*
Bowater-Carolina Company (11/13/86)	Honorarium	1,000	Charity*

*See Addendum II.A. for list of charitable donees.

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Bank of York (J)	Interest	C
Rock Hill National Bank	Interest	D
C & S National Bank	Interest	A
Bank of York (W)	Interest	A
New York Life Insurance Co. (W)	Interest	A
NCNB Corp.	Dividend	C

*For continuation, see Addendum II.B. J = Joint W = Wife

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
810-acre farm, Fort Mill, South Carolina	F
Common stock, York Bancshares, Inc.	F
10% undivided interest, 450-acre tract, York, South Carolina	C
Store building and lot, Main Street, Fort Mill, South Carolina	C
Office building and lot, W. Liberty Street, York, South Carolina	D
Common stock, Spratt Insurance Agency, Inc.	D

For continuation, see Addendum III.

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sale of timber, Fort Mill, South Carolina	2-6/86	C
Sale of common stock, Southern Bancorporation	4/1/86	C
Leasehold to Federal Aviation Agency, acquired for term of 5 years for VORTAC facility by proceeding in judicial condemnation	11/86	F
Sale of assets, Spratt Insurance Agency to Peoples-First Insurance & Realty	4/86	F

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
The Federal Land Bank of Columbia	C
Bank of York, York, South Carolina	B
Home Life Insurance Co., N.Y. (policy loan)	B
New York Life Insurance Co. (policy loan)	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None.	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None.		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None.	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None.	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None.		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
John M Spratt Jr.	May 15, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

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ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

John M. Spratt, Jr.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
I.	Disposition of Honoraria		
	Name of Donee:		
(1)	Friends of Chester County Library	2/27/86	$ 100.00
(2)	Floyd D. Johnson Memorial Scholarship	2/27/86	25.00
(3)	Morris College	12/31/86	1,000.00
(4)	Clinton College	12/31/86	250.00
(5)	Presbyterian College	12/31/86	250.00
(6)	Winthrop College	12/31/86	500.00
(7)	Limestone College	12/31/86	200.00
(8)	Newberry College	12/31/86	200.00
(9)	Camden Historical District	12/31/86	50.00
(10)	Boys Farm, Inc.	12/31/86	50.00

Page 1 of ____

ETHICS IN GOVERNMENT ACT--FINANCIAL DISCLOSURE STATEMENT

John M. Spratt, Jr.
(Name)

Continuation Sheet

Part	SOURCE:	TYPE:	AMOUNT:
II.B.			
	Citicorp	Dividend	A
	Exxon Corp.	Dividend	A
	Sonoco Products Corp.	Dividend	B
	Southern Bancorp.	Dividend	A
	Springs Industries, Inc.	Dividend	A
	RSI Corp.	Dividend	A
	York Bancshares, Inc.	Dividend	E
	Home Life Ins. Co., N.Y.	Interest	B
	Life of Virginia	Interest	A
	Federal Aviation Agency	Rent for 3 years	F
	Peoples-First Ins. & Realty	Rent	A
	Lake Wylie Plaza Assoc.	Dividend	A
	Beaver Dam Farms, Inc.	Dividend	A

(Column heading: Source, Type, Amount, Category, Description or Value (As Applicable))

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ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

John M. Spratt, Jr.
(Name)

Income of Dependent Children
Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*		
II.B.	SOURCE:	TYPE:	AMOUNT:
(1) Susan E. Spratt	NCNB Nat'l Bank	Investment Mgt. Acct.	D
	NCNB Nat'l Bank, Trustee	Trust income, Trust U/A Jane B. Spratt, dated 12/30/76	E
(2) Sarah S. Spratt	NCNB Nat'l Bank	Investment Mgt. Acct.	D
	NCNB Nat'l Bank, Trustee	Trust income, Trust U/A Jane B. Spratt, dated 12/30/76	E
(3) Catherine B. Spratt	NCNB Nat'l Bank	Investment Mgt. Acct.	A
	NCNB Nat'l Bank, Trustee	Trust income, Trust U/A Jane B. Spratt, dated 12/30/76	E
(4) Susan E., Sarah S., Catherine B. Spratt	Bank of York	Savings Accounts	A
(5) Susan E., Sarah S. Spratt	C & S Nat'l Bank	Savings Accounts	A

Page ____ of ____

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

John M. Spratt, Jr.
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*	
III.	IDENTITY:	CATEGORY:
	Common stock, NCNB Corp.	D
	Common stock, Sonoco Products, Inc.	D
	Common stock, Exxon Corp.	B
	Common stock, Citicorp	B
	Common stock, Springs Industries, Inc.	B
	Common stock, Southern Bancorp.	C
	Common stock, Beaver Dam Farms, Inc.	A
	Limited partnership interest, Lake Wylie Plaza Associates	C
	Partnership interest, Culp Road Associates	C
	Certificate of Deposit, Rock Hill Nat'l Bank (W)	E
	Insured Money Investment Account, Bank of York	C
	IRA Account, Bank of York	B
	"NOW" Account, Bank of York (J)	E
	Insurance Agency Account, Bank of York	A
	Checking account, C & S Nat'l Bank of S.C.	A
	Checking account, Sgt at Arms, House of Reps.	C
	Honoraria Account, Bank of York	A
	IRA Account, Bank of York (W)	A
	Checking account, Nat'l Savings & Trust Co.	A
	Note receivable, owed by Spratt for Congress	E
	Capital shares, The Federal Land Bank of Columbia	A
	Purchase-money note from Thomas B. Spratt and Alexa H. Spratt	C
	Cash surrender value in whole life insurance policies issued by Home Life Insurance, N.Y., Life of Virginia, and New York Life Insurance Co.	C

Page ____ of ____

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

John M. Spratt, Jr.
(Name)

Assets of Dependent Children : Susan E. Spratt
Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*	
III.	IDENTITY:	CATEGORY:
	(a) Assets held in trust by NCNB Nat'l Bank as Successor Trustee U/A with Jane B. Spratt, dated 12/30/76	
	-NCNB Daily Income Fund	E
	-Digital Equipment Corp., sub. convertible debentures	A
	-General Electric Corp., common stock	A
	-Bristol Myers Company, common stock	A
	-Squibb Corp., common stock	A
	-Nordstrom, Inc., common stock	A
	-Dreyfus Corp., common stock	A
	-Kerr-McGee, Inc., common stock	A
	-IBM Corp., common stock	A
	-Bellsouth, Inc., common stock	A
	-Greyhound Corp., common stock	B
	-Borden, Inc., common stock	A
	-Coca Cola Company, Inc., common stock	A
	-Dart-Kraft, Inc., common stock	A
	-Dover Corp., common stock	A
	-Farmer Group, common stock	A
	-Shoney's, Inc., common stock	A
	-American General Corp., common stock	A
	-Dow-Jones, Inc., common stock	B
	-EG&G, Inc., common stock	A
	-Eaton Corp., common stock	B
	-First Jersey Nat'l Corp., common stock	A
	-Georgia-Pacific Corp., common stock	A
	(b) Assets held individually or under custodial arrangement under SCUGMA:	
	-NCNB Money Market Account	E
	-Bank of York, NOW Account	B

Page ____ of ____

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

John M. Spratt, Jr.
(Name)

Assets of Dependent Children : Sarah S. Spratt
Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
III.	IDENTITY:	CATEGORY:
	(a) Assets held in trust by NCNB Nat'l Bank as Successor Trustee U/A with Jane B. Spratt, dated 12/30/76	
	-NCNB Daily Income Fund	E
	-Digital Equipment Corp., sub. convertible debentures	A
	-General Electric Corp., common stock	A
	-Bristol Myers Company, common stock	A
	-Squibb Corp., common stock	A
	-Nordstrom, Inc., common stock	A
	-Dreyfus Corp., common stock	A
	-Kerr-McGee, Inc., common stock	A
	-IBM Corp., common stock	A
	-Bellsouth, Inc., common stock	A
	-Greyhound Corp., common stock	B
	-Borden, Inc., common stock	A
	-Coca Cola Company, Inc., common stock	A
	-Dart-Kraft, Inc., common stock	A
	-Dover Corp., common stock	A
	-Farmer Group, common stock	A
	-Shoney's, Inc., common stock	A
	-American General Corp., common stock	A
	-Dow-Jones, Inc., common stock	B
	-EG&G, Inc., common stock	A
	-Eaton Corp., common stock	B
	-First Jersey Nat'l Corp., common stock	A
	-Georgia-Pacific Corp., common stock	A
	(b) Assets held individually or under custodial arrangement under SCUGMA:	
	-NCNB Money Market Account	D
	-Bank of York, NOW Account	B

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Page ____ of ____

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

John M. Spratt, Jr.
(Name)

Assets of Dependent Children : Catherine B. Spratt
Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
III.	IDENTITY:	CATEGORY:
	(a) Assets held in trust by NCNB Nat'l Bank as Successor Trustee U/A with Jane B. Spratt, dated 12/30/76	
	-NCNB Daily Income Fund	E
	-Digital Equipment Corp., sub. convertible debentures	A
	-General Electric Corp., common stock	A
	-Bristol Myers Company, common stock	A
	-Squibb Corp., common stock	A
	-Nordstrom, Inc., common stock	A
	-Dreyfus Corp., common stock	A
	-Kerr-McGee, Inc., common stock	A
	-IBM Corp., common stock	A
	-Bellsouth, Inc., common stock	A
	-Greyhound Corp., common stock	B
	-Borden, Inc., common stock	A
	-Coca Cola Company, Inc., common stock	A
	-Dart-Kraft, Inc., common stock	A
	-Dover Corp., common stock	A
	-Farmer Group, common stock	A
	-Shoney's, Inc., common stock	A
	-American General Corp., common stock	A
	-Dow-Jones, Inc., common stock	B
	-EG&G, Inc., common stock	A
	-Eaton Corp., common stock	B
	-First Jersey Nat'l Corp., common stock	A
	-Georgia-Pacific Corp., common stock	A
	(b) Assets held individually or under custodial arrangement under SCUGMA:	
	-NCNB Money Market Account	A
	-Bank of York, NOW Account	B

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Page ____ of ____

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

John M. Spratt, Jr.
(Name)

Transactions of Dependent Children

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*		
IV.	BRIEF DESCRIPTION:	DATE:	CATEGORY:
	(1) Stocks sold by NCNB Nat'l Bank, Successor Trustee U/A Jane B. Spratt, dated 12/30/76		
	-Nordstrom, Inc.	8/18/86	B
	-Dreyfus Corp.	2/20/86	B
	-Bristol Myers, Inc.	12/31/86	A
	-General Electric	12/31/86	A
	-Dover Corp.	4/04/86	A
	-Bellsouth, Inc.	12/31/86	A
	(2) Stocks purchased by NCNB Nat'l Bank, Trustee:		
	-Greyhound Corp.	4/08/86	B
	-Borden, Inc.	4/24/86	A
	-Coca Cola Company	2/20/86	A
	-Dart-Kraft, Inc.	2/20/86	A
	-Dover Corp.	2/20/86	A
	-Farmer Group	2/20/86	A
	-Shoney's, Inc.	2/20/86	A
	-American Gen'l Corp.	3/13/86	A
	-Dow-Jones Co., Inc.	3/13/86	B
	-EG&G, Inc.	3/13/86	A
	-Eaton Corp.	3/13/86	B
	-First Jersey Nat'l Corp.	3/13/86	A
	-Georgia-Pacific Corp.	3/13/86	A

HAND DELIVERED

JOHN M. SPRATT, JR.
5th DISTRICT, SOUTH CAROLINA

1118 LONGWORTH BUILDING
WASHINGTON, D.C. 20515
(202) 225-5501

COMMITTEES

ARMED SERVICES
GOVERNMENT OPERATIONS

Congress of the United States
House of Representatives
Washington, D.C. 20515

DISTRICT OFFICES
FEDERAL BUILDING
BOX 350
ROCK HILL, SOUTH CAROLINA 29731
(803) 327-1114

39 E. CALHOUN STREET
SUMTER, SOUTH CAROLINA 29150
(803) 773-3362

214 W. LAURENS STREET
BOX 964
LAURENS, SOUTH CAROLINA 29360
(803) 984-5323

June 6, 1987

The Clerk, U. S. House of Representatives
Office of Records and Registration
1036 Longworth Building
Washington, D. C. 20515

mc ✓

Re: Financial Disclosure Statement for 1986

Dear Sir:

This is in response to your inquiry about the lease with the Federal Aviation Administration reported in Item IV of my 1986 Financial Disclosure Statement.

For over twenty years, the FAA has held a lease on land owned now by me, and formerly by my father, for the operation of a radar (VORTAC) facility. When I took office in January of 1983, I informed the House Committee on Ethics and the Committee on Administration of the lease, and asked for guidance in light of the statutory provisions which prohibit members of Congress from enjoying the benefits of any contract with the federal government. Pending their advice, I returned the FAA's rental payment. The Ethics Committee advised me that I could not accept rent under the lease, even though it was made prior to my election to Congress, but that I could accept compensation in the form of damages awarded to me by a court if the FAA would institute a proceeding in condemnation to acquire the leasehold.

I advised the FAA of the opinion of the Ethics Committee, and the FAA in turn sought guidance from the Justice Department. The Justice Department advised the FAA that a judicial proceeding in condemnation, as proposed by the Ethics Committee, was appropriate. The FAA then commenced a proceeding in condemnation in the U. S. District Court for the District of South Carolina to acquire the leasehold interest in some 82 acres of land lying south of Fort Mill, South Carolina, roughly in the center of an 810-acre farm I own there. "Just compensation" for the leasehold taking was determined by the FAA, based on an updated appraisal, and I did not contest the amount the FAA determined to be fair market value, which is approximately $22,500 per year for 5 years. The matter was heard in open court before U. S. District Judge Matthew Perry in November 1986. The court held that the lease between me and the FAA was effectively terminated as of January 3, 1983, the date on which I became a Member of Congress. The court further held that I was entitled to just compensation for the taking since that date. I waived any claim to compensation exceeding the rent payable under the lease agreement between me and the FAA through its original date of expiration, except for interest on back rent as determined by the court, and accepted the increased amount, based on the updated appraisal, only after the expiration date. The Justice Department was advised of the terms of the court's decree and concurred.

Item IV reflects the full amount paid me under the terms of the court's decree, which includes compensation from 1983 through the annual term of the lease commencing October 1, 1986.

If appropriate, I would like for this letter to be filed and recorded as an addendum to my Disclosure Statement for 1986.

Please let me know if further information is required.

Yours sincerely,

John M. Spratt, Jr.

JMS/jms

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Harley O. Staggers, Jr.
(Full Name)

1504 Longworth Building
(Mailing Address)

Washington, D. C. 20515

(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 2nd State WV

☐ Officer or Employee—Employing Office

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

	A. SOURCE	TYPE	AMOUNT	DISPOSITION
(H)	5/22/87 WV State Assn., NALC	Honorarium	$200	None
(W)	Unisys Corporation	Salary		

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

	B. SOURCE	TYPE	CATEGORY
(H)	National Bank of Keyser	Interest	B
(H)	WV Housing Development Fund	Interest	A
(W)	Unisys Corporation	Dividerds	A
(W)	United Virginia Bank	Interest	A
(W)	Perpetual Bank	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See,* Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
WV Housing Development Fund (Bond)	B
Marriott Corporation (Stock)	B
One-third interest in .24 acres in Fort Ashby, Mineral County, WV	B
(Purchase price: $32,500)	
One-third interest on Lots 50,51,52,53 in Keyser, Mineral County, WB	C
(Purchase price: $64,000) Two room addition and refinancing in 1986	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
First National Bank of Piedmont	C (1/3 liabilit
Mortgage on Keyser property	
Farmers & Merchants Bank, Keyser	B (1/3 liabilit
(Mortgage on Fort Ashby property	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

	POSITION	NAME OF ORGANIZATION
(H)	Board of Directors	West Virginia Aid, Inc.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Harley O. Staggers Jr.	May 15, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

2951

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Harley O. Staggers, Jr.
(Full Name)

1504 Longworth Building
(Mailing Address)

Washington, D. C. 20515

✓ MC

1987 JUN 25 PM 2 52
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 2nd State West Virginia

☐ Officer or Employee—Employing Office ______

☒ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Marriott Corporation (Stock)	C

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Harley O. Staggers	June 25, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987

2955

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Richard ,M Stallings
(Full Name)

116 Birch Avenue
(Mailing Address)

Rexburg, Idaho 83440

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☐ Member of the U.S. House of Representatives—District 2nd State Idaho

☐ Officer or Employee—Employing Office ________________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, ~~see detailed Instruction Booklet at page~~ 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
Western States Water: Power Consumers Conf.	4/ 2/86;	Honoria	2000.00	
American. Fozen Foods Institute	4/15/86	Honoria	500.00	
Public Power Council	12/ 4/86	Honoria	500.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Property sold on escrow 1265 E. 2550 N., No. Ogden, Utah	Principle & Interest	B
2½ acres undeveloped land at Lyman, Idaho	Principle & Interest	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO X NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY		CATEGORY
Home, 1265 E. 2550 N., Ogden, Utah sold on escrow	1970	B
2½ acres undeveloped land, Rexburg Idaho	1985	B

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986

SOURCE		BRIEF DESCRIPTION
HCA ,speech at opening of I. F Hospital	12/13/86	Trans. Idaho Falls to D.C.
Public Power Council, speech, Portland	12/ 4/86	Trans. D.C. to Portland
Western States Water & Power Conf.	11/ 2/86	Trans. Rexburg to Boise & return + Lodging

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Richard H Stallings	5-14-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Arlan I. Stangeland
(Full Name)

2245 Rayburn HOB
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 7 State MN

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1986 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Bethlehem Steel (1/7)	honorarium	1,000	
Deer Balley Enterprises (4/9)	honorarium	1,000	
Chicago Board of Trade (6/19)	honorarium	500	
Chicago Mercantile Exchange (6/19)	honorarium	500	
Waste Management, Inc. (6/19)	honorarium	500	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2 501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Farmland, Wilken County, Minnesota	gross rental	E

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See,* Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Farmland, Wilkin County, Minnesota	E

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROFERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Federal Land Bank, Fergus Falls, MN, mortgage, farmland, Wilkin Co.	E

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION	VALUE

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Chinese Nat. Assn. of Industry and Commerce	1/4-1/10, food, lodging and transportation; Taiwan

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Arlan Stangeland	May 15, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Fortney H. (Pete) Stark, Jr.
(Full Name)

Room 1125 Longworth House Office Building
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 9th State California

☐ Officer or Employee—Employing Office ____________________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA XX

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
SEE ATTACHED SCHEDULE			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
SEE ATTACHED SCHEDULE		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.** **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA XX

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
SEE ATTACHED SCHEDULE	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
SEE ATTACHED SCHEDULE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
SEE ATTACHED SCHEDULE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
SEE ATTACHED SCHEDULE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
	SEE ATTACHED SCHEDULE

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Pete Stark	May 14, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

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ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Fortney H. Stark, Jr.
(Name)

Continuation Sheet

Part — *Source, Type, Amount, Category, Description or Value (As Applicable)*

II A. — INCOME ITEMS EXCEPT THOSE REPORTED IN SECTION II B.

HONORARIUMS:

RECEIVED FROM	DATE	AMOUNT
Institute For Research On The Economics of Taxation IRET Conference Speech 4/15/85	2/20/86	$ 2,000.00
White, Fine & Verville American Academy of Physical & Rehab. Medicine-11/15/85 - Washington, D.C.	2/26/86	1,000.00
American Academy of Orthopaedic Surgeons - 2/20/86 - New Orleans, LA Roundtrip airfare from Washington D.C. to New Orleans Paid	2/26/86	2,000.00
American Hospital Association Speech of 2/4/86 - Washington, D.C.	2/26/86	2,000.00
College of American Pathologists Speech of 3/6/86 Washington D.C.	3/7/86	2,000.00
California Wine Institute Speech 2/26/86 Washington D.C	3/12/86	1,000.00
American Academy of Actuaries Speech of March 21, 1986 - Washington D.C.	4/1/86	1,000.00
Government Research Corp. Health Conference Speech of March 17, 1986 Washington, D.C.	4/8/86	1,000.00
Owens-Illinois Breakfast Speech of March 18, 1986 Washington, D.C.	4/16/86	2,000.00
American Optometric Association April 21, 1986 Breakfast Speech Washington, D.C.	5/2/86	2,000.00
Price Waterhouse April 16, 1986 Speech, Washington D.C.	5/2/86	500.00
National Council of Community Hospitals April 18, 1986 NCCH Spring Meeting Speech Amelia Island, Florida - Half of travel expenses paid by NCCH	5/9/86	2,000.00
Healthcare Financial Management Association - May 8, 1986 Speech Washington, D.C.	5/13/86	2,000.00

Page 2 of 3

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Fortney H. Stark, Jr.
(Name)

Continuation Sheet

Part

Source, Type, Amount, Category, Description or Value (As Applicable)

II A. Continuation: INCOME ITEMS EXCEPT THOSE REPORTED IN SECTION II B.

HONORARIUMS:

RECEIVED FROM:	DATE	AMOUNT
American Group Practice Association Congressional Forum Speech Washington, D.C.	5/19/86	$2,000.00

ALL OF THE FOLLOWING HAVE BEEN ASSIGNED TO CHARITY*****************

RECEIVED FROM:	DATE	AMOUNT
National Association of Retail Druggists - Speech of April 30, 1986 Washington, D.C.	5/19/86	$ 1,500.00
The Consolidated Capital Companies Speech of February 28, 1986 Emeryville, CA	4/4/86	500.00
American Society of Anesthesiologists Legislative Conference Speech April 21, 1986 Washington, D.C.	5/12/86	2,000.00
Coopers & Lybrand Speech of June 12 - Alexandria, VA	6/6/86	2,000.00
Health Executives Round-Table Speech of May 20, 1986 - Washington D.C.	6/20/86	250.00
National Health Lawyers Association Luncheon Speaker - San Francisco, CA on 6/13/86	7/10/86	1,000.00
Computer & Business Equipment Mfg. Luncheon Speaker - 6/18/86 - Washington, D.C.	7/31/86	1,500.00
Washington Tax Seminars Luncheon Speaker - 7/21/86 - Washington D.C.	7/31/86	1,000.00
The Chase Manhattan Bank Conference Speech - April 8, 1986 Key Largo, Florida ($2,000 honorarium/$280 travel expense)	7/31/86	2,280.00
The Equitable Life Assurance Society of the U.S. Breakfast Speech - July 29, 1986 - Washington, D.C.	8/15/86	2,000.00
American Association of Nurse Anesthetists - August 14, 1986 - Washington, D.C. Annual Meeting Closing Session Address	8/15/86	2,000.00

Page 3 of 3

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Fortney H. Stark, Jr.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II A.	Continuation: INCOME ITEMS EXCEPT THOSE REPORTED IN SECTION II B.		
	HONORARIUMS:		
	RECEIVED FROM:	DATE	AMOUNT
	ALL OF THE FOLLOWING HAVE BEEN ASSIGNED TO CHARITY		
	Health and Life Organization Speech of August 13, 1986 - Washington, D.C.	9/30/86	$ 500.00
	National Easter Seal Society, Inc. National Rehabilitation Caucus Meeting Sept. 10, 1986 Speech, Washington, D.C	9/30/86	1,000.00
	The Washington Post Published article on wine of August 31, 1986	10/6/86	250.00
	Tax Executives Institute,Inc. Annual Conference - Oct. 24, 1986 - San Francisco, CA Speech	11/7/86	2,000.00
	National Automobile Dealers Assn Speech of October 21, 1986 - Los Angeles, CA	11/21/86	2,000.00
	Mutual Life Insurance Co. Group Speech - October 23, 1986 - Denver, Colorado	12/2/86	2,000.00

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ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Fortney H. Stark, Jr.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
IIB.	Income from dividends, interest, rent and capital gains.		
	Interest Income	Bank of America	B
	Interest, Dividend, Grape Sales, Oil & Gas, Management and Rents	Stark Investment Co. Partnership - from all sources (See Attached Detail)	G
	Rental Income	Washington D.C. Duplex	E
	Rental Income	Shopping Center Columbus, Ohio Partnership	G
	Rental Income	Mobile Home Park Concord, CA Partnership	A
	Capital Gain	Stark Investment Co. Partnership - Net from Sale of Various Assets (See Attached Detail)	G

GPO 1986 61-688 (m)

Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Fortney H. Stark, Jr.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II B.	Detail of Share of Income of Stark Investment Company		
(Detail For)			
	Rental Income	Properties	G
	Grape Sales Income	Vineyard	G
	Oil & Gas Income	Dorchester/Damson Oil Co.	D
	Management Fee Income	Delta Properties	D
	Interest Income	Bank of America	A
	Interest Income	Union Bank	B
	Interest Income	North Coast Cellars	B
	Interest Income	Financial Center Bank	A
	Interest Income	Stephen Sturch	A
	Interest Income	Howard Dick	C
	Interest Income	Ken Garcia	A
	Capital Loss	Sale of Home (B) Sebastopol, CA	C
	Capital Gain	Sale of Office Building Oakland, CA	G
	Capital Gain	Smoot Stark Rent Service Center Sale of Building and Portion of Land - Reno, Nevada	E
	*Capital Gain	Installment Sale of Duplexes-Carmichael, CA (Ref.: Sturch & Dick Notes)	A

*Transaction occurred in prior year

Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Fortney H. Stark, Jr.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
III.	Holdings	
	Real estate other than owner occupied	
	Duplex-Washington D.C.	F
	Condominimum-Walnut Creek, CA	E
	Congressional Retirement Plan	D
	Savings Accounts	
	Wright Patman Federal Employees Credit Union	A
	Interest Producing Checking Accounts	
	Bank of America	F
	Partnership Interests - FMV of Equity	
	Shopping Center-Columbus, Ohio	F
	Mobile Home Park- Concord, CA	D
	Oil Exploration Partnership- Dallas,Texas	A
	Oil Exploration Partnership- Dallas, Texas	C
	Delta Properties-a Partnership with varius real estate holdings- Hayward, CA	C
	Stark Investment Compay-a Partnership with various real estate holdings, oil and gas and notes- Hayward, CA (See Attached Detail)	F
	Loans	
	Stark Investment Company- Various dates and amounts- Highest Outstanding Balance	F
	Friends of Jeff Stark	D

GPO [illegible]

Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Fortney H. Stark, Jr.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
III. (Detail For)	Detail of Share of Holdings of Stark Investment Company		
	Cash In Bank	Bank of America	A
	Real Estate:		
	Vineyard (A)	Healdsburg, CA	F
	Vineyard (B)	Healdsburg, CA	E
	Warehouse (A)	So. San Francisco, CA	F
	Warehouse (B)	So. San Francisco, CA	F
	Shopping Center	Livermore, CA	F
	97 Condominiums (1)	Las Vegas, NV	F
	Office Building (Sold During Year)	Oakland, CA	F
	Home (A)	Sebastopol, CA	D
	Home (B) ((B) Sold During Year)	Sebastopol, CA	D
	Oil & Gas Interest	Dallas, Texas	C
	Dorchester/Damson Oil Company		
	Partnership Interest:		
	Delta Properties		F
	Commercial Property	Antioch, CA	
	Smoot-Stark Reno Service Center	Reno, NV	E
	Warehouse & Land (Building & Portion of Land Sold During Year)		
	Souverain Winery (2)	Healdsburg, CA	A
	Stark-Papini		
	Option on Land	Truckee, CA	C
	Land	Folsom, CA	B
	Land	Blackhawk, CA	A
	Notes Receivable:		
	Stephen Sturch		B
	Howard Dick		C
	Omar Suer (Paid Off During Year)		A
	Ken Garcia		A
	North Coast Cellars		A
	Autos		C

(1) Formerly Shown As:
American Savings and Loan Association
Equity Held In Suspense Under The Terms
Of Real Estate Purchase Agreement.

(2) Dissolved During Year.

GPO 1986 61-488 (m)

Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Fortney H. Stark, Jr.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
IV.	Transactions	
	Stark Investment Company:	
	Various Transactions-Various Dates	F
	(See Attached Detail)	

Page 1 of 1

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Fortney H. Stark, Jr.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
IV. (Detail For)	Detail of Share of Transactions of Stark Investment Company	
	Sale of Home (B)- Sebastopol, CA	E
	Sale of Office Building- Oakland, CA	F
	Smoot Stark Reno Service Center Sale of Building and portion of land- Reno, Nevada	D
	Restructure of Purchase Agreement Formerly shown as: American Savings and Loan Association Equity Held in Suspense Under the Terms of Real Estate Purchase Agreement.	F

Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Fortney H. Stark, Jr.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
V.	Liabilities	
	Citicorp Federal Savings and Loan ** Association-Duplex, Washington D.C.	D
	Surburban Bank - Revolving Line of Credit - Duplex, Washington D.C.	D
	Citicorp Savings Condominimum, Walnut Creek, CA	E
	Bank of America - Revolving Line of Credit - San Leandro, CA	E
	Bank of Contra Costa - Revolving Line of Credit - Walnut Creek, CA	F
	Stark Investment Company Liabilities Hayward, CA (See Attached Detail)	F

** Formerly National Permanent Federal Savings and Loan Association

Page 1 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Fortney H. Stark, Jr.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
V. (Detail For)	Detail of Share of Liabilities of Stark Investment Company		
	Notes Payable:		
	Federal Employees Credit Union		C
	Bank of Contra Costa		A
	Citicorp Savings and Loan Association		B
	Elinor B. Stark		D
	Fortney H. Stark, Jr.		F
	Jeffrey P. Stark		B
	Beatrice S. Winslow		B
	Thekla B. Stark		B
	Sarah G. Stark		B
	Real Estate Loans:		
	Bank of America		
	Vineyard (A)	Healdsburg, CA	F
	The Knude Corporation		
	Vineyard (B)	Healdsburg, CA	C
	Production Credit Association		
	Vineyard (B)	Healdsburg, CA	D
	American Savings & Loan Assn.		
	Warehouse (A)	So. San Franicsco, CA	F
	Bank of America NT & SA		
	Warehouse (B)	So. San Francisco, CA	F
	Equitable Assurance Society		
	Shopping Center	Livermore, CA	F
	Grubb & Ellis Realty Investment Trust		
	Shopping Center	Livermore, CA	F
	(Loan Placed During Year)		
	American Savings & Loan Assn.(1)		
	97 Condonminiums	Las Vegas, NV	F
	Exchange Bank		
	Home (A)	Sebastopol, CA	D
	Southern Pacific Land Company		
	Shopping Center	Livermore, CA	E
	(Paid Off During Year)		
	Peter B. Bedford		
	Office Building	Oakland, CA	D
	(Paid Off During Year)		
	American Savings & Loan Assn.		
	Office Building	Oakland, CA	F
	(Paid Off During Year)		
	Exchange Bank		
	Home (B)	Sebastopol, CA	D
	(Paid Off During Year)		
	Lines of Credit:		
	Bank of America (Paid Off During Year)		D
	Bank of Contra Costa		F
	Accounts Payable Damson Oil Company		C
	Auto Loan Bank of Contra Costa		A
	Share of Liabilities assumed from Genmark, Inc.:		
	Union Bank		C
	General Electric		E
	U. S. Leasing		B
	Diablo Leased Investment (Paid off During Year)		C

Page 2 of 2

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Fortney H. Stark, Jr.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
V.	CONTINUED......	
(Detail For)	Detail of Share of Liabilities of Stark Investment Company	
	Share of Liabilities assumed from Germark, Inc.	
	Bernie Litchfield	D
	Leonard Dahlbeck (Paid Off During Year)	C
	California National Bank	B
	(1) Formerly Shown As: American Savings and Loan Association Equity Held In Suspense Under the Terms of Real Estate Purchase Agreement.	

Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Fortney H. Stark, Jr.
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As applicable)*
VII.	REIMBURSEMENTS:
	American Academy of Orthopaedic Surgeons - Speech Roundtrip Airfare-Washington D.C. - New Orleans, LA
	National Council of Community of Hospitals - Speech One-half of airfare-Washington D.C. - Amelia Island, Florida
	The Chase Manhattan Bank Conference - Speech One-half of airfare-Washington D.C. - Key Largo, Florida (AIRFARE REIMBURSEMENT WAS ALSO GIVEN TO CHARITY)
	Tax Executives Institute Annual Conference - Speech Roundtrip Airefare-Washington D.C.-San Francisco
	Mutual Life Insurance Company Group-Speech Roundtrip airfare-Washington D.C. - Denver, Colorado

Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Fortney H. Stark, Jr.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
VIII.	POSITIONS HELD	
	Partner	Stark Investment Company Hayward, CA
	Partner	The Kingsdale Company Columbus, Ohio
	Partner	Sunny Acres Associates Concord, CA
	Partner	Delta Properties Hayward, CA
	Partner	Slidell Partnership Dallas, Texas
	President and Director	Pete Stark Foundation Hayward, CA
	Partner	Whitney Development Company Walnut Creek, CA
	Partner	Stark-Papini Company Hayward, CA
	Partner	Koin Partnership Dallas, Texas

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Charles W. Stenholm
(Full Name)

1226 Longworth Building
(Mailing Address)

Washington, D. C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 17 State Texas

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II.-INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY hororarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
9/4/85 Va. Peanut Crowers (recd 1/28/86)	Honoraria	$ 750.00	
1/13/86 Atlanta-American Farm Bureau (recd 3/13)	"	1000.00	
2/21/86 Greenville,N.C.-Ciba Geigy (recd 2/21)	"	1000.00	
3/6/86 Washington-Washington Reps Bkfast(recd 3/6)	"	2000.00	
3/24/86 San Antonio-Nat. Grain & Feed Assoc.(recd 4/21)	"	2000.00	

(continued)

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Double S Farms, Inc.	Rent	E
USDA Commodity Credit Corp.	Farm program	D
E & D Operating Co.	Lease	A
Jones-Haskell Grain Coop	Lease	A
Plains Cotton Coop	Dividend	A
Cothrum Operating Co.	Lease	A

(continued)

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.** **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ [✓ NO] NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY		CATEGORY
Double S Farms, Inc.	Stock	D
Oil & Gas properties(spouse)Wheeler County		C
Independent Bank Shares	Stock	C
Investors Diversified Services	IRA	C
Farmland, Buildings, Equipment - 840 acres, Jones County, Texas		F
Fidata Trust	Savings	B

(continued)

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION		DATE	CATEGORY
Ericksdahl Cattle Co.	Sale	2/10/86	D
S & S Feeders	"	2/19/86	D
S & S Feeders	Purchase	10/11/86	D

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY		CATEGORY
First State Bank, Abilene	Personal	B
Equitable Life Assurance	Mortgage	E
First National Bank, Sweetwater, Texas	Personal	C
First National Bank, Stamford, Texas	"	C
First Virginia Bank	"	C
Century National Bank, Washington, D.C.	"	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

* Partial Travel

SOURCE	BRIEF DESCRIPTION
1/13/86 Atlanta-American Farm Bureau	*Travel, Lodging, Meals
1/27/86 Dallas-Texas Seed Trade Assoc.	*Travel, Meal
2/21/86 Greenville, N.C.-Ciba Geigy	*Travel, Lodging, Meals
2/28-3/2 Greenbrier, W.Va-Cong. Charity Tennis Tournmt	Travel, Lodging, Meals
3/24 - San Antonio - Nat. Grain & Feed Assoc.	*Travel, Lodging, Meals

(continued)

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
President	Double S Farms, Inc.
Advisory Director	First National Bank of Stamford

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Charles W. Stenholm	5-14-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

Page 1 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Charles W. Stenholm
(Name)

Continuation Sheet

Part — *Source, Type, Amount, Category, Description or Value (As Applicable)*

II. A. (continued)		Type	Amount	Disposition
3/26/86	Columbus, Ohio-United Dairy Industry Assoc(recd 3/26)	Hon.	$2,000.	
3/27/86	Westfield,N.J.-Connell Rice & Sugar,Inc.(recd 3/27)	"	2,000.	
4/11/86	Houston-Texas Grain & Feed Assoc.(recd 4/25)	"	500.	
4/22/86	Washington-Kroger Foods (recd 5/8)	"	1,000.	
4/23/86	Rosslyn,Va.-American Meat Institute (recd 4/29)	"	500.	
6/3/86	St. Louis, Mo.-Monsanto (recd 6/25)	"	1,000.	
6/4/86	Washington-Nat. Food Processors Assoc.(recd 6/13)	"	500.	
6/24/86	Washington-Coalition for Reg. Banking & Econ. Dev.(6/24)	"	500.	
6/27/86	Dallas-S.W. Peanut Shellers (recd 7/28)	"	250.	
7/12/86	Kalispell,Montana-Crops Insurers (recd 8/30)	"	1,000.	
8/18/86	San Antonio-Nat. Soc. of Accountants for Cooperatives	"	1,000.	
8/27/86	Maysville,Mo.-Missouri Ag Extension Convention(recd 9/21)	"	100.	
9/24/86	Washington-American Soybean Assoc. (recd 9/30)	"	500.	
11/10/86	Houston-Borden Milk (recd 11/10)	"	1,000.	
11/22/86	Meridian-Cherry Red Field Day (recd 11/22)	"	500.	
12/2/86	Las Vegas-Nat. Milk Producers (recd 12/22)	"	1,000.	
12/3/86	Washington-Grocery Manufacturers Assoc.(recd 12/3)	"	2,000.	
12/12/86	Atlanta-Dairymen, Inc. (recd 12/22)	"	2,000.	
		Total	24,100.	
	Disposition of Honorariums			
	West Texas Rehabilitation Center			$500.
	Wade Shurley Memorial			150.
	Stamford Goodfellows			250.
	Future Farmers of America Foundation			100.
	Texas Tech Univ. Foundation			100.
	Bethel Lutheran Church			500.
				Total $ 1,600.
1/1-12/31/86	Double S Farms, Inc.Director fee (spouse)		$ 1,750.	

II. B. (continued)	Type	Category
Fidata Trust	Interest	A
Wayland Shurley	Interest	C
First Virginia Bank	Interest	A
Century National Bank	Interest	A
Dessa Carmen Watson Estate (spouse's deceased mother)		
Cindy Stenholm (spouse)	Trust Income	D
Chris Stenholm (son)	" "	B
Cary Stenholm (son)	" "	B

		Type		Category
III. (continued)	Erickadahl Coop Gin	Stock		A
	Jones-Haskell Grain Coop	"		A
	Stamford Electric Coop	"		A
	Plains Cotton Coop	"		A
	Rolling Plains Coop Compress	"		A
	Dessa Carmen Watson Estate(Spouse's deceased mother)			
	Residence, Dumas, Texas			D
	Lot 27, Block 1, Harmon Park, Stamford,Tex.			A
	1/3 interest - 251.18 acres,Buffalo Gap Rd. Abilene			E
	Lot, 3250 Chimney Circle, Abilene,Tex.			C
	Rent property, 702 First Place,Dumas, Texas			C
	Oil & Gas interest .03125 Royalty Interest,Wheeler Cty.			D
	" " " .0156250 " " " "			C
	" " " .00390625 " " " "			A
	" " " .03125 " " " "			D
	Savings Account			
	Cindy Stenholm (spouse)	Trust	44%	E
	Chris Stenholm (son)	"	2%	A
	Cary Stenholm (son)	"	2%	A
	Courtney Stenholm (daughter)	"	2%	B

Page 2 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Charles W. Stenholm
(Name)

Continuation Sheet

Part — *Source, Type, Amount, Category, Description or Value (As Applicable)*

* Partial Travel

VII. (continued)		Travel	Lodging	Meals
3/26/86	Columbus,Ohio-United Dairy Industry Assoc.	"	"	"
3/27	Westfield, N.J.-Connell Rice & Sugar Co.,Inc.	"		"
4/11	Houston-Texas Grain & Feed Assoc.	"	"	"
6/3	St. Louis,Mo.-Monsanto	* "		"
6/27-29	Dallas-S.W. Peanut Shellers (spouse included)	* "	"	"
7/14	Kalispell, Montana - Crops Insurers	* "	"	"
7/26	Dallas - Southwest Meat Packers Assoc.	"	"	"
8/19	San Antonio-Nat. Soc.of Accountants for Coops	* "	"	"
8/19-24	Sun Valley, Idaho-Danny Thompson Memorial Leukemia Fund	Travel	Lodging	Meals
8/27	Maysville, Mo. - Missouri Ag Extension Convention	"	"	"
11/7-9	Greenbrier,W.Va.-Congressional Charity Tennis T.	"	"	"
11/10	Houston - Borden Milk	*"		"
12/2	Las Vegas - National Milk Producers (spouse included)	"	"	"
12/12	Atlanta - Dairymen, Inc.	"	"	"

GPO: [illegible]

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

LOUIS STOKES
(Full Name)

2365 RAYBURN HOUSE OFFICE BUILDING
(Mailing Address)

WASH., D. C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 21st State Ohio

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A SOURCE	TYPE	AMOUNT	DISPOSITION
Seminole Employment Economic Development Corporation 1/15/86	Honoraria	$1,000	
Meharry Medical College National Conference on Health Care 1/16/86	"	$ 500	
Ninth Annual Assn. of Special Programs in Region Eight Conference 1/17/86	"	$1,200	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B SOURCE	TYPE	CATEGORY
None		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000, F—over $250,000

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO __X__ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
None	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
Southeastern Association of Educational Opportunity Program	Travel, food and lodging-speaking engagement-Cleveland-Orlando-Cleveland (1 day)
Student National Medical Association	Travel, food and lodging - speaking engagement -Wash.-Houston-Wash. (1 day)

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Trustee	The Martin Luther King, Jr. Center for Social Change
Board Member	Congressional Black Caucus Foundation

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5/14/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987 [illegible]

Page 1 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Louis Stokes
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*		
II-A	Assn. for the Study of Afro-American Life & History Inc. 1/25/86	Honoraria	$1,500
	Norfolk State University Afro-American Convention 2/4/86	"	$1,050
	The American Cancer Society Minority Involvement Conference 2/6/86	"	$1,000
	University of Cincinnati Black History Program 2/7/86	"	$1,447.65
	Ohio State Convention of the National Assn. of Letter Carriers 2/12/86	"	$1,000
	Southeastern Association of Educational Opportunity Program Personnel 14th Annual Conference 2/14/86	"	$1,000
	Network of Investigators for Cancer Control Research and Black Population Conference 2/24/86	"	$ 500
	National Assn. of Community Health Center Inc. 11th Annual Policy and Issue Forum 3/3/86	"	$ 500
	National Coordinators of the Minority Affairs Committee of the American Medical Student Assn. 3/8/86	"	$ 100
	Howard University Law Week Luncheon 3/21/86	"	$1,000
	Student National Medical Assn. 21st Annual Medical Educational Conference 3/29/86	"	$1,000
	Benedict College 4/1/86	"	$ 250
	Federation of Associations of Health Profession Schools 4/23/86	"	$ 500
	Massachusetts Black Legislative Caucus 5/2/86	"	$1,000
	National Podiatric Medical Assn. 5/10/86	"	$1,000
	Greater Cleveland Growth Assn. 5/16/86	"	$ 750
	Xavier University Commencement 5/18/86	"	$1,000
	Wittenburg University 5/22/86	"	$1,434
	Black Congress on Health, Law Economic Summit 6/27/86	"	$1,000
	National Medical Association Convention 7/19/86	"	$ 500
	National Black Caucus of State Legislators Conference 8/2/86	"	$ 500
	Ohio Statewide AHEC Program Conference 9/19/86	"	$1,000
	St. Mark's Layman Day Program 10/12/86	"	$ 100
	Ohio Primary Care Association 12/10/86	"	$ 100
	TOTAL		$21, 931.65
	Professional Sports Publications, Inc. (Spouse)	Salary	

Page 2 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Louis Stokes
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
VII	Benedict College	Travel-speaking engagement - Wash-Columbia, S. C. - Wash.
	Massachusetts Black Legislative Caucus	Travel, food and lodging - speaking engagement - Wash.-Boston-Wash. (1 day)
	Xavier University	Travel, food and lodging - speaking engagement - Cleveland-New Orleans-Wash. (2) days
	Wittenberg University	Travel, food and lodging-speaking engagement-Wash.-Dayton-Cleveland (1 day)
	National Medical Association	Travel, food and lodging - speaking engagement-Wash.-New York-Wash. (1 day)
	National Black Caucus of State Legislators Conference	Travel-speaking engagement-Cleveland-New Orleans-Memphis
	Ohio Statewide AHEC	Travel - speaking engagement - Wash.-Cleveland-Philadelphia (1 day)
	*Central State University	Travel-speaking engagement-Cleveland-Dayton-Cleveland
	*National Council of Educational Opportunities Assn.	Travel, food and lodging-speaking engagement-Wash.-Miami-New York (1 day)

*Honoraria was not received until 1987.

GPO 1988 61-688 (m)

INSIDE MAIL

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Cong. Samuel S. Stratton
(Full Name)

2205 Rayburn House Office Building
(Mailing Address)

Washington, D. C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 23rd State New York

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
Hill-Knowlton	1/30/86	honoraria	$1,000	
Northrup	2/24/86	honoraria	$2,000	
McDonnell-Douglas	2/19/86	honoraria	$2,000	
Lockheed	3/3/86	honoraria	$2,000	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Perpetual American Bank, Wash, D. C.	interest	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Insured Money Market Savings Acct, Perpetual Am Bank, Wash, D.C.	C

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE			BRIEF DESCRIPTION
McDonnell-Douglas	2/12	speech	food and lodging
Lockheed	2/13	speech	food, lodging and airfare from Los Angeles to Washington

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
none	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
none		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 13 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Cong. Samuel S. Stratton
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*			
II - A	The Fay Improvement Co.	7/7/86	honoraria	$1,000
	American Security Council	8/12/86	honoraria	$1,000
	International Bus. Machines	9/30/86	honoraria	$2,000
	Naval Reserve Retirement		pension	$13,991.40

GPO: 1986 61-688 (m)

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Gerry E. Studds
(Full Name)

1501 Longworth House Office Bldg.
(Mailing Address)

Washington, DC 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 10 State MA

☐ Officer or Employee—Employing Office

☐ Check if amended Statement.

**ATTACHED TO THIS FORM IS A COPY OF MY OWN COMPLETE FINANCIAL STATEMENT WHICH I HAVE RELEASED EVERY YEAR SINCE 1973.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO ____ NA X

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Wheaton College, Received 2/19/86	Honorarium	1,000	
Brown University, Received 3/12/86	"	1,300	
Greater Seattle Business Assoc/ Northwest AIDS Foundation, Received 4/9/86	"	2,000	Charity
(Continued on continuation sheet)			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Fidelity Daily Income Trust	Dividends	A
Lorillard, Inc.	Dividends	A
U.S. Treasury	Dividends	A
American Security Bank	Interest	A
Exxon	Dividends	B
(Continued on Continuation Sheet)		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO ____ NA ✓

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
American Security Bank, NOW Account	B
Lorillard Inc., Bonds	A
U.S. Treasury, Bonds	A
Burlington Industries, Common Stock	A
Exxon, Common Stock	C
Fidelity Over the Counter Fund, Mutual Fund	B

(Continued on continuation sheet)

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Fidelity Special Situations, Bought & Sold	Various dates	A
Fidelity Over the Counter, Bought & Sold	6/27/86 &7/14/86	B
Fidelity Magellan Fund, Bought & Sold	Various dates	B
Fidelity Equity Fund, Bought & Sold	6/27/86 & 7/15/86	B
Fidelity Puritan Fund, Bought & Sold	6/27/86 & 7/17/86	B

(Continued on continuation sheet)

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
American Security Bank - Personal Loan	C
Continental Federal Savings Bank - Boat Loan	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
University of Illinois (Speech)	Airfare : Provincetown, MA to Champaign, IL to Washington, DC, and 1 night lodging

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 15, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 69-236 (a)

Page 1 of 3

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Gerry E. Studds
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*		
II A Earned Income	National Marine Manufacturers Assoc, Received 4/17/86	Honorarium	500
	University of Illinois, Received 6/17/86	Honorarium	2,000
	Strub, Colins Inc. New York, NY Received 11/17/86	Honorarium	500
	Alfred A. Knopf Received, 1/2/86	Advance on Royalties	35,000
IIB Unearned Income	Fidelity Magellan Fund	Capital Gain	A
	Fidelity Overseas Fund	Capital Gain	A
	Southwest Airlines	Capital Gain	A
	Detroit Edison *	Captial Gain	A
	Hoover Co*	Captial Gain	B
III Holdings	Fidelity Magellan Fund, Mutual Fund		B
	Fidelity Special Situations Fund, Mutual Fund		A
	Fidelity Equity Income Fund, Mutual Fund		B
PLEASE NOTE: Most of these were bought and sold during the course of the year. They cannot, therefore, be cummulatively totaled to determine net worth.	Fidelity Puritan Fund, Mutual Fund		B
	Fidelity Growth and Income Fund, Mutual Fund		B
	Fidelity Fund, Mutual Fund		B
	Fidelity Select Biotechnology Fund, Mutual Fund		B
	Fidelity Select Financial Services, Mutual Fund		A
	Fidelity Select Health Care Fund, Mutual Fund		B
	Fidelity Select Leisure Fund, Mutual Fund		B
	Fidelity Overseas Fund, Mutual Fund		C
	Fidelity Select Utilities, Mutual Fund		B
	Fidelity Select Savings & Loan, Mutual Fund		B
	Rogers Corp, Common Stock		B
	Park Electro-Chemical, Common Stock		B
	CML Group, Common Stock		B
	Optical Coating Lab, Common Stock		B
	Weldotron, Common Stock		A
	Stocker & Yale, Common Stock		A
	TSI, Common Stock		A
	United Medical, Common Stock		A
	Printronix, Common Stock		A
	Greentree Acceptance, Common Stock		A
	Southwest Airlines, Common Stock		B
	Park Communications, Common Stock		A
	Hughes Supply, Common Stock		A
	Tucker Drilling, Common Stock		A

*These are in the Beatrice Studds Irrevocable Trust

GPO: 1986 43-[illegible]

Page 2 of 3

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Gerry E. Studds
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*	
III con't	Beatrice Studds Irrevocable Trust	
	My brother, Colin A. Studds, my sister, Gaynor Babcock, and I have placed the following securities -- owned jointly by the three of us -- in an irrevocable trust for our mother, Beatrice Studds, with my brother as trustee. All income from these securities goes to our mother for as long as she shall live. My brother, my sister, and I each own one-third of the securities -- and they will revert to us upon the dissolution of the trust at our mother's death. The following represents my one-third interest in the trust:	
	Colin A. Studds, III, Trustee Beatrice Studds, Irrevocable Trust, Under Agreement Dated August 1, 1973	
	LTX Corp, Bonds	A
	Hughes Tool Co, Bonds	A
	West Point Pepperell	B
	W.R. Grace	A
	General Motors	A
	IBM	A
	Munford	A
	Park Electro-Chemical	A
	Rogers Corporation	B
	Eastman Kodak	B
	Centerior Energy Corp	A
	GTE	A
	First Australia Prime Income Fund	A
IV Transactions	Fidelity Growth & Income, Bought & Sold, Mutual Fund, Various dates	B
	Fidelity Fund, Bought & Sold, Mutual Fund, 6/27/86 & 7/14/86,	B
	Fidelity Select Financial, Bought & Sold, Mutual Fund, 7/17/86 & 8/8/86	A
	Fidelity Select Health, Bought & Sold Mutual Fund, 7/17/86 & 8/8/86	B
	Fidelity Select Lesiure, Bought & Sold Mutual Fund, 7/17/86 & 8/8/86	B
	Fidelity Select Savings & Loan, Bought & Sold, Mutual Fund, 7/17/86 & 7/31/86	B
	Fidelity Select Utilities, Bought & Sold Mutual Fund, Various dates	B
	Fidelity Overseas, Bought & Sold Mutual Fund, Various dates	C
	Fidelity Biotechnology, Bought & Sold Mutual Fund, 7/17/86 & 9/15/86	B
	Park Electro-Chemical, Bought & Sold Common Stock, Various dates	B

GPO : 1986 [illegible]

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Gerry E. Studds
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*	
IV con't	Park Communications, Bought & Sold Common Stock, Various dates	A
	CML Group, Bought & Sold Common Stock, Various dates	B
	Southwest Airlines, Bought & Sold Common Stock, Various dates	B
	Rogers Corporation, Bought & Sold Common Stock, 8/8/86 & 9/15/86	A
	Optical Coating Lab, Bought Common Stock, 9/11/86	B
	Weldotron, Bought Common Stock, 9/11/86	A
	Stocker & Yale, Bought Common Stock, 9/15/86	A
	TSI, Bought, Common Stock, 9/15/86	A
	United Medical, Bought, Common Stock, 9/15/86	A
	Tucker Drilling, Bought, Common Stock, 9/15/86	A
	Printronix, Bought, Common Stock, 9/15/86	A
	Greentree Acceptance, Bought, Common Stock, 9/15/86	A
	Hughes Supply, Bought, Common Stock, 9/15/86	A
	First Australia Prime Income Fund*, Bought 11/13/86	A
	Home Group*, Bought & Sold, Common Stock, 3/10/86 & 11/20/86	A
	Rogers Corp*, Bought, Common Stock, 11/13/86	A
	Detroit Edison*, Sold, Common Stock, 11/20/86	A
	LTX Corp*, Bought, Bonds, 11/13/86	A
	Hughes Tool*, Bought, Bonds, 11/13/86	A
	Hoover Co*, Sold, Common Stock, 2/4/86	A
	Park Electro-Chemical, Sold, Common Stock, 3/10/86	A

*These are in the Beatrice Studds Irrevocable Trust

CONGRESSMAN GERRY E. STUDDS' 1986 FINANCIAL STATEMENT

PART I - INCOME 1986 (SUMMARY)

Salary	75,100.00
Dividends --See Part II for detailed explanation	1,715.00
Interest -- See Part III for detailed explanation	1,303.00
Honoraria -- See Part IV for detailed explanation	7,300.00
Advance on Royalties -- Alfred A. Knopf	35,000.00
TOTAL INCOME	120,418.00

PART II - DIVIDEND INCOME 1986

No. Shares	Security	Income Derived 1986
40	Burlington Industries	$ 66.00
347	Exxon	1,248.00
150	Southwest Airlines	18.00
155	Rogers Corporation	5.00
200	Stocker & Yale	5.00
200	Park Electro-Chemical	6.00
100	Hughes Supply	10.00
100	Green Tree Acceptance	13.00
	Fidelity Daily Income Trust	344.00
TOTAL		1,715.00

PART III - INTEREST INCOME 1986

Bonds	Security	Income Derived 1986
$3,100.00	Lorillard, Inc. 6-7/8 Debenture	$213.00
3,333.00	U.S. Treasury 12%, 5/15/87	400.00

Bank Accounts

Bank of Boston	$ 41.00
American Security Bank	623.00
Shawmut Bank of Cape Cod	26.00
TOTAL	1,303.00

PART IV - HONORARIA 1986

Wheaton College	1,000.00
Brown University	1,300.00
Greater Seattle Business Association/Northwest AIDS Foundation (given to charity)	2,000.00
National Marine Manufacturers Association	500.00
University of Illinois	2,000.00
Strub, Colins Inc, New York, NY	500.00
TOTAL	$7,300.00

PART V - ASSETS

1. Beatrice Studds Irrevocable Trust

My brother, Colin A. Studds, my sister, Gaynor Babcock, and I have placed the following securities -- owned jointly by the three of us -- in an irrevocable trust for our mother, Beatrice Studds, with my brother as trustee. All income from these securities goes to our mother for as long as she shall live. My brother, my sister, and I each own one-third of the securities -- and they will revert to us upon the dissolution of the trust at our mother's death. The following represents my one-third interest in the trust:

Colin A. Studds, III, Trustee
Beatrice Studds, Irrevocable Trust,
Under Agreement Dated August 1, 1973

No. Shares	Security	Market Value as of 3/10/87
Bonds		
3,333.00	LTX Corp 7 1/4, due 4/15/11	3,000.00
3,333.00	Hughes Tool Co, conv. deb. 9 1/2, due 12/15/06	3,333.00
Common Stock		
133	West Point Pepperell	$8,545.00
67	W.R. Grace	3,953.00
50	General Motors	3,950.00
33	IBM	4,714.00
112	Munford	2,142.00
183	Park Electro-Chemical	4,231.00
233	Rogers Corporation	5,708.00
67	Eastman Kodak	5,125.00
167	Centerior Energy Corp	3,891.00
100	GTE	4,100.00
333	First Australia Prime Income Fund	2,788.00

PART V - ASSETS (continued)

2. I own the following securities: (As of 3/10/87)

No. Shares	Security	Market Value As of 3/10/87
Bonds		
$3,100.00	Lorillard, Inc. 6-7/8 Debenture	$2,960.00
3,333.00	U.S. Treasury 12%, 5/15/87	3,333.00
Common Stock		
40	Burlington Industries	$1,945.00
347	Exxon	28,540.00
100	Hughes Supply	2,687.00
150	Southwest Airlines	3,525.00
100	Park Communications	2,850.00
500	Tucker Drilling	3,375.00
100	Biomet	2,225.00
300	Helen of Troy	3,300.00
100	WD 40	4,225.00
300	Champion Parts Reb.	3,525.00
100	Fuji Photo Film	4,587.00
150	Symbol Tech.	3,375.00
200	Uniforce	4,500.00
100	Zondervan	2,625.00
300	Dynamics Research	2,775.00
300	Gaming & Tech.	2,475.00
500	Phon-A-Gram	2,437.00

3. Our family home in Cohasset, Massachusetts, with an estimated market value of approximately $360,000 is owned jointly by my brother, my sister and me. My interest in the home, therefore, is roughly 120,000.00

4. Bank Accounts (As of 3/10/87)

a.	NOW account, First National Bank of Boston	c. 1,000.00
b.	NOW account, American Security Bank	c. 2,000.00
c.	Money Market Account, Shawmut Bank of Cape Cod	c. 1,000.00
d.	Fidelity Daily Income Trust	c. 7,400.00

5.	Two bedroom house in Provincetown, Mass., estimated market value	420,000.00
6.	Efficiency Condomimium Apartment, Washington, DC estimated market value	54,000.00
7.	1981 Chevrolet Caprice	4,600.00
8.	1985 Jeep Wagoneer	15,400.00
9.	18' Boat	14,000.00
10.	IRA - Fidelity Magellan Fund	16,900.00
	IRA - Fidelity Growth & Income Fund	2,500.00
	Keogh Account - Fidelity Puritan Fund	3,900.00

PART VI - LIABILITIES 1986

1.	Mortgage, two bedroom house, Provincetown, Mass., New Bedford Institution for Savings, approximately	268,000.00
2.	Mortgage, efficiency condominium, Washington, DC, Home Unity Savings and Loan	40,500.00
3.	Boat Loan, Continental Federal Savings Bank	10,000.00
4.	Personal Loan, American Security Bank	19,500.00

INSIDE MAIL

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

BOB STUMP
(Full Name)

211 Cannon House Office Building
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

XX ☒ Member of the U.S. House of Representatives—District 3rd State Arizona

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XX ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Stump Farms	Partnership	$30,000***	

***This is an estimate. I have an extension, and have not filed my income taxes for 1986.

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
CDs: Farmers & Merchants Bank	Interest	B
Valley National Bank	"	B
Money Market Acct: Vally Nat'l Bank	"	B
Union Bankers Insurance	"	A
Stocks: Tenneco	Dividend	A
T.G. Shaw Properties	"	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO XX ______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Stump Farms	E
CDs: Valley National Bank	C
Farmers & Merchants Bank	C
Money Mkt Acct: Valley National Bank	C
IRA/Mutual Fund: Prudential-Bache	B
Stock: (see continuation sheet)	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Partner	Stump Farms

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 14, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

BOB STUMP
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
III	Stocks:	Desert Sun Bank	B
		Farmers & Merchants Bank	C
		Western Airlines	B
		American Airlines	C
		Tenneco	A
		Federal Resources	A
		T. G. Shaw Properties	A
		South Mountain Gin	B

GPO: [illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A–For use by Members, officers, and employees

DONALD K. SUNDQUIST
(Full Name)

230 Cannon HOB
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

XX Member of the U.S. House of Representatives–District 7 State Tennessee

☐ Officer or Employee–Employing Office ____________________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Graphic Sales of America PS 58 cost		967.00	
Honorariums (see continuation sheet)			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Bank of Germantown	sale of stock (purchased 1975)	E

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO XX NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Stock--Bank of Germantown	E
Stock -- Graphic Sales of America	C
Partner--Suntuc: Woodale Condominium, Memphis, Tennessee	C
IRA (Don & Martha Sundquist)-Bank of Germantown	B

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
IRA -Don & Martha Sundquist (Bank of Germantown)	April 1986	A
Sale of Bank of Germantown stock (purchased '75)	April 1986	C
Purchase of Bank of Germantown stock(stock option)	March 86	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Bank of Germantown	C
Metro Federal Savings & Loan, Nashville, Tn.	
Wooddale Condominium	C
Note payable to Graphic Sales for purchase of	
insurance policy	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
none	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
Hunter Fan	fan	$200.00

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
see continuation sheet	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director	Center for Southern Folklore
Director	Psalms, Inc.
Board of Governors	Fund for American Studies*
Executive Committee	Graphic Sales of America, Inc.
Board of Trustees	HOSA Foundation
Partner	Suntuc

*Formerly Charles Edison Memorial Youth Fund

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
none other than listed on page 2		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Don	MAY 13, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Don Sundquist
(Name)

Continuation Sheet

Part *Source, Type, Amount, Category, Description or Value (As Applicable)*

PART II (Income)	SOURCE	TYPE	AMOUNT	DISPOSITIO
2/28/86	ITT/Int'l. Fiscal Assn.	Honorarium	$2,000.00	
4/1/86	Methodist Hlth Systems	"	$1,000.00	
4/17/86	American Council of Highway Advertisers	"	$1,000.00	
5/12/86	American Hotel & Motel Assn.	"	$ 1000.00	
5/29/86	Austin Peay State University	"	$ 700.00	($700) APSU-- charity
6/11/86	Dow Corning	"	$ 500.00	
6/20/86	Manville	"	$2,000.00	
7/1/86	Chicago Mercantile	"	$ 500.00	
8/1/86	BellSouth	"	$1,000.00	
8/5/86	PVA	"	$ 500.00	
8/14/86	Outdoor Advertising		$1,000.00	
9/8/86	MAPCO	"	$ 300.00	
7/9/86	Tn. Gov. School of Int'l. Studies*	"	$ 500.00	
10/7/86	Printing Industries of America	"	$1,000.00	

*at MSU

PART VII SOURCE	BRIEF DESCRIPTION
Memphis Jewish Federation	Airfare to and from Israel for 10 days: lodging & meals, including spouse for fact-finding mission.
ITT	round-trip air to New York.
MAPCO	one-way airfare from Memphis
American Hotel and Motel Assn.	airfare to and from Nevada for legislative conference for 4 days: lodging & meals, including spouse.
Manville	Airfare to and from Colorado, hotel & meals for one day.
USA-ROC Economic Council US-Japan Friendship Committee Korea-US Economic Council GA Technologies Corp. P&C Coal Co. American Family Life Harris Corp. BellSouth Tobacco Institute Uwajmaya, Inc.	Trade fact finding trip to the Republic of China, Japan, Republic of Korea. (Republican Trade Task Force on International Trade (Republican Research Committee. Airfare, meals, lodging for 9 days, including spouse.

[illegible] 7 [illegible] 7 2 1 2 1 5 5

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Fofō I.F. Sunia
(Full Name)

8001 Carrleigh Parkway
(Mailing Address)

Springfield, VA 22152

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District At-Large State American Samoa

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.

- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.

- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XXX NA ______

For more information, see detailed Instruction Booklet at page 7.

8 7 0 [illegible] 0 [illegible] 1 2 [illegible] 5 7

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Home in district	Rents	2500	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO XXX NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Sovran Mortgage Co. - Home mortgage	$133,000

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 18, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987 69-032 (a)

UNITED STATES HOUSE OF REPRESENTATIVES

INSIDE MAIL

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Congressman Fofo I.F. Sunia
(Full Name)

1206 Longworth HOB
(Mailing Address)

Washington, D.C. 20515

(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District At-Lge State Am. Samoa

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XXXX NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
None	None	None	None

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
P.O. Box 56 , Pago Pago, Am. Samoa 96799	Rents	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES xxxx NO ______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
P.O. Box 56, Pago Pago, Am. Samoa	C

[illegible]

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None	None	None

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
SOVRAN MORTGAGE CO. - Home Mortgage	E

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	None

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None	None	None

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	None

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	None

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service, continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None	None	None

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date May 26, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

INSIDE MAIL

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A–For use by Members, officers, and employees

David McCann Sweeney
(Full Name)

214 Mayfair Circle
(Mailing Address)

Wharton, TX 77488

1987 MAY 13
MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives–District 14 State Texas

☐ Officer or Employee–Employing Office ______

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ✓ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION".
IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
None			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Paine Webber Group, Inc.	Dividends	A
ESCROW Account	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.**
IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO ✓ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Paine Webber Group, Inc. Stock	C

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Paine Webber Group, Inc. Stock	4-[illegible]	A
Paine Webber Group, Inc. Stock	7-22-86	C
Paine Webber Group, Inc. Stock	12-12-86	B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS. Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Wharton Bank and Trust Company, Personl Loan	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
Family	Paine Webber Group, Inc. Stock	$19,900.00

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Mac Sweeney	5/12/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

AL SWIFT
(Full Name)

1502 LONGWORTH H.O.B.
(Mailing Address)

WASHINGTON, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☑ Member of the U.S. House of Representatives—District 2ND State WASHINGTON

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XXXX NA ______

For more information, see detailed Instruction Booklet at page 7.

[illegible]

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
-- SEE HONORARIA ATTACHMENT--			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Wright Pattman Fed. Credit Union	Interest	A
Johnston & Lemon Co.	Interest	B
J-Q Economy Fund	Dividend	A
J-NQ New Economy Fund	Dividend	A
J-CG New Economy Fund	Dividend	C
J-NQ Templeton Growth Fund	Dividend	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO XXXX NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Rental Property (Spinaker Reach Condo), Bellingham, WA	C
Johnston & Lemon, Inc., Corp. Income Fund #167	C
Johnston & Lemon, Inc., Virginia State G/O-ReqD	C
The New Economy Fund - Common Stock Mutual	C
Templeton Growth Fund - Common Stock Mutual	C
Mony Securities Corp., - Profit Sharing Retirement Plan	A

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sold 22 units Corp. Income Fund #FD167M	7/15/36	C
Bought 20,000 Virginia State G/O RegD	7/16/86	C
Bought 144 shares Templeton Growth Fund-New	12/10/86	A
Purchased Mony Ins. Policy & Paid up additional option	6/1/86	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
WA First Interstate Bank - Deed of Truce - Bellingham, WA Condo	C
Raineer National Bank - 2nd Mortgage	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
-- SEE HONORARIA ATTACHMENT --	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
-- NONE --		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
-- SEE HONORARIA ATTACHEMENT --	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
-- NONE --	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
-- NONE --		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 14, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

[illegible]

Page 1 of 1

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

AL SWIFT, M.C.
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*
II	J-CG - Templeton Growth Fund -- Dividend -- B
II	Bellingham, WA Condo -- Rent -- C
III	Mony Securities Corp - Mony Purchase Plan -- A
III	Templeton World Fund - IRA Account # 2842994770 -- B
	-- held by Guarantee & Trust Co. - Al Swift
III	Templeton World Fund - IRA Account # 2954106812 -- A
	-- held by Guarantee & Trust Co. - Paula Swift
III	Shearson Lehman - IRA Account # 647606921307 -- A
III	Mony Insurance Policy & Paid up additional option -- A

GPO: 1988 61-688 (m)

CONGRESSMAN AL SWIFT
2ND DISTRICT - WASHINGTON
HONORARIUM AND REIMBURSEMENT
1986

JAN. 13, 86 --	National Assn. of Broadcasters, St. Maarten Panels Roundtrip airfare for Member and Mrs. Swift and three nights lodging	$ 2,000.00
FEB. 6, 86 --	Assn. of Maximum Service Telecasters, D.C. Speech	$ 1,000.00
FEB. 10, 86 --	Competitive Telecommunications Assn., D.C. Speech	$ 1,500.00
FEB. 13, 86 --	Am. Public Power Assn., Salt Lake City, UT Speech Roundtrip airfare from Seattle and one nights lodging	$ 1,000.00
MAR. 11, 86 --	General Telephone & Electric, D.C. Speech	$ 1,000.00
MAR. 17, 86 --	Nat. Cable Tv. Assn., Dallas, TX Panel Airfare from Seattle, Dallas to D.C. and one nights lodging	$ 1,500.00
MAR. 25, 86 --	National Restaurant Assn., D.C. Speech	$ 1,000.00
APR. 8, 86 --	COMSAT, D.C. Speech	$ 2,000.00
APR. 14, 86 --	Nat. Assn. of Broadcasters, Dallas, TX Panels Roundtrip for Member from D.C.; Mrs. Swift airfare from Seattle, Dallas to D.C. and three nights lodging	$ 1,500.00
MAY 14, 86 --	Computer & Commo. Industry Assn., D.C. Speech	$ 1,000.00
MAY 17, 86 --	Nat. Restaurant Assn., Chicago, IL Discussions with state & national members Roundtrip airfare for Member & Mrs. Swift Two nights lodging	$ 1,000.00
MAY 20, 86 --	Touch Ross and Co., D.C. Speech	$ 500.00

MAY 23, 86 --	S. California Edison, Los Angeles, CA Discussions with company officials Airfare for Member from D.C., LA to Seattle One night lodging	$ 1,000.00
JUN. 2, 86 --	Motion Picture Assn., D.C. Speech	$ 1,000.00
JUN. 20, 86 --	Morgan Guarantee Trust Co., New York, NY Discussion with company officials Airfare from D.C. to New York and three nights lodging	$ 1,000.00
JUN. 23, 86 --	NYNEX Service Corp., New York, NY Discussions with company officials Airfare from New York to D.C.	$ 2,000.00
JUL. 1, 86 --	Tri-Cities Industrial Dev. Council, Pasco, WA Speech	$ 500.00
AUG. 16, 86 --	Aspen Institute for Humanistic Studies, Aspen, CO Forum Airfare for Member from D.C., Aspen to Seattle Four nights lodging for Member and Mrs. Swift	--

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HONORARIUM DONATED DIRECTLY BY ORGANIZATION TO CHARITY:

SEP. 18, 86 --	United Church of Christ Commo Ofc., New York, NY Speech Roundtrip airfare from D.C. to New York and one nights lodging Donated to: U.S. House Child Care Center	$ 500.00
SEP. 20, 86 --	WA St. Medical Assn., Spokane, WA Speech Airfare for Member from D.C. to Spokane to Seattle and one nights lodging Donated to: Whatcom Child Care Service	$ 500.00
SEP. 30, 86 --	Flexible Packaging Assn., D.C. Speech Donated to: Pediatric Diagnostic Center	$ 1,000.00

HONORARIUM ACCEPTED AND DONATED:

OCT. 24, 86 --	John Fluke Mfg. Co., Everett, WA Speech Donated to: Children's Home Society of WA	$ 500.00

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INSIDE MAIL

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Patrick L. Swindall
(Full Name)

331 Cannon House Office Building
(Mailing Address)

Washington, D.C. 20515

MC

(Office Use Only)

1987 MAY 14 AM 9 47

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 4th State Georgia

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	Received ~~DISPOSITION~~
Assc. of Indpt Television Stations, Inc.	Honorarium	$ 1,000	1/28/86
American Assc. of Christian Schools	"	300	2/18/86
1st Ave. Presbyterian Church	"	200	3/4/86
Capitol Forum	"	500	3/7/86
NCTV	"	1,500	4/9/86

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Volume Shoe Corporation	Rental	$ 97,607.00
Greenbrier Flea Market	"	60,500.00
Atlanta Design Systems	"	18,000.00
Aachem Paint Co.	"	21,000.00
Secrets Hair Salon	"	6,000.00
Greenbrier Body Shop	"	28,175.00

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See,* Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Atlanta Furniture Co. Building 82 Peachtree St.-111-115 MLK BLVD, Atlanta, Ga.	$ 2,500,000
2965/2925 Headland Rd, East Point, Ga. (8 acres + 3 bldgs)	1,000,000
Greenbrier Flea Market (1/3 outstanding shares)	75,000
Atlanta Furniture Co. (inventory, trade fixtures & good will)	57,000
12 acres + 3 houses, Atlanta Hwy, Athens, Georgia (remainder interest)	90,000

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
Atlanta Furniture Co, Bldg to Trion Group Jt, Venture		
111-115 MLK Blvd., Atlanta, Ga. ($1,500,000 cash	12/30/86	$2,500,000
plus promissory note for $1,000,000)		
Atlanta Furniture Co. (Inventory, trade fixtures,		
goodwill) to Kevin Swindall	12/30/86	57,000

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY	
Trust Company Bank	$ 131 084.08	*
Small Business Administration	133,250.73	*
C&S National Bank	26,017.90	*
Bankers Mortgage	40,596.95	
C&S National Bank	274,888.45	*
Atlanta Local Development Co./SBA	224,000.00	

- * Paid in full 12/30/86 -

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

[illegible]

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
3/26-4/6 Soochow Univ.	Roundtrip transportation from DC to Hong Kong (2 nights lodging) w/ 1 night lodging in San Fran. for stopover for Pat/Kim Swindall (Speech/tour)
5/16-5/18 National Rest. Assc.	Roundtrip transportation to Chicago (2 nights lodging(already listed under Part II(A) (speech)

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Board of Directors	Harvester Human Services, Inc.
Board of Directors	Greenbrier Flea Market, Inc.
Board of Directors	Atlanta Radio Fellowship
Proprietor	Atlanta Furniture Company

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
-NONE -		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature Pat Swindall	Date 5/13/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

PATRICK L. SWINDALL
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			Date Received
Part II (A)	Conceptual Financial Advisory Corp.	Honorarium	$ 250	4/25/86
	National Restaurant Association	" "	1,000	5/ 8/86
	Asbury College, Kentucky	" "	1,000	5/16/86
	Conservative Republican Committee	" "	200	5/29/86
	River of Life Ministries	" "	750	6/9/86
	WGA Service Corporation	" "	500	6/12/86
	Power and Communication Contractors	" "	250	6/20/86
	Carmel Baptist Church	" "	350	7/17/86
	Coats and Clark	" "	1,000	7/17/86
	All-Industry TV Music License Committee	"	1,000	7/17/86
	Day Spring Outreach Center	" "	150	12/4/86
Part II (B)	Dandy Shoe Repair	Rental	$ 10,800	
	Zorba's Greek Restaurant	" "	18,000	
	Birmingham Beauty Supply, Inc.	" "	12,350	
	Ebony Fashion	" "	8,400	
	Traveling Fairs	" "	1,945	
	Merrill Lynch Government Fund	Interest	968	
	First Georgia Bank	Interest	328	
Part III Holdings	Condominium Peachtree Towers, 300 W. Peachtree Street, Atlanta, Georgia		$ 65,000	
	Unimproved lot in Putnam County, FL		5,000	
	Promissory Note from Trion Group (Joint Venture)		1,000,000	
	Tiger Bond (as trustee for minor Kelley Swindall)		1,560	
	Coupon Bond (as trustee for Kelley Swindall)		1,000	
	Certificate of Deposit- Fidelity National Bank		800,000	
	Affiliated Fund Inc. (as trustee for Kelley Swindall)		1,100	
Part V Liabilities	First Georgia Bank		$ 8,254#	
	Fulton Federal Savings & Loan		72,000	
	C&S		1,900#	
	Kevin N. Swindall		41,112*	
	Accounts payable to trade vendors of Atlanta Furniture Co. in ordinary course of business		150,000*	

Part		
Part VII Reimbursements	9/5-9/7	Council of National Policy; transportation to Greenbrier, W. Va. and 2 nights lodging for Pat and Kim Swindall. (speech/seminar)
	1/26-1/27	Mike Norton for Congress; airfare and 1 night lodging, Denver, CO (speech)
	3/8-3/9	Benton County Republican Committee; airfare and 1 night lodging in Bentonville, AK (dinner speech)
	5/15-5/16	Asbury College, Lexington, KY; airfare and 1 night lodging (speech) *Listed under Part II (A)
	6/5-6/6	Brad Lindeman, Memphis, TN; airfare and 1 night lodging for Pat/Kim Swindall (speech)
	8/20-8/21	Jim Eynon for Congress; Indianapolis, IN airfare (speech) (stayed at his home)

Paid in full 2/86
* Paid in full 12/86

[illegible]

HAND [illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Michael Lynn Synar
(Full Name)

217 8th Street, S.E.
(Mailing Address)

Washington, D.C. 20003

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 2 State Oklahoma

☐ Officer or Employee—Employing Office __________

☐ Check if amended Statement.

GENERAL INFORMATION

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STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? **YES** ____ **NO** ____ **NA** X

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

	A. SOURCE	TYPE	AMOUNT	DISPOSITION
1-11-86	Outdoor Advertising Assn. of Oklahoma	honorarium	$1000.	
1-12-86	Allegheny Media	〃	$500.	
1-12-86	Rollins Outdoor Advertising	〃	$500.	
1-12-86	Distilled Spirits Council of the U.S.	〃	$2000.	
1-7-86	National Cable Television Assn.	〃	$500.	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Capitol Account, Wright Patman Cong. Fed.CU	interest	A
Europacific Growth Fund	dividends	A
Mark Eiler, lessee, River Place #W734	rent	D
John Ingalls, lessee, River Place #W638	rent	B
Frederick Linse, lessee, River Place #W638	rent	C

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO __X__ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
20 acres unimproved residential property, Muskogee, OK	D
Livestock, Muskogee, OK	C
1/3 interest in 140 acres unimproved agriculture, Muskogee,OK	C
River Place West co-op #W638, Arlington, VA	D
River Place West co-op #W734, Arlington, VA	D
Individual Retirement Account, Legg Mason, Baltimore, MD	B

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Individual Retirement Account, Legg Mason, Baltimore	2/13/87	A
Michael L. Synar Retirement(HR-10), Northwestern Mutual Life	4/6/87	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Mortgage, River Place West #W638, Dominion Federal, McLean, VA	C
Mortgage, River Place West #W734, Dominion Federal, McLean, VA	C
2nd trust, River Place #W638, M.T.G.E. Corp., McLean, VA	B
2nd trust, River Place, #W734, M.T.G.E. Corp., McLean, VA	B
Federal Land Bank Assn. of Broken Arrow, OK--Loan for 80 acres	B
Federal Land Bank Assn. of Broken Arrow, OK--Loan for 60 acres	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Outdoor Advertising Assn. of America (conference)	airfare:DC-Palm Springs-LA, 2 days food and lodging in Palm Springs
National Cable Television Assn. (conference)	airfare:Orlando-Dallas-Oklahoma City
University of Central Florida(panel)	airfare:DC-Orlando; one night food and lodging

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Partner	Synar Land & Cattle Company, Muskogee, OK
Consultant	Eastern Oklahoma Land & Cattle Company, Muskogee, OK

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature: Mike Synar

Date: 5/15/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987

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Page _____ of _____

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Michael Lynn Synar
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II-A	2-11-86 The Brookings Institute	Honorarium	$300.
II-A	1-13-86 Motion Picture Assn. of America	"	$2000.
II-A	2-20-86 American Public Power Assn.	"	$1000.
II-A	2-28-86 Institute for Foreign Policy Analysis		$1500.
II-A	2-21-86 Credit Union Natl. Assn.	"	$1000.
II-A	3-17-86 Natl. Cable Television Assn.	"	$1500.
II-A	4-3-86 Seward & Kissel	"	$500.
II-A	4-2-86 Pfizer, Inc.	"	$1000.
II-A	4-11-86 The Washington Campus, Inc.	"	$1000.
II-A	4-14-86 Morgan Stanley	"	$1000.
II-A	3-13-86 Food Marketing Institute	"	$1000.
II-A	6-2-86 Natl. Telephone Coop Assn.	"	$300.
II-A	6-4-86 All Industry TV Station Music License Committee	"	$1000.
II-A	5-28-86 Bay Distributors	"	$1000.
II-A	5-27-86 California Edison Company	"	$1000.
II-A	7-14-86 Credit Union Natl. Assn.	"	$1000.
II-A	6-26-86 United States Telephone Assn.	"	$1000.
II-A	7-23-86 The Washington Caucus	"	$500.
III	Individual Retirement Account, Citizens Natl. Bank, Muskogee, OK		A
III	IRA, Capital Guardian Trust, Los Angeles, CA		A
III	Pioneer II Mutual Fund Account, 226.295 shares		A
III	Europacific Growth Fund, 598.613 shares		B
III	Michael L. Synar Retirement Plan(HR-10)		B
VII	Pfizer, Inc.	one-way airfare:Tulsa-DC(to return member for speaking engagement)	
VII	Morgan Stanley	airfare:DC-NY-DC; one night food and lodging in New York	
VII	Gulf + Western Industries, Inc.	½ cost of food and lodging for 4 nights	
VII	Paramount	½ cost of food and lodging for 4 nights	

GPO: 1986 61-488 (m)

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Robert M. Tallon, Jr.
(Full Name)

Post Office Box 6286
(Mailing Address)

Florence, SC 29502

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☑ Member of the U.S. House of Representatives—District 6 State S.C.

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Tallon Sales Co., Florence, SC	Salary	16,170	
Southeastern Lumber Mfg. Assn. (3-12-86)	Honorarium	750	
Pee Dee Assn. CPA (2-3-86)	Honorarium	100	
SSCI Steel (3-20-86)	Honorarium	500	
Connel Rice (4-10-86)	Honorarium	2,000	

-See continuation sheet-

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
South Carolina National Bank	Interest	D
Wynam Boozer	Interest	A
Robin's of Florence, Inc.	Rent	E
Robin's of N. Myrtle Beach, Inc.	Rent	E
Tallon Sales Co., Inc.	Rent	E
Various-Beach House Rentals	Rent	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO ✓ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Tallon Sales Co., Inc., Common Stock	E
Palmetto Corporation, Common Stock	D
Florence Building, W. Evans Street, Florence, SC	F
N. Myrtle Beach Building, Highway 17, N. Myrtle Beach, SC	E
1517 Ocean Blvd., N. Myrtle Beach, SC	D
627 Beaver Dam, Florence, SC - Residence	D

-See continuation sheet-

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Granger - O'Hara Mortgage, Inc. (Home Mortgage)	D
Rebecca W. Putnam (Beach House)	C
Citizens Savings Bank (Washington, D.C., Condominium Mortgage)	C
Security Federal (Florence, S.C., Building Mortgage)	E
J. Bryan Floyd (N. Myrtle Beach, S.C., Building Mortgage)	D
Security Federal (Florence, S.C., Warehouse Mortgage)	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Fort Worth Jewish Federation- Fact Finding	Round trip airfare between Columbia, SC and Israel; and 11 days food and lodging for spouse and self
See Continuation Sheet	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director	Tallon Sales Co., Inc.; Robins of Florence, Inc.; LeMasters Inc.; Robin's Ladies Wear, Inc.; Robin's of Greenville, Inc.; Robin's of Columbia, Inc.; Robin's of Dentsville, Inc.; Robin's of N. Myrtle Beach, Inc.; Tallon-Monckton Ins. Agency; LeMasters of Briarcliffe, Inc.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	N/A	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Robert M. Tallon, Jr.	5/15/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

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Page 5 of 5

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Robert M. Tallon, Jr.
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*	
II	Textile Mfg. Assn. (4-25-86) Honorarium	$ 500
II	Nat'l Rest. Assn. (5-8-86) Honorarium	1,000
II	The Tobacco Int. (10-6-86) Honorarium	1,000
II	Nat'l Telephone Corp. (10-10-86) Honorarium	250
II	Robin's Ladies Wear, Inc. - Salaray (Spouse)	
III	Washington, D.C. - Condominium - D	
III	LeMasters of Briarcliffe, Inc., Common Stock - A	
III	Tallon - Monckton Insurance Agency, Common Stock - A	
VII	Council for Secure America - Fact Finding	Round-trip air-fare between Washington, D.C. and Dallas; and 1 nights lodging for self
VII	Connel Rice & Sugar - Speech	Round-trip air-fare between Washington, D.C. and New York, NY for self
VII	National Restaurant Association Speech	Round-trip air-fare between Washington, D.C. and Chicago, Ill.; and 2 days lodging and food for self and spouse
VII	South Carolina Savings and Loan League - speech	Air-fare from White Sulphur Springs, W. Va. to South Carolina; and from South Carolina to Washington, D.C. for self; air-fare from White Sulphur Springs, W. Va. to South Carolina for spouse; and 4 days food and lodging

GPO 1986 61-[illegible] (m)

HAND DELIVERED

MEMBER:
COMMITTEE ON
AGRICULTURE
COMMITTEE ON
MERCHANT MARINE
AND FISHERIES

ROBIN TALLON
6TH DISTRICT, SOUTH CAROLINA

Congress of the United States
House of Representatives
Washington, DC 20515

432 CANNON BUILDING
WASHINGTON, DC 20515
(202) 225-3315

DISTRICT OFFICES

P.O. BOX 6286
FLORENCE, SC 29502
(803) 669-9084

HORRY COUNTY COURTHOUSE
CONWAY, SC 29526
(803) 248-6256

May 18, 1987

√ MC

Mr. Donnald Anderson
Clerk
U. S. House of Representatives
Washington, DC 20515

Dear Mr. Anderson:

This letter is to serve as an amendment to my recently filed **Financial Disclosure Statement**, dated May 15, 1987.

Following is a list of categories to be amended:

Item II-B Unearned Income

Various Beach House Rentals, Rent, Category of Value - D should be amended to read - **Beach House Rentals, 1517 N. Ocean Boulevard, Rent, Category of Value - D.**

Item III - Holdings

Washington, D.C. - Condominium - D should be amended to read - **Washington, D.C. - Condominium, 305 C Street, N.E., Washington - Category of Value -D.**

South Carolina National Bank - Savings Account - Category of Value - E - should be added.

Tallon - Monckton Insurance Agency, Common Stock - A - should be DELETED.
(There is no Tallon - Monckton Insurance Agency, nor was there any purchase of stock in this regard. This item was added in error.

Item IV - Transactions

LeMasters of Briarcliffe. Inc..- purchase of 1000 shares of common stock - 2/28/86 - Category of Value - A - should be added.

There should be no reference to Tallon-Monckton Insurance Agency.(see Item III above.)

These amendments have been made in accordance with the Financial Disclosure Checklist - Form A as returned to me on May 18, 1987. If further clarifications are needed please do not hesitate to contact me.

Sincerely,

Robin Tallon

ROBIN TALLON
Member of COngress

(An original and two copies submitted)

2 7 2 1 2 2 2 0 1 2 3

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

INSIDE MAIL

MAY 11 PM 3 21

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Congressman Tom Tauke
(Full Name)

2244 Rayburn House Office Building
(Mailing Address)

Washington, D.C. 20515

(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 2 State Iowa

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting **honoraria**, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
List attached	Honoraria	$21,750	Charity

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Kemper Municipal Bond Fund	Dividends	B
The Boston Co.	Dividends	A
Wright Patman Congressional Federal Credit Union	Interest	A
(Spouse) U.S. Senate Credit Union	Interest	A
(Spouse) Townhouse - 1557 Mt. Eagle Pl., Alexandria, Va.	Rent	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.** **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Kemper Municipal Bond Fund - Mutual Fund	C
Blunt, Ellis & Loewi - Money Market Acct.	A
The Vanguard Group - Mutual Fund	A
The Boston Company - Mutual Fund	A
(Spouse) Townhouse - 1557 Mt. Eagle Pl., Alexandria, Va.	D

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
The Vanguard Group - Mutual Fund - Purchased	7-18-87	A
The Boston Co. - Mutual Fund - Purchased	7-22-87	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Union National Bank, Little Rock, Ark. - Mortgage 1557 Mt. Eagle Pl., Alexandria, Va.	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description *of gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
See List Attached	Travel expenses provided in connection with speaking engagements

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Trustee	Mt. Mercy College, Cedar Rapids, Iowa
Trustee	Herbert Hoover Presidential Library, West Branch, Iowa

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Thomas J. Tauke	May 8, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

INSIDE MAIL

Page 5 of 6

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

THOMAS J. TAUKE
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
II A	1. National Assn. of Broadcasters, St. Maarten	1-10-86	Honorarium	2,000
	2. Southwestern Bell, New York City	1-9-86	"	1,000
	3. National Council of Farmer Cooperatives, Miami, FL	1-18-86	"	1,000
	4. American Teleport Assn. Washington, D.C.	1-27-86	"	500
	5. National Assn. Regional Councils Washington, D.C.	2-4-86	"	250
	6. Consumers United for Rail Equity Washington, D.C.	2-5-86	"	500
	7. Maximum Services Telecasters Washington, D.C.	2-6-86	"	1,000
	8. AT&T, Des Moines, IA	3-3-86	"	1,000
	9. U.S. Telephone Assn. Washington, D.C.	3-7-86	"	1,000
	10. Holy Family College Philadelphia, PA	3-13-86	"	500
	11. National Cable Television Assn. Dallas, TX	3-17-86	"	1,500
	12. National Assn. of Broadcasters Dallas TX	4-13-86	"	1,500
	13. PAC 10, Washington, D.C.	4-17-86	"	500
	14. National Council of Community Hospitals, Amelia Island, FL	4-18-86	"	2,000
	15. Naegale Outdoor Advertising Washington, D.C.	4-22-86	"	1,000
	16. American Physical Therapy Assn. Chicago, IL	6-6-86	"	1,000
	17. COMSAT, Washington, D.C.	6-10-86	"	2,000
	18. Telestrategies, Washington, D.C.	7-15-86	"	500
	19. MCI Speakers Round Table Washington, D.C.	8-7-86	"	1,000
	20. The Fertilizer Institute Washington, D.C.	9-22-86	"	1,000
	21. Three-D Club, Washington, D.C.	9-29-86	"	1,000
		TOTAL		$21,750*

* The total amount of honoraria was given to charitable organizations.

Page 6 of 6

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

THOMAS J. TAUKE
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*
VII	1. National Assn. of Broadcasters (Speech; expenses for self and spouse) Round-trip airfare: Washington, D.C. to St. Maarten; 4 nights lodging.
	2. National Council of Farmer Cooperatives (Speech; expenses for self and spouse) 2 nights lodging.
	3. Southwestern Bell (Speech) Round-trip airfare: Washington, D.C. to New York, NY.
	4. Ia. Electric & Power Co. (Speech; expenses for self & spouse) Round-trip airfare for spouse; one-way airfare for self; Wash.,DC to Phoenix, AZ; 2 nights lodging.
	5. National Cable Television Assn. (Speech) Round-trip airfare: Washington, D.C. to Dallas, TX.
	6. National Assn. of Broadcasters (Speech Round-trip airfare: Washington, D.C. to Dallas, TX; 2 nights lodging.
	7. National Council of Community Hospitals (Speech; expenses for self and spouse) Round-trip airfare; Washington, D.C. to Jacksonville, FL; 2 nights lodging.
	8. American Physical Therapy Assn. (Speech) Round-trip airfare: Washington, D.C. to Chicago.

GPO [illegible] 61-[illegible] (m)

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Congressman Billy Tauzin
(Full Name)

222 Cannon Building
(Mailing Address)

Washington, DC 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 3 State LA

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ✓ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
American Waterways Operators	1/27	HON	1000	
INTV 1/27		HON	1000	
Petroleum Marketers	2/7	HON	500	
Columbia Institute	1/14	HON	1000	
CURE	2/6	HON	500	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Citizens Bank & Trust	Dividends	A
First Financial Bank	Interest	C
Acadian Bank	Interest	A
Wright Patman Credit Union	Interest	B
First Virginia Bank	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.** **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See,* Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ✓ ______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY		CATEGORY
St. Charles Royalle Subdivision		E
Citizens Bank & Trust	(40 shares)	B
DKR Limited Partnership	Houma, LA	B
Frog Fisheries		A
First Financial Bank		A
Wright Patman Credit Union		C

87070202523

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Acadian Bank	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
American Waterways Operators	Airfare for Member to attend conference (Louisiana)
INTV	Travel, lodging & meals for Member & wife to attend conference (Calif)
Columbia Institute	Travel for Member to attend conference (Miss.)

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director (Inactive)	First Financial Bank
Partner	St. Charles Royallē
Partner	DKR Limited Partnership
Partner	Frog Fisheries

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
W. J. Billy Tauzin	May 14, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

W. J. Tauzin
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
IIA	COMSAT	3/12 HON	2000
	Air Pollution Control Assn.	3/21 HON	500
	Entelec	4/1 HON	1000
	NCTVA	4/3 HON	1500
	TV Radio PAC	4/28 HON	1000
	Natl. Constructors Assn.	4/28 HON	1000
	Van Ness, Feldman, et al	4/15 HON	250
	Natl. Assn. of Broadcasters	5/15 HON	1500
	Edison Electric Institute	5/23 HON	1000
	Amstar	6/13 HON	2000
	United Coal Co.	6/19 HON	2000
	Natl. Assn. of Broadcasters	6/24 HON	1000
	Matthew Thornton Society	6/25 HON	1000
	First Tennessee Bank	7/17 HON	1000
	Am. Society of Assoc. Execs.	8/29 HON	1000
	Am. Society of Access Professionals	9/12 HON	500
	NYNEX	9/11 HON	280
	NYNEX 9/11		1220 Donated to charity
	TV & RADIO PAC 4/22		2500 Donated to charity
	Petroleum Energy Group 9/15		1000 Donated to charity
	TV & RADIO PAC 9/15		1000 Donated to charity
VII.	ENTELEC	Airfare for Member to attend Conference (LA)	
	Natl. Cable TV Assn.	Travel, lodging & meals for member to attend conference (Texas)	
	Natl. Assn. of Broadcasters	Travel, lodging & meals for member to attend conference (Texas)	
	United Coal Co.	Travel & meals for member to attend field hearing (Virginia)	
	OPASTCO	Airfare for member to attend conf. (LA)	
	Grocery Mfrs. of America -	Lodging & meals for member & family to attend conference (W.VA.)	
	W. Va. Federation of Women-	Travel for Gayle to address group (West. Va.)	
III.	First Virginia Bank	Category C	
	Acadian Bank	Category A	

BILLY TAUZIN
THIRD DISTRICT, LOUISIANA

ENERGY AND COMMERCE COMMITTEE
MERCHANT MARINE AND FISHERIES COMMITTEE

WASHINGTON OFFICE:
TELEPHONE 202-225-4031
222 CANNON HOUSE OFFICE BUILDING
WASHINGTON, DC 20515

Congress of the United States
House of Representatives
Washington, DC 20515

DISTRICT OFFICES

TELEPHONE 504-361-1892
2439 MANHATTAN BLVD.
SUITE 304
HARVEY, LA 70058

TELEPHONE 504-876-3033
FEDERAL BUILDING, SUITE 107
HOUMA, LA 70360

TELEPHONE 318-367-8231
210 EAST MAIN STREET
NEW IBERIA, LA 70560

June 18, 1987

Honorable Donnald Anderson
Clerk, US House of Representatives
c/o Records and Registration
1036 Longworth Bldg.
Washington, DC 20515

mc ✓

Dear Mr. Anderson:

In 1984 The Wilbert J. Tauzin Qualified Blind Trust was activated by transfer of a nominal sum ($10) into the trust. Please amend my 1984 financial disclosure as follows: Under Section III "HOLDINGS", add "Wilbert J. Tauzin Qualified Blind Trust", Category A.

Amend my financial disclosure statements for the years 1985 and 1986 as follows:

In answer to the question "Do you, your spouse or dependent child receive income from or have a beneficial interest in a trust or other financial arrangement whose holdings were not reported because the trust is a "Qualified Blind Trust" or other Excepted Trust?" I inadvertently checked no. The answer to this question should be "yes". Under Section III "HOLDINGS", please add "Wilbert J. Tauzin Qualified Blind Trust", Category A.

Very truly yours,

Billy

BILLY TAUZIN
Member of Congress

BT/ra

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Gene Taylor
(Full Name)

2134 Rayburn H.O.B.
(Mailing Address)

Washington, DC 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 7 State Missouri

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE		TYPE	AMOUNT	DISPOSITION
National Ass'n. Air Traffic Specialists	6/19/86	Honorarium	$1,000	
National Realtors Assoc.	2/6/86	Honorarium	2,000	
Grocers Manufacturers	3/20/86	Honorarium	1,000	
American Postal Workers Union	4/29/86	Honorarium	500	
Baton Rouge Round Table	6/30/86	Honorarium	2,000	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Kenny Neal Ford	Interest	D
Boatmen's Bank	Interest	B
Security Benefit Life	Interest	A
Shelter Life Insurance	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO XX ______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
130 Acre Farm- West of Sarcoxie, MO	D
152 Acre Farm- South of Sarcoxie, MO	D
One-half undivided interest - 260 acre farm, Lawrence County	D
110 Head Cattle	C
Note Payable - Sale of Gene Taylor Ford	C
Metropolitan Life Stock	B

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Boatmen's Bank of Cassville	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
SEE CONTINUATION SHEET	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
	NONE

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	1/20/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE [illegible]

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Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Gene Taylor
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
II. Income Section A	American Postal Workers Union	8/14/86	Honorarium	$2,000
	National Assn. Postal Spvrs.	3/16/86	Honorarium	1,500
	Camp, Caramouch, Burk, Gray & Gill	8/15/86	Honorarium	1,000
	National Assn. of Postmasters	9/16/86	Honorarium	1,000
	Grocers Mtg. Assoc.	11/10/86	Honorarium	2,000
	Leggett & Platt	12/1/86	Honorarium	2,000
	American Maritime Officers	9/16/86	Honorarium	2,000
	Cattle Sales - Family Farm (Gross Sales)			$20,370.52
III. Holdings	IRA Certificate		Category A	
	Money Market Account, Boatmen's Bank-Category C			
VII. Reimbursements	2/6/86 - Air Travel Expense and lodging, Reno, Nevada National Realtors Speaker			
	6/30/86 - Air Travel Expense and lodging - Baton Rouge Round Table Speaker, Baton Rouge, LA			
	8/14/86 - Air Travel & Lodging, San Francisco, CA American Postal Workers Speaker			
	9/16/86 - Air Travel and lodging, San Antonio, TX National Assoc. of Postmasters Speaker			
	11/10/86 - Air travel and lodging, Naples, FL Grocery Mfgrs. Assoc. Speaker			
	8/16/86 - Air Travel, National Assoc. of Postal Supervisors, Nashville, TN Speaker			

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Robert Lindsay Thomas
(Full Name)

431 Cannon HOB
(Mailing Address)

Washington, D. C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 1st State Georgia

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure; all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting **honoraria**, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Ga. Southern College (spouse)	salary		
American Farm Bureau	honorarium	$1,000	
American Meat Institute	honorarium	1,000	
Connell Rice & Sugar	honorarium	2,000	
Tobacco Institute	honorarium	1,000	

continuation

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Sea Island Bank (spouse)	interest	$1,001
Congressional Federal Credit Union	interest	853.88
Estate of Nell Fry (spouse)	int. & capt.gain	1,825
First Bulloch Bank (spouse)	interest	638
IRS - Amended Return Refund	interest	244
Phillips Petroleum (spouse)	dividend	105
Exxon Corporation (spouse)	dividend	200

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO _____ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
200 acres planted pine, Wayne Co.,Ga.	$85,000
60 acres planted pine, Wayne Co., Ga.	18,000
Note receivable,D. Wasdin,sale of sub-division lots	20,000
Federal Land Bank Stock(purchase of non-dividend stock required for loan. Loan secured by planted pine acreage)	3,900
Production Credit Assn. Stock	840
52 shares, Exxon Corp. stock (spouse)	3,647
150 shares, Phillips Petroleum stock (spouse)	[illegible],763

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS:-Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
IRA Fund, Johnson, Lane Space & Smith	4/86	$2,000
Sale of 9 lots, 9-Run Subdivision, Screven, Ga.	12/86	5,000

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Federal Land Bank (mortgage, 200 acres planted pine, Wayne Co., Ga.)	$50,830
Production Credit Assn. (Mortgage, 30 acres planted pine, Wayne Co.)	7,395

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
n/a	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
n/a		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
American Meat Institute (speech)	Airfare,Sav.,Ga. to Marco Isl.,Fla. to Wash.,DC;overnight food/lodging
Connell Rice & Sugar (speech)	Roundtrip airfare,Wash.,DC to Newark, NJ
Chicago Mercantile (Fact-Finding tour)	Airfare,Sav.,Ga. to Chicago to Wash,DC

continuation

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13

POSITION	NAME OF ORGANIZATION
n/a	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13

DATE	PARTIES TO	TERMS OF AGREEMENT
	n/a	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Robert Lindsay Thomas	5/14/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987 [illegible]

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Page 1 of ____

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Robert Lindsay Thomas
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*		
II-A	Chicago Mercantile	honorarium	$500
	Winona State University	honorarium	500
	Waste Management Group	honorarium	2,000
	Soybean Association	honorarium	500
	Savannah Elec. & Power Corp.	honorarium	250
	Comm. on Timber Tax & Valuation	honorarium	1,000
	Gold Kist	honorarium	1,000
	Southern Seedman's Assn.	honorarium	436.58
	Amer. Sugar Cane League	honorarium	1,000
VII	Waste Mgt. Group, Inc.	Airfare, Sav., Ga. to Chicago to Wash., DC	
	Forest Ind. Comm. on Timber Tax & Valuation	Airfare, Sav., Ga. to New Orleans; overnight food/lodging	
	Amer. Sugar Cane League	Airfare, Wash., D.C. to New Orleans to Sav., Ga., overnight food/lodging	
	Southern Seedman's Assn.	Roundtrip airfare, Sav.-Atlanta; rental car	

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

MAY 18 1987

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Robert Lindsay Thomas
(Full Name)

431 Cannon HOB
(Mailing Address)

Washington, D.C. 20515

✓
MC
(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 1st State Georgia

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION".
IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE		TYPE	AMOUNT	DISPOSITION
Ga. Southern College (spouse)		salary		
American Farm Bureau	1/14/86	honorarium	$1,000	
American Meat Institute	2/24/86	honorarium	1,000	
Connell Rice & Sugar	4/7/86	honorarium	2,000	
Tobacco Institute	4/8/86	honorarium	1,000	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Sea Island Bank (spouse)	interest	$1,001
Congressional Federal Credit Union	interest	853.88
Estate of Nell Fry (spouse)	int.&capt.gain	1,825
First Bulloch Bank (spouse)	interest	638
IRS - Amended Return Refund	interest	244
Phillips Petroleum (spouse)	dividend	105
Exxon Corporation (spouse)	dividend	200

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.**
IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
200 acres planted pine, Wayne Co.,GA	$85,000
60 acres planted pine, Wayne Co., GA	18,000
Note receivable,D. Wasdin,sale of sub-division lots	20,000
Federal Land Bank Stock(purchase of non-dividend stock required for loan. Loan secured by planted pine acreage)	3,900
Production Credit Assn. Stock	840
52 share, Exxon Corp. stock 2 (stock)	3,647
150 shares,Phillips Petroleum(stock)	1,763

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
IRA Fund, Johnson,Lane Space & Smith	4/86	$2,000
Sale of 9 lots,9-Run Subdivision,Screven,Ga.	12/86	5,000

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Federal Land Bank (mortgage,200 acres planted pine, Wayne Co.,Ga.)	$50,830
Production Credit Assn. (Mortgage, 30 acres planted pine,Wayne Co.,)	7,395

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
52 shares,Exxon Corp. stock(spouse)	gift from father	$3,647
150 shares,Phillips Petroleum stock (spouse)	gift from father	1,763

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
American Meat Institute (speech)	Airfare,Sav.,Ga. to Marco Isl.,Fla. to Wash.,DC;overnight food/lodging
Connell Rice & Sugar (speech)	Roundtrip airfare,Wash.,DC to Newark, NJ
Chicago Mercantile (Fact-finding tour)	Airfare,Sav.,Ga. to Chicago to Wash.,DC

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
n/a	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	n/a	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Robert Lindsay Thomas	

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Robert Lindsay Thomas
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II-A	Chicago Mercantile 7/1/86 honorarium		$500
	Winona State University 7/2/86 honorarium		500
	Waste Management Group 9/15/86 honorarium		2,000
	Soybean Association 9/24/86 honorarium		500
	Savannah Elec. & Power 11/04/86 honorarium		250
	Comm. on Timber Tax & Valuation 11/06/87 honorarium		1,000
	Gold Kist 11/21/86 honorarium		1,000
	Southern Seedman's Assn. 12/01/87 honorarium		436.58
	Amer. Sugar Cane League 12/11/87 honorarium		1,000
VII	Waste Mgt. Group, Inc.	Airfare,Sav.,Ga. to Chicago to Washington, DC	
	Forest Ind. Comm. on Timber Tax & Valuatior	Airfare, Sav., Ga. to New Orleans;overnight food/lodging	
	Amer. Sugar Cane League	Airfare,Wash.,DC to New Orleans to Sav.,Ga.,overnight food/lodging	
	Southern Seedman's Assn.	Roundtrip airfare,Sav.,-Atlanta; rental car	

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

William M. Thomas
(Full Name)

2402 RHOB
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 20th State CA

☐ Officer or Employee—Employing Office ____

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES [✓ NO] NA

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION" **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Dow, Lohnes & Albertson (rec'd 1-2-86)	Honorarium	$1000	
McKesson (rec'd 1-16-86)	Honorarium	$1000	
American Dehydrated Onion & Garlic Assoc. (rec'd 1-16-86)	Honorarium	$1000	
McDonnell/Douglas (rec'd 1-21-86)	Honorarium	$1000	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A–not more than $1,000; B–$1,001-$2,500; C–$2,501-$5,000; D–$5,001-$15,000; E–$15,001-$50,000; F–$50,001-$100,000; G–over $100,000.

B. SOURCE	TYPE	CATEGORY
Home Mortgage Revenue Bonds	Interest	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A–not more than $5,000; B–$5,001-$15,000; C–$15,001-$50,000; D–$50,001-$100,000; E–$100,001-$250,000; F–over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ___ NO X NA ___

For more information, see detailed Instruction Booklet at page 8

IDENTITY	CATEGORY
Home Mortgage Revenue Bonds	E
Individual Retirement Account - Wright Patman Congressional Federal Credit Union	B

[illegible]

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. **INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
N/A		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Home Mortgage Revenue Bond Loans - Dean Witter Reynolds	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Connell, Rice & Sugar Co.	3 nights lodging; theatre tickets; New York City

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
N/A		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
See Attached Sheet	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
N/A	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to future employment, leave of absence during period of government service, continuation of payments by a former employer other than the U.S. Government, and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
1974	Kern Community College District	On leave without pay

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. 701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. 706 and 18 U.S.C. 1001).

Signature	Date
William M. Thomas	May 14, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

William M. Thomas
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
II A - Earned Income cont'd:	
	Real Estate Tax Institute (rec'd 2-17-86); Honorarium $1000
	Pfizer (rec'd 2-26-860; Honorarium $1000
	National Assoc. Independent Insurers (rec'd 3-5-86); Honorarium $2000
	CA State Bar (rec'd 3-6-87); Honorarium $1000
	Wine Institute (rec'd 3-7-86); Honorarium $1000
	CA Pistachio (rec'd 3-19-86); Honorarium $2000
	Aluminum Assoc. (rec'd 3-20-86); Honorarium $1000
	CA Hospital Assoc. (rec'd 4-1-86); Honorarium $1000
	National Solvent Recyclers (rec'd 4-8-86); Honorarium $1000
	AETNA (rec'd 4-11-86); Honorarium $2000
	National Restaurant Assoc. (rec'd 4-17-86); Honorarium $1000
	First Capitol Financial Corporations (rec'd 5-23-86); Honorarium $1000
	Miller & Schroeder (rec'd 6-6-86); Honorarium $2000
	Pillsbury Co. (rec'd 8-1-86); Honorarium $2000 (directed to charity)
	U.S. Beet Sugar Assoc. (rec'd 5-7-86); Honorarium $1000
VII-Reimbursements cont'd:	
	Real Estate Tax Institute (Speech); Airfare-Wash. D.C. to Ft. Myers, FL; lodging 1 night
	Pfizer (Speech); Airfare-San Francisco, CA to Sarasota, FL; FL to Wash. D.C.; lodging 1 night
	National Assoc. Independent Insurers (Speech); Airfare-San Francisco to New Orleans, New Orleans to Wash. D.C.; lodging 1 night
	Aluminum Assoc. (Speech); Airfare-Wash. D.C. to Ft. Myers, FL; Ft. Myers to Ft. Walton Beach, FL; lodging 1 night
	CA Hospital Assoc. (Speech); Roundtrip airfare, Bakersfield, CA to Fresno, CA
	AETNA (Speech); Airfare-Wash. D.C. to Savannah, GA; lodging 1 night
	National Restaurant Assoc. (Speech); Airfare-Bakersfield to Lake Tahoe, CA; rental car; airfare-Sacramento, CA to Bakersfield; lodging 1 night
	First Capitol Financial Corporations (Speech); expenses for self & spouse; Roundtrip airfare from Wash. D.C. to Rio De Janeiro, Brazil; lodging 3 nights
	Miller & Schroeder (Speech); Roundtrip airfare-Wash. D.C. to Milwaukee, WI
	Pillsbury Co. (Speech); Airfare-Bakersfield to Minneapolis, Minneapolis to Wash. D.C.; lodging 1 night
	Bonneville Group, Inc. (Speech); Roundtrip airfare-Wash. D.C. to Salt Lake City; lodging 1 night
	Wallace & Edwards (Speech); Airfare-New Orleans to Wash. D.C.; lodging 1 night
	Computer Sciences Corporation (Speech); Roundtrip airfare-Wash. D.C. to Palm Springs, CA; rental car; airfare-Palm Springs to Bakersfield; 1 night lodging

GPO: 1986 61-[illegible] (m)

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Esteban Edward Torres
(Full Name)

1740 Longworth House Office Building
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 34 State California

☐ Officer or Employee—Employing Office ________

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XXX NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
SEE ATTACHED STATEMENT			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
White House Credit Union	Interest	A
Wright Patman Credit Union	Interest	A
UAW Federal Credit Union	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(f)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO XXXX NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
1. Residential Property consisting of ¼ acre and three bedroom house at 908 E. Lucille Ave., West Covina, CA	E
2. Wright Patman Congressional Credit Union, IRA	B

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
Purchase residential property at 908 E. Lucille Avenue West Covina, CA	12/27/86	E

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME, any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
California Home Savings and Loan, Los Angeles	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

8 7 0 4 2 1 1 1 3 3 5

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
SEE ATTACHED STATEMENT	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Trustee	Pan-American Development Foundation
Trustee	U.S. Committee - UN Children's Emergency Fund (UNICEF)
Advisor	Nat'l Democratic Institute for International Affairs
Director	Nat'l Assoc. of Latino Elected & Appointed Officials (NALEO)
Chairman	Congressional Hispanic Caucus Institute, Inc.
Vice-President	The California State Society

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Esteban E. Torres	5/15/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987 [illegible]

[illegible] 7 7 4 2 2 1 1 3 3 5

FINANCIAL DISCLOSURE STATEMENT FOR 1986
Attachment

Hon. Esteban E. Torres
1740 Longworth House Office Bldg.
Washington, D.C: 20515

34th District, California

II. INCOME

Source and Date Received		Type	Amount
American Bankers Association	2/3/86	Honorarium	$2,000.00
Hispanic Federation of Illinois Chambers of Commerce (Speech was delivered 11/26/85; payment received 2/14/86)	2/14/86	Honorarium	$1,000.00
Mexican American Grocers Association Foundation	3/22/86	Honorarium	$1,000.00
Mexican American Grocers Association	5/28/86	Honorarium	$375.00
Telacu Industries, Inc.	6/2/86	Honorarium	$1,000.00
Associated Specialty Contractors, Inc.	6/6/86	Honorarium	$500.00
League of United Latin American Citizens	8/6/86	Honorarium	$200.00

VII. REIMBURSEMENTS

Source and Date Received		Brief Description
American Bankers Association	2/6/86	Phoenix, Airfare
Mexican American Grocers Association Foundation	3/21-22/86	Palm Springs, Airfare & Lodging
California Contract Cities Association	5/30-31/86	Palm Springs, Lodging
Telacu Industries, Inc.	6/7-9/86	Lake Tahoe, Airfare & Lodging

[illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A–For use by Members, officers, and employees

Robert G. Torricelli
(Full Name)

317 Cannon House Office Building
(Mailing Address)

Washington, DC, 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives–District 09 State NJ

☐ Officer or Employee–Employing Office ____

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
2/86	St. John's University	honorar.	1000.00	
2/86	Gulf & Western	"	500.00	
2/86	Connell Rice & Sugar	"	2000.00	
3/86	American University	"	500.00	
4/86	Tmakung University	"	2000.00	

(see attached)

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Investment (Drexel, Burnham, Lambert)	mutual fund	B
U.S. Government securities (same)	0 coupon bonds	A
Washington Federal Bank	C.D.	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO _x_ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Drexel Burnham mutual fund	C
U.S. Government securities (IRA)	B
Washington Federal bank (IRA)	B

[illegible]

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sale of Data Marine Corporation stock	7/86	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
none	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
none	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
none		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
D.H.Sawyer & Associates	airfare/lodging -- New York
Electronic Industries Association	airfare/lodging -- Chicago
Office & Professional Employees	airfare/lodging -- Toronto
LULAC Foundation	airfare/lodging --W.Virginia
Northrup Corporation	airfare/lodging -- California
Tamkung University	airfare -- Taiwan

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director	Jersey Bank for Savings (application of approval pending)

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
none		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5/15/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

Robert G. Torricelli

II. INCOME (continued)
A. Source

4/86	National Association of Manufacturers	honorarium	750.00
4/86	Merrill Lynch	"	2000.00
4/86	Wine Institute	"	1000.00
5/86	Merck Corporation	"	1500.00
5/86	Hercules Corporation	"	1000.00
6/86	Electronic Industries Association	"	1000.00
6/86	Office & Professional Employees	"	2000.00
5/86	Phillip Kendall	"	1000.00
6/86	LULAC Foundation	"	1000.00
7/86	Northrup Corporation	"	2000.00
7/86	Amercian Trucking Association	"	1000.00

II INCOME
A. Earned Income of spouse, Susan Holloway Torricelli

Source	Category
Fratelli Group	E

2 7 3 7 0 2 1 1 5 7 5

ROBERT G. TORRICELLI
9TH DISTRICT NEW JERSEY

COMMITTEES
FOREIGN AFFAIRS
SCIENCE AND TECHNOLOGY

HAND DELIVERED

WASHINGTON OFFICE
317 CANNON HOUSE OFFICE BUILDING
WASHINGTON DC 20515
202-225-5061

DISTRICT OFFICE
COURT PLAZA
25 MAIN STREET
HACKENSACK NJ 07601
201-646-1111

Congress of the United States
House of Representatives
Washington, DC 20515

May 15, 1987

MC

The Honorable Donnald K. Anderson
Clerk of the House
United States House of Representatives
Washington, DC 20515

Dear Mr. Anderson:

During the course of the calendar year of 1986, I entered into a position of responsibility for a minor child at the request of her parents who were not in the United States. Under the terms of the agreement, I have exerted certain specific legal rights and responsibilities for the child including the receipt and distribution of funds for her education, housing, health and general welfare. Responsibilities under the terms of the agreement are continuing.

Please accept this disclosure as a part of my financial disclosure statement for 1986.

Thank you.

Sincerely,

Robt Tori

ROBERT G. TORRICELLI

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UNITED STATES HOUSE OF REPRESENTATIVES

[illegible]

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Edolphus Towns
(Full Name)
286 Highland Blvd.
(Mailing Address)
Brooklyn, New York 11207

MC
(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives—District 11th State New York

☐ Officer or Employee—Employing Office __________

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

[illegible]

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A SOURCE	TYPE	AMOUNT	DISPOSITION
City of N.Y. Board of Education	Teacher Sal.	-	None
Honorarium Income-Net of Expenses	Honoraria	$10,587.	None
Gross Honoraria=12,125 - Schedule Attached			
Net Honoraria Income = 10,587			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B SOURCE	TYPE	CATEGORY
Interest from savings & credit union	Interest	A
State Tax refunds	Tax refund	B
Net rental income from ½ int. 6 family house	Rents	A
Net rental loss - 1 family house	Rents	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
½ ownership - 6 family house - 684 New Lots Ave. Bklyn, NY	C
Full ownership 1 family house - Rt 3 Box 262 Chadbourne, N.C.	C
Savings accounts and certificates of deposit	C

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10

BRIEF DESCRIPTION	DATE	CATEGORY
N		
O		
N		
E		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
N	
O	
N	
E	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
N	
O	
N	
E	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION	VALUE
N		
O		
N		
E		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
N	
O	
N	
E	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
	N
	O
	N
	E

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	N	
	O	
	N	
	E	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
E Brown	5/15/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

[illegible]

1986 HONORARIUMS (Cong. E. Towns)

February 21	-	Techtronics, Inc. Brooklyn, N.Y.	$500.00
February 4	-	Smith Kline Beckman Corp. 1150 Conn. Ave., N.W. Wash., D.C.	500.00
March 26	-	Florida Mem'l College Miami, Fla. 33054	800.00
April 4	-	Martin Street Baptist Church Raleigh, N.C.	400.00
April 5	-	NAACP Raleigh, N.C.	600.00
April 13	-	Hanson Place Methodist Church Brooklyn, N.Y.	125.00
June	-	Hollis Presbyterian Church Brooklyn, N.Y.	200.00
July 14	-	Freedom, Inc. 5501 Cleveland Kansas City, Mo.	1,000.00
July 1	-	Heavy Constructors Asso. 3101 Broadway Kansas City, Mo.	500.00
July 14	-	Linde, Thomson, Fairchild Langworthy, Kohn & Van Dyke. City Center Square P.O. Box 26010 Kansas City, Mo.	2,000.00
July 24	-	Wms & Jensen A Professional Corp. 1101 Conn. Ave., N.W. Wash., D. C.	1,000.00
Oct. 16		Bergen Community College Paramus, N.J.	1,000.00

1986 HONORARIUMS
PAGE -2-

October 1	-	Nat'l Med'l Asso. 1012 10th St., N.W. Wash., D. C. 20001	$ 500.00
October 18	-	J. J. Howze Chair First Baptist Church Wilmington, N.C.	300.00
November	-	Baptist Convention Rural Committee Ashville, N.C.	500.00
November 7	-	NAACP Kansas City, MO Mr. Herman Johnson	750.00
November 7	-	Minority Participation (MPP) Kansas City, MO.	1,000.00
December 1	-	Varick Mem'l A.M.E. Zion Church 806 Quincy St. Brooklyn, N.Y.	200.00
December 16	-	Convent Avenue Baptist Church 420 West 145th St. New York, N.Y.	250.00
		TOTAL	$12,125.00

[illegible]

EDOLPHUS "ED" TOWNS
MEMBER OF CONGRESS
11TH DISTRICT NEW YORK
VICE CHAIRMAN
CONGRESSIONAL BLACK CAUCUS
PUBLIC WORKS AND TRANSPORTATION
SUBCOMMITTEES
WATER RESOURCES
ECONOMIC DEVELOPMENT
BUILDINGS AND GROUNDS
GOVERNMENT OPERATIONS
SUBCOMMITTEES
ENERGY AND THE ENVIRONMENT
GOVERNMENT INFORMATION JUSTICE
AND AGRICULTURE
SELECT COMMITTEE ON NARCOTICS
ABUSE AND CONTROL

Congreſs of the United States
House of Representatives
Washington, DC 20515

OFFICES
☐ SUITE 1726
LONGWORTH H O B
WASHINGTON DC 20515
202/225-5936
☐ 93 PROSPECT PLACE
BROOKLYN, NY 11217
718/622-5700
☐ 278 STUYVESANT AVENUE
BROOKLYN, NY 11233
718/965-5124

June 8, 1987

Hon. Julian C. Dixon
Chairman
Committee on Standards of Official Conduct
U.S. House of Representatives
Washington, D.C. 20515

jmc ✓

Re: Amendment of Financial Disclosure Statement

Dear Chairman Dixon,

Thank you for your letter informing me of the need to provide certain additional information in order to complete my original Financial Disclosure Statement.

Pursuant to Part II B--Unearned Income, the names of the savings institutions are National Westminster Bank and Republic National Bank. My gross rental income is one thousand nine hundred twenty ($1,920.00) dollars.

Pursuant to Part III--Holdings, I have I.R.A. accounts with National Westminster Bank and Certificates of Deposit with Bankers' Trust Company.

I hope the foregoing information satisfies any apparent deficiencies as noted on my original Financial Disclosure Statement.

Sincerely,

E Towns

Edolphus Towns
Member of Congress

8 7 2 2 7 2 1 2 3 1 7

MAY 15 1987

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

James A. Traficant, Jr.
(Full Name)

429 N. Main St.
(Mailing Address)

Poland, OH 44514

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 17 State Ohio

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
(wife) Henri's Beauty Salon	service		

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
(wife) Patricia	Land contract	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.** **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO x NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Public Employment Retirement System	C
~~(wife) Patricia~~	
~~Mathews Rd.~~ Lot 424, Boardman, Ohio	C
~~6908 So. Range Rd., Salem Ohio~~	C

[illegible]

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
AFSCME Ohio Council 8 (Sept. 13-14)	gasoline, hotel, food
Columbus, OH --address conference	
7-3- National Federation of Blind conference	airfair
Ohio River Basin conference (2-9-86)	airfair & hotel

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Trustee	Northeast Ohio Energy Research & Redevelopment, Inc.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
17-Ohio	May 15, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Bob Traxler
(Full Name)

2366 Rayburn HOB
(Mailing Address)

Washington, D.C. 20515

mc
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 8 State MI

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA X

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
American Trucking Associations, Inc. (October 15)	Honorarium	$1,000.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
None		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO ____ NA X

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
NONE	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
American Trucking Associations, Inc. (October 15)	Honorarium/Breakfast speaking engagement

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature: Bob Traxler

Date: 5-5-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

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AND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

MORRIS K. UDALL
(Full Name)

235 Cannon House Office Building
(Mailing Address)

Washington, D. C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 2 State Arizona

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.

- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.

- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See attached schedules & income tax return			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See attached schedules & income tax return		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
~~See Attached schedules~~ & income tax return	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
See attached schedules		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
See attached schedules	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
Xeroscape (Speech; self)	Roundtrip airfare; Phoenix to San Francisco to Wash, DC;1 nite food/lodging.

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
1/3 partner	SMT Limited Partnership,1/3 partner for ownerhip and acquistion of property.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 15, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

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DISCLOSURE STATEMENT - 1986

REPRESENTATIVE MORRIS K. UDALL

This statement and attached schedules are intended to provide all the required information to be contained in the Financial Disclosure Statement required by House Rules, plus certain additional and supplemental information and schedules not required by House Rules, but which I am voluntarily making part of this statement.

I request that all of this information, both required and supplemental be made available on request to the public or the press.

In accordance with the above, I am attaching the following:

1. 1986 U.S. Income Tax Form 1040 and certain Schedules therefor filed by Mrs. Udall and me.
2. A list of 1986 Honoraria, showing travel reimbursements, if any, associated with each, and outside income from writer's fees, etc.
3. Stock ownership for Mrs. Udall and me as of January 1, 1987.
4. Interests in Real Estate as of January 1, 1987.
5. A list of personal property, major items.

We paid 1986 Federal income taxes in the amount of $47,520.00 and Arizona State taxes in the amount of $7,250.00.

As of December 31, 1986, I had paid from my salary into the U.S. Civil Service Retirement System a total exceeding $93,404.00.

235 Cannon House Office Building, Washington D.C. 20515 202-225-4065

Representative Morris K. Udall

APPEARANCES	HONORARIA	DATE CHECK REC'D	REIMBURSEMENT
Federal Managers Assoc.	$ 500.00	5/22/86	
National Ocean Industries Association	$1,000.00	4/22/86	
National Water Resources Assocation	$1,000.00	4/28/86	
American Nuclear Energy Council	$2,000.00	5/22/86	
Edison Electric Institute	$1,000.00	6/26/86	
Xeroscape	$2,000.00	11/20/86	Airfare, 1 nite lodging & food
TOTAL	$7,500.00		

ROYALTIES		
West Publishing	$108.61	3/5/86
West Publishing	$137.90	8/29/86
Washington Post	$500.00	12/2/86
TOTAL	$746.51	

[illegible]

Representative Morris K. Udall

STOCK OWNERSHIPS, January 1, 1987

COMPANY	SHARES	APPROX. EQUITY VALUE
Fidelity Trend Fund	645.120	$29430.37
Mass. Investors Growth Fund	280.262	$29014.04
UB Financial Corporation	134	$ 4,422.00
Modern Pioneers Insurance Co.	346	$ 1,400.00
E.F. Hutton Money Market Fund		$87,202.00
Perpetual American Bank	1330	$18,287.50
Valley National Bank Money Market Savings		$181,134.00

Representative Morris K. Udall

INTERESTS IN REAL ESTATE	APPROX. EQUITY VALUE
Home in McLean, VA jointly owned by Representative and Mrs. Udall, mortgage balance $16769.44. Assessed value at $225,000.	$208,231.00
28 acre unimproved tract (vacant) near Front Royal, Virginia	$34,100.00
Limited partnership in Kingman Station, Tucson, Arizona.	$20,000.00
½interest, 40 acre unimproved (vacant) tract near Red Rock, Pinal County, Arizona	$17,075.00
8 acre tract in Sec. 22 T11s, R13e, (La Cholla Airpark), Tucson, Arizona (with one share of stock in Airpark Corporation)	$62,000.00
1/3 interest in 1½ acres locate on West Ina Road, Pima County, Arizona	$150,000.00
1/3 interest in 14 acres located on Sec. 21, T13s, R16e, (Tanque Verde Valley) Pima County, Arizona	$ 20,000.00

Representative Morris K. Udall

PERSONAL PROPERTY, Major Items	APPROX. EQUITY VALUE
One 1979 and one 1984 automobile	$15,000.00
INTEREST IN NOTES AND MORTGAGES	
SOLD 9% interest, real estate partnership on real property located on Broadway, west of Country Club Road, Tucson, Arizona	$118,028.00
Balance in miscellaneous savings & checking accounts (approximate)	$181,000.00
Certificates of Deposit with Valley National Bank in Tucson, Arizona	$ 60,000.00
½ interest in notes and mortgages on property from Pulsifer in Apache County, Arizona	$ 34,000.00
1/3 interest in notes and mortgages on property from M/M Brill & M/M Perlman on 14 acres located on Sec. 21, T13s,R16e, Pima County Arizona	$ 5,344.32

CC Form **1040** Department of the Treasury—Internal Revenue Service

U.S. Individual Income Tax Return 1986

For the year January 1–December 31, 1986, or other tax year beginning , 1986, ending , 19 . OMB No. 1545-0074

Your first name and initial (if joint return, also give spouse's name and initial) — Last name — Your social security number

MORRIS K & ELLA R — UDALL — 527-20-9951

Present home address (number and street, or rural route) — Spouse's social security no.

300 N MAIN STREET — 579-32-7207

City, town or post office, state and ZIP code

TUCSON ARIZONA 85701

If this address is different from the one shown on your 1985 return, check here ▶

Presidential Election Campaign

Do you want $1 to go to this fund? X Yes ☐ No

If joint return, does your spouse want $1 to go to this fund? X Yes ☐ No

Note: *Checking "Yes" will not change your tax or reduce your refund.*

For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Filing Status

Check only one box.

1 ☐ Single

2 ☒ Married filing joint return (even if only one had income)

3 ☐ Married filing separate return. Enter spouse's social security number above and full name here

4 ☐ Head of household (with qualifying person). (See page 5 of Instructions.) If the qualifying person is your unmarried child but not your dependent, enter child's name here

5 ☐ Qualifying widow(er) with dependent child (year spouse died ▶ 19). (See page 6 of Instructions.)

Exemptions

Always check the box labeled Yourself. Check other boxes if they apply.

6a ☒ Yourself ☐ 65 or over ☐ Blind

b ☒ Spouse ☐ 65 or over ☐ Blind

Enter number of boxes checked on 6a and b ▶ 2

c First names of your dependent children who lived with you

Enter number of children listed on 6c ▶

d First names of your dependent children who did not live with you (If pre-1985 agreement, check here ▶ ☐)

Enter number of children listed on 6d ▶

e Other dependents:

(1) Name	(2) Relationship	(3) Number of months lived in your home	(4) Did dependent have income of $1,080 or more?	(5) Did you provide more than one-half of dependent's support?

Enter number of other dependents ▶

COPY

f Total number of exemptions claimed (also complete line 36)

Add numbers entered in boxes above ▶ 2

Income

Please attach Copy B of your Forms W-2, W-2G, and W-2P here.

If you do not have a W-2, see page 4 of Instructions.

Please attach check or money order here.

7	Wages, salaries, tips, etc. *(attach Form(s) W-2)* SEE STATEMENT 1		7	75,100.
8	Interest income *(also attach Schedule B if over $400)*		8	51,200.
9a	Dividends *(also attach Schedule B if over $400)* 7,384.	9b Exclusion 200.		
c	Subtract line 9b from line 9a and enter the result		9c	7,184.
10	Taxable refunds of state and local income taxes, if any, from the worksheet on page 9 of Instructions		10	
11	Alimony received		11	
12	Business income or (loss) *(attach Schedule C)*		12	5,847.
13	Capital gain or (loss) *(attach Schedule D)*		13	53,268.
14	40% of capital gain distributions not reported on line 13 (see page 9 of Instructions)		14	
15	Other gains or (losses) *(attach Form 4797)*		15	
16	Fully taxable pensions, IRA distributions, and annuities not reported on line 17 (see page 9)		16	
17a	Other pensions and annuities, including rollovers. Total received	17a		
b	Taxable amount, if any, from worksheet on page 10 of Instructions		17b	
18	Rents, royalties, partnerships, estates, trusts, etc. *(attach Schedule E)*		18	-10,951.
19	Farm income or (loss) *(attach Schedule F)*		19	
20a	Unemployment compensation (insurance). Total received	20a		
b	Taxable amount, if any, from worksheet on page 10 of Instructions		20b	
21a	Social security benefits. (see page 10)	21a		
b	Taxable amount, if any, from the worksheet on page 11. (Tax-exempt interest		21b	
22	Other income (list type and amount) SEE STATEMENT 4		22	1,223.
23	Add the amounts shown in the far right column for lines 7 through 22. This is your **total income** ▶		23	182,871.

Adjustments to Income

(See Instructions on page 11.)

24	Moving expense *(attach Form 3903 or 3903F)*	24		
25	Employee business expenses	25 3,000.		
26	IRA deduction, from the worksheet on page 12	26 2,250.		
27	Keogh retirement plan and self-employed SEP deduction	27		
28	Penalty on early withdrawal of savings	28		
29	Alimony paid (recipient's last name and social security no.)	29		
30	Deduction for married couple when both work *(attach Schedule W)*	30		
31	Add lines 24 through 30 ▶		31	5,250.

AGI

32	Subtract line 31 from line 23. This is your adjusted gross income ▶		32	177,621.

527-20-9951

Tax Computation (See Instructions on page 13.)	33	Amount from line 32 (adjusted gross income)	33	177,621.
	34a	If you itemize, attach Schedule A (Form 1040) and enter the amount from Schedule A, line 26. **Caution:** If you have unearned income and can be claimed as a dependent on your parents' return, see page 13 of the Instructions and check here ▶ ☐. Also see page 13 if you are married filing a separate return and your spouse itemizes deductions, or you are a dual-status alien.	34a	41,096.
	b	If you do not itemize but you made charitable contributions, enter your cash contributions here. (If you gave $3,000 or more to any one organization, see page 14.) — 34b		
	c	Enter your noncash contributions *(you must attach Form 8283 if over $500)* — 34c		
	d	Add lines 34b and 34c. Enter the total	34d	
	35	Subtract line 34a or line 34d, whichever applies, from line 33	35	136,525.
	36	Multiply $1,080 by the total number of exemptions claimed on line 6f (see page 14)	36	2,160.
	37	**Taxable income.** Subtract line 36 from line 35. Enter the result (but not less than zero)	37	134,365.
	38	Enter tax here. Check if from ☐ Tax Table, ☒ Tax Rate Schedule X, Y, or Z, or ☐ Schedule G	38	47,520.
	39	Additional taxes (See page 14 of Instructions). Enter here and check if from ☐ Form 4970, ☐ Form 4972, or ☐ Form 5544	39	
	40	Add lines 38 and 39. Enter the total ▶	40	47,520.
Credits (See Instructions on page 14.)	41	Credit for child and dependent care expenses *(attach Form 2441)* — 41		
	42	Credit for the elderly or for the permanently and totally disabled (attach Sch. R) — 42		
	43	Partial credit for political contributions for which you have receipts — 43		
	44	Add lines 41 through 43. Enter the total	44	
	45	Subtract line 44 from line 40. Enter the result (but not less than zero)	45	47,520.
	46	Foreign tax credit *(attach Form 1116)* — 46		
	47	General business credit. Check if from ☐ Form 3800, ☐ Form 3468, ☐ Form 5884, ☐ Form 6478, or ☐ Form 6765 — 47		
	48	Add lines 46 and 47. Enter the total	48	-
	49	Subtract line 48 from line 45. Enter the result (but not less than zero) ▶	49	47,520.
Other Taxes (Including Advance EIC Payments)	50	Self-employment tax *(attach Schedule SE)*	50	
	51	Alternative minimum tax *(attach Form 6251)*	51	
	52	Tax from recapture of investment credit *(attach Form 4255)*	52	
	53	Social security tax on tip income not reported to employer *(attach Form 4137)*	53	
	54	Tax on an IRA *(attach Form 5329)*	54	
	55	Add lines 49 through 54 ▶	55	47,520.
Payments (Attach Forms W-2, W-2G, and W-2P to page 1.)	56	Federal income tax withheld — 56 — 18,447.		
	57	1986 estimated tax payments and amount applied from 1985 return — 57 — 7,920.		
	58	Earned income credit (see page 16) — 58		
	59	Amount paid with Form 4868 — 59		
	60	Excess social security tax and RRTA tax withheld — 60		
	61	Credit for Federal tax on gasoline and special fuels *(attach Form 4136)* — 61		
	62	Regulated investment company credit *(attach Form 2439)* — 62		
	63	Add lines 56 through 62. These are your **total payments** ▶	63	26,367.
Refund or Amount You Owe	64	If line 63 is larger than line 55, enter amount **OVERPAID** ▶	64	
	65	Amount of line 64 to be **REFUNDED TO YOU** ▶	65	
	66	Amount of line 64 to be applied to your 1987 estimated tax ▶ 66		
	67	If line 55 is larger than line 63, enter **AMOUNT YOU OWE**. Attach check or money order for full amount payable to "Internal Revenue Service." Write your social security number, daytime phone number, and "1986 Form 1040" on it. Check ▶ ☒ if Form 2210 (2210F) is attached. See page 17. Penalty $ 16. ▶	67	21,169.

Please Sign Here — Under penalties of perjury, I declare that I have examined this return and accompanying schedules and statements, and to the best of my knowledge and belief, they are true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

▶ Your signature	Date	Your occupation	US CONGRESSMAN
▶ Spouse's signature (if joint return, BOTH must sign)	Date	Spouse's occupation	HOUSEWIFE

Paid Preparer's Use Only

Preparer's signature ▶	Date 4-5-87	Check if self-employed ☐	Preparer's social security no.	286-20-2430
Firm's name (or yours, if self-employed) and address ▶	COOPERS & LYBRAND 33 N STONE, SUITE 1900 TUCSON, ARIZONA		E.I. No. ZIP code	13-5218870 85701

SCHEDULES A&B
(Form 1040)
Department of the Treasury
Internal Revenue Service

Schedule A – Itemized Deductions

▶ Attach to Form 1040. ▶ See Instructions for Schedules A and B (Form 1040).

1986
Attachment
Sequence No. 07

Name(s) as shown on Form 1040: MORRIS K & ELLA R UDALL

Your social security number: 527-20-9951

Medical and Dental Expenses **(Do not include expenses reimbursed or paid by others.)**	1	Prescription medicines and drugs, and insulin	1	789.		
	2	a Doctors, dentists, nurses, hospitals, insurance premiums you paid for medical and dental care, etc.	2a	4,905.		
		b Transportation and lodging	2b			
(See instructions on page 19.)		c Other (list – include hearing aids, dentures, eyeglasses, etc.) ▶	2c			
	3	Add lines 1 through 2c, and enter the total here	3	5,694.		
	4	Multiply the amount on Form 1040, line 33, by 5% (.05)	4	8,881.		
	5	Subtract line 4 from line 3. If zero or less, enter -0-. Total medical and dental			▶ 5	0.
Taxes You Paid	6	State and local income taxes	6	3,058.		
	7	Real estate taxes	7	5,031.		
(See instructions on page 20.)	8	a General sales tax (see sales tax tables in instruction booklet)	8a	1,124.		
		b General sales tax on motor vehicles	8b			
	9	Other taxes ▶ PERSONAL PROPERTY	9	92.		
	10	Add the amounts on lines 6 through 9. Enter the total here. Total taxes			▶ 10	9,305.
Interest You Paid	11	a Home mortgage interest you paid to financial institutions	11a	1,204.		
		b Home mortgage interest you paid to individuals (show person's name and address) ▶	11b			
(See instructions on page 20.)	12	Total credit card and charge account interest you paid	12	123.		
	13	Other interest you paid (list) ▶ FROM FORM 4952	13	29,841.		
	14	Add the amounts on lines 11a through 13. Enter the total here. Total interest			▶ 14	31,168.
Contributions You Made	15	a Cash contributions. (If you gave $3,000 or more to any one organization, report those contributions on line 15b.)	15a	945.		
(See instructions on page 21.)		b Cash contributions totaling $3,000 or more to any one organization. (Show to whom you gave and how much you gave.) ▶	15b			
	16	Other than cash. (Attach Form 8283 if over $500.)	16			
	17	Carryover from prior year	17			
	18	Add the amounts on lines 15a through 17. Total contributions			▶ 18	945.
Casualty and Theft Losses	19	Total casualty or theft loss(es). (You must attach Form 4684 or similar statement.) (See page 21 of Instructions.)			▶ 19	
Miscellaneous Deductions	20	Union and professional dues	20			
	21	Tax return preparation fee	21	765.		
(See instructions on page 22.)	22	Other (list type and amount) ▶ DUES & MEMBERSHIPS TRUST & COLLECTION FEES POSTAGE TRUST LEGAL & ACCOUNTING	22	 1,030. 164. 132. 1,257.		
	23	Add the amounts on lines 20 through 22. Enter the total here. Total miscellaneous			▶ 23	3,348.
Summary of Itemized Deductions	24	Add the amounts on lines 5, 10, 14, 18, 19, and 23. Enter your answer here			24	44,766.
(See instructions on page 22.)	25	If you checked Form 1040 Filing Status box 2 or 5, write $3,670 Filing Status box 1 or 4, write $2,480 Filing Status box 3, write $1,835			25	3,670.
	26	Subtract line 25 from line 24. Enter your answer here and on Form 1040, line 34a. (If line 25 is more than line 24, see the instructions for line 26 on page 22.)			▶ 26	41,096.

For Paperwork Reduction Act Notice, see Form 1040 Instructions.

Schedule A (Form 1040) 1986

Name(s) as shown on Form 1040 (Do not enter name and social security number if shown on page 1) — MORRIS K & ELLA R UDALL

Your social security number — 527-20-9951

Schedule B—Interest and Dividend Income

Attachment Sequence No. 08

Part I Interest Income

If you received more than $400 in interest income, you must complete Part I and list ALL interest received. If you received, as a nominee, interest that actually belongs to another person, or you received or paid accrued interest on securities transferred between interest payment dates, see page 22.

(See Instructions on pages 8 and 22.)

Also complete Part III.

Interest income		Amount
1 Interest income from seller-financed mortgages. (See Instructions and list name of payer.) ▶		
(J) PULSIFER-APACHE TITLE	1	3,400.
2 Other interest income (list name of payer) ▶		
FIRST AMERICAN BANK OF VA		2,021.
LAWYERS TITLE TRUST 7431		28,218.
MARK UDALL		15.
(J) PERPETUAL AMERICAN 89651475		58.
VALLEY NATIONAL 03131-2787	2	5,810.
VALLEY NATIONAL 08332-6953		4,458.
VALLEY NATIONAL 2001-5795		441.
(J) VALLEY NATIONAL 82955328		6,505.
STEWART TITLE TRUST 1577		274.
3 Add the amounts on lines 1 and 2. Enter the total here and on Form 1040, line 8 ▶	3	51,200.

Part II Dividend Income

If you received more than $400 in gross dividends and/or other distributions on stock, complete Part II. If you received, as a nominee, dividends that actually belong to another person, see page 23.

(See Instructions on pages 8 and 23.)

Also complete Part III.

Dividend income		Amount
4 Dividend income (list name of payer - include on this line capital gain distributions, nontaxable distributions, etc.) ▶		
(J) E F HUTTON		6,537.
(H) FIDELITY TREND FUND		4,349.
(H) MASS INVESTORS GROWTH STOCK FUND		6,714.
(J) MODERN PIONEERS LIFE INSURANCE		10.
(H) UNITED BANCORP OF ARIZONA	4	313.
5 Add the amounts on line 4. Enter the total here	5	17,923.
6 Capital gain distributions. Enter here and on line 13, Schedule D.* 6 10,539.		
7 Nontaxable distributions. (See Schedule D Instructions for adjustment to basis.) 7		
8 Add the amounts on lines 6 and 7. Enter the total here	8	10,539.
9 Subtract line 8 from line 5. Enter the result here and on Form 1040, line 9a ▶	9	7,384.

If you received capital gain distributions for the year and you do not need Schedule D to report any other gains or losses, do not file that schedule. Instead, enter 40% of your capital gain distributions on Form 1040, line 14.

Part III Foreign Accounts and Foreign Trusts

(See Instructions on page 23.)

If you received more than $400 of interest or dividends, OR if you had a foreign account or were a grantor of, or a transferor to, a foreign trust, you must answer both questions in Part III.	Yes	No
10 At any time during the tax year, did you have an interest in or a signature or other authority over a financial account in a foreign country (such as a bank account, securities account, or other financial account)? (See page 23 of the Instructions for exceptions and filing requirements for Form TD F 90-22.1.) If "Yes," write the name of the foreign country ▶		X
11 Were you the grantor of, or transferor to, a foreign trust which existed during the current tax year, whether or not you have any beneficial interest in it? If "Yes," you may have to file Forms 3520, 3520-A, or 926		X

For Paperwork Reduction Act Notice, see Form 1040 Instructions.

SCHEDULE C
(Form 1040)
Department of the Treasury
Internal Revenue Service

Profit or (Loss) From Business or Profession

(Sole Proprietorship)
Partnerships, Joint Ventures, etc., Must File Form 1065.
▶ Attach to Form 1040, Form 1041, or Form 1041S. ▶ See Instructions for Schedule C (Form 1040).

1986
Attachment Sequence No. 09

Name of proprietor: MORRIS K UDALL
Social security number: 527-20-9951

A Principal business or profession, including product or service (see instructions): SERVICES SPEAKER-WRITER
B Principal business code (from page 2) ▶

C Business name and address ▶ MORRIS K UDALL
300 N MAIN
TUCSON ARIZ 85701

D Employer ID number

E Method(s) used to value closing inventory:
(1) ☐ Cost (2) ☐ Lower of cost or market (3) ☐ Other (attach explanation)

F Accounting method: (1) ☒ Cash (2) ☐ Accrual (3) ☐ Other (specify) ▶

		Yes	No
G	Was there any change in determining quantities, costs, or valuations between opening and closing inventory? If "Yes," attach explanation		X
H	Did you deduct expenses for an office in your home?		X

I If this schedule includes a loss, credit, deduction, income, or other tax benefit relating to a tax shelter required to be registered, check here ▶ ☐
If you check this box, you MUST attach Form 8271.

Part I Income

1 a Gross receipts or sales	1a	8,247.
b Less: Returns and allowances	1b	
c Subtract line 1b from line 1a and enter the balance here	1c	8,247.
2 Cost of goods sold and/or operations (from Part III, line 8)	2	
3 Subtract line 2 from line 1c and enter the gross profit here	3	8,247.
4 a Windfall profit tax credit or refund received in 1986 (see instructions)	4a	
b Other income	4b	
5 Add lines 3, 4a, and 4b. This is the gross income ▶	5	8,247.

Part II Deductions

6 Advertising		20 Office expense	
7 Bad debts from sales or services (Cash method taxpayers, see instructions)		21 Pension and profit-sharing plans	
8 Bank service charges		22 Rent on business property	
9 Car and truck expenses		23 Repairs	
10 Commissions		24 Supplies (not included in Part III below)	
11 Depletion		25 Taxes (Do not include windfall profit tax here. See line 29.)	
12 Depreciation and Section 179 deduction from Form 4562 (not included in Part III below)		26 Travel and entertainment	1,262.
		27 Utilities and telephone	1,026.
13 Dues and publications		28 a Wages	
14 Employee benefit programs		b Jobs credit	
15 Freight (not included in Part III below)		c Subtract line 28b from 28a	
16 Insurance		29 Windfall profit tax withheld in 1986	
17 Interest:		30 Other expenses (specify):	
a Mortgage (paid to financial institutions)		a SUBSCRIPTIONS	112.
b Other		b	
18 Laundry and cleaning		c	
19 Legal and professional services		d	

31 Add amounts in columns for lines 6 through 30d. These are the total deductions ▶	31	2,400.
32 Net profit or (loss). Subtract line 31 from line 5 and enter the result. If a profit, enter on Form 1040, line 12, and on Schedule SE, Part I, line 2 (or line 5 of Form 1041 or Form 1041S). If a loss, you MUST go on to line 33	32	5,847.

33 If you have a loss, you MUST answer this question: "Do you have amounts for which you are not at risk in this business (see instructions)?" ☐ Yes ☐ No
If "Yes," you MUST attach Form 6198. If "No," enter the loss on Form 1040, line 12, and on Sch. SE, Part I, line 2 (or line 5 of Form 1041 or Form 1041S).

Part III Cost of Goods Sold and/or Operations (See Schedule C Instructions for Part III)

1 Inventory at beginning of year (if different from last year's closing inventory, attach explanation)	1	
2 Purchases less cost of items withdrawn for personal use	2	
3 Cost of labor (do not include salary paid to yourself)	3	
4 Materials and supplies	4	
5 Other costs	5	
6 Add lines 1 through 5	6	
7 Less: Inventory at end of year	7	
8 Cost of goods sold and/or operations. Subtract line 7 from line 6. Enter here and in Part I, line 2, above	8	

For Paperwork Reduction Act Notice, see Form 1040 Instructions.

SCHEDULE D
(FORM 1040)

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses and Reconciliation of Forms 1099-B

▶ Attach to Form 1040 ▶ See Instructions for Schedule D (Form 1040)

For Paperwork Reduction Act Notice, see Form 1040 Instructions.

OMB No. 1545-0074

1986

Attachment Sequence No. 12

Name(s) as shown on Form 1040: MORRIS K & ELLA R UDALL

Your social security number: 527-20-9951

1 Report here, the total sales of stocks, bonds, etc., reported for 1986 by your broker to you on Form(s) 1099-B or an equivalent substitute statement(s) 1 159,700.

If this amount differs from the total of lines 2b and 9b, column (d), attach a statement explaining the difference. See the instructions for line 1, Schedule D (Form 1040) for examples.

Part I Short-term Capital Gains and Losses – Assets Held Six Months or Less

(a) Description of property (Example, 100 shares 7% preferred of "Z" Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold (Mo., day, yr.)	(d) Sales price (see instructions)	(e) Cost or other basis (see instructions)	(f) LOSS If (e) is more than (d) subtract (d) from (e)	(g) GAIN If (d) is more than (e) subtract (e) from (d)
2a Form 1099-B Transactions (Sales of Stocks, Bonds, etc.)						
2b Total (add column (d)) ▶						
2c Other Transactions						

3	Short-term gain from sale or exchange of a principal residence from Form 2119, lines 6 or 12	3		
4	Short-term gain from installment sales from Form 6252, line 22 or 30	4		
5	Net short-term gain or (loss) from partnerships, S corporations, and fiduciaries	5		
6	Short-term capital loss carryover from years beginning after 1969	6		
7	Add all of the transactions on lines 2a and 2c and lines 3 through 6 in columns (f) and (g)	7		
8	Net short-term gain or (loss), combine columns (f) and (g) of line 7		8	

Part II Long-term Capital Gains and Losses – Assets Held More Than Six Months

(a)	(b)	(c)	(d)	(e)	(f)	(g)
9a Form 1099-B Transactions (Sales of Stocks, Bonds, etc.)						
STEWART TITLE TRUST 1577 - LA			159,700.	41,672.		118,028.
9b Total (add column (d)) ▶			159,700.			
9c Other Transactions						

10	Long-term gain from sale or exchange of a principal residence from Form 2119, lines 6, 8, or 12	10		
11	Long-term gain from installment sales from Form 6252, line 22 or 30	11		4,603.
12	Net long-term gain or (loss) from partnerships, S corporations, and fiduciaries	12		
13	Capital gain distributions	13		10,539.
14	Enter gain from Form 4797, line 6 or 8	14		
15	Long-term capital loss carryover from years beginning after 1969	15		
16	Add all of the transactions on lines 9a and 9c and lines 10 through 15 in columns (f) and (g)	16		133,170.
17	Net long-term gain or (loss), combine columns (f) and (g) of line 16		17	133,170.

527-20-9951
Schedule D (Form 1040) 1986 Attachment Sequence No. 12 Page 2

Note *If you have capital loss carryovers from years beginning before 1970, do not complete Parts III or IV. See Form 4798 instead.*

Name(s) as shown on Form 1040 (Do not enter name and social security number if shown on page 1) Your social security number

Part III Summary of Parts I and II

18	Combine lines 8 and 17, and enter the net gain or (loss) here		18 133,170.
	Note *If line 18 is a loss, skip lines 19 through 21 and complete lines 22 and 23. If line 18 is a gain complete lines 19 through 21 and skip lines 22 and 23.*		
19	If line 18 shows a gain, enter the smaller of line 17 or line 18. Enter zero if there is a loss or no entry on line 17	19 133,170.	
20	Enter 60% of line 19 *If line 20 is more than zero, you may be liable for the alternative minimum tax. See Form 6251.*		20 79,902.
21	Subtract line 20 from line 18. Enter here and on Form 1040, line 13		21 53,268.
22	If line 18 shows a loss, enter one of the following amounts: a If line 8 is zero or a net gain, enter 50% of line 18; b If line 17 is zero or a net gain, enter line 18; or c If line 8 and line 17 are net losses, enter amount on line 8 added to 50% of the amount on line 17		22
23	Enter here and as a loss on Form 1040, line 13, the smallest of: a The amount on line 22; b $3,000 ($1,500 if married and filing a separate return); or c Taxable income, as adjusted (see instructions)		23

Part IV Computation of Post-1969 Capital Loss Carryover from 1986 to 1987

(Complete this part if the loss on line 22 is more than the loss on line 23)

24	Enter loss shown on line 8; if none, enter zero and skip lines 25 through 28, then go to line 29	24
25	Enter gain shown on line 17. If that line is blank or shows a loss, enter zero	25
26	Subtract line 25 from line 24	26
27	Enter smaller of line 23 or line 26	27
28	Subtract line 27 from line 26. This is your short-term capital loss carryover from 1986 to 1987	28
29	Subtract line 27 from line 23. (Note: If you skipped lines 25 through 28, enter amount from line 23.)	29
30	Enter loss from line 17; if none, enter zero and skip lines 31 through 34	30
31	Enter gain shown on line 8. If that line is blank or shows a loss, enter zero	31
32	Subtract line 31 from line 30	32
33	Multiply amount on line 29 by 2	33
34	Subtract line 33 from line 32. This is your long-term capital loss carryover from 1986 to 1987	34

Part V Complete This Part Only if You Elect Out of the Installment Method and Report a Note or Other Obligation at Less Than Full Face Value

Check here if you elect out of the installment method ▶

Enter the face amount of the note or other obligation ▶

Enter the percentage of valuation of the note or other obligation ▶

Part VI Reconciliation of Forms 1099-B For Bartering Transactions

Complete this part if you received one or more Form(s) 1099-B or an equivalent substitute statement(s) reporting bartering income. Enter the amount on the line that indicates the form or schedule you used to report the bartering income.

		Amount of bartering from Form 1099-B or equivalent statement
35	Form 1040, line 22	35
36	Schedule C (Form 1040)	36
37	Schedule D (Form 1040)	37
38	Schedule E (Form 1040)	38
39	Schedule F (Form 1040)	39
40	Other (identify) (if not taxable, indicate reason—attach additional sheets if necessary) ▶	40
41	Total (add lines 35 through 40)	41

Note *The amount on line 41 should be the same as the total bartering on all Forms 1099-B or equivalent statements received.*

527-20-9951

Schedule E (Form 1040) 1986 Attachment Sequence No 13 Page 2

Name(s) as shown on Form 1040 (Do not enter name and social security number if shown on page 1) | Your social security number

MORRIS K & ELLA R UDALL 527-20-9951

Part II Income or (Loss) from Partnerships, S Corporations, Estates, or Trusts

If you report a loss below, and have amounts invested in that activity for which you are not at risk, you may have to file Form 6198. See Instructions

Partnerships and S Corporations

(a) Name	(b) Enter appropriate code[1]	(c) Check if foreign partnership	(d) Employer identification number	(e) Net loss (see instructions for at-risk limitations)	(f) Net income
SEE STATEMENT 5	P			10,951.	

[1] Codes: P for partnership; S for S corporation

27 Add amounts in columns (e) and (f) and enter the total(s) here	27	10,951.	
28 Combine amounts in columns (e) and (f), line 27, and enter the net income or (loss) here		28	-10,951.
29 Deduction for section 179 property (from Form 1065, Schedule K-1, and Form 1120S, Schedule K-1). (See instructions for limitations.)		29	
30 Total partnership and S corporation income or (loss). Combine amounts on lines 28 and 29. Enter the total here and include in line 36 below		30	-10,951.

Estates and Trusts

(a) Name	(b)	(c)	(d) Employer identification number	(e) Net loss (see instructions for at-risk limitations)	(f) Net income

31 Add amounts in columns (e) and (f) and enter the total(s) here	31		
32 Total estate and trust income or (loss). Combine amounts in columns (e) and (f), line 31. Enter the total here and include in line 36 below		32	

Part III Windfall Profit Tax Summary

33 Windfall profit tax credit or refund received in 1986 (see Instructions)	33	
34 Windfall profit tax withheld in 1986 (see instructions)	34	
35 Combine amounts on lines 33 and 34. Enter the total here and include in line 36 below	35	

Part IV Summary

36 TOTAL income or (loss). Combine lines 26, 30, 32, and 35. Enter the total here and on Form 1040, line 18 ▶	36	-10,951.
37 Farmers and fishermen: Enter your share of GROSS FARMING AND FISHING INCOME applicable to Parts I and II (see Instructions)	37	

Part V Optional Depreciation Worksheet.

You may use this worksheet to figure depreciation only on property placed in service before 1981. If you placed any property in service after 1980, you must use Form 4562 for all property.

(a) Description of property	(b) Date acquired	(c) Cost or other basis	(d) Depreciation allowed or allowable in prior years	(e) Depreciation method	(f) Life or rate	(g) Depreciation for this year
A						
Total (Property A)						
B						
Total (Property B)						
C						
Total (Property C)						

For Paperwork Reduction Act Notice, see Form 1040 Instructions.

0 7 7 7 7 2 1 1 2 3 9

Form **6251**

Department of the Treasury
Internal Revenue Service

Alternative Minimum Tax Computation

▶ Attach to Forms 1040, 1040NR, 1041 or 990-T (Trust)

1986

Attachment Sequence No. 32

Name(s) as shown on tax return: MORRIS K & ELLA R UDALL

Identifying number: 527-20-9951

1 Adjusted gross income (see instructions)			1	177,621.
2 Deductions (individuals, attach Schedule A (Form 1040))(see instructions):				
a (1) Medical and dental expense from Schedule A, line 5	2a(1)			
(2) Multiply Form 1040, line 33, by 5% (.05)	2a(2)			
(3) Subtract line 2a(2) from line 2a(1) (if zero or less, enter zero)	2a(3)			
b Contributions from Schedule A, line 18 OR Form 1040, line 34d	2b	945.		
c Casualty and theft losses from Schedule A, line 19	2c			
d Qualified interest on property used as a residence (see instructions)	2d	1,204.		
e (1) Interest, other than line 2d above, from Schedule A, line 14	2e(1)	29,964.		
(2) Net investment income (if zero or less, enter zero)	2e(2)	180,803.		
(3) Enter the smaller of line 2e(1) or line 2e(2)	2e(3)	29,964.		
f Gambling losses to the extent of gambling winnings from Schedule A, line 22	2f			
g Estate tax allowable under section 691(c) from Schedule A	2g			
h Estates and trusts only: Charitable deduction and income distribution deduction	2h			
i Add lines 2a(3), b, c, d, e(3), f, g, and h			2i	32,113.
3 Subtract line 2i from line 1			3	145,508.
4 Tax preference items:				
a Dividend exclusion	4a	200.		
b 60% capital gain deduction	4b	79,902.		
c Accelerated depreciation on nonrecovery real property or 15-, 18-, or 19-year real property	4c	2,181.		
d Accelerated depreciation on leased personal property or leased recovery property other than 15-, 18-, or 19-year real property	4d			
e Amortization of certified pollution control facilities	4e			
f Mining exploration and development costs	4f			
g Circulation and research and experimental expenditures	4g			
h Reserves for losses on bad debts of financial institutions	4h			
i Depletion	4i			
j Incentive stock options	4j			
k Intangible drilling costs	4k			
l Add lines 4a through 4k			4l	82,283.
5 Alternative minimum taxable income (add lines 3 and 4(l)) (short period returns, see instructions)			5	227,791.
6 Enter: $40,000, if married filing joint return or qualifying widow(er) $30,000, if single or head of household $20,000, if married filing separate return or estate or trust			6	40,000.
7 Subtract line 6 from line 5. If zero or less, do not complete the rest of this form			7	187,791.
8 Enter 20% of line 7			8	37,558.
9 Amount from Form 1040, line 49, or Form 1040NR, line 49. (Do not include Form 1040, line 39, or Form 1040NR, line 40.) (Estates and trusts, see instructions.)			9	47,520.
10 Subtract line 9 from line 8. If zero or less, enter zero			10	0.
11 Foreign tax credit			11	
12 ALTERNATIVE minimum tax (subtract line 11 from line 10). Enter on your tax return, on the line identified as alternative minimum tax			12	

For Paperwork Reduction Act Notice, see instructions.

Form **6251** (1986)

55-2356803

Form **2106**

Department of the Treasury
Internal Revenue Service

Employee Business Expenses

▶ Attach to Form 1040

1986

Attachment Sequence No. 54

Your name	Social security number	Occupation in which expenses were incurred
MORRIS K UDALL	527-20-9951	US CONGRESSMAN

Part I Employee Business Expenses Deductible in Figuring Adjusted Gross Income

1 Vehicle expenses from Part II, lines 15 or 22	1	
2 Parking fees, tolls, and business portion of certain interest and taxes (see instructions)	2	
3 Local transportation including train, cabs, bus, airplane, etc	3	
4 Travel expenses while away from home overnight including meals, lodging, airplane, car rental, taxi, etc	4	
5 **Employees who are not outside salespersons** Enter your expenses, not included on lines 1 through 4, for entertainment, gifts, and other business expenses, up to the amount you were reimbursed by your employer. Use Schedule A (Form 1040) for these expenses that were more than your reimbursement SEE STATEMENT 3	5	3,000.
6 **Outside salesperson's expenses.** Enter your total expenses for entertainment, gifts, and other business expenses not included on lines 1 through 4	6	
7 Add lines 1 through 6	7	3,000.
8 Enter reimbursements from employer on this line if the reimbursements were not included on Form W-2	8	
9 If line 7 is more than line 8, enter difference here and on Form 1040, line 25	9	3,000.
10 If line 8 is more than line 7, enter difference here and include it on Form 1040, line 7	10	

Part II Vehicle Expenses (Use either your actual expenses or the standard mileage rate.)

Section A. - General Information

		Vehicle 1	Vehicle 2	Vehicle 3
1 Enter the date vehicle was placed in service	1	/ /	/ /	/ /
2 Total mileage vehicle was used during 1986	2			
3 Miles included on line 2 that vehicle was used for business	3			
4 Percent of business use (divide line 3 by line 2)	4	%	%	%
5 Average daily round trip commuting distance	5			
6 Miles included on line 2 that vehicle was used for commuting	6			
7 Other personal mileage (subtract line 6 plus line 3 from line 2)	7			

8 Do you (or your spouse) have another vehicle available for personal purposes? ☐ Yes ☐ No
9 If your employer provided you with a vehicle, is personal use during off duty hours permitted? ☐ Yes ☐ No ☐ Not applicable
10 Do you have evidence to support your deduction? ☐ Yes ☐ No. If yes, is the evidence written? ☐ Yes ☐ No

Section B. - Standard Mileage Rate (Do not use this section unless you own the vehicle.)

11 Enter the smaller of Part II, line 3 or 15,000 miles	11	
12 Subtract line 11 from Part II, line 3	12	
13 Multiply line 11 by 21¢ (.21) (see instructions for a fully depreciated vehicle)	13	
14 Multiply line 12 by 11¢ (.11)	14	
15 Add lines 13 and 14. Enter total here and on Part I, line 1	15	

Section C. - Actual Expenses

		Vehicle 1	Vehicle 2	Vehicle 3
16 Gasoline, oil, repairs, vehicle insurance, etc	16			
17 Vehicle rentals	17			
18 Value of employer-provided vehicle (applies only if included on Form W-2 at 100% fair rental value)	18			
19 Add lines 16 through 18	19			
20 Multiply line 19 by the percentage on Part II, line 4	20			
21 Depreciation from Section D, column (f) (see instructions)	21			
22 Add lines 20 and 21. Enter total here and on Part I, line 1	22			

Section D. - Depreciation of Vehicles

(Depreciation can only be claimed for a vehicle you own. If a vehicle is used 50 percent or less in a trade or business, the Section 179 deduction is not allowed and depreciation must be taken using the straight line method over 5 years. For other limitations, see instructions.)

	Cost or other basis (a)	Basis for depreciation (Business use only) (b)	Method of figuring depreciation (c)	Depreciation deduction (d)	Section 179 expense (e)	Total column (d) + column (e) (f)
Vehicle 1						
Vehicle 2						
Vehicle 3						

For Paperwork Reduction Act Notice, see Instructions.

5-2336893

Form **2210**

Department of the Treasury
Internal Revenue Service

Underpayment of Estimated Tax by Individuals

▶ Attach to Form 1040

OMB No. [illegible]

1986

Attachment Sequence No. 56

Name(s) as shown on Form 1040: MORRIS K & ELLA R UDALL

Social security number: 527-20-9951

Part I Figuring Your Underpayment

1	1986 tax after credits (from Form 1040, line 49)		1	47,520.
2	Other taxes (see instructions)		2	
3	Add lines 1 and 2		3	47,520.
4	Earned income credit	4		
5	Credit for federal tax on gasoline and special fuels	5		
6	Credit for overpaid windfall profit tax attributable to amounts withheld	6		
7	Add lines 4, 5, and 6		7	0.
8	Subtract line 7 from line 3		8	47,520.
9	Multiply line 8 by 80% (.80)	9 38,016.		
10	Withholding taxes from 1986 Form 1040, lines 56 and 60 (Include any credit from Form 4469.)		10	18,447.
11	Subtract line 10 from line 8. If the result is less than $500, do not complete rest of form		11	29,073.
12	Enter your 1985 tax (see instructions)		12	26,624.
13	Enter the smaller of line 9 or line 12		13	26,624.

		Payment Due Dates			
		(a) Apr. 15, 1986	(b) June 15, 1986	(c) Sept. 15, 1986	(d) Jan. 15, 1987
14 Divide line 13 by four (4) and enter result in each column. However, if you use the annualized income installment method, complete the worksheet in the instructions and enter the amount from line 26 in each column of line 14	14	6,656.	6,656.	6,656.	6,656.
Complete lines 15 through 22 for one column before completing the next column.					
15 Estimated tax paid and withheld. (For column (a) only, enter the amount from line 15 on line 19)	15	6,592.	6,592.	6,592.	6,591.
16 Enter amount, if any, from line 22 of previous column	16				
17 Add lines 15 and 16	17		6,592.	6,592.	6,591.
18 Add amounts on lines 20 and 21 of the previous column and enter the result	18		64.	128.	192.
19 Enter line 17 minus line 18. If zero or less, enter zero. (For column (a) only, enter the amount from line 15)	19	6,592.	6,528.	6,464.	6,399.
20 Remaining underpayment from previous period. If the amount on line 19 is zero, enter line 18 minus line 17	20				
21 UNDERPAYMENT. If line 14 is larger than or equal to line 19, enter line 14 minus line 19. Then go to line 15 of next column. Otherwise, go to line 22	21	64.	128.	192.	257.
22 OVERPAYMENT. If line 19 is larger than line 14, enter line 19 minus line 14. Then go to line 15 of next column	22				

For Paperwork Reduction Act Notice, see separate instructions.

Form 2210 (1985) 2

PART II Figuring the Penalty

		Payment Due Dates			
		(a) Apr 15, 1986	(b) June 15, 1986	(c) Sept. 15, 1986	(d) Jan 15, 1987
23 Underpayment from line 21, page 1	23	64.	128.	192.	257.
Rate Period 1 - 10% (April 15, 1986 - June 30, 1986)					
24a Computation starting date for this period	24a	Apr. 15, 1986	June 15, 1986		
b Number of days FROM the date on line 24a TO the date line 23 was paid or June 30, 1986, whichever is earlier. If June 30 is earlier, enter 76 and 15, respectively	24b	Days	Days		
c $\frac{\text{Number of days on line 24b}}{365}$ x 10% x underpayment on line 23 (see instr.)	24c	$	$		
Rate Period 2 - 9% (July 1, 1986 - April 15, 1987)					
25a Computation starting date for this period	25a	June 30, 1986	June 30, 1986	Sept. 15, 1986	Jan. 15, 1987
b Number of days FROM the date on line 25a TO the date line 23 was paid or April 15, 1987, whichever is earlier. If April 15 is earlier, enter 289, 289, 212, and 90 in columns (a) - (d), respectively	25b	Days	Days	Days	Days
c $\frac{\text{Number of days on line 25b}}{365}$ x 9% x underpayment on line 23 (see instr.)	25c	$	$	$	$

26 Penalty (add all amounts on lines 24c and 25c in all columns). Check the box below line 67 on Form 1040 and show this amount in the space provided. If you owe tax, add the penalty to your tax and show the total on line 67. If you are due a refund, subtract the penalty from the overpayment on line 64 STMT 6 26 $ 16.

Form **4952**
Department of the Treasury
Internal Revenue Service

Investment Interest Expense Deduction

▶ See instructions.
▶ Attach to your tax return.

1986
Attachment Sequence No. 72

Name(s) as shown on return: MORRIS K & ELLA R UDALL

Identifying number: 527-20-9951

Kind of return ▶ ☒ Individual ☐ Estate ☐ Trust

Part I Interest on Investment Debts Incurred Before December 17, 1969

Note: *Use Part I only if you have investment interest expense on debts incurred before December 17, 1969, in addition to debts incurred on or after that date.*

1 Interest expense on investment debts incurred before December 17, 1969			1	
2 Total net investment income			2	
3 Net investment income allocable to the period before December 17, 1969 $\frac{\text{Line 1}}{\text{Line 1 + Line 7 + Line 15 + Line 27}}$ x Line 2			3	
4 Subtract line 3 from line 2 - Enter here and on line 10(a)			4	

Part II Interest on Investment Debts Incurred After September 10, 1975

5 Interest expense on investment debts incurred after September 10, 1975			5	42,259.
6 Carryover - Enter amount from 1985 Form 4952, line 14 (see instructions)			6	
7 Total investment interest expense (add lines 5 and 6)			7	42,259.
8 a Individuals enter $10,000 ($5,000 if married filing separately)	8a	10,000.		
b Additional limitation	8b			
9 Estates enter $10,000, trusts enter zero	9			
10 a Total net investment income (amount from line 4 if Part I is used) 10a 62,170.				
b $\frac{\text{Line 7}}{\text{Line 7 + Line 15 + Line 27}}$ x Line 10(a)	10b	62,170.		
11 Excess expenses from "net lease property"	11	5,289.		
12 Limitation on deduction (add lines 8(a), (b), 9, 10(b) and 11)			12	77,459.
13 Allowable investment interest deduction - Enter the smaller of line 7 or line 12 (see instructions)			13	42,259.
14 Disallowed investment interest to be carried over to 1987 (subtract line 13 from line 7)			14	

Part III Interest on Investment Debts Incurred Before September 11, 1975, and After December 16, 1969

15 Interest expense on investment debts incurred before September 11, 1975, and after December 16, 1969			15	
16 Individuals enter $25,000 ($12,500 if married filing separately)	16			
17 Estates enter $25,000, trusts enter zero	17			
18 Net investment income (subtract line 10(b) from line 10(a))	18			
19 Excess expenses from "net lease property"	19			
20 Net long-term capital gain minus net short-term capital loss from sale or exchange of property held for investment **Note:** *To adjust this gain on Schedule D or Form 4798, see Schedule D (Form 1040) instructions.*	20			
21 Tentative limitation (add lines 16 through 20)			21	
22 Subtract line 21 from line 15. If line 21 is more than line 15, enter zero			22	
23 Additional deduction (50% of line 22)			23	
24 Limitation on deduction (add lines 21 and 23)			24	
25 Allowable investment interest deduction - Enter the smaller of line 15 or line 24 (see instructions)			25	
26 Disallowed investment interest to be carried over to 1987 (subtract line 25 from line 15)			26	

Part IV Investment Interest Expense Carryover From Earlier Years-Incurred Before September 11, 1975

27 Carryover - Enter the sum of the amounts from your 1985 Form 4952, lines 26 and 35 (see instructions)			27	
28 Enter amount reportable on line 18 plus $25,000*	28			
29 Enter the larger of line 15 or $25,000*	29			
30 Subtract line 29 from line 28. If line 29 is larger than line 28, enter zero	30			
31 Enter 50% of line 30			31	
32 Allowable investment interest deduction (enter the smaller of line 27 or line 31)			32	
33 Interest carryover from earlier years disallowed in 1986 (subtract line 32 from line 27)			33	
34 Enter the 60% capital gain deduction from your 1986 Schedule D or 1986 Form 4798			34	
35 Interest carryover to 1987 (subtract line 34 from line 33)			35	

* $12,500, if married filing separately, zero, if a trust.

For Paperwork Reduction Act Notice, see separate instructions.

5-2356853

Form **6252**
Department of the Treasury
Internal Revenue Service

Computation of Installment Sale Income

▶ See instructions. ▶ Attach to your tax return.

Use a separate form for each sale or other disposition of property on the installment method.

1986
Attachment Sequence No. 79

Name(s) as shown on tax return: MORRIS K & ELLA R UDALL

Identifying number: 527-20-9951

A Description of property ▶ LAND-PULSIFER APACHE TITLE NO 2588 (CAPITAL ASSET)

B Date acquired (month, day, and year) ▶ 7/01/60

C Date sold (month, day, and year) ▶ 7/01/80

D Was property sold to a related party after May 14, 1980? (See instructions.) ☐ Yes ☒ No

E If the answer to D is "Yes," was the property a marketable security? ☐ Yes ☐ No

If you checked "Yes" to question E, complete Part III.
If you checked "No" to question E, complete Part III for the year of sale and for 2 years after the year of sale.

Part I Computation of Gross Profit and Contract Price *(Complete this part for the year of sale only.)*

1 Selling price including mortgages and other indebtedness (Do not include stated or unstated interest.) 1

2 Mortgages and other indebtedness buyer assumed or took the property subject to, but not new mortgages the buyer got from a bank or other source 2

3 Subtract line 2 from line 1 3

4 Cost or other basis of property sold 4

5 Depreciation allowed or allowable 5

6 Adjusted basis (subtract line 5 from line 4) 6

7 Commissions and other expenses of sale 7

8 Income recapture from Form 4797, Part III. (See instructions.) 8

9 Add lines 6, 7, and 8 9

10 Subtract line 9 from line 1. If zero or less, do not complete rest of form 10

11 If question A above, is a principal residence, enter the sum of lines 7 and 13 of Form 2119 11

12 Gross profit (subtract line 11 from line 10) 12

13 Subtract line 9 from line 2. If line 9 is more than line 2, enter zero 13

14 Contract price (add line 3 and line 13) 14

Part II Computation of Taxable Part of Installment Sale *(Complete this part for the year of sale and any year you receive a payment.)*

15 Gross profit percentage (divide line 12 by line 14) (for years after the year of sale, see instructions) 15 73.12500

16 For year of sale only - enter amount from line 13 above; otherwise enter zero 16

17 Payments received during year (Do not include stated or unstated interest.) 17 0.

18 Add lines 16 and 17 18 0.

19 Payments received in prior years. (Do not include stated or unstated interest.) 19 10,000.

20 Taxable part of installment sale (multiply line 18 by line 15) 20 0.

21 Part of line 20 that is ordinary income under recapture rules. (See instructions.) 21

22 Subtract line 21 from line 20. Enter on Schedule D or Form 4797 22 0.

Part III Information and Computation for Related Party Installment Sale

(Do not complete this part if you received the final installment payment this tax year.)

F Name, address, and taxpayer identifying number of related party

G Did the related party, during this tax year, resell or dispose of the property? ☐ Yes ☐ No

H If the answer to question G is "Yes," complete lines 23 through 30 below unless one of the following conditions is met (check only the box that applies).

- ☐ The first disposition was a sale or exchange of stock to the issuing corporation.
- ☐ The second disposition was an involuntary conversion where the threat of conversion occurred after the first disposition.
- ☐ The second disposition occurred after the death of the original seller or purchaser.
- ☐ It can be established to the satisfaction of the Internal Revenue Service that tax avoidance was not a principal purpose for either of the dispositions. If this box is checked, attach an explanation. (See instructions.)

23 Selling price of property sold by related party 23

24 Enter contract price from line 14 for year of first sale 24

25 Enter the smaller of line 23 or line 24 25

26 Total payments received by the end of your 1986 tax year. Add lines 18 and 19 26

27 Subtract line 26 from line 25. If line 26 is more than line 25, enter zero 27

28 Multiply line 27 by the gross profit percentage on line 15 for year of first sale 28

29 Part of line 28 that is ordinary income under recapture rules. (See instructions.) 29

30 Subtract line 29 from line 28. Enter on Schedule D or Form 4797 30

For Paperwork Reduction Act Notice, see separate instructions.

Form **6252**
Department of the Treasury
Internal Revenue Service

Computation of Installment Sale Income

▶ See instructions. ▶ Attach to your tax return.

Use a separate form for each sale or other disposition of property on the installment method.

1986
Attachment Sequence No. 79

Name(s) as shown on tax return: MORRIS K & ELLA R UDALL

Identifying number: 527-20-9951

A Description of property ▶ LAND - TRUST 7431
(CAPITAL ASSET) 31.5853% INT.

B Date acquired (month, day, and year) ▶ 7/01/81

C Date sold (month, day, and year) ▶ 4/15/85

D Was property sold to a related party after May 14, 1980? (See instructions.) ☐ Yes ☒ No

E If the answer to D is "Yes," was the property a marketable security? ☐ Yes ☐ No

If you checked "Yes" to question E, complete Part III.
If you checked "No" to question E, complete Part III for the year of sale and for 2 years after the year of sale.

Part I Computation of Gross Profit and Contract Price *(Complete this part for the year of sale only.)*

1 Selling price including mortgages and other indebtedness (Do not include stated or unstated interest.)			1	
2 Mortgages and other indebtedness buyer assumed or took the property subject to, but not new mortgages the buyer got from a bank or other source	2			
3 Subtract line 2 from line 1	3			
4 Cost or other basis of property sold	4			
5 Depreciation allowed or allowable	5			
6 Adjusted basis (subtract line 5 from line 4)	6			
7 Commissions and other expenses of sale	7			
8 Income recapture from Form 4797, Part III (See instructions.)	8			
9 Add lines 6, 7, and 8			9	
10 Subtract line 9 from line 1. If zero or less, do not complete rest of form			10	
11 If question A above, is a principal residence, enter the sum of lines 7 and 13 of Form 2119			11	
12 Gross profit (subtract line 11 from line 10)			12	
13 Subtract line 9 from line 2. If line 9 is more than line 2, enter zero			13	
14 Contract price (add line 3 and line 13)			14	

Part II Computation of Taxable Part of Installment Sale *(Complete this part for the year of sale and any year you receive a payment.)*

15 Gross profit percentage (divide line 12 by line 14) (for years after the year of sale, see instructions)			15	42.68384
16 For year of sale only - enter amount from line 13 above; otherwise enter zero			16	
17 Payments received during year. (Do not include stated or unstated interest.)			17	10,785.
18 Add lines 16 and 17			18	10,785.
19 Payments received in prior years. (Do not include stated or unstated interest.)	19	94,756.		
20 Taxable part of installment sale (multiply line 18 by line 15)			20	4,603.
21 Part of line 20 that is ordinary income under recapture rules. (See instructions.)			21	
22 Subtract line 21 from line 20. Enter on Schedule D or Form 4797			22	4,603.

Part III Information and Computation for Related Party Installment Sale

(Do not complete this part if you received the final installment payment this tax year.)

F Name, address, and taxpayer identifying number of related party

G Did the related party, during this tax year, resell or dispose of the property? ☐ Yes ☐ No

H If the answer to question G is "Yes," complete lines 23 through 30 below unless one of the following conditions is met (check only the box that applies).

- ☐ The first disposition was a sale or exchange of stock to the issuing corporation
- ☐ The second disposition was an involuntary conversion where the threat of conversion occurred after the first disposition
- ☐ The second disposition occurred after the death of the original seller or purchaser
- ☐ It can be established to the satisfaction of the Internal Revenue Service that tax avoidance was not a principal purpose for either of the dispositions. If this box is checked, attach an explanation. (See instructions.)

23 Selling price of property sold by related party	23	
24 Enter contract price from line 14 for year of first sale	24	
25 Enter the smaller of line 23 or line 24	25	
26 Total payments received by the end of your 1986 tax year. Add lines 18 and 19	26	
27 Subtract line 26 from line 25. If line 26 is more than line 25, enter zero	27	
28 Multiply line 27 by the gross profit percentage on line 15 for year of first sale	28	
29 Part of line 28 that is ordinary income under recapture rules. (See instructions.)	29	
30 Subtract line 29 from line 28. Enter on Schedule D or Form 4797	30	

5-23-6123 For Paperwork Reduction Act Notice, see separate instructions. Form 6252

Form **8271**
(Rev. November 1986)
Department of the Treasury
Internal Revenue Service

Investor Reporting of Tax Shelter Registration Number

▶ Attach to your tax return
▶ If you received this form from a partnership, S corporation, or trust, see the instructions.

OMB No. [illegible]
Expires 11-30-87
Attachment
Sequence No. 71

Investor's name(s) as shown on return MORRIS K & ELLA R UDALL	Investor's taxpayer identification number 527-20-9951
	Investor's tax year ending 12-31-86

Part I Tax Shelter Information

	a. Tax Shelter Name	b. Tax Shelter Registration Number (11-DIGIT NUMBER)	c. Tax Shelter Taxpayer ID Number	d. Type of Tax Shelter (see instructions)
1	KINGMAN STATION LIMITED PARTNERSHIP 2221 E. BROADWAY, SUITE 211	86241000107	86-0553551	35
2				
3				
4				
5				

Part II Tax Shelter Benefits Claimed or Reported By Investor on Return to Which This Form 8271 Is Attached

	a. Gross Income, Gross Profits and Gains	b. Deductions and Losses	c. Credits	d. Forms and Schedules Where Benefits Are Claimed or Reported
1				SEE K-1 (FORM 1065) ATTACHED
2				
3				
4				
5				

For Paperwork Reduction Act Notice, see instructions.

Form **8271** (Rev. 11-86)

[illegible]

AND DELIVERED [illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Frederick Stephen Upton
(Full Name)

P. O. Box 900
(Mailing Address)

ST. Joseph, MI 49085

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 4 State MI

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Paine-Webber - December 1986	honoraria	$1000	none

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
rent From home in Virginia	rent	D
Spouse&me - Tax-exempt $mkt fund	div	B
Spouse&me Ameritech stock	div	A
Spouse&me - AT&T stock	div	A
Mass Investors Growth	div	A
Mass Investors Trust	div	A

(See attachment A)

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
S&me - Home in Virginia (home is mortgaged and the value listed here is held by me - bank holds remainder)	C
S&me Tax-exempt $mkt	C
S&me Ameritech stock	B
S&me AT&T stock	B
S GM-H stock	A

(see attach B)

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Spouse – GM-H stock purchase	1-8-86	A
Inter-City Bank stock purchase	10-1-86	C
USX stock purchase	2-19-86	B
Texas oil stock sold	2-19-86	B
Michigan State Housing dev Bonds recalled	7-3-86	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Home used as rental property Jan '86 → Nov '8-	
(personal residence after Dec 1, '86) located at 425	
Poyzle, Alexandria, VA – mortgage held by	
People State Bank (St. Joe, MI)	E

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
– none –	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
– none –		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
-none-	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
advisor	Vial Trust- resigned in Dec. '86

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	-none-	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Fred Upton	5-14-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible] (a)

[illegible]

Attachment A

Page ___ of ___

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Fred Upton
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*		
II-B	AMP	div	A
	Amoco	div	A
	Baker International	div	A
	Walt Disney	div	E
	Gannett	div	A
	General Electric	div	A
	Humana	div	A
	Inter-City Bank	div	A
	IBM	div	A
	Pepsico	div	A
	Pfizer	div	A
	Salomon Brothers	div	A
	Schlumber	div	A
	Sears	div	A
	Smithkline	div	A
	U.S.X.	div	A
	Utah Power & Light	div	A
	Wrigley - class A & B	div	B
	Xerox	div	A
	Seligman mutual funds	div	D
	Bell Atlantic	div	A
	Bell South	div	A
	Whirlpool	div	C
	Peoples State Bank Savings Act.	int	A
	Wolverine Ltd oil & gas	revenues	B
	S.E. Upton Trust	income	C
	Vial Trust	income	D
	Renaissance Assets Trust Bank	div	B
	Lakeview School District notes	int.	A

Attachment B

Page ____ of ____

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Fred Upton
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*	
III	Renaissance Assets Trust $ mkt	C
	Mass Inv. Growth Fund	B
	Mass Inv Trust	B
	AMP stock	B
	Amoco stock	B
	Baker Int stock	A
	Disney Stock	C
	Engelhard stock	A
	Gannett stock	B
	Gen Electric	B
	Hasbro	B
	Hechinger (A&B)	A
	Humana	B
	Inter-City Bank stock	D
	IBM	C
	Pepsico	C
	Pfizer	B
	Salomon stock	C
	Schlumberger	B
	Seagull stock	A
	Sears	B
	Smithkline	B
	Stewart Hall	A
	USX	B
	Utah Power & Light	B
	Wrigley (A&B)	C
	Xerox	B
	Lakeview Michigan Bonds (school dist.)	B
	Whirlpool	F
	People State Savings Acct	B
	Wolverine Ltd oil & gas (best guess)	C
	S.E. Upton Trust (whirlpool stock)	E
	Viel trust (my share)	D
	composed of:	
	short term investments	B
	AT&T	A
	Amoco	A
	Bell South	A
	Chase Manhattan	A
	Exxon	A
	Kimberly Clark	A
	Pfizer	B
	Public Serv of Col.	A
	Sterling Drug	A
	Wrigley Gum	C
	N. Trust $ mkt	A
	US UPS (family partnership oil/gas) – (best guess) –	A
	St. Joe. Savings & Loan savings acct.	A

GPO: 1986 61-689 (m)

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Itimous Thaddeus Valentine, Jr.
(Full Name)

P. O. Box 727
(Mailing Address)

Nashville, North Carolina 27856

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 2nd State N. C.

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE		TYPE	AMOUNT	DISPOSITION
Export Leaf Tobacco Co.	4-3-86	Honorarium	$2,000	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Pioneer Group-Pioneer II (Dtr.)	Dividends	A
Dominion Federal Savings & Loan Association	Interest	A
Congressional Credit Union	Interest	A
F. B. Kennedy	Interest	C
S. P. Swann	Interest	A
Nashville Rental Building - One-fourth Interest	Rent	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8

IDENTITY	CATEGORY
Law Offices Building - 109 Court Street, Nashville, N. C.	C
Vacant Lot - 205 Fort Street, Nashville, N. C.	B
Law Office Furniture & Equipment - 109 Court St., Nashville, N. C.	B
Nash County Farm - 88 Acres (Ferrells Township, Nash County, N. C.)	D
Drug Store Building - One-Half Int. - 219 W. Washington St., Nashville, N. C.	C
Rental Building - One-Fourth Int. - 217 W. Washington St., Nashville, N. C.	B

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Purchased - Rental Bldg. (Post Office) One-Fourth Int. - Nashville, NC	1986	C
Exchanged - Trans-America Life IRA - Rollover	1986	B
Sold - Condemned Rental Property - One-Fourth Int. - Nashville, NC	1986	B
Purchased - Gift Gallery Rental Bldg. - One-Fourth Int.-Nashville, NC	1986	C
Purchased - Vacant Lot - Simbyln Street - Nashville, N. C.	1986	B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY		CATEGORY
Federal Land Bank	Nashville, N. C. - Mortgaged Land	C
Don L. & Gerald A. Frazier	Nashville, N. C. - Mortgaged Land	D
Peoples Bank & Trust Co.	Nashville, N. C. - Personal Loan	C
First Citizens Bank & Trust Co.	Spring Hope, N. C. - Personal Loan	B
First Financial Savings & Loan	Morehead City, N. C. - Mortgage	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
Family Member	100 Shares Macmillan	$4,300

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13

POSITION	NAME OF ORGANIZATION
	NONE

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature

Date May 14, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Itimous T. Valentine, Jr.
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*	
II. B	Nashville Drug Store - One-Half Int. - Rent	Category C
II. B	Nashville Law Office Building & Equip. - Rent	Category E
II. B	Nash County Farm - Rent	Category A
II. B	N. C. Dept. of Revenue - Tax Refund	Category A
II. B	Rental Bldg. (Post Office) - One-Fourth Int. - Rent	Category A
II. B	Gift Gallery Rental Bldg. - One-Fourth Int. - Rent	Category B
III.	Notes Receivable - Sale of Property	Category C
III.	Life Insurance - Annuity	Category C
III.	IRA - Trans-America Life - Annuity	Category C
III.	Stock - Citizens Savings & Loan - 250 Shares	Category A
III.	Stock - A. L. Williams - 200 Shares	Category A
III.	Stock - Pioneer II (Dtr.) - 235 Shares	Category A
III.	Rental Bldg. (Post Office) - One-Fourth Int. 203 S. Barnes St. - Nashville, N. C.	Category C
III.	Gift Gallery Rental Bldg. - One-Fourth Int. 213 Washington Street - Nashville, N. C.	Category C
III.	IRA - Pioneer II - Annuity	Category B
III.	Stock - Macmillan - 100 Shares	Category A
III.	Stock - Pioneer Savings & Loan - 45 Shares	Category A
III.	Two Vacant Lots - Simbyln St., Nashville, N. C.	Category C
V.	Planters Bank - Nashville, N. C. One-Fourth Int. - Mortgage	Category B
V.	Citizens Savings & Loan - Rocky Mount, N. C. One-Fourth Int. - Mortgage	Category C

GPO: [illegible] 61-[illegible] (m)

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UNITED STATES HOUSE OF REPRESENTATIVES

INSIDE MAIL

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

GUY VANDER JAGT
(Full Name)

2409 RAYBURN HOUSE OFFICE BLDG.
(Mailing Address)

WASHINGTON, D. C. 20515

MC

(Office Use Only)

Check the appropriate box and fill in the blanks.

☐ Member of the U.S. House of Representatives—District 9th State Michigan

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XXX NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
D. C. Life Underwriters (Rec'd 1/29/86)	Honorarium	$2,000.00	
Distilled Spirits Council (Rec'd 2/4/86)	Honorarium	$2,000.00	
American Society of Assn. Executives (Rec'd 1/27/86)	Honorarium	$1,000.00	
Westinghouse Electric Company (Rec'd 2/17/86)	Honorarium	$2,000.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Municipal Bonds	Interest	D
Donald Peterson L/C	Interest	B
Equitable Life Insurance Co.	Interest	A
Lake Osceola State Bank	Interest	A
Manistee Bank and Trust	Interest	A
Old Kent Bank of Cadillac	Interest	A
United Virginia Bank	Interest	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Municipal Bonds	B
Savings Accounts:	
Old Kent Bank of Cadillac	B
Manistee Bank and Trust	B
United Virginia Bank	B
Lake Osceola State Bank	B

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sold 1000 Shares Western Digital	12/15/86	C
Sold 348 Shares U.S. Steel	4/7/86	B
Sold 300 Shares Caterpiller Tractor	7/14/86	B
Sold 300 Shares Dow Chemical	7/14/86	C
Sold 225 Sharles Emerson Electric Co.	7/14/86	C
Sold 800 Sharles Shelby Williams	7/14/86	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
American Maritime Officers Assn. Meetings and Fact Finding	Roundtrip Airfares for Self and Spouse Washington, D.C. - Fort Lauderdale, FLA - Meals and Lodging
Distilled Spirits Council of U.S.	Roundtrip Airfare: Wash., DC - San Diego, CA; meals and lodging

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Guy Vander Jagt	5-15-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

Page 5 of 7

ETHICS IN GOVERNMENT ACT- FINANCIAL DISCLOSURE STATEMENT

GUY VANDER JAGT
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
INCOME II. A.	SOURCE	TYPE	AMOUNT	DISPOSITION
	North American Telecommunications Assn. (Rec'd 2/21/86)	Honorarium	$1,000.00	
	American Psychiatric Assn. (Rec'd 3/10/86)	Honorarium	$2,000.00	
	Edison Electric Institute (Rec'd 3/25/86)	Honorarium	$1,000.00	
	Maccabees Mutual Life Insurance (Rec'd 4/14/86)	Honorarium	$2,000.00	
	Dallas/Real Estate Finance (Rec'd 4/28/86)	Honorarium	$1,000.00	
	American Enterprise Institute (Rec'd 6/30/86)	Honorarium	$ 500.00	
	D.C. Dental Society (Rec'd 4/28/86)	Honorarium	$1,500.00	
	Valve Manufacturers Association (Rec'd 4/21/86)	Honorarium	$2,000.00	
	Massachusetts Mutual Insurance (Rec'd 5/16/86)	Honorarium	$2,000.00	
	Michigan Bell (Rec'd 5/21/86)	Honorarium	$2,000.00	
	Dow Corning, U.S.A. (Rec'd 6/24/86)	Honorarium	$1,000.00	$470.00 Charity)
	National Wood Window & Door Assn. (Rec'd 9/29/86)	Honorarium	$2,000.00	Charity
	Miller/Schroeder Forum (Rec'd 10/24/86)	Honorarium	$2,000.00	Charity
	Staley Continental, Inc. (Rec'd [illegible])	Honorarium	$2,000.00	Charity
	Fund for America's Future, Washington, D. C. (Spouse Salary)			

Part	SOURCE	TYPE	CATEGORY
II. B.	Dominick Investor Service	Interest	A
	Great Lakes Chemical	Dividend	A
	Kysor Industrial	Dividend	A
	Merrill Lynch Capital Fund	Dividend	C
	Merrill Lynch Pierce Fenner & Smith	Dividend	B
	Merrill Lynch Ready Asset	Dividend	C
	Tri Continental Corp.	Dividend	A
	Merrill Lynch Capital Fund	Dividend	C
	Tri Continental Corp.	Dividend	C

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Page 6 of 7

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Guy Vander Jagt
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*	
Section III	IDENTITY	CATEGORY
	Common Stocks:	
	Merrill Lynch Capital Fund	A
	Merrill Lynch Et Al	A
	Merrill Lynch Ready Asset	A
	Merrill Lynch Capital Fund	A
	Tri Continental Corp.	A
	Western Digital	C
	U.S. Steel	B
	Caterpillar Tractor	B
	Dow Chemical	C
	Emerson Electric Co.	C
	Shelby Williams	C
	Bond Holdings:	
	White Lake Township, Michigan Economic Development Corp.	B
	Hillman Township, Michigan	B
	Michigan State Hospital Fin. Authority	A
	Wildlands Corporation	E
	Real Property:	
	Store at 314 N. Mitchell, Cadillac, Michigan	C
	House at 615 Selma Street, Cadillac, Michigan	B
	Virginia Vander Jagt Trust	E

Section IV	TRANSACTIONS		
	Brief Description:		Category
	10M White Lake Township Muncipal Bonds (Redeemed)	2/21/86	B
	10M Hillman Township Bldg. Authority/Michigan Municipal Bonds (Called)	5/5/86	B
	5M Michigan State Hospital Fin. Auth. Bonds (Redeemed)	7/1/86	A

GPO 1986 41-609 (m)

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Page 7 of 7

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Guv Vander Jagt
(Name)

Continuation Sheet

Part — *Source, Type, Amount, Category, Description or Value (As Applicable)*

VII Reimbursements

SOURCE	BRIEF DESCRIPTION
Westinghouse	Roundtrip Airfare: Washington, DC - Wilkes-Barre, PA, Meals and Lodging
First Tuesday Group	Roundtrip Airfare - Washington, DC - Houston, Texas, Meals and Lodging
Michigan Insurance Agents	Roundtrip Airfare: Washington, DC - Grand Rapids, MI; Meals and Lodging
American Psychiatric Assn.	Roundtrip Airfare: Washington, DC - San Diego, CA; Meals and Lodging
Florida State Party	Roundtrip Airfare: Washington, DC - Orlando, FL; Meals and Lodging
Orange County Lincoln Club	Roundtrip Airfare: Washington, DC - Orange County, CA; Meals and Lodging
Maccabees Mutual Insurance	Roundtrip Airfare: Washington, DC - Southfield, MI; Meals
American Bankers Real Estate Finance Convention	Roundtrip Airfare: Washington, DC - Dallas, TX; Meals and Lodging
Mass. Mutual Health Insurance Gp	Roundtrip Airfare: Washington, DC - Hilton Head, S.C.; Meals and Lodging
Michigan Bell Political Education	Roundtrip Airfare: Washington, DC - Grand Rapids, MI; Meals and Lodging
U.S. League of Savings Institutions	Roundtrip Airfare: Washington, DC - Bermuda; Meals and Lodging
Governor's Prayer Breakfast	Roundtrip Airfare: Washington, DC - Portland, OR; Meals and Lodging
L.A. County GOP Unity Dinner	Roundtrip Airfare: Washington, DC - Los Angeles, CA; Meals and Lodging
Nat'l Wood- Window & Door Assoc.	Roundtrip Airfare: Washington, DC - Traverse City, MI; Meals and Lodging
Miller-Schroeder Forum Luncheon	Roundtrip Airfare: Washington, DC - Minneapolis, MN; Meals and Lodging
TransAmerica Occidental	Roundtrip Airfare: Washington, DC - Los Angeles, CA; Meals and Lodging
West Palm Beach	Roundtrip Airfare: Washington, DC - W. Palm Beach, FLA; Meals and Lodging

GPO [illegible] 61-[illegible] (m)

HAND DELIVERED

GUY VANDER JAGT
9TH DISTRICT, MICHIGAN

2409 RAYBURN HOUSE OFFICE BUILDING
TELEPHONE (202) 225-3511

COMMITTEE
WAYS AND MEANS
SUBCOMMITTEES
TRADE
SELECT REVENUE MEASURES

ADMINISTRATIVE ASSISTANT
JAMES M. SPARLING, JR.

Congress of the United States
House of Representatives
Washington, DC 20515-2209

DISTRICT OFFICES
ROOSEVELT PARK
950 WEST NORTON AVENUE
MUSKEGON, MI 49441-4193
(616) 722-3131

31 WEST 8TH STREET
HOLLAND, MI 49423-3102
(616) 396-3842

124 NORTH DIVISION STREET
TRAVERSE CITY, MI 49684-2263
(616) 946-3832

May 19, 1987

The Honorable Donnald Anderson
Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Bldg.
Washington, D. C. 20515

✓ MC

Dear ~~Mr. Anderson~~ Don:

This is to acknowledge and thank you for your letter noting discrepancies in my Financial Disclosure Statement, which was filed on May 15, 1987. By this letter, I would like to amend and correct this report as follows:

UNEARNED INCOME, II B. - Discrepancy: Source not properly shown for Municipal Bonds. Correction made and shown on Page 5 of 7 of Financial Disclosure Report.

HOLDINGS, PART III - Discrepancies: Trust Holdings Box Not Checked on Page 2 of Report - Correction made on Page 2 of Report. Municipal Bonds not separated by issuer - Corrected, listed and shown on Page 6 of 7 of Financial Disclosure Statement. Assets comprising Virginia Vander Jagt (dependent daughter) Trust now shown. Corrected and shown on Page 6 of 7 of Financial Disclosure Statement. Assets generating income at Part II B not reported in Holdings. Corrected and shown on Page 6 of 7. Disposition of Holdings reported in preceding year. Corrected and preceding year holdings added to Part III (inadvertently omitted on report).

TRANSACTIONS, PART IV - Discrepancy: New Holding not shown as acquisition. Correction made and added as Holding and 1985 Report being amended to correct omission.

GIFTS, PART VI. B. - Discrepancy: None did not appear on report. Corrected and None entered.

Thank you very much for all of your assistance, and should there be any further questions, please don't hesitate to contact me.

With all best wishes,

Sincerely,

Guy Vander Jagt

Guy Vander Jagt
Member of Congress

INSIDE MAIL

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

GUY VANDER JAGT
(Full Name)
2409 RAYBURN HOUSE OFFICE BLDG.
(Mailing Address)
WASHINGTON, D. C. 20515

✓ MC

RECEIVED
1987 MAY 15 AM 11:00
(Office Use Only)

Check the appropriate box and fill in the blanks.

☐ Member of the U.S. House of Representatives—District 9th State Michigan

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO XXX NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
D. C. Life Underwriters (Rec'd 1/29/86)	Honorarium	$2,000.00	
Distilled Spirits Council (Rec'd 2/4/86)	Honorarium	$2,000.00	
American Society of Assn. Executives (Rec'd 1/27/86)	Honorarium	$1,000.0C	
Westinghouse Electric Company (Rec'd 2/17/86)	Honorarium	$2,000.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Municipal Bonds (See IIB, Page 5 of 7)	Interest	D
Donald Peterson L/C	Interest	B
Equitable Life Insurance Co.	Interest	A
Lake Osceola State Bank	Interest	A
Manistee Bank and Trust	Interest	A
Old Kent Bank of Cadillac	Interest	A
United Virginia Bank	Interest	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO XXX NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Municipal Bonds (See Part III, Page 6 of 7)	B
Savings Accounts:	
Old Kent Bank of Cadillac	B
Manistee Bank and Trust	B
United Virginia Bank	B
Lake Osceola State Bank	B

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sold 1000 Shares Western Digital	12/15/86	C
Sold 348 Shares U.S. Steel	4/7/86	B
Sold 300 Shares Caterpiller Tractor	7/14/86	B
Sold 300 Shares Dow Chemical	7/14/86	C
Sold 225 Sharles Emerson Electric Co.	7/14/86	C
Sold 800 Sharles Shelby Williams	7/14/86	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
American Maritime Officers Assn.	Roundtrip Airfares for Self and Spouse
Meetings and Fact Finding	Washington, D.C. - Fort Lauderdale, FLA -
	Meals and Lodging
Distilled Spirits Council of U.S.	Roundtrip Airfare: Wash., DC - San Diego,
	CA; meals and lodging

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Guy Vander Jagt	5-15-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

[illegible] Amended 5/20/87

Page 5 of 7

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

GUY VANDER JAGT

(Name)

Continuation Sheet

Source, Type, Amount, Category, Description or Value
(As Applicable)

Part	SOURCE	TYPE	AMOUNT	DISPOSITION
INCOME II. A.	North American Telecommunications Assn. (Rec'd 2/21/86)	Honorarium	$1,000.00	
	American Psychiatric Assn. (Rec'd 3/10/86)	Honorarium	$2,000.00	
	Edison Electric Institute (Rec'd 3/25/86)	Honorarium	$1,000.00	
	Maccabees Mutual Life Insurance (Rec'd 4/14/86)	Honorarium	$2,000.00	
	Dallas/Real Estate Finance (Rec'd 4/28/86)	Honorarium	$1,000.00	
	American Enterprise Institute (Rec'd 6/30/86)	Honorarium	$ 500.00	
	D.C. Dental Society (Rec'd 4/28/86)	Honorarium	$1,500.00	
	Valve Manufacturers Association (Rec'd 4/21/86)	Honorarium	$2,000.00	
	Massachusetts Mutual Insurance (Rec'd 5/16/86)	Honorarium	$2,000.00	
	Michigan Bell (Rec'd 5/21/86)	Honorarium	$2,000.00	
	Dow Corning, U.S.A. (Rec'd 6/24/86)	Honorarium	$1,000.00	$470.00 Charity)
	National Wood Window & Door Assn. (Rec'd 9/29/86)	Honorarium	$2,000.00	Charity
	Miller/Schroeder Forum (Rec'd 10/24/86)	Honorarium	$2,000.00	Charity
	Staley Continental,-Inc. (Rec'd 1/30/87)	Honorarium	$2,000.00	Charity
	Fund for America's Future, Washington, D. C. (Spouse Salary)			

Part	SOURCE	TYPE	CATEGORY
II. B.	Dominick Investor Service	Interest	A
	Great Lakes Chemical	Dividend	A
	Kysor Industrial	Dividend	A
	Merrill Lynch Capital Fund	Dividend	C
	Merrill Lynch Pierce Fenner & Smith	Dividend	B
	Merrill Lynch Ready Asset	Dividend	C
	Tri Continental Corp.	Dividend	A
	Merrill Lynch Capital Fund	Dividend	C
	Tri Continental Corp.	Dividend	C

MUNICIPAL BONDS: (breakdown of bonds)

White Lake Township, Michigan
Economic Development Corp.
Hillman Township, Michigan
Michigan State Hospital Fin. Authority
Highland Park Bonds
Michigan State Housing Bonds
Wayne County Bonds

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[illegible] Amended 5/20/87

Page 6 of 7

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Guy Vander Jagt
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
Section III	IDENTITY	CATEGORY
	Merrill Lynch Capital Fund	A
	Merrill Lynch Et Al (American Home Products)	A
	Merrill Lynch Ready Asset	A
	Merrill Lynch Capital Fund	A
	Tri Continental Corp.	A
	Western Digital	C
	U.S. Steel	B
	Caterpillar Tractor	B
	Dow Chemical	C
	Emerson Electric Co.	C
	Shelby Williams	C
	Kysor Industries	A
	Great Lakes Chemical	A
	Texas Oil and Gas	B
	Wild Lands Corporation	E
	Donald Peterson Land Contract	A
	Offshore Logistics	A
	Bond Holdings:	
	Hillman Township, Michigan	B
	Michigan State Hospital Fin. Authority	A
	White Lake Township, MI Economic Development Corp.	B
	Highland Park Bonds	B
	Michigan State Housing Bonds	C
	Wayne Co. Bonds	B
	Real Property:	
	Store at 314 N. Mitchell, Cadillac, MI	C
	House at 615 Selma Street, Cadillac, MI	B
	Virginia Vander Jagt Trust (dependent daughter)	E
	Assets Comprising Trust: Merrill Lynch Capital Fund and Municipal Bonds	

Part			
Section IV	TRANSACTIONS		Category
	Brief Description:		
	10M White Lake Township Municipal Bonds (Redeemed)	2/21/86	B
	10M Hillman Township Bldg. Authority/MI Municipal Bonds (Called)	5/5/86	B
	5M Michigan State Hospital Fin. Auth. Bonds (Redeemed)	7/1/86	A

GPO: 1986 61-688 (m)

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Page 7 of 7

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Guy Vander Jagt
(Name)

Continuation Sheet

Part — *Source, Type, Amount, Category, Description or Value (As Applicable)*

VII Reimbursements

SOURCE	BRIEF DESCRIPTION
Westinghouae	Roundtrip Airfare: Washington, DC - Wilkes-Barre, PA, Meals and Lodging
First Tuesday Group	Roundtrip Airfare - Washington, DC - Houston, Texas, Meals and Lodging
Michigan Insurance Agents	Roundtrip Airfare: Washington, DC - Grand Rapids, MI: Meals and Lodging
American Psychiatric Assn.	Roundtrip Airfare: Washington, DC - San Diego, CA; Meals and Lodging
Florida State Party	Roundtrip Airfare: Washington, DC - Orlando, FL; Meals and Lodging
Orange County Lincoln Club	Roundtrip Airfare: Washington, DC - Orange County, CA; Meals and Lodging
Maccabees Mutual Insurance	Roundtrip Airfare: Washington, DC - Southfield, MI; Meals
American Bankers Real Estate Finance Convention	Roundtrip Airfare: Washington, DC - Dallas, TX; Meals and Lodging
Mass. Mutual Health Insurance Gp	Roundtrip Airfare: Washington, DC - Hilton Head, S.C.; Meals and Lodging
Michigan Bell Political Education	Roundtrip Airfare: Washington, DC - Grand Rapids, MI; Meals and Lodging
U.S. League of Savings Institutions	Roundtrip Airfare: Washington, DC - Bermuda; Meals and Lodging
Governor's Prayer Breakfast	Roundtrip Airfare: Washington, DC - Portland, OR; Meals and Lodging
L.A. County GOP Unity Dinner	Roundtrip Airfare: Washington, DC - Los Angeles, CA; Meals and Lodging
Nat'l Wood- Window & Door Assoc.	Roundtrip Airfare: Washington, DC - Traverse City, MI; Meals and Lodging
Miller-Schroeder Forum Luncheon	Roundtrip Airfare: Washington, DC - Minneapolis, MN; Meals and Lodging
TransAmerica Occidental	Roundtrip Airfare: Washington, DC - Los Angeles, CA; Meals and Lodging
West Palm Beach	Roundtrip Airfare: Washington, DC - W. Palm Beach, FLA; Meals and Lodging

GPO [illegible]

INSIDE MAIL

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Bruce F. Vento
(Full Name)
2304 Rayburn House Office Building
(Mailing Address)
Washington, D. C. 20515

RECEIVED
'87 APR 21 PM 2 26

HC

(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 4th State Minnesota

☐ Officer or Employee—Employing Office

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO x NA ____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See Attachment			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Wright Patman Congressional Credit Union	Interest	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Wright Patman Congressional Fed. Credit Union	C
Riggs National Bank IRA	B
Deferred Annuity Acccount - State of Minnesota	C

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Miller Schroeder Financial Inc.	Round trip travel from Wash.,D.C to MSP
National Assn. of Letter Carriers	Round trip travel from Wash.,D.C. to MSP

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
1976-Present	MN Special School Dist. #1	Leave of absence without pay

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Bruce F Vento	April 21, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

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Page ____ of ____

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Congressman Bruce F. Vento
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*			
II. A	Barnett Banks	1/9/86	Honoraria	$ 500
	National Advisory Council HUD Managers	3/7/86	Honoraria	$ 500
	Security Industry Assn.	3/10/86	Honoraria	$2000
	Local #455 Pipefitters	5/2/86	Honoraria	$ 100
	Miller Schroeder Financial Inc.	5/19/86	Honoraria	$2000
	National Assn. of Letter Carriers	7/17/86	Honoraria	$1000
	Northwestern National Life Insurance Co.	12/18/86		$ 500

GPO [illegible]

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

PETER J. VISCLOSKY
(Full Name)

420 Cannon Building
(Mailing Address)

Washington, D.C. 20515

MC

RECEIVED
1987 JUN 29 PM 12: 28
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 1st State Indiana

☐ Officer or Employee—Employing Office

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

Visclosky - 6/29/87

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Visclosky - National Restaurant Ass'n	Honorarium	$500	
O'Keefe - American Psychological Ass'n	Wages		
O'Keefe - American Psychological Ass'n	Fees		

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Visclosky - Peoples Federal Savings & Loan Ass'n	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Environ Joint Venture. Development of Commercial Office Building in Merrillville, IN (3% Interest) Visclosky.	A

Visclosky - 6/29/87

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Peoples Federal Savings & Loan Association (Personal Note) Visclosky	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
N.A.	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
N.A.		

Peter J. Visclosky - 6/29/87

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
N.A.	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	June 29, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

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INSIDE M

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A–For use by Members, officers, and employees

Harold L. Volkmer
(Full Name)

2411 Rayburn HOB
(Mailing Address)

Washington, DC 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks

☒ Member of the U.S. House of Representatives–District 9 State Missouri

☐ Officer or Employee–Employing Office ______

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ✓ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

Date	A. SOURCE	TYPE	AMOUNT	DISPOSITION
3/25/86	National Pork Producers Council, West DesMoines, IA	Honorarium	2,000.00	
4/10/86	Westinghouse Electric Corp., Pittsburgh, PA	Honorarium	1,000.00	
4/15/86	The Tobacco Institute, Washington, DC	Honorarium	1,000.00	
7/11/86	Waste Management, Inc., Oak Brook, Illinois	Honorarium	2,000.00	
7/11/86	Taylorville Rifle and Pistol Club, Inc. Taylorville, Illinois	Honorarium	500.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any-source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Citizen Discount & Investment Corp., Hannibal, MO	Interest	A
Farmers & Merchants Bank, Hannibal, MO	Interest	B
Hannibal Mutual Loan & Bldg. Assn., Hannibal, MO	Interest	A
Prudential Insurance of America	Interest	A
Apartment House, 1015 Bird, Hannibal, MO	Rent	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
House, 719 Country Club Drive, Hannibal, MO.	D
Apartment House, 1015 Bird, Hannibal, MO	C
Savings & Certificates of Deposit, Farmers & Merchants Bank, Hannibal, MO	C
Savings Accounts&Certificates, Citizen Discount&Investment Corp.,Hannibal,MO	A
Saving Certificate, Hannibal Mutual Bldg. & Loan Assn., Hannibal, MO	B
IRA Accounts, Certificate of Deposit, Marion County Mutual Loan & Bldg. Assn. Hannibal, MO	B

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Farmers & Merchants Bank, Hannibal, MO	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
TamKang University, 151 Yingchuna Rd. Tamsui, Taipei, Hsien-Taiwan Rep. of China	Travel, lodging and meals for self and wife to meet with faculty of University and government leaders of Rep. of China in Taiwan.

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Illinois State Rifle Association Chicago, Illinois	Travel, lodging, meals to speak to Illinois State Rifle Assn., Meeting in April,1986, Chicago, Illinois.
National Rifle Assn. of America, Wash. DC	Air Travel, meals and lodging for self and wife to speak at and attend annual NRA Convention in New Oreleans, La. April, 1986.

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Harold L Volkmer	May 13, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

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Page 5 of 5

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Harold L. Volkmer
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*
II-Income	12/19/86- Hercules, Inc., Wilmington, Del. - Honorarium $1000.00
VII - Reimbursements	Shotgun News Trade Show Assn., Inc. Yerington, Nevada - Travel expenses to speak at Annual Eastern Convention of National Antique Arms Assn. at Orlando, Florida

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Barbara F. Vucanovich
(Full Name)

312 Cannon HOB
(Mailing Address)

Washington, DC 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 2 State NV

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and
(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and
(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
U.S. House Representatives (Cong. Bentley & Bilirakis)	Spouse	Salary	Not reportable
Spouse Accounting Service	Self-Employed		Not reportable

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Schedule Attached		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Schedule Attached	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Schedule Attached		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Schedule Attached	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Schedule Attached	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Barbara F. Vucanovich	May 14, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

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Page 1 of 4

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Barbara F. Vucanovich, M.C.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
IIB	Unearned Income	
	Dividends	
	American Brands	B
	Ameritech	A
	American Telephone & Telegraph	A
	Apache Petroleum	A
	Bally Manufacturing	A
	Bell Atlantic	A
	Bell South	A
	El Paso Electric	B
	Ford Motor Company	A
	General Electric	A
	General Motors	A
	Hidden Valley	A
	IBM	A
	Kansas Power & Light	C
	Nynex	A
	Pacific Telesis	A
	Santa Fe - Southern Pacific	A
	Southern California Edison	B
	Southwestern Bell	A
	U.S. West	A
	Valley Bank of Nevada	A
	Sears Tax Exempt Investment Trust	C
	Dean Witter Reynolds Tax Exempt Securities	C
	Interest	
	Clark County Limited Drainage Bonds	A
	Henderson General Obligation Bonds	C
	Lenexa Kansas Multiple Family Housing Bonds	B
	Modesto, Calif. Multiple Family Housing Bonds	A
	Moorpark, Calif. Single Family Housing Bonds	A
	Nevada General Obligation University Bonds	A
	Nevada Housing Division Bonds	B
	Orange, Calif. Apartment Dev. Bonds	B
	S.E. Texas Housing Bonds	B
	Solano Calif. General Obligation Bonds	A
	Washoe County Airport Authority Bonds	B
	First Interstate Bank	C
	Valley Bank of Nevada	B
	Dean Witter Reynolds ILA's	D
	Dean Witter Reynolds AAA's	C
	California Alternate Energy Bonds	C
	California Water Revenue Bonds	A
	Chevron Corporation Bonds	A
	Clark County Highway Imporvement Bonds	B
	New York Life	B
	Equitable Life Assurance Company	A
	Berkley Union Housing Bonds	A

U.S. GOVERNMENT PRINTING OFFICE : 1981 O—73-228

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Page 2 of 4

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Barbara F. Vucanovich, M.C.
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*	
IIB (cont)	Unearned Income	
	Other Income	
	Aircraft Rental	D
	Fox and Carskadon Investment Trusts	A
	MGF Oil Program	A
	Dean Witter Commodity Partners	A
	Sold Bally Manufacturing Stock	D
	Bally Manufacturing Corp.-Spouse Employee Stock Plan Distribution	A
III	Holdings	
	Stocks and Bonds	
	American Brands	C
	Ameritech	B
	American Telephone and Telegraph	C
	Apache Petroleum	A
	Bally Manufacturing - Sold in 1986	C
	Bell Atlantic	B
	Bell South	B
	El Paso Electric	C
	Ford Motor Company	C
	General Electric	C
	General Motors	B
	General Motors Class E	A
	Hidden Valley	A
	IBM	C
	Kansas Power & Light	C
	Nynex	B
	Pacific Telesis	B
	Santa Fe - Southern Pacific	C
	Southern California Edison	C
	Southwestern Bell	B
	U.S. West	B
	Valley Bank of Nevada	B
	California Aternate Energy	C
	California Water Revenue	B
	Chevron Corporation	A
	Clark County Highway Improvement Bonds	C
	Clark County Limited Drainage Bonds-Called in'86	B
	Henderson General Obligation Bonds	C
	Lenexa, Kansas Multiple Family Housing Bonds	C
	Modesto Calif. Multiple Family Housing Bonds	B
	Moorpark Calif. Single Family Housing Bonds	B
	Nevada General Obligation University Bonds	B
	Nevada Housing Division Bonds	C
	Orange Calif. Apartment Development Bonds	C
	S.E. Texas Housing Bonds-Partially Called in '86	C
	Solano, Calif. General Obligation Bonds	C
	Washoe County Airport Authority	B
	U.S. Govt. Series E Bonds	C
	Berkley Union Housing Bonds	B

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ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Barbara F. Vucanovich, M.C.
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*	
III (cont)	Holdings (continued	
	Others	
	First Interstate Bank Money Markets	C
	Valley Bank of Nevada Money Markets	C
	Dean Witter Reynolds Liquid Asset Accounts	C
	Dean Witter Reynolds AAA Accounts	B
	First Federal Savings & Loan Accounts	A
	Dean Witter Commodity Partners	A
	Fox & Carskadon #12	B
	Fox & Carskadon #13	A
	Fox & Carskadon #14	A
	Unimproved Land Winnemucca, NV 2 lots 8/81	C
	Unimproved Land Frenchmans Lake, CA 6 acres 8/80	B
	Cessna Aircraft C-182R	C
	Sears Tax Exempt Investment Trust	C
	Dean Witter Tax Exempt Securities, Inc.	C
	Public Storage Partners	B
	Congressional Federal Credit Union	A
	Aircraft Hangar - Reno, NV	C
IV	Transactions	
	Sold Bally Manufacturing Stock 12/24/86	E
	Clark County Limited Drainage Bond -Called 11/24/86	B
	S.E. Texas Housing Bond - Called 8/1/86	B
	Purchased Berkely Union Housing Bond 7/1/86	B
	Purchased Aircraft Hangar - Reno, NV 5/15/86	C

Page 4 of 4

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Barbara F. Vucanovich, M.C.
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*
VI	Gifts
	GOPAC
	Round trip Washington, DC - Wilmington, DE,
	Meals & 1 night lodging for self & spouse. (5/20/86)
VII	Reimbursements
	North Carolina Federation of Republican Women
	Round trip Washington, DC - Charlotte, NC,
	One night lodging & meals for speech. (5/3/86)
	Montana Federation of Republican Women
	Transportation: Washington, DC to Butte, MT to Reno, NV
	1 night lodging & meals in Butte for speech in Butte.
	(3/7/86)

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Honorable Doug Walgren
(Full Name)

2241 Rayburn House Office Building
(Mailing Address)

Washington, D. C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 18th State Pennsylvania

☐ Officer or Employee—Employing Office ________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.

- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.

- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES _____ NO XXX NA _____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION	
Cassidy & Associates - Spouse Income	Earned Income	N/A	----	
Association of Community Colleges	Honoraria	$500		2/10/86
Council for Advancement & Support of Education	Honoraria	$250		2/18/86
The Brookings Institution	Honoraria	$300.		4/24/86
American Assoc of State Colleges & Universities	Honoraria	$300		5/12/86
American Society of Assoc Executives	Honoraria	$1,000		8/20/86

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Robert Dotson	Interest	A
Vanguard International Fund	Dividend	B
Vanguard U.S. Growth	Dividend	C
Ski Ltd	Dividend	A
Texaco	Dividend	B
Putnam Growth	Dividend	C
Penn Mutual Life Ins	Interest	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO XXXX NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Fidelity Freedom	A
Fidelity Magellan	A
Morgan Growth	B
Putnam Growth	C
Putnam Health Sciences	A
SKI Ltd	C
Texaco, Inc	C

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sold: Ski Ltd	6/6/86	A
Sold: Ski Ltd	11/18/86	D
Sold: Ski Ltd	12/19/86	E
Sold: Vanguard US	12/26/86	A
Sold: Putnam	12/26/86	B
Purchashed: Fidelity Freedom	4/15/86	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
J. R. Walgren	B
Congressional Federal Credit Union	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Pacific Graduate School of Psychology	Travel for Commencement Address Washington-San Francisco & Return

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

None

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Doug Walgren	5/15/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

Page 1 of 1

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Honorable Doug Walgren, M.C. (18th - Penna)
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	Type	Amount	
II. Income				
A. Source	National Assoc of Medical Equipment Suppliers	Honoraria	$2,000	3/10/86
A. Source	National Institute of Building Sciences	Honoraria	1,000	3/10/86
A. Source	Bethlehem Steel	Honoraria	500.	12/11/86
III HOLDINGS:		Category:		
Identity:	Vanguard World Growth	C		
Identity:	Vanguard International Fund	C		

INSIDE MAIL

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Robert S. Walker
(Full Name)

2445 Rayburn House Office Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 16 State Pennsylvania

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Spouse - School District of Lancaster (PA)	salary		
Traffic Club of Philadelphia - 1/14/86	honorarium	$600	
Lockheed Management Association - 2/18/86	honorarium	1200	
Brookings Institution - 3/3/86	honorarium	300	
Snack Food Association - 4/28/86	honorarium	300	

continued

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Fulton Bank - Certificate of Deposit	dividends	A
U. S. Treasury Bonds	interest	A
Exxon Corporation	dividends	A
Boston Safe Deposit and Trust - Certificate of Deposit	dividends	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See,* Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO _X_ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Fulton Bank - Certificate of Deposit	B
Boston Safe Deposit and Trust - Certificate of Deposit	C
Philadelphia Life - two (2) IRAs	B
Exxon - common stock	A
General Electric - common stock	A
Hershey Foods Corporation - common stock	A

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
purchase and sale of Fulton Bank CD	2/12/86	B
purchase of First City Bank of Sioux Falls CD	2/14/86	C
sale of Boston Safe Deposit and Trust CD	2/14/86	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
none	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
none	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
none		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
Air Products and Chemicals, Inc. (Pennsylvania Society Dinner - self and spouse)	one night food and lodging in New York, New York

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
none	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
none		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Robt S Walker	5/11/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

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Page 5 of 5

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Robert S. Walker
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*
II - Income	Brookings Institution 5/20/86 honorarium $300
	Brookings Institution 6/9/86 honorarium 300
	Presbyterian Lay Committee 6/21/86 honorarium 200
	Georgetown University 11/10/86 honorarium 200

GPO: 1985 41-088 (m)

HAND DELIVERED

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Wes Watkins
(Full Name)

2348 Rayburn House Office Bldg.
(Mailing Address)
Washington, DC 20515

HC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 3rd State Oklahoma

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

2 7 1 7 1 2 0 2 5 3 7

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
East Central University, Ada, OK (spouse)		Salary		
Nat. Assoc of Homebuilders	Rec 2-9-86	Honoraria	$ 1,000.	
Chicago Board of Trade	Rec 4-22-86	Honoraria	500.	
Chicago Merchantile Exchange	Rec 4-22-86	Honoraria	500.	
Independent Freight Forwarders	Rec 6-17-86	Honoraria	500.	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Condominium, 1000 N. Starr Drive, Stillwater, OK	Rent	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
IRA, First Nat. Bank & Trust Co., Stillwater, OK	C
CD, Citizens Bank & Trust Co., Ada, OK	E
Commercial Property, Cradduck Road, Ada, OK	D
Savings Account, Citizens Bank & Trust Co., Ada, OK	B
Municipal Trust Unit Bonds, Edward D. Jones & Co.	E

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
N/A		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
First National Bank & Trust Co., Stillwater, OK, mortgage:	
1000 N. Starr Drive, Stillwater, OK	D
Citizens Bank & Trust Co., Ada, mortgage at 310 E. Capitol, Unit D	
Washington, DC	E

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
Oklahoma State University	Set of Will Rogers Books	$ 350.00

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Nat. Assoc of Homebuilders (speech)	1 way airfare DCA/DFW, self; 1 day food & lodging, self & spouse
Public Service Co of OK (speech)	1 way airfare DCA/TUL
Chicago Board of Trade & Chicago Merchantile Exchange	1 way airfare OKC/DCA; food & lodging 1 day

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
N/A	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
N/A		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Wes Watkins	May 11, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

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Page 2 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Wes Watkins
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
II-A	Anderson Shipping- rec 7-31-86	$ 500.00
	Oklahoma State School Board Assoc - rec 12-6-86	200.00
VII	Georgia Southern College (speech)	1 way airfare DCA/Savannah, GA
	Gulf South Research (speech & tour)	1 way airfare DCA/BTR/OKC, food and lodging 1 night
	American Vocational Education Assoc. (speech)	1 night food & Lodging

[illegible]

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Henry A. Waxman
(Full Name)

2418 Rayburn Building
(Mailing Address)

Washington, D.C.

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 24 State California

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Group Health Foundation 1/14/86	honorarium	$2000	
Council on Responsible Nutrition 1/22/86	honorarium	$2000	
American Hospital Assn. 2/3/86	honorarium	$2000	
American Physical Therapy 2/9/86	honorarium	$2000	
continuation - see insert one			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Heritage International Bank	interest	A
Dallas Office Group	return on sale	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO xxx NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Keough	A
IRA	A

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION		DATE	CATEGORY
Oklahoma Investors Limited	sold	1986	A
Houston Investors Partners	sold	1986	A
Top Almond Grove	original purchase rescinded in litigation		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY		CATEGORY
Champion Investment (Cameo Company)	commercial	C
Hertiage International Bank	personal	C
National Bank of Commerce	personal	B
Wright Patman Federal Credit Union	personal	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE		BRIEF DESCRIPTION
See insert two		
Am. Physicial Therapy Assn.	Aneheim, CA	travel and lodging
SPACE	Las Vegas	travel and lodging
California Medical Assn.	Los Angeles	travel and lodging
Allergists and Immunologists	New Orleans	travel

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Henry a Waxman	May 6, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

Page ____ of ____

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Henry A. Waxman
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*
	1986 Honoraria $ 44,225
	1986 Charitable Contributions $21,714.
	Unreimbursed expenses 230.42

[illegible]

INSERT ONE

Continuation from Page Two Section II

Source	Type	Amount
Los Angeles Times 2/9/86	Op-Ed	$250.00
SPACE 2/21/86	honorarium	$2000.00
Professional Insurance Agents 2/25/86	honorarium	$2000.00
California Medical Assn. 3/2/86	honorarium	$2000.00
Alternative Futures 3/6/86	honorarium	$2000.00
Toxic Air (CEEM) 3/10/86	honorarium	$1000.00
Water & Waste Water Equipment Manufacturers 3/12/86	honorarium	$1000.00
Am. Medical Student Assn. 3/6/86	honorarium	$800.00
Nurses Midwives 3/14/86	honorarium	$2000.00
Assn. Metopolitian Water 3/21/86 Agencies	honorarium	$1000.00
Allergists and Immunologists 3/23	honorarium	$2000.00
San Diego Community Foundation 3/27	honorarium	$2000.00
Goldman Sachs 2/2/86	honorarium	$1325.00
Ca. Assn. Health Services 4/1186	honorarium	$2000.00
Am. Medical Care & Review 4/15/86	honorarium	$2000.00
Medical Television Communications April 16th	honorarium	$2500.00
Orthopedic Assn.4/17/86	honorarium	$2000.00
Ernest Whittenberg Assn. 4/30/86	honorarium	$2000.00
Society for Research Education on Primary Care and Internal Medicien 5/1/86	honorarium	$1000.00
Los Angeles Times 5/15/86	honorarium	$250.00
HealthCare 6/23/86	honorarium	$2000.00
World Energy Conference 6/10/86	honorarium	$2000.00

Maxicare 8/25/86	honorarium	$750.00
Los Angeles Times 10/10/86	op-ed	$250.00
Washington Post 11/11/86	op-ed	$100.00

INSERT Two

Ethics in Government Act - Financial Disclosure Statement for 1986
Henry A. Waxman
California Medical Association - travel and lodging - Los Angeles

Allergists and Immunologists - travel -- New Orleans

San Diego Commiunity Foundation-- travel and lodging- San Diego

Goldman Sachs - travel and lodging -New York

California Association Health Services -- travel and lodging -San Diego

Medical Television Communications - travel - New York

Columbia University - travel expenses - Philadelphia

American Bar Association - travel New York

Maxicare - travel and hotel

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

John Vincent Weber
(Full Name)

106 Cannon HOB
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 02 State Minnesota

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA XX

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
U.S. House of Representatives	Salary	75,100.00	
2-3-86 Toby Roth	Honorarium	500.00	
3-4-86 McDonalds Corporation	"	1,000.00	
3-17-86 St. Joseph County Repub. Comm.	"	250.00	
3-26-86 Brookings Institute	"	300.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Iowa Resources	Common Dividend	A
NICOR	" "	A
Rent on Mankato Rental Property	Rent	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA XX

For more information, see detailed Instruction Booklet at page 8.

IDENTITY		CATEGORY
Iowa Resources	Stock owned	A
NICOR	Stock owned	A
Partnership of property in Northern Mankato, Minnesota (MWT)		C
	Duplex located at Dream Drive in Northridge	
	Estates, Mankato Minnesota	
Partnership of property in Pine Lake, MN Cass County Lot 4 Block 2		C

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Purchase of property on Pine Lake, Cass County, MN (partner w/Jim Weber)	10-01-86	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY		CATEGORY
Mankato Property	Mortgage	C
North Mankato Property	Mortgage	C
Pine Lake Property	Mortgage	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Chicago Mercantile Exchange (Chicago, IL) 5-5-86	Roundtrip Airfare to speak
Great Lakes Elec. Consumer Assoc. (Urbandale, IA) 6-4-86	Partial Airfare to speak
Siljander for Congress Committee (Three Rivers MI) 5-7-86	Partial Airfare to speak
Amer. Comm. for Shaare Zedek Hospital in Jerusalem, Inc.	Roundtrip Airfare to speak

VIII. POSITIONS (4-3-87)

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director	Weber Publishing Co.
Board of Directors	American Opportunity Foundation, Inc.
Board of Directors	Conservative Opportunity Society
Board of Directors	American Conservative Union

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Vin Weber	May 14, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

8 7 0 4 0 2 1 1 2 5 1

Page 5 of 5

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Cong. John Vincent Weber
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
II	5-5-86	Chicago Mercantile Exchange	Honorarium	500.00
	5-5-86	Chicago Board of Trade	"	500.00
	5-28-86	Brookings Institute	"	300.00
	6-3-86	Assoc. Equipment Distributors Pol. Ed.		
		Fund	"	500.00
	6-4-86	Great Lakes Electric Consumer Assoc.	"	500.00
	7-21-86	Nat'l School Transportation Assoc.	"	500.00
	9-9-86	Dow Jones & Co.	Fee for article	250.00
	10-6-86	500 Club	Honorarium	1,000.00
	11-17-86	Brookings Institute	"	300.00

GPO: 1986 61-088 (m)

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

THEODORE S. WEISS
(Full Name)

2442 Rayburn HOB
(Mailing Address)

Washington, DC 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 17 State New York

☐ Officer or Employee—Employing Office

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and
(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and
(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting **honoraria**, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Wife: NY State	Salary		
Association of Food Industries	Honoraria	$1,000	
NEW YORK TIMES	Article	150	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Citibank - Wife - Savings Account	Interest	A
Wright Patman Cong. Fed. Credit Union	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See,* Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
New York City Pension Fund (non-interest bearing account covering pre-1977 salary as New York City Council member)	B

8 7 0 7 2 1 1 3 6 1 3

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
President	Americans for Democratic Action

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 6, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 69-233 (a)

MAY 15 1987

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Wayne Curtis Weldon
(Full Name)

49 Weathervane Road
(Mailing Address)
Aston, PA 19014

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 7th State PA

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
Delaware County Controller	1986	Salary	$39,348	
INA Loss Control Services, Inc.	1986	Salary	5,229	
CIGNA Loss Control Services	1986	Salary	90	
Crozer-Chester Medical Center (Spouse)	1986	Salary	10,444	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Insurance Company of North America	Stock Plan	A
Connecticut General Life Insurance	Stock Plan	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
NONE	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
CIGNA Corporation - SOLD	4-23-86	A
CIGNA Corporation 2.75 CV PFD - SOLD	4-23-86	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
	SEE ATTACHED

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, ~~see detailed~~ Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5/12 87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

W. Curtis Weldon
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*
VIII	Positions:
	Director - Sacred Heart Medical Center
	Director - Delaware County Industrial Development Authority
	Chairman - Delaware County Partnership for Economic Development
	Director - Delaware County Community Action Agency
	Director - Marcus Hook Community Development Corporation
	Director - For the People, Inc.
	Director - Delaware County Port Corporation
	Director - Neuman College Development Fund

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Alan D. Wheat
(Full Name)

1204 Longworth House Cffice Building
(Mailing Address)

Washington, DC 20515

(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 5 State MO

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,[illegible]30. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
2-1-86	AFSCME Illinois Council 31 Legislative Conf	Honorarium	$2000	
2-21-86	Grambling State University; Black History	Honorarium	$1500	
2-28-86	Black El Paso Democrats Banquet	Honorarium	$1000	
6-16-86	Techtronics Ecological Corporation	Honorarium	$ 500	
6-16-86	UBJ Incorporated	Honorarium	$ 500	
6-16-86	Pegasus Associates, L.T.D.	Honorarium	$1000	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
L.Lawson, M.Kane, R.Whalen	Rent	B
U.S. Government high yield trust fund (IRA)	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES.

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __x__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY		CATEGORY-
3219 Lockridge, Kansas City, MO	(real property)	C
4349 Aldine, St. Louis, MO	(real property)	B
1251 South Carolina Ave., SE Washington, DC	(real property)	E
U.S. Govt high yield trust fund	(IRA)	A
Shurgard Properties	(IRA)	A

2 7 0 7 2 3 3 7 4 5 3

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Shurgard Properties (IRA) -- Sold	date not known	A
Templeton World Fund -- Sold	June, 1986	B
1251 S. Carolina Ave. (real property) -- Bought	Aug. 15, '86	E

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Blue Valley Federal Savings & Loan	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
none	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
none		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE		BRIEF DESCRIPTION
6-16-86	AmericaVotes	Transportation, voter registration speaking engagement

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
none	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	none	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 13, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 99-232

[illegible]

Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Alan D. Wheat
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
II A	7-8-86	International Management &Development Institute	Honorarium	$1000
	10-18-86	Cleveland Chiropractic College Alumni Banquet	Honorarium	$ 500
	11-6-86	Ottawa University, UPS Speaker	Honorarium	$1000

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Robert R. Whittaker
(Full Name)

2436 Rayburn H.O.B.
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 5 State KS

☐ Officer or Employee—Employing Office

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Kansas Electric Cooperatives Inc. 3/21/86	honorarium	$350	
Association of American Railroads 10/21/86	"	$1,000	
Pfizer, Inc.	"	$1,000	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
6966 N. Fairfax Dr., Arlington,VA	net rent	A
Country Club Apartments, Augusta, Kansas	net rent	D
1315 W. 3rd, Chanute, KS	net rent	B
Installment sale of optometric practice	capital gain	D
" " " "	interest	C
Varner rental, Augusta, KS	net rent	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
House at 1315 W. 3rd, Chanute, KS	C
1/2 duplex at 6966 N. Fairfax Dr., Arlington, VA	D
Country Club Apartments, Augusta, KS	F
80 acres pasture land near Andover, KS	E
Installment contract on sale of optometric partnership	C
Country Hills Estates, Inc.	F

IV. TRANSACTIONS

GENERAL GUIDELINES.

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Purchase of four-plex rental, Augusta, KS	12-31-36	C
Property bought by Prairie Enterprises of KS, Inc.	May, 86	D

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Mortgage, 1315 W. 3rd, Chanute, KS-Bank of Commerce, Chanute	C
Mortgage, Emporia, KS House in children's names-VA loan held by Capitol Federal S&L	C
Mortgage, Country Club Apartments-Eureka Federal S&L	E
2nd Mortgage, Country Club Apartments-K. Scholfield	D
2nd Mortgage, Country Club Apartments-R.Criss	E

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
American Optometric Assn., (self & spouse)	round trip air fare Washington to San Diego, lodging, meals for 5 days
" " " " "	round trip air fare Wichita to Hilton Head, S.C., lodging, meals for 4 days

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
President	Country Hills Estates, Inc. - development corp. (family-owned)
Vice-President	Country Hills Building Inc. - construction corp. (family-owned)
Sec. - Treas.	Prairie Enterprises of Kansas Inc. - development corp. (50% family-owned)

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Robert R. Whittaker	5/13/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987 69-222 (a)

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Page 1 of 1

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Robert R. Whittaker
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*		
IIB	Robert Gruver, note held	Interest	A
IIB	Installment sale of land	Capital gains	D
IIB	Installment sale of land	Interest	D
IIB	House, Emporia, KS, in minor children's names	Net rent	B
IIB	Income interest, Sovran Bank, Arlington, VA		A
IIB	Income interest, Prairie State Bank, Augusta, KS		A
IIB	Income interest, E.F. Hutton Account		A
IIB	Income interest, Fidelity Magellan Account		A
III	Note held from Robert Gruver		B
III	Varner Rental, Augusta, KS		D
III	80 acres pasture, Augusta, KS		E
III	House in Emporia, KS, owned by minor children		C
III	Country Hills Builders, Inc.		B
III	Bank Accounts - Sovran Bank, Arlington, VA and		B
	Prairie State Bank, Augusta, KS and		B
	Rose Hill State Bank, Rose Hill, KS		B
III	Stock - Prairie State Bank		B
III	Prairie Enterprises of Kansas, Inc.		D
III	Augusta Industrial Bonds		B
III	Four-plex rental, Augusta, KS		C
V	Mortgage on duplex unit in Arl., VA, Sovran Bank, Arl, VA.		D
V	Notes payable to Prairie State Bank, Augusta, KS		C
V	Note payable to 1st National Bank, El Dorado, KS		C
V	Note payable to Sovran Bank, Arlington, VA		C
V	Note payable to Augusta Bank & Trust, Augusta, KS		A
V	Country Hills Estates, Inc. - loan outstanding		E
V	H. Williams - land contract note		E
V	Mortgage - Varner rental - Railraod S&L, Newton, KS		C
V	Country Hills Builders, Inc.		E
V	Mortgage on four-plex rental unit		C
V	Prairie Enterprises of Kansas, Inc.		D

HAND DELIVERED

BOB WHITTAKER
5TH DISTRICT, KANSAS

COUNTIES

ALLEN
ANDERSON
BOURBON
BUTLER
CHASE
CHAUTAUQUA
CHEROKEE
COFFEY
COWLEY
CRAWFORD
ELK
FRANKLIN
GREENWOOD
HARVEY
LABETTE
LYON
MARION
MCPHERSON
MONTGOMERY
MORRIS
NEOSHO
OSAGE
WABAUNSEE
WILSON
WOODSON

Congreſs of the United States
House of Representatives
Washington, DC 20515

WASHINGTON OFFICE
332 CANNON HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
(202) 225-3911

ENERGY & COMMERCE
COMMITTEE

May 28, 1987

Mr. Donnald K. Anderson
Clerk, U.S. House of Representatives
Office of Records and Registration
1026 Longworth House Office Bldg.
Washington, D.C. 20515

mc ✓

Dear Mr. Anderson:

By this letter I want to amend my Financial Disclosure Statements for the years 1985 and 1986.

For 1985: Part IV. TRANSACTIONS. New acquisition: Augusta Industrial Bonds, purchased September, 1985. Category B.

Part III. HOLDINGS. Augusta Industrial Bonds, Category B.

When I listed the Augusta Industrial Bonds in the "holdings" category on my Statement for 1986, I inadvertently did not list them under the transaction category as a new acquisition. In preparing to amend the form via this letter, I checked with my wife, who purchased them, as to the date they were purchased. It was discovered she actually purchased them in September, 1985. Therefore, I should have listed them on the Statement for 1985 as a new acquisition and holding.

For 1986: Part II. INCOME. Pfizer, Inc. 7/31/87 honorarium, $1,000

Part III. HOLDINGS. Street addresses needed for the following properties: Country Club Apartments, 700 Stadium Drive, Augusta, KS; Country Hills Estates, Inc., #4 Arnold Drive, Augusta, KS; Varner Rental, 3000 N. Ohio, Augusta, KS; House owned by minor children, 913 Michelle, Emporia, KS; Country Hills Builders, Inc., #4 Arnold Drive, Augusta, KS; Prairie Enterprises of Kansas, Inc., #4 Arnold Drive, Augusta, KS; Four-plex rental, 203-209 Columbia, Augusta, KS.

Thank you.

Sincerely,

Bob Whittaker

Bob Whittaker

9 7 1 7 1 2 1 2 2 3 9

INSIDE MAIL

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Jamie L. Whitten
(Full Name)

2314 Rayburn Building
(Mailing Address)

Washington, D. C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 1st State Mississippi

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts, such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
Ocean Spray Cranberries	4/22/86	Hon.	2,000	
Natl. Rural Electric Assns.	1/17/86	"	2,000	
Staley Continental, Inc.	7/24/86	"	2,000	
Washington Discussion Group	2/3/86	"	2,000	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Wright Patman Credit Union	Interest	D
Dept. Treasury, U.S. Savings Bonds	"	D
United Southern Bank	"	A
Sunburst Bank	"	B
Equitable Life	"	A
Signal Companies	Dividends	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Morgan Furniture Bldg., Oxford, Md.	C
Locker Room Bldg., Oxford, Ms.	C
2 store bldgs., Oxford, Ms.	C
Oxford Eagle Bldg., Oxford, Ms.	C
Farmland, Charleston, Ms.	C
Lot, Charleston, Ms.	A

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sale of timber	10/86	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986:

SOURCE	BRIEF DESCRIPTION
Natl. Rural Electric Co-op Assn.	1-way airline ticket, Memphis, Tenn. to San Antonio, Texas, and accommodations, speaking engagement 1/6-1/9/86
American Farm Bureau	1-way airline ticket, Corpus Christi, Tx.-Atlanta, Ga. and overnight accommodations, speakin engagement, 1/20/86

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Jamie L. Whitten	May 13, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

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Page ____ of ____

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Jamie L. Whitten
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*		
II-B	First Natl. Holding Co.	Dividends	A
	Pepsico	"	A
	Rents, Charleston & Oxford, Ms.	Rents	E
III	U.S. Savings Bonds, Series EE		D
	Wright Patman Credit Union		E
	Pepsico Stock		B
	The Henley Group, stock		A
	Allied-Signal, Inc., stock		A

UNITED STATES HOUSE OF REPRESENTATIVES

INSIDE MAIL

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A–For use by Members, officers, and employees

Jamie L. Whitten
(Full Name)

2314 Rayburn Building
(Mailing Address)

Washington, D. C. 20515

mc ✓
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives–District 1st State Mississippi

☐ Officer or Employee–Employing Office ______

☒ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

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REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
Ocean Spray Cranberries	4/22/86	Hon.	2,000	
Natl. Rural Electric Assns.	1/17/86	"	2,000	
Staley Continental, Inc.	7/24/86	"	2,000	
Washington Discussion Group	2/3/86	"	2,000	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Wright Patman Credit Union	Interest	D
Dept. Treasury, U.S. Savings Bonds	"	D
United Southern Bank Checking acct.	"	A
Equitable Life	"	A
Sunburst Bank, Charleston	"	A
Signal Companies	Dividends	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Morgan Furniture Bldg., Oxford, Ms.	C
Locker Room Bldg., Oxford, Ms.	C
2 store bldgs., Oxford, Ms.	C
Oxford Eagle Bldg., Oxford, Ms.	C
Farmland, Charleston, Ms.	C
Lot, Charleston, Ms.	A

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sale of timber from wife's land in Lee County, Ms.	10/86	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Natl. Rural Electric Co-op Assn.	1-way air fare, Memphis, Tenn. to San Antonio, Tex. and accommodations for speaking engagement 1/6-1/9/86
American Farm Bureau	1-way air fare, Corpus Christi, Tex. to Atlanta, Ga.; overnight accommodations; speaking engagement 1/20/86

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Jamie L. Whitten	May 26, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987 [illegible] (a)

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Page ____ of ____

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Jamie L. Whitten
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*		
II-B	Oxford Eagle Bldg.	Rent	C
	Morgan Furniture Bldg.	Rent	C
	Locker Room Bldg.	Rent	B
	Store Bldg.	Rent	B
	Store Bldg.	Rent	C
	Farm land, Charleston, Ms.	Rent	C
	Apt. in Charleston house	Rent	A
	Wife's income:		
	Wright Patman Credit Union	Interest	A
	Sunburst Bank, Charleston	"	A
	Pepsico, Inc.	Dividends	A
	1st Natl. Holding Co.	"	A
	Farm land, Lee County, Ms.	Rent	A
	Timber from farm land, Lee County		A
III	House, Charleston, Ms.		C
	Allied Signal Stock		A
	Allied Signal - Henley Group		A
	Tallahatchie County Bank Stock		A
	Pullman Transportation Co. Stock		A
	Treasury Notes		B
	Wright Patman Credit Union		E
	Series HH bonds		E
	Wife's holdings:		
	Pepsico stock		A
	1/2 interest in 145 acre farm, Lee County, Ms.		B
	1/3 interest in land, Lee County, Ms.		A
	1st Natl. Holding Co. stock		B
	Wright Patman Credit Union		B
	Sunburst Bank, Charleston (formerly Bank of Charleston)		B

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Pat Williams
(Full Name)

2457 Rayburn
(Mailing Address)

Washington, DC 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 1 State Montana

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ [✓ NO] NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Public Power Council - 1/15/86	Honorarium	500	
Committee for Farmworker Programs - 1/27/86	Honorarium	1500	
Assn of Community College Trustees - 2/23/86	Honorarium	500	
Int'l Assn of Firefighters - 2/26/86	Honorarium	500	
Tyson Foods - 2/28/86	Honorarium	1000	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Sale of Montana Home, 2005 Jerome, Helena, MT	Capital Gain	C
Rental Payments - 2005 Jerome, Helena, MT 59601	Rent	B
Wright Patman Federal Credit Union Savings	Interest	B
Sale of Home in Manassas, VA (loan associated with sale of house)	Interest	B
First American, Maryland - Savings	Interest	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.** **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ [✓ NO] NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Savings-Wright Patman Credit Union, Wash., DC, & 1st American, MD	C
Savings & Custodial Account for Minor Children	B

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Norwest Bank, Montana, Mortgage, 2006 Jerome, Helena, MT	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Public Power Council- Speech, Portland, OR	airfare, meals, lodging
Committee for Farmworker Programs-Spch, Tucson AZ	airfare, meals, lodging
Tyson Foods - Speech, Springdale, AR	airfare, meals
Natl Assn of Proprietary Schools-Spch, San Antnio	airfare, meals
Shared Medical Systems - Speech, Naples, FL	airfare, meals, lodging

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government, and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Pat Williams	5/14/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT--FINANCIAL DISCLOSURE STATEMENT

Pat Williams
(Name)

Continuation Sheet

Part *Source, Type, Amount, Category, Description or Value (As Applicable)*

II. INCOME/EARNED

SOURCE	TYPE	AMOUNT
National PTA - 3/8/86	Honorarium	100
Natl Assn of Proprietary Schools - 3/12/86	Honorarium	1500
Distilled Spirits Council - 3/13/86	Honorarium	1000
Shared Medical Systems - 5/28/86	Honorarium	2000
College Administrators' Network - 4/21/86	Honorarium	2000
Colorado Democratic Party - 6/2/86	Honorarium	300
Education Administrators Serving the Deaf - 5/7/86	Honorarium	1000
National Alliance of Business - 8/22/86	Honorarium	500
Natl Conference on Public Employee Retirement - 6/3/86	Honorarium	500
Connell Rice & Sugar Company - 5/27/86	Honorarium	2000
The Marquart Company	Honorarium	2000
Firefighters Pension Fund - 7/11/86	Honorarium	500
White, Fine & Verville/Capital City Junior College - 7/11/86	Honorarium	2000
Natl Assn of Secondary School Principals - 8/26/86	Honorarium	500
U.S. Chamber of Commerce - 10/4/86	Honorarium	400
Peace Links - 1/86-12/86	Salary/Spouse	

VII. REIMBURSEMENTS

SOURCE	BRIEF DESCRIPTION
Colorado Democratic Party-Spch, Denver	airfare
Connell Rice & Sugar - Spch, Westfield NJ	airfare, meals, lodging (mbr & spouse)
Washington State Democratic Party-Spch,Spkn	airfare
Capital City Junior College-Spch, Ltl Rck AR	airfare, meals, lodging

GPO: 1986 61-088 (m)

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Charles Wilson
(Full Name)

2265 Rayburn HOB
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 2nd State Texas

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See continuation sheet			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
E Street Townhouse	Rent	D
C Street Townhouse	Rent	A
State of Texas	Pension	E

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
E Street Townhouse	E
C Street Townhouse	E
Southside National Bank - Common Stock	A
Pine Tree Resources	E

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
E Street Townhouse - Sold	12/31/86	E
C Street Townhouse - Sold	1/31/86	E

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
See continuation sheet	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
Cubic Corporation (Speech)	Air fare; Houston/Los Angeles
Northrop Corporation (Speech)	Air fare; Los Angeles/Houston

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Charles Wilson	May 15, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

[illegible]

Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Charles Wilson
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*			
II A	1/13/86	TRW, Inc.	Honorarium	$1,000
	3/12/86	Sperry Corporation	Honorarium	2,000
	3/14/86	Northrop Corporation	Honorarium	2,000
	3/20/86	Martin Marietta	Honorarium	1,000
	3/21/86	Ashland Oil, Inc.	Honorarium	1,000
	3/23/86	General Dynamics	Honorarium	1,000
	3/24/86	Rockwell International	Honorarium	1,000
	3/27/86	Holmes & Narver, Inc.	Honorarium	1,000
	4/02/86	McDonnell Douglas Corporation	Honorarium	1,000
	4/21/86	Hughes Aircraft Company	Honorarium	2,000
	4/22/86	Aerojet General	Honorarium	2,000
	4/24/86	LTVUS, Corporation	Honorarium	1,000
	5/05/86	Lockheed-California Company	Honorarium	1,000
	5/20/86	Coalition for Employment Through Exports, Inc.	Honorarium	1,000
	6/13/86	The Boeing Company	Honorarium	2,000
	8/01/86	American Trucking Associations	Honorarium	1,000
	8/07/86	Association of U.S. Night Vision Manufacturers	Honorarium	1,000
		Bell Helicopter ($1,470 contributed to charity)	Honorarium	2,000
V		Citizens State Bank	C	
		Diboll State Bank	C	
		First Bank and Trust	B	
		Lufkin Federal Savings & Loan	C	
		MBank Orange	B	
		RepublicBank Lufkin	C	
		Sabine Bank	B	
		Silsbee State Bank	C	
		E Street Townhouse - Mortgage - American Income Life Insurance	D	
		C Street Townhouse - Mortgage - United Virginia Mortgage	E	

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Robert E. Wise, Jr.
(Full Name)

1421 Longworth Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 3rd State West Virginia

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "print-outs" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 7.

8 7 1 2 2 1 0 1 3 2

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
The Lamar Corp.	Received 8-13-86	Honorarium	$ 1,000	
Ashland Oil Co.	Received 9-3-86	Honorarium	2,000	
Synthetic Organic Chemical Manufacturers, Inc.	Received 11-20-86	Honorarium	2,000	Charity
National Coal Association	Received 11-28-86	Honorarium	300	
see Continuation Sheet				

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Wright Patman Congressional FCU	Interest	A
FLBA of Clarksburg (The Farm Credit System)	Dividends	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __XX__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY			CATEGORY
Knightsbridge Corporation (S Corp.)	(spouse)	Stock	A
IRA Mutual Fund, Pioneer Group Inc.			B
IRA Mutual Fund, Pioneer Group Inc.	(spouse)		B

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Purchased shares in IRA Mutual Fund, Pioneer Group, Inc.	4-86	A
Purchased shares in IRA Mutual Fund, Pioneer Group, Inc.	(spouse) 4-86	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

8 7 0 7 1 2 1 0 1 3 1

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Tamkang University, Taipei, Taiwan (fact-finding trip; expenses for self and spouse)	Roundtrip airfare: Wash, DC to Taipei and Tokyo; 6 days food/lodging; roundtrip airfare to Hualien and Kaoshiung.
Kaiser Aluminum Corp. (fact-finding trip) see Continuation Sheet	Roundtrip airfare: Wash, DC to Vancouver to Ottawa to Montreal to Charleston, WV.

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director	West Virginia First, Inc
Ex officio member, Board of Directors	National Institute of Chemical Studies

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5/14/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT 1986

Robert E. Wise, Jr.
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*	
II. Income	White, Fine & Verville, Attorneys at Law (spouse)	Salary
II. Income	Center for a New Democrary (spouse)	Salary
VII. Reim-bursements	Center for a New Demoncracy (fact-finding trip; expenses for self and spouse)	Roundtrip airfare: Wash,DC to Seoul and Tokyo; 2 days food/lodging in Seoul.

HAND DELIVERED

BOB WISE
3D DISTRICT, WEST VIRGINIA

COMMITTEES
PUBLIC WORKS AND TRANSPORTATION
GOVERNMENT OPERATIONS
SELECT COMMITTEE ON AGING

Congress of the United States
House of Representatives
Washington, DC 20515

WASHINGTON OFFICE
1508 LONGWORTH HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
(202) 225-2711

DISTRICT OFFICE
101 PENNSYLVANIA AVENUE
CHARLESTON, WV 25302
(304) 342-7170
TOLL FREE 1-800-642-2014

May 18, 1987

The Hon. Julian C. Dixon
Chairman
Committee on Standards of
Official Conduct
HT2 Capitol
HAND DELIVERED

✓
MC

Dear Mr. Chairman:

Please find attached my personal income tax return for the 1986 calendar year.

I would be pleased if you would include this document with the Member's Financial Disclosure which I submitted on May 15th. This should be considered an amendment to same.

Thank you very much.

Very truly yours,

Bob Wise

Bob Wise
Member of Congress

BW:lg
Attachment

[illegible]

Form 1040 U.S. Individual Income Tax Return 1986

Department of the Treasury—Internal Revenue Service

For the year January 1–December 31, 1986, or other tax year beginning , 1986, ending , 19 . OMB No. 1545-0074

Use IRS label. Otherwise, please print or type.

Your first name and initial (if joint return, also give spouse's name and initial): Robert E. & Sandra C.	Last name: Wise	Your social security number: 233 78 6863
Present home address (number and street or rural route) (If you have a P.O. Box, see page 4 of instructions.): 101 Gabe Rd		Spouse's social security number: 474 50 2621
City, town or post office, state, and ZIP code: Clendenin, WV 25045		If this address is different from the one shown on your 1985 return, check here ▶ ☐

Presidential Election Campaign ▶ Do you want $1 to go to this fund? ☐ Yes ☐ No
If joint return, does your spouse want $1 to go to this fund? ☐ Yes ☐ No
Note: Checking "Yes" will not change your tax or reduce your refund.

For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Filing Status

Check only one box.

1 ☐ Single
2 ☒ Married filing joint return (even if only one had income)
3 ☐ Married filing separate return. Enter spouse's social security no. above and full name here
4 ☐ Head of household (with qualifying person). (See page 5 of Instructions.) If the qualifying person is your unmarried child but not your dependent, enter child's name here
5 ☐ Qualifying widow(er) with dependent child (year spouse died ▶ 19). (See page 6 of Instructions.)

Exemptions

Always check the box labeled Yourself. Check other boxes if they apply.

6a ☒ Yourself ☐ 65 or over ☐ Blind
b ☒ Spouse ☐ 65 or over ☐ Blind
Enter number of boxes checked on 6a and b ▶ 2
c First names of your dependent children who lived with you
Enter number of children listed on 6c ▶
d First names of your dependent children who did not live with you (see page 6) (If pre-1985 agreement, check here ▶ ☐)
Enter number of children listed on 6d ▶
e Other dependents

(1) Name	(2) Relationship	(3) Number of months lived in your home	(4) Did dependent have income of $1,080 or more?	(5) Did you provide more than one-half of dependent's support?

Enter number of other dependents ▶
f Total number of exemptions claimed (also complete line 36)
Add numbers entered in boxes above ▶ 2

Income

Please attach Copy B of your Forms W-2, W-2G, and W-2P here.

If you do not have a W-2, see page 4 of Instructions.

Please attach check or money order here.

7	Wages, salaries, tips, etc. *(attach Form(s) W-2)*		7	93,572
8	Interest income *(also attach Schedule B if over $400)*		8	388
9a	Dividends *(also attach Schedule B if over $400)* 162 , 9b Exclusion			
c	Subtract line 9b from line 9a and enter the result		9c	162
10	Taxable refunds of state and local income taxes, if any, from the worksheet on page 9 of Instructions		10	1,728
11	Alimony received		11	
12	Business income or (loss) *(attach Schedule C)*		12	
13	Capital gain or (loss) *(attach Schedule D)*		13	
14	40% of capital gain distributions not reported on line 13 (see page 9 of Instructions)		14	
15	Other gains or (losses) *(attach Form 4797)*		15	
16	Fully taxable pensions, IRA distributions, and annuities not reported on line 17 (see page 9)		16	
17a	Other pensions and annuities, including rollovers. Total received	17a		
b	Taxable amount, if any, from the worksheet on page 10 of Instructions		17b	
18	Rents, royalties, partnerships, estates, trusts, etc. *(attach Schedule E)*		18	(6)
19	Farm income or (loss) *(attach Schedule F)*		19	
20a	Unemployment compensation (insurance). Total received	20a		
b	Taxable amount, if any, from the worksheet on page 10 of Instructions		20b	
21a	Social security benefits (see page 10)	21a		
b	Taxable amount, if any, from worksheet on page 11 Tax-exempt interest		21b	
22	Other income (list type and amount—see page 11 of Instructions) Honoraria		22	5,300
23	Add the amounts shown in the far right column for lines 7 through 22. This is your **total income** ▶		23	101,144

Adjustments to Income

(See Instructions on page 11.)

24	Moving expenses *(attach Form 3903 or 3903F)*	24			
25	Employee business expenses *(attach Form 2106)*	25	9,245		
26	IRA deduction, from the worksheet on page 12	26	4,000		
27	Keogh retirement plan and self-employed SEP deduction	27			
28	Penalty on early withdrawal of savings	28			
29	Alimony paid (recipient's last name and social security no.)	29			
30	Deduction for a married couple when both work *(attach Schedule W)*	30	1,633		
31	Add lines 24 through 30. These are your **total adjustments** ▶			31	14,878

Adjusted Gross Income

32	Subtract line 31 from line 23. This is your **adjusted gross income**. *If this line is less than $11,000 and a child lived with you, see "Earned Income Credit" (line 58) on page 16 of Instructions. If you want IRS to figure your tax, see page 13 of Instructions* ▶	32	86,266

1986 [illegible] [illegible]

Form 1040 (1986) Page 2

Tax Computation (See Instructions on page 13.)	33	Amount from line 32 (adjusted gross income)			33	86,266
	34a	If you itemize, attach Schedule A (Form 1040) and enter the amount from Schedule A, line 26 **Caution:** If you have unearned income and can be claimed as a dependent on your parents' return, see page 13 of instructions and check here ▶ ☐ Also see page 13 if you are married filing a separate return and your spouse itemizes deductions, or you are a dual-status alien			34a	20,033
	b	If you do not itemize but you made charitable contributions, enter your cash contributions here. (If you gave $3,000 or more to any one organization, see page 14.)	34b			
	c	Enter your noncash contributions *(you must attach Form 8283 if over $500)*	34c			
	d	Add lines 34b and 34c. Enter the total			34d	
	35	Subtract line 34a or line 34d, whichever applies, from line 33			35	66,233
	36	Multiply $1,080 by the total number of exemptions claimed on line 6f (see page 14)			36	2,160
	37	**Taxable income.** Subtract line 36 from line 35. Enter the result (but not less than zero)			37	64,073
	38	Enter tax here. Check if from ☐ Tax Table, ☒ Tax Rate Schedule X, Y, or Z, or ☐ Schedule G			38	16,112
	39	Additional taxes (see page 14 of instructions). Enter here and check if from ☐ Form 4970, ☐ Form 4972, or ☐ Form 5544			39	
	40	Add lines 38 and 39. Enter the total ▶			40	16,112
Credits (See Instructions on page 14.)	41	Credit for child and dependent care expenses *(attach Form 2441)*	41			
	42	Credit for the elderly or for the permanently and totally disabled *(attach Schedule R)*	42			
	43	Partial credit for political contributions for which you have receipts	43	100		
	44	Add lines 41 through 43. Enter the total			44	100
	45	Subtract line 44 from line 40. Enter the result (but not less than zero)			45	16,012
	46	Foreign tax credit *(attach Form 1116)*	46			
	47	General business credit. Check if from ☐ Form 3800, ☐ Form 3468, ☐ Form 5884, ☐ Form 6478, or ☐ Form 6765	47			
	48	Add lines 46 and 47. Enter the total			48	
	49	Subtract line 48 from line 45. Enter the result (but not less than zero) ▶			49	16,012
Other Taxes (Including Advance EIC Payments)	50	Self-employment tax *(attach Schedule SE)*			50	
	51	Alternative minimum tax *(attach Form 6251)*			51	
	52	Tax from recapture of investment credit *(attach Form 4255)*			52	
	53	Social security tax on tip income not reported to employer *(attach Form 4137)*			53	
	54	Tax on an IRA *(attach Form 5329)*			54	
	55	Add lines 49 through 54. This is your **total tax** ▶			55	16,012
Payments Attach Forms W-2, W-2G, and W-2P to front	56	Federal income tax withheld	56	24,346		
	57	1986 estimated tax payments and amount applied from 1985 return	57			
	58	Earned income credit (**see page** 16)	58			
	59	Amount paid with Form 4868	59			
	60	Excess social security tax and RRTA tax withheld (two or more employers)	60			
	61	Credit for Federal tax on gasoline and special fuels *(attach Form 4136)*	61			
	62	Regulated investment company credit *(attach Form 2439)*	62			
	63	Add lines 56 through 62. These are your **total payments** ▶			63	24,346
Refund or Amount You Owe	64	If line 63 is larger than line 55, enter amount **OVERPAID** ▶			64	8,334
	65	Amount of line 64 to be **REFUNDED TO YOU** ▶			65	8,334
	66	Amount of line 64 to be applied to your 1987 estimated tax ▶	66			
	67	If line 55 is larger than line 63, enter **AMOUNT YOU OWE.** Attach check or money order for full amount payable to "Internal Revenue Service." Write your social security number, daytime phone number, and "1986 Form 1040" on it Check ▶ ☐ if Form 2210 (2210F) is attached. See page 17. Penalty: $ ▶			67	

Please Sign Here

Under penalties of perjury, I declare that I have examined this return and accompanying schedules and statements, and to the best of my knowledge and belief, they are true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

▶ Your signature	Date	Your occupation
▶ Spouse's signature (if joint return, BOTH must sign)	Date	Spouse's occupation

Paid Preparer's Use Only

Preparer's signature ▶ Rosa P. [illegible]	Date 4-10-87	Check if self-employed ☒		Preparer's social security no. 205 34 9306
Firm's name (or yours, if self-employed) and address ▶ TERESA P. CHEGWIDDEN, CPA P.O.BOX2952 CHARLESTON, W.V. 25330			E.I. No.	55 0633379
			ZIP code	

* U.S. GOVERNMENT PRINTING OFFICE: 1986-493-074

SCHEDULES A&B
(Form 1040)
Department of the Treasury
Internal Revenue Service

Schedule A—Itemized Deductions

(Schedule B is on back)

▶ Attach to Form 1040. ▶ See Instructions for Schedules A and B (Form 1040).

OMB No. 1545-0074
1986
Attachment Sequence No. 07

Name(s) as shown on Form 1040: Robert E. & Sandra C. Wise

Your social security number: 233 7[illegible] [illegible]

Medical and Dental Expenses **(Do not include expenses reimbursed or paid by others.)** (See Instructions on page 19.)	1	Prescription medicines and drugs; and insulin	1			
	2 a	Doctors, dentists, nurses, hospitals, insurance premiums you paid for medical and dental care, etc.	2a			
	b	Transportation and lodging	2b			
	c	Other (list—include hearing aids, dentures, eyeglasses, etc.) ▶	2c			
	3	Add lines 1 through 2c, and enter the total here	3			
	4	Multiply the amount on Form 1040, line 33, by 5% (.05)	4			
	5	Subtract line 4 from line 3. If zero or less, enter -0-. **Total** medical and dental ▶			5	
Taxes You Paid (See Instructions on page 20.)	6	State and local income taxes	6	5,688		
	7	Real estate taxes	7	498		
	8 a	General sales tax (see sales tax tables in instruction booklet)	8a	785		
	b	General sales tax on motor vehicles	8b			
	9	Other taxes (list—include personal property taxes) ▶ personal property - 38	9	38		
	10	Add the amounts on lines 6 through 9. Enter the total here. **Total** taxes ▶			10	7,009
Interest You Paid (See Instructions on page 20.)	11 a	Home mortgage interest paid to financial institutions (report deductible points on line 13)	11a	6,567		
	b	Home mortgage interest you paid to individuals (show that person's name and address) ▶	11b			
	12	Total credit card and charge account interest you paid	12	228		
	13	Other interest you paid (list payee's name and amount) ▶ a/c 69491 - 958; E. Wise's Corp-personal portion - 257	13	1,215		
	14	Add the amounts on lines 11a through 13. Enter the total here. **Total** interest ▶			14	8,010
Contributions You Made (See Instructions on page 21.)	15 a	Cash contributions. (If you gave $3,000 or more to any one organization, report those contributions on line 15b.)	15a	4,848		
	b	Cash contributions totaling $3,000 or more to any one organization. (Show to whom you gave and how much you gave.) ▶	15b			
	16	Other than cash. (You must attach Form 8283 if over $500.)	16	341		
	17	Carryover from prior year	17			
	18	Add the amounts on lines 15a through 17. Enter the total here. **Total** contributions ▶			18	5,189
Casualty and Theft Losses	19	Total casualty or theft loss(es). (You must attach Form 4684 or similar statement.) (See page 21 of Instructions.) ▶			19	
Miscellaneous Deductions (See Instructions on page 22.)	20	Union and professional dues	20	110		
	21	Tax return preparation fee	21	400		
	22	Other (list type and amount) ▶ IRA fees - 25; Bd of Law Exam - 177; Job search - 85; Gifts, office, supplies, equipment - 2,348; Business meals - 218; Publications - 32	22	2,985		
	23	Add the amounts on lines 20 through 22. Enter the total here. **Total** miscellaneous ▶			23	3,495
Summary of Itemized Deductions (See Instructions on page 22.)	24	Add the amounts on lines 5, 10, 14, 18, 19, and 23. Enter your answer here			24	23,703
	25	If you checked Form 1040 Filing Status box 2 or 5, enter $3,670; Filing Status box 1 or 4, enter $2,480; Filing Status box 3, enter $1,835			25	3,670
	26	Subtract line 25 from line 24. Enter your answer here and on Form 1040, line 34a. (If line 25 is more than line 24, see the Instructions for line 26 on page 22.) ▶			26	20,033

For Paperwork Reduction Act Notice, see Form 1040 Instructions.

[illegible]

SCHEDULE E
(Form 1040)
Department of the Treasury
Internal Revenue Service (0)

Supplemental Income Schedule

(From rents and royalties, partnerships, estates, trusts, etc.)
▶ Attach to Form 1040, Form 1041, or Form 1041S.
▶ See Instructions for Schedule E (Form 1040).

OMB No. 1545-0074
1986
Attachment Sequence No. 13

Name(s) as shown on Form 1040: Robert E. & Sandra C. Wise

Your social security number: [illegible]

Part I Rental and Royalty Income or (Loss)

1 In the space provided below, show the kind and location of each rental property

2 For each property listed, did you or a member of your family use for personal purposes any of the properties for more than the greater of 14 days or 10% of the total days rented at fair rental value during the tax year?

		Yes	No
Property A	▶		
Property B	▶		
Property C	▶		

		Properties			Totals (Add columns A, B, and C)	
Rental and Royalty Income		A	B	C		
3a Rents received					3	
b Royalties received						
Rental and Royalty Expenses						
4 Advertising	4					
5 Auto and travel	5					
6 Cleaning and maintenance	6					
7 Commissions	7					
8 Insurance	8					
9 Legal and other professional fees	9					
10 Mortgage interest paid to financial institutions (see instructions)	10				10	
11 Other interest	11					
12 Repairs	12					
13 Supplies	13					
14 Taxes (Do **not** include windfall profit tax here. See Part III, line 34.)	14					
15 Utilities	15					
16 Wages and salaries	16					
17 Other (list) ▶						
18 Total expenses other than depreciation and depletion. Add lines 4 through 17	18				18	
19 Depreciation expense (see Part V Instructions), or depletion (see Publication 535)	19				19	
20 Total. Add lines 18 and 19	20					
21 Income or (loss) from rental or royalty properties. Subtract line 20 from line 3a (rents) or 3b (royalties)	21					

22 Add properties with profits on line 21, and enter the total profits here	22	
23 Add properties with losses on line 21, and enter the total (losses) here	23	
24 Combine amounts on lines 22 and 23, and enter the net profit or (loss) here	24	
25 Net farm rental profit or (loss) from Form 4835, line 34	25	
26 Total rental or royalty income or (loss). Combine amounts on lines 24 and 25, and enter the total here. If Parts II and III on page 2 do not apply to you, enter the amount from line 26 on Form 1040, line 18. Otherwise, include the amount from line 26 in line 36 on page 2 of Schedule E	26	

For Paperwork Reduction Act Notice, see Form 1040 Instructions.

Schedule E (Form 1040) 1986 Attachment Sequence No. 13 Page 2

Name(s) as shown on Form 1040. (Do not enter name and social security number if shown on other side.) Robert E. & Sandra C. Wise

Your social security number 233 78 6163

Part II Income or (Loss) from Partnerships, S Corporations, Estates, or Trusts

If you report a loss below, and have amounts invested in that activity for which you are not at risk, you may have to file Form 6198. See instructions

Partnerships and S Corporations

(a) Name	(b) Enter appropriate code¹	(c) Check if foreign partnership	(d) Employer identification number	(e) Net loss (see instructions for at-risk limitations)	(f) Net income
Knightsbridge Corp	S		52-1274306	6	

¹ Codes: P for partnership; S for S corporation

27 Add amounts in columns (e) and (f) and enter the total(s) here 27 (6)

28 Combine amounts in columns (e) and (f), line 27, and enter the net income or (loss) here 28 (6)

29 Deduction for section 179 property (from Form 1065, Schedule K-1, and Form 1120S, Schedule K-1). (See instructions for limitations.) 29 ( )

30 Total partnership and S corporation income or (loss). Combine amounts on lines 28 and 29. Enter the total here and include in line 36 below 30 (6)

Estates and Trusts

(a) Name	(b)	(c)	(d) Employer identification number	(e) Net loss (see instructions for at-risk limitations)	(f) Net income
	▨	▨			
	▨	▨			
	▨	▨			
	▨	▨			

31 Add amounts in columns (e) and (f) and enter the total(s) here 31 ( )

32 Total estate and trust income or (loss). Combine amounts in columns (e) and (f), line 31. Enter the total here and include in line 36 below 32

Part III Windfall Profit Tax Summary

33 Windfall profit tax credit or refund received in 1986 (see instructions) 33

34 Windfall profit tax withheld in 1986 (see instructions) 34 ( )

35 Combine amounts on lines 33 and 34. Enter the total here and include in line 36 below 35

Part IV Summary

36 TOTAL income or (loss). Combine lines 26, 30, 32, and 35. Enter total here and on Form 1040, line 18 ▶ 36 (6)

37 Farmers and fishermen: Enter your share of GROSS FARMING AND FISHING INCOME applicable to Parts I and II (see instructions) ▶ 37

Part V Optional Depreciation Worksheet.

—You may use this worksheet to figure depreciation only on property placed in service before 1981. If you placed any property in service after 1980, you must use **Form 4562** for all property.

	(a) Description of property	(b) Date acquired	(c) Cost or other basis	(d) Depreciation allowed or allowable in prior years	(e) Depreciation method	(f) Life or rate	(g) Depreciation for this year
A							
	Total (Property A)						
B							
	Total (Property B)						
C							
	Total (Property C)						

*U.S. G.P.O. 1986-493-[illegible]

Schedule W
(Form 1040)
Department of the Treasury
Internal Revenue Service

Deduction for a Married Couple When Both Work

▶ For Paperwork Reduction Act Notice, see Form 1040 Instructions.
▶ Attach to Form 1040.

OMB No. 1545-0074
1986
Attachment Sequence No. 20

Names as shown on Form 1040: Robert E. & Sandra C White

Your social security number: 232 78 6863

Step 1 Figure your earned income

		(a) You		(b) Your spouse
1 Wages, salaries, tips, etc., from Form 1040, line 7. (Do not include pensions or annuities reported on Form 1040, line 16 or lines 17a and 17b.)	1	75,100	1	18,472
2 Net profit or (loss) from self-employment (from Schedules C and F (Form 1040), Schedule K-1 (Form 1065), and any other earned income)	2		2	
3 Add lines 1 and 2. This is your total earned income	3	75,100	3	18,472

Step 2 Figure your qualified earned income

		(a) You		(b) Your spouse
4 Add amounts entered on Form 1040, lines 25, 26, 27, and any repayment of supplemental unemployment benefits (sub-pay) included on line 31. Enter the total (see instructions below)	4	11,099	4	2,146
5 Subtract line 4 from line 3. This is your qualified earned income. If the amount in column (a) or (b) is zero (-0-) or less, stop here. You may not take this deduction	5	64,001	5	16,326

Step 3 Figure your deduction

6 Compare the amounts in columns (a) and (b) of line 5 above. Enter the **smaller** amount here. (Enter either amount if 5(a) and 5(b) are the same.) ***Do not enter more than $30,000***	6	16,326
7 Percentage used to figure the deduction (10%)	7	x .10
8 Multiply the amount on line 6 by the percentage on line 7. This is the amount of your deduction. Enter the answer here and on Form 1040, line 30 ▶	8	1,633

Instructions

Complete this schedule and attach it to your Form 1040 if you take the deduction for a married couple when both work. You may take the deduction if both you and your spouse:

- work and have qualified earned income, and
- file a joint return, and
- do not file **Form 2555** to exclude income or to exclude or deduct certain housing costs, and
- do not file **Form 4563** to exclude income.

There are three steps to follow in figuring the deduction on Schedule W.

Step 1 (lines 1, 2, and 3).—Figure earned income separately for yourself and your spouse.

Earned income.—This is generally income you receive for services you provide. It includes wages, salaries, tips, commissions, sub-pay, etc. (from Form 1040, line 7). It also includes income earned from self-employment (from Schedules C and F of Form 1040 and Schedule K-1 of Form 1065), and net earnings and gains (other than capital gains) from the disposition, transfer, or licensing of property that you created.

Earned income does not include interest, dividends, social security benefits, IRA distributions, unemployment compensation, deferred compensation, or nontaxable income. It also does not include any amount your spouse paid you.

Caution: *Do not consider community property laws in figuring your earned income.*

Step 2 (lines 4 and 5).—Figure qualified earned income separately for yourself and your spouse by subtracting certain adjustments from earned income.

Qualified earned income.—This is the amount on which the deduction is based. Figure it by subtracting the total of certain adjustments from earned income. These adjustments (and the related lines on Form 1040) are:

- Employee business expenses (from line 25)
- IRA deduction (from line 26)
- Keogh retirement plan and self-employed SEP deduction (from line 27)
- Repayment of sub-pay included in the total on line 31. See the instructions on repayment of sub-pay on page 12 of the Form 1040 Instructions.

Enter the total of any adjustments that apply to your or your spouse's earned income in the appropriate column of line 4.

Step 3 (lines 6, 7, and 8).—Figure the deduction based on the **smaller** of:

- the qualified earned income entered in column (a) or (b) of line 5, whichever is less, **OR**
- $30,000.

Example.—You earned a salary of $20,000 and had $3,000 of employee business expenses (Form 1040, line 25). Your spouse earned $17,000 and had an IRA deduction of $1,000 (Form 1040, line 26). Your qualified earned income is $17,000 ($20,000 minus $3,000) and your spouse's is $16,000 ($17,000 minus $1,000). Because your spouse's qualified earned income is less than yours, the deduction is figured on your spouse's income. Therefore, the deduction is $1,600 ($16,000 × .10).

Form **2106**

Department of the Treasury
Internal Revenue Service

Employee Business Expenses

▶ See instructions on back.
▶ Attach to Form 1040.

OMB No. 1545-0139

1986
Attachment Sequence No. **54**

Your name	Social security number	Occupation in which expenses were incurred
Sandra C. Wise	474 50 2621	attorney

Part I Employee Business Expenses Deductible in Figuring Adjusted Gross Income

1 Vehicle expenses from Part II, lines 15 or 22	1	141
2 Parking fees, tolls, and business portion of certain interest and taxes (see instructions)	2	
3 Local transportation including train, cabs, bus, airplane, etc	3	
4 Travel expenses while away from home overnight including meals, lodging, airplane, car rental, taxi, etc	4	5
5 **Employees who are not outside salespersons:** Enter your expenses, not included on lines 1 through 4, for entertainment, gifts, and other business expenses, up to the amount you were reimbursed by your employer. Use Schedule A (Form 1040) for these expenses that were more than your reimbursement	5	
6 **Outside salesperson's expenses:** Enter your total expenses for entertainment, gifts, and other business expenses not included on lines 1 through 4	6	
7 Add lines 1 through 6	7	146
8 Enter reimbursements from employer on this line if the reimbursements were not included on Form W-2	8	-0-
9 If line 7 is more than line 8, enter difference here and on Form 1040, line 25	9	146
10 If line 8 is more than line 7, enter difference here and include it on Form 1040, line 7	10	

Part II Vehicle Expenses (Use either your actual expenses or the standard mileage rate.)

Section A.—General Information

		Vehicle 1	Vehicle 2
1 Enter the date vehicle was placed in service	1	12 1 75	
2 Total mileage vehicle was used during 1986	2	31,655 miles	miles
3 Miles included on line 2 that vehicle was used for business	3	670 miles	miles
4 Percent of business use (divide line 3 by line 2)	4	2 %	%
5 Average daily round trip commuting distance	5	1 miles	miles
6 Miles included on line 2 that vehicle was used for commuting	6	200 miles	miles
7 Other personal mileage (subtract line 6 plus line 3 from line 2)	7	30,785 miles	miles

8 Do you (or your spouse) have another vehicle available for personal purposes? ☒ Yes ☐ No

9 If your employer provided you with a vehicle, is personal use during off duty hours permitted? ☐ Yes ☐ No ☒ Not applicable

10 Do you have evidence to support your deduction? ☒ Yes ☐ No If yes, is the evidence written? ☒ Yes ☐ No

Section B.—Standard Mileage Rate (Do not use this section unless you own the vehicle.)

11 Enter the smaller of Part II, line 3 or 15,000 miles	11	670 miles
12 Subtract line 11 from Part II, line 3	12	-0- miles
13 Multiply line 11 by 21¢ (.21) (see instructions for a fully depreciated vehicle)	13	141
14 Multiply line 12 by 11¢ (.11)	14	-0-
15 Add lines 13 and 14. Enter total here and on Part I, line 1	15	141

Section C.—Actual Expenses

		Vehicle 1	Vehicle 2
16 Gasoline, oil, repairs, vehicle insurance, etc	16		
17 Vehicle rentals	17		
18 Value of employer provided vehicle (applies only if included on Form W-2 at 100% fair rental value, see instructions)	18		
19 Add lines 16 through 18	19		
20 Multiply line 19 by the percentage on Part II, line 4	20		
21 Depreciation from Section D, column (f) (see instructions)	21		
22 Add lines 20 and 21. Enter total here and on Part I, line 1	22		

Section D.—Depreciation of Vehicles

(Depreciation can only be claimed for a vehicle you own. If a vehicle is used 50 percent or less in a trade or business, the Section 179 deduction is not allowed and depreciation must be taken using the straight line method over 5 years. For other limitations, see instructions.)

	Cost or other basis (a)	Basis for depreciation (Business use only—see instructions) (b)	Method of figuring depreciation (c)	Depreciation deduction (d)	Section 179 expense (e)	Total column (d) + column (e) (f)
Vehicle 1						
Vehicle 2						

For Paperwork Reduction Act Notice, see Instructions.

Robert E. Wise [illegible] 233-76-6863

STATEMENT OF CONGRESSIONAL REIMBURSEMENTS AND EXPENSES

1986

NOTE: Before preparing this statement, please read the accompanying information. Some reimbursements are not includible in gross income and other reimbursements, in some circumstances, need not be reported on your return. Do not include amounts received from non-Congressional sources. You may use Form 2106 in place of this statement (attached).

Congressional Reimbursements for Expenses

1. Reimbursement at the rate of 20 cents a mile for travel going to and returning from each regular session (2 USC sec. 43) $ 931

2. Reimbursement for other travel expenses 4,679

3. Reimbursement for other deductible expenses (if any) 342

4. Reimbursement for nondeductible expenses (if any) _____

5. Total reportable reimbursements $ 6,002

Expenses Deductible as Adjustments to Income

6. Living expenses in the Washington, D.C. area. The maximum amount allowable is $3,000 (Internal Revenue Code 26 USC 162(a)) $ 3,000

7. Travel expenses: Other (attach detailed statement) See Sch. I 11,759

8. Other expenses (limited to amount on line 3) 342

9. Total expenses $ 15,101

10. Excess reimbursement (excess of line 5 over line 9. Include on Form 1040, line 22) $_____

11. Deductible expenses (excess of line 9 over line 5. Include on Form 1040, line 25) $ 9,099

Expenses Deductible as Itemized Deductions

NOTE: These expenses include deductible Congressional expenses, other than for travel, which were not reimbursed by Congressional allowances. They include those expenses which may have been reimbursed from outside sources, such as contributed funds. Specify the kind of expense and total all expenses of the same kind. If additional space is needed, list the expenses on a separate sheet. Write "See separate sheet attached" on line 12 and enter the total amount on line 13.

12. Office and entertainment $ 1,666

Gifts and professional fees $ 682

13. Total expenses deductible. Include on line 22, Schedule A (Form 1040) $ 2,348

GPO : 1987 O - 67-496

[illegible]

Schedule I

Robert E. Wise 233-78-6863

1986 Statement of Travel Expenses

Line 7, Statement of Congressional Reimbursements and Expenses:

Airline tickets	$ 4,679
Car 60% business use Total mileage 19,358 Business mileage 11,615 x $.21/mile	
Standard mileage deduction	2,439
Car Business portion of interest and personal property tax	482
Travel expenses while away from home	4,159
Total travel expenses	$11,759

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Frank R. Wolf
(Full Name)

1453 Hunter Mill Road
Vienna, VA 22180
(Mailing Address)

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 10 State VA

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Memorex 1/14	honorarium	800.	
Magnavox 4/10	" "	1,500.	
Chinese American Society 6/21	" "	1,000.	
American Trucking Assoc. 6/26	" "	1,000.	
Steak & Ale Restaurant Corp. 7/11	" "	1,000.	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
McLean Savings & Loan	interest	A
Franklin Funds	dividends & cap. gains	B
Putnam Fund	dividends	A
Z-Seven Fund	capital gains	D
Mass. Savings Bank Life Insurance Council (spouse)	annuities	A
Equitable Life Assurance Society (spouse)	life insurance	A
U.S. Treasury (spouse)	life insurance	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Z-Seven Fund - shares	B
Putnam Fund - shares	A
12.5 acres property - Loudoun County, VA	D
Franklin Income Fund	C
Franklin Equity Fund	B

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Z-Seven shares sold	5/12/86	C
Franklin Equity Fund shares purchased	5/12/86	B
Putnam Fund shares purchased	5/12/86	B
Franklin Income Fund shares sold	8/7/86	A
Franklin Income Fund shares sold	12/8/86	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Sovran Bank - loan - personal	C
John Kalnasy - note for land	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
none	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
none		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Nat'l Christian Assoc. re: speaking engagement	round trip air fare–Wash. D.C. - Chicago IL. + one day lodging for self and spouse
Campus Crusade for Christ re: speaking engagements and conference	air fare Wash. D.C. to Amsterdam, Holland, Geneva, Switzerland to Wash. D. + eight days food and loding for self and spouse.

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Board of Directors	Tytran
Board of Directors	Telecommunications Exchange for the Deaf (spouse)

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Frank R Wolf	May 13, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

[illegible]

Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Frank R. Wolf
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*			
II. Income A. Source	The Proprietary Assoc.	10/16	honorarium	500.
	Grocery Manufacturers of America	11/25	"	1,000.
IV. Transactions				
	Franklin Income Fund shares sold	12/26		category A
	Putnam Fund shares sold	8/27		category A

GPO: 1986 61-688 (m)

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Howard E. Wolpe
(Full Name)

818 Seymour
(Mailing Address)

Lansing, MI 48917

HC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 3 State Michigan

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts, such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
University of California at Los Angeles	6/13/86	Honoraria	500.00	
American Public Transit Association	4/8/86	Honoraria	2,000.00	
Dartmouth College	11/7/86	Honoraria	198.00	
Purdue University	12/16/86	Honoraria	1,250.00	
University of Pittsburgh	12/14/86	Honoraria	1,500.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Congressional Federal Credit Union	Interest	A
Trust of Morris Wolpe	Rents & Interest	D
818 Seymour, Lansing, Michigan	Rent (net of expense)	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Frame Dwelling (Duplex) 818 Seymour, Lansing, MI	1/1/86	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Mortgage - 818 Seymour	C
Loan - Trust of Morris Wolpe	B
Loan - Zelda Wolpe	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE		BRIEF DESCRIPTION
Dartmouth College	11/17/86	Travel Reimbursement-Speaking Engagement
Purdue University	12/18/86	Travel Reimbursement-Speaking Engagement
University of Pittsburgh	12/19/86	Travel Reimbursement-Speaking Engagement

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
Pre-1978	Western Michigan University	Annuity payable at retirement based on 5½ years of service - value - Category A

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Howard J Wolpe	May 11, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987

870 0213475

Wolpe
Working Hard for Our Future

Wolpe for Congress
P.O. Box 751
Kalamazoo, Michigan 49005-0751
(616) 345-5156

Toll free from Battle Creek (616) 968-9146
Toll free from Lansing (517) 882-3090

MAY 26 1987

May 27, 1987

U.S. House of Representatives
Office of Records
1036 Longworth House Office Building
Washington, D.C. 20515

mc ✓

RE: Financial Disclosure Statement
Howard E. Wolpe.

Ladies and Gentlemen:

Please be advised of the following amendment to the disclosure statement filed by the above member May 15, 1987:

- Page 2, Part III Holdings

818 Seymour, Frame Dwelling	C
Trust of Morris Wolpe	E
Congressional Federal Credit Union	A

This amendment is due to a clerical oversite during preparation.

Thank you.

Very truly yours,

Wayne M. Deering, Treasurer

WD/mf

Wolpe for Congress Committee: Susan Eckhardt, Campaign Manager; Wayne Deering, Campaign Treasurer.
A copy of our report is filed with and available for purchase from The Federal Elections Commission, Washington, D.C.

[illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Hon. George C. Wortley, III
(Full Name)

229 Cannon House Office Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 27 State New York

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

9 / 7 7 1 2 1 1 5 3 3

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Dealer Bankers	Honorarium	1,000	3/86
M.G.T.	"	2,000	7/86
International Management & Development Institute	"	1,000	9/86
New York State	Tax Refund	1,616	
PS-58-Life Insurance		3,034	
General Chemical (Spouse)	Salary	-	
Allied Signal (Spouse)	Salary	-	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Manlius Publishing Corp.	Interest	A
Mutual of New York	"	A
Home Life Insurance Co.	" & Div.	A
Prudential Life Insurance	" "	A
Connecticut Mutual Life Insurance	" "	A
Presidential Life Annuity	" "	B
Putnam Growth Fund (See schedule A)	" "	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.** **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Schedule B	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Spouse Sale of Niagara Mohawk Stock	2/86	D

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Citicorp	B
Merchants National Bank	B
Norstar Bank	B
Chittenango State Bank	C
Chase Lincoln Bank	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NA	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NA		

3 7 1 7 1 2 1 1 3 9 1

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
Global Economic Action Institute	Transportation/Lodging, 1 night (NYC)
Morgan Guarantee Trust Co.	" " 2 nights (NYC)

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
President	Manlius Publishing Corp.
Partner	Food Service News
Advisory Board	Norstar Bank

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NA	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Wortley	May 15, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1987 [illegible]

Page 1 of 1

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Hon. George C. Wortley, III
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*		
II	Niagara Mohawk Power (Spouse)	Dividend	A
	Investors Diversified (Spouse)	"	B
III	Manlius Publishing Corp.	Stock	E
	Continental Information Services	Stock	C
	Key Corp.	Stock	A
	Putnam Growth	Stock	B
	Setinal Fund	Stock	A
	Presidential Annuity	IRA	B
	Jade Petroleum	Stock	A
	Tucker Anthony	Stock	A
	Spouse Holding:		
	Allied Signal	Stock	C
	Niagara Mohawk Power	Stock	C
	Investors Diversified	Stock	C

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

James C. Wright, Jr.
(Full Name)

H-204 Capitol Building
(Mailing Address)

Washington, D. C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 12 State Texas

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

[illegible] 7 7 [illegible] 3 2 1 1 5 9 3

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Statement attached	Honoraria	$32,650.00	$9,000 - charity
Air Force	Retired pay	6,108.12	
Spouse income - Pacific Institute, Inc.			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Statement attached		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Statement attached	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sold - Central Texas Cable TV	9/30/86	D
Sold - BMW Drilling Fund	9/30/86	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME, any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Mallightco, Inc.	C
Springhill Lake Investors Corp.	B
Republic Bank of Mineral Wells, Texas	D
Congressional Federal Credit Union	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Outdoor Advertising Association of America	Food/lodging-Palm Springs, CA
Foster & Kleiser	Food/lodging-San Diego, CA

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Partner	BMW Drilling Fund

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5/15/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 69-238 (a)

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

James C. Wright, Jr.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
II.A	Honoraria/writing fees			
	1/9	American Polygraph Association		$2,000
	1/13	The Tobacco Institute		2,000
	1/16	Gannett Outdoor Company		2,000
	1/24	Senate Leadership Seminar		2,000
	1/27	Greater Springfield Chamber of Commerce		2,000
	2/3	New York Times		150
	2/4	National Food Processors Association		2,000
	2/24	GTE Service Corp.		1,000
	2/27	Bell South, D.C. Inc. (contributed to Weatherford Library Fund)		1,000
	3/3	National Association of Community Health Centers		500
	3/14	I.P.A.C.F		2,000
	3/16	National Cable Television Association		1,500
	3/18	Federal National Mortgage Association		2,000
	3/19	National Association for Home Care		2,000
	3/27	American Vocational Association		500
	4/7	Associated Milk Producers		2,000
	6/5	Harris Corporation (contributed to First United Methodist Church)		2,000
	7/3	Outdoor Advertising Association of America (contributed to Peace Links)		2,000
	7/5	Foster & Kleiser (contributed to First United Methodist Church)		2,000
	7/25	JACL-Legis. Education Committee (contributed to Loaves & Fishes, FW)		2,000
II-B	Unearned Income			
	Grace Petroleum		Oil & gas revenue	A
	Southeastern Resources		Oil & gas revenue	E
	BMW Drilling Fund		Oil & gas revenue/sale	C
	Burning Springs Partnership		Oil & gas revenue	C
	Republic Bank of Ridglea		Interest	A
	Madison Publishing Company		Book royalties	E
	Connecticut Mutual/Liquid Acc't		Dividend	A
	Mallightco		Dividend	E
	Central Texas Cable TV		Stock sale	F
III	Holdings			
	Mallightco (investment corporation)			E
	Grace Petroleum (investor)			B
	Southeastern Resources (investor)			C
	BMW Drilling Fund (partner)			A
	Western Company of North America (stock)			A
	Van Buren, Arkansas land (57 acres)			B
	Republic Bank of Ridglea (CD)			B
	Burning Springs Partnership (investor)			B
	Lodge of Granbury (investor)			B
	Central Texas Cable Television Co (stock)			D
	Springhill Lake Investors Corp. (investor)			C
	Jewell Enterprises, Inc. (stock)			A

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

RONALD L. WYDEN
(Full Name)

1406 Longworth House Office Bldg.
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 3rd State Oregon

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XX ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Honoraria - See Attached Sheet		$22,400	
Spouse: Hospital Health Plan Corp.; Director of Marketing	Wages		

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Spouse		
Laurie Wyden Trust	Rents	E

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO XX NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Spouse - Laurie Wyden Trust:	E
Interest in:	
Oseran Investment 49%	
Foster-Oseran 21%	
Rental House - Portland, OR	D

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Mortgage Funding Corp.	E
Willamette Savings & Loan	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
See Attached Sheet	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Ronald L. Wyden	May 13, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

[illegible]

SECTION II HONORARIA 1986

Rep. Ron Wyden

DATE	ORGANIZATION	AMOUNT
1/27/86	Brownstein, Zeidman, Schomer	$ 500.00
2/24/86	National Coord Coun on Emergency Mgmnt	$ 100.00
2/25/86	Wine Institute	$1,000.00
3/13/86	Proprietary Assn.	$ 500.00
3/17/86	National Cable TV Assn.	$1,500.00
3/24/86	Congressional Quarterly	$ 300.00
3/25/86	New England Hospital Assembly	$ 900.00
4/05/86	Home Ventilator Conference	$1,000.00
4/14/86	Sisters of Providence	$1,000.00
5/09/86	Pharmacutical Manufacturers Assn.	$1,000.00
5/23/86	National Limousine Assn.	$1,000.00
5/27/86	American Dental Assn.	$1,000.00
7/05/86	American College of Intern'l Phys.	$2,000.00
8/20/86	Pfizer Speakers Program	$1,000.00
9/12/86	American Psychiatric Assn.	$ 500.00
9/14/86	National Assn. of Social Workers	$1,000.00
9/27/86	American Academy of Family Physicians	$1,000.00
10/30/86	American Jewish Congress	$1,000.00
11/04/86	Washington Post	$ 100.00
11/06/86	American Wood Preservers Institute	$1,000.00
11/15/86	American College of Physicians	$1,000.00
11/17/86	Coalition of Neuro-Science Orgs	$1,000.00
11/20/86	Health Care Conference	$1,000.00
12/09/86	MCI	$1,000.00
12/14/86	Council of Jewish Philanthropies	$1,000.00
	TOTAL AMOUNT:	$22,400.00

SECTION VII

Rep. Ron Wyden

Reimbursements aggregating $250 or more

Source	Description
American Wood Preservers Inst.	Transportation
Nat'l Assn of Social Workers	Transportation, Meals, Lodging
Pfizer Speakers Program	Transportation, Meals
AmericaVotes	Transportation
Sisters of Providence	Transportation, Meals, Lodging
New England Hospital Assembly	Transportation, Meals, Lodging

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Chalmers Pangburn Wylie
(Full Name)

2310 Rayburn House Office Building
(Mailing Address)
Washington, D. C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 15 State Ohio

☐ Officer or Employee—Employing Office ____________________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
Securities Industry Association	1/8/86	Honorarium	$2,000.	
National Association of Realtors	1/22/86	"	2,000.	
Mortgage Bankers Association	2/4/86	"	1,000.	
National Association of Home Builders	2/11/86	"	2,000.	
Associated Credit Bureaus, Inc.	2/21/86	"	1,000.	

*see Continuation Sheet

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Prudential Life Insurance Company	Interest	A
Mutual of New York	Interest	A
Certificate of Deposit -Freedom Federal	Interest	A
" " " -Ameritrust Co.,N.A.	Interest	C
258 East Jeffrey Place, Columbus, Ohio	Rent	B (net loss)
3430 Galt Ocean Drive, Ft. Lauderdale, Florida	Rent	C (net loss)

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Residence 258 East Jeffrey Place, Columbus, Ohio	C
Residence 3430 Galt Ocean Drive, Ft. Lauderdale, Florida	E
Certificate of Deposit - Freedom Federal	C
" " " - Ameritrust Co., N.A.	C

8 7 1 2 2 2 2 5 1 3

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Cit Fed Mortgage Corporation (Mortgage for rental property -Ohio)	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Associated Credit Bureaus, Inc.	Travel to Houston, Texas
National Association of Realtors	" " Reno, Nevada
Dealer Bank Association	" " Boca Raton, Florida
Professional Insurance Mass Marketing Assn (PIMA)	" " Amelia Island, Florida
National Association of Realtors	" " New York, New York
*see Continuation Sheet	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 14, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Chalmers Pangburn Wylie
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*			
IIA	Source	Type	Amount	Disposition
	Dealer Bank Association 3/13/86	Honorarium	$2,000.	
	Wayne-Holmes County Bankers Assn. 3/15/86	"	100.	
	Ashland Oil Inc. 4/28/86	"	2,000.	
	Franklinton Financial Services			
	(Bank Ohio) 6/3/86	"	200.	
	Federal Nat'l Mortgage Assn. 6/10/86	"	2,000.	
	Nat'l Assn. of Bank Women 6/5/86	"	250.	
	A. B. Laffer Associates 6/19/86	"	1,000.	
	Professional Mass Marketing			
	Association (PIMA) 6/23/86	"	1,000.	
	Ohio Bank PAC 7/15/86	"	500.	
	National Assn. of Realtors 11/8/86	"	2,000.	
	U.S. League of SavingsInstit. 11/10/86	"	2,000.	
	Federal Nat'l Mortgage Assn. 11/25/86	"	2,000.	
	White Castle PAC	"	250.	
	Charitable Contributions:			
IIB	St. Peter's Catholic Church		300.	
	North Broadway United Methodist Chruch		260.	
	RMH Foundation, Inc.		50.	
	Aladdin Temple		38.	
	Matrix		35.	
	Hosack Baptist Church		35.	
	Father William McEwan		25.	
	Candy Cane Charity Ball		25.	
	MADD		20.	
	Greek Orthodox Church		20.	
	St. Mary's Boosters		12.50	
	St. Patrick's Church		10.	
	St. Paul AME Church		10.	
	Gold Coast Chapter Retarded Children		10.	
VII	Reimbursements			
	Source	Brief Description		
	U.S. League of Savings Institutions	Travel to San Francisco, California		

[illegible]

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

SIDNEY R. YATES
(Full Name)

2234 Rayburn House Office Building
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 9th State Illinois

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
NONE			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Combined International	Dividend	A
Hubbell, Inc.	Dividend	B
IBM	Dividend	B
Lincoln County Dates	Daily Puts	B
Harris County Dates	Daily Puts	C
		continued

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO X ______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Combined International Co. of America, common	B
Hubbell Corp. Class B common	C
IBM, common	C
Lehman Corp. common	A
Wang Labs. Class B common	A
	continued

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION		DATE	CATEGORY
Florence, SC PHA	bought	3-10-86	C
Newark, NJ PHA	bought	3-31-86	C
South Bend, IN PHA	bought	4-02-86	C
			continued

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

8 7 1 7 1 2 1 3 4 2 2

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
	see Real Estate Partnerships

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Sidney R. Yates	5/12/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

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ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

SIDNEY R. YATES
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)				
II.B.	UNEARNED INCOME - continued			Type	Category
	Erie County, PA Bond	due	1-01-86	Interest	B
	Salt River, AZ Bond	"	1-01-86	Interest	C
	City of Chicago, IL Bond	"	1-01-86	Interest	A
	University of Illinois, IL Bond	"	4-01-86	Interest	B
	Austin, TX Bond	"	4-01-86	Interest	A
	Dade County, FL PHA	"	5-01-86	Interest	A
	Burlington, IA Bond	"	6-01-86	Interest	B
	Platte River, CO Bond	"	6-01-86	Interest	B
	Anchorage, AK Bond	"	7-01-86	Interest	C
	Philadelphia, PA Bond	"	7-01-86	Interest	C
	South Carolina, SC Bond	"	7-01-86	Interest	B
	Norfolk, VA PHA	"	8-01-86	Interest	A
	Bluefield, WV PHA	"	10-01-86	Interest	B
	Genesee, CO Bond	"	12-01-86	Interest	B
	Wells County, IN Bond	"	4-01-87	Interest	C
	Newark, NJ PHA	"	4-01-87	Interest	B
	Uinta County, WY Bond	"	6-01-87	Interest	B
	Tyler, TX Bond	"	7-01-87	Interest	C
	South Bend, IN PHA	"	8-01-87	Interest	A
	Chicago, IL PHA	"	6-01-87	Interest	B
	Norfolk, VA PHA	"	10-01-88	Interest	B
	Johnstown, PA PHA	"	11-01-88	Interest	A
	Oklahoma City, OK PHA	"	5-01-89	Interest	A
	State of Texas Bond	"	6-01-89	Interest	A
	El Paso, TX Bond	"	12-01-89	Interest	C
	Owensboro, KY Bond	"	1-01-90	Interest	B
	State of Alaska Bond	"	4-01-90	Interest	B
	Monroe, LA PHA	"	6-01-90	Interest	B
	State of North Carolina Bond	"	1-01-91	Interest	B
	Hartford, CT Bond	"	3-01-91	Interest	A
	Reno, NV Bond	"	7-01-91	Interest	C
	Honolulu, HI Bond	"	10-01-91	Interest	D
	Charlotte, NC PHA	"	2-01-88	Interest	A
	Santa Fe, NM PHA	"	4-01-88	Interest	A
	Paducah, KY PHA	"	3-01-89	Interest	A
	Florence, SC PHA	"	8-01-89	Interest	B
	Newark, NJ PHA	"	4-01-90	Interest	A
	Boaz, AL PHA	"	6-01-90	Interest	A
	Tulsa, OK PHA	"	5-01-91	Interest	A
	Galveston, TX PHA	"	10-01-91	Interest	B
	Kinston, NC PHA	"	12-01-91	Interest	A
	Decatur, AL PHA	"	3-01-92	Interest	A
	Minneapolis, MN PHA	"	9-01-92	Interest	A
	South Bend, IN PHA	"	8-01-93	Interest	A
	Hot Springs, AR PHA	"	6-01-88	Interest	A
	Oklahoma City, OK PHA	"	5-01-92	Interest	A
	Birmingham, AL	"	5-01-90	Interest	A
	North Slope Boro, AK Bond	"	3-10-86	Interest	C
	North Slope Boro, AK Bond	"	6-10-87	Interest	D
	Albuquerque, NM Bond	"	7-01-87	Interest	D
	Riverdale, MD Bond	"	9-01-87	Interest	D
	Intermountain, UT Bond	"	1-01-92	Interest	D
	Detroit, MI Bond	"	6-01-99	Interest	D
	State of Illinois Bond	"	10-01-05	Interest	B
	Harvard, MA Bond	"	7-01-11	Interest	D
	Uinta County, WY Bond	"	12-01-12	Interest	B
	Waco, TX PHA	"	12-01-01	Interest	D
				continued	

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ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

SIDNEY R. YATES
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II.B.	UNEARNED INCOME - continued	Type	Category
	Vanguard Muni Bond Fund, Money Market	Interest	D
	Vanguard Muni Bond Fund, Insured Long	Interest	D
	Vanguard Muni Bond Fund, High Yield	Interest	D
	Vanguard Muni Bond Fund, Intermediate	Interest	E
	USAA Muni Bond Fund, Intermediate	Interest	B
	Bank Accounts	Interest	B
	Dixie Associates	Partnership Distribution	F
	Den View	Partnership Distribution	E
	University Park	Partnership Distribution	B
	Watson & Taylor Ltd. I	Partnership Distribution	B
	Uinta County, WY Bond called 5-06-86	Capital Gain	B
	Harvard, MA Bond called 7-01-86	Capital Gain	B
	Norfolk, VA PHA due 8-01-86	Capital Gain	A
	Marmon Group	Capital Gain	A
	Vanguard Muni Bond Fund, Insured Long	Capital Gain	E
	Vanguard Muni Bond Fund, High Yield	Capital Gain	E
	Vanguard Muni Bond Fund, Intermediate	Capital Gain	A
	Social Security	Pension	D

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ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

SIDNEY R. YATES
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
III.	HOLDINGS - continued			Category
	Erie County, PA Bond	due	1-01-86	C
	Salt River, AZ Bond	due	1-01-86	C
	City of Chicago, IL Bond	due	1-01-86	A
	University of Illinois Bond	due	4-01-86	D
	Austin, TX Bond	due	4-01-86	A
	Dade County, FL PHA	due	5-01-86	A
	Burlington, IA Bond	due	6-01-86	C
	Platte River, CO Bond	due	6-01-86	C
	Anchorage, AK Bond	due	7-01-86	C
	Philadelphia, PA Bond	due	7-01-86	C
	South Carolina Bond	due	8-01-86	C
	Norfolk, VA PHA	due	8-01-86	B
	Bluefield, WV PHA	due	10-01-86	C
	Genesee, CO Bond	due	12-01-86	C
	Wells County, IN Bond	due	4-01-87	C
	Newark, NJ PHA	due	4-01-87	C
	Uinta County, WY Bond	due	6-01-87	C
	Tyler, TX Bond	due	7-01-87	C
	South Bend, IN PHA	due	8-01-87	A
	Chicago, IL PHA	due	5-01-87	C
	Norfolk, VA PHA	due	10-01-88	C
	Johnstown, PA PHA	due	11-01-88	C
	Oklahoma City, OK PHA	due	5-01-89	B
	State of Texas Bond	due	6-01-89	B
	El Paso, TX Bond	due	12-01-89	C
	Owensboro, KY Bond	due	1-01-90	C
	State of Alaska Bond	due	4-01-90	C
	Monroe, LA PHA	due	6-01-90	D
	State of North Carolina Bond	due	1-01-91	B
	Hartford, CT Bond	due	3-01-91	B
	Reno, NV Bond	due	7-01-91	C
	Honolulu, HI Bond	due	10-01-91	D
	Springfield, IL PHA	due	12-01-91	C
	Cincinnati, OH PHA	due	7-01-87	C
	Charlotte, NC PHA	due	2-01-88	C
	Santa Fe, NM PHA	due	4-01-88	C
	Cincinnati, OH PHA	due	7-01-88	B
	Paducah, KY PHA	due	3-01-89	D
	Florence, SC PHA	due	8-01-89	C
	San Francisco, CA PHA	due	8-01-90	B
	Hoboken, NJ PHA	due	9-01-89	B
	Newark, NJ PHA	due	4-01-90	C
	Boaz, AL PHA	due	6-01-90	C
	Tulsa, OK PHA	due	5-01-91	C
	Galveston, TX PHA	due	10-01-91	D
	Kinston, NC PHA	due	12-01-91	C
	Decatur, AL PHA	due	3-01-92	C
	Minneapolis, MN PHA	due	9-01-92	C
	South Bend, IN PHA	due	8-01-93	C
	Hot Springs, AR PHA	due	6-01-88	C
	Oklahoma City, OK PHA	due	5-01-92	C
	Los Angeles, CA PHA	due	11-01-88	B
	Birmingham, AL PHA	due	5-01-90	C
	Chicago, IL PHA	due	6-01-91	C
	North Slope Boro, AK Bond	due	3-10-86	D
	North Slope Boro, AK Bond	due	6-10-87	D
	Albuquerque, NM Bond	due	7-01-87	D
			continued	

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ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

SIDNEY R. YATES
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		Category
III.	HOLDINGS - continued		
	Riverdale, MD Bond	due 9-01-87	D
	Intermountain, UT Bond	due 1-01-92	D
	Detroit, MI Bond	due 6-01-99	D
	State of Illinois Bond	due 10-01-05	B
	Harvard, MA Bond	due 7-01-11	E
	Uinta County, WY Bond	due 12-01-12	C
	Waco, TX PHA	due 12-01-01	D
	Los Angeles, CA PHA	due 11-01-88	B
	Cook County, IL PHA	due 4-01-89	C
	Philadelphia, PA PHA	due 4-01-89	C
	Hoboken, NJ PHA	due 9-01-89	B
	Baltimore, MD PHA	due 7-01-90	C
	San Francisco, CA PHA	due 8-01-90	B
	Steubenville, OH PHA	due 1-01-91	C
	Chicago, IL PHA	due 6-01-91	C
	Joliet County, IL PHA	due 7-01-91	C
	Springfield, IL PHA	due 12-01-91	C
	Jacksonville, FL PHA	due 2-01-92	B
	Cook County, IL PHA	due 4-01-92	C
	Kansas City, MO	due 12-01-92	B
	Lincoln County Dates - Daily Puts		D
	Harris County Dates - Daily Puts		D
	Vanguard Muni Bond Fund, Money Market		F
	Vanguard Muni Bond Fund, Insured Long		F
	Vanguard Muni Bond Fund, High Yield		D
	Vanguard Muni Bond Fund, Intermediate		F
	USAA Muni Bond Fund, Intermediate		E
	Real Estate Partnerships:		
	Den View Lake Shore Drive-in, Outdoor movie 15 acres, 1701 Sheridan Blvd. Lakewood, CO.		C
	Two Shopping Centers: a) Allied Stores Shopping Center, 19.539 acres Shelbyville Waterson Expressway St. Mathews, KY. b) K-Mart Shopping Plaza, 20.10 acres Dixie Highway, Upper Hunters Trace, KY.		F
	University Park, Apartment Building 1517 Secor, Toledo, OH.		C
	Prudential-Bache/Watson & Taylor, Ltd.-I Development and operation of warehouse facilities		C
	Civil Service Pension Fund		E
	Bank Accounts		B

As short term obligations matured, funds received were reemployed in most instances for similar short term obligations.

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ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

SIDNEY R. YATES
(Name)

Continuation Sheet

Part

Source, Type, Amount, Category, Description or Value (As Applicable)

IV.	TRANSACTIONS - continued		Date	Category
	Birmingham, AL PHA	bought	5-06-86	C
	Minneapolis, MN PHA	bought	5-09-86	C
	Cincinnati, OH PHA	bought	6-13-86	B
	Santa Fe, NM PHA	bought	6-13-86	C
	Charlotte, NC PHA	bought	6-13-86	C
	Hoboken, NJ PHA	bought	6-13-86	B
	Cincinnati, OH PHA	bought	6-13-86	C
	Galveston, TX PHA	bought	7-02-86	D
	San Francisco, CA PHA	bought	7-02-86	B
	Baltimore, MD PHA	bought	7-02-86	C
	Kinston, NC PHA	bought	7-11-86	C
	Decatur, AL PHA	bought	7-11-86	C
	Paducah, KY PHA	bought	7-11-86	D
	Steubenville, OH PHA	bought	8-20-86	C
	Boaz, AL PHA	bought	8-27-86	C
	Tulsa, OK PHA	bought	9-03-86	C
	Kansas City, MO PHA	bought	10-02-86	B
	Hot Springs, AR PHA	bought	10-02-86	C
	Oklahoma City, OK PHA	bought	10-03-86	C
	Philadelphia, PA PHA	bought	10-03-86	C
	Cook County, IL PHA	bought	10-08-86	C
	Los Angeles, CA PHA	bought	10-14-86	B
	Chicago, IL PHA	bought	10-20-86	C
	Springfield, IL PHA	bought	10-21-86	C
	Joliet, IL PHA	bought	11-20-86	C
	Jacksonville, FL PHA	bought	12-03-86	B
	Cook County, IL PHA	bought	12-03-86	C
	Chicago, IL Bond	sold	1-01-86	A
	Salt River, AZ Bond	sold	1-01-86	C
	Erie County, PA Bond	sold	1-01-86	C
	North Slope Boro, AK Bond	sold	3-10-86	D
	Austin, TX Bond	sold	4-01-86	A
	University of Illinois, IL Bond	sold	4-01-86	D
	Dade County, FL PHA	sold	5-01-86	A
	Uinta County, WY Bond	sold	5-06-86	C
	Burlington, IA Bond	sold	6-01-86	C
	Platte River, CO Bond	sold	6-01-86	C
	South Carolina, SC Bond	sold	7-01-86	C
	Anchorage, AK Bond	sold	7-01-86	C
	Philadelphia, PA Bond	sold	7-01-86	C
	Harvard, MA Bond	sold	7-01-86	E
	Norfolk, VA PHA	sold	8-01-86	B
	Bluefield, WV Bond	sold	10-01-86	C
	State of Illinois Bond	sold	10-01-86	B
	Genesee, CO Bond	sold	12-01-86	C
	Lincoln County Dates- Daily Puts	sold	4-23-86	D
	Harris County Dates - Daily Puts	sold	10-06-86	D
	Vanguard Muni Bond Fund Insured Long	sold	7-11-86	F
	Vanguard Muni Bond Fund High Yield	sold	7-11-86	D
	Partnership:			
	Den View Lake Shore Drive-in	sold	7-22-86	C
	(law suit pending for additional compensation)			

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

ADELINE H. YATES
(Full Name)

2234 Rayburn House Office Building
(Mailing Address)

Washington, D.C. 20515

(Office Use Only)

Check the appropriate box and fill in the blanks.

☐ Member of the U.S. House of Representatives—District ______ State ______________

☐ Officer or Employee—Employing Office ______________________________

☐ Check if amended Statement.

X Spouse

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION".
IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
NONE			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Acorn Fund common	Dividend	A
Atlantic Richfield, 2.8 cum. pref.	Dividend	A
Capitol Bank common	Dividend	A
Coca Cola common	Dividend	A
Ford Motor common	Dividend	A

continued

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.**
IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Acorn Fund common	C
Atlantic Richfield 2.8 cum. pref.	C
Capitol Bank of Chicago common	A
Coca Cola common	C
Ford Motor common	C

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION		DATE	CATEGORY
Baltimore, MD PHA	bought	7-02-86	C
El Paso, TX PHA	bought	7-02-86	C
Decatur, AL PHA	bought	7-11-86	C
Tacoma, WA PHA	bought	8-04-86	B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIREGTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
	see Real Estate Partnerships

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Sidney R. Yates	5/12/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

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Page 5 of 8

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

ADELINE H. YATES
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
II.B.	UNEARNED INCOME - continued		Type	Category
	Louisiana General common		Dividend	A
	Mid-Con Corp. common		Dividend	A
	Occidental Petroleum common		Dividend	A
	Peoples Energy common		Dividend	A
	TRW common		Dividend	A
	Transamerica common		Dividend	A
	Bank Accounts		Interest	C
	Social Security		Pension	C
	Chicago, IL PHA	due 1-01-86	Interest	A
	State of Wisconsin Bond	due 4-15-86	Interest	A
	Dade County, FL Bond	due 6-01-86	Interest	A
	Flint, MI Bond	due 7-01-88	Interest	C
	State of New York Bond	due 11-01-88	Interest	B
	Chicago, IL PHA	due 6-01-89	Interest	B
	Rome, GA PHA	due 11-01-89	Interest	B
	Jacksonville, FL PHA	due 2-01-90	Interest	B
	Gainesville, FL PHA	due 2-01-90	Interest	A
	Atlanta, GA PHA	due 5-01-90	Interest	B
	Chicago, IL PHA	due 6-01-91	Interest	A
	Bridgeport, CT PHA	due 8-01-93	Interest	A
	Pinellas County, FL PHA	due 11-01-93	Interest	A
	Salt River, AZ PHA	due 1-01-94	Interest	A
	Phoenix, AZ PHA	due 8-01-98	Interest	A
	State of New York Bond	due 11-01-03	Interest	B
	Savannah, GA PHA	due 4-01-88	Interest	A
	Tacoma, WA PHA	due 6-01-90	Interest	A
	New York City, N.Y PHA	due 6-01-90	Interest	A
	Los Angeles, CA PHA	due 11-01-90	Interest	A
	Decatur, AL PHA	due 3-01-92	Interest	A
	Tuscaloosa, AL PHA	due 3-01-87	Interest	B
	State of Illinois Bond	due 5-01-95	Interest	B
	Minneapolis, MN PHA	due 1-01-01	Interest	C
	Yolo County, CA PHA	due 6-01-02	Interest	A
	State of Illinois Bond	due 10-01-05	Interest	B
	Harvard, MA Bond	due 7-01-11	Interest	D
	Clark County, NV Bond	due 7-01-12	Interest	D
	Uinta County, CA Bond	due 12-01-12	Interest	A
	Intermountain, UT Bond	due 7-01-15	Interest	D
	Albuquerque, NM Bond	due 7-01-87	Interest	D
	Vanguard Muni Bond Fund, Money Market		Interest	B
	Vanguard Muni Bond Fund, Intermediate		Interest	B
	Vanguard Muni Bond Fund, High Yield		Interest	A
	State of Wisconsin Bond	due 4-15-86	Capital Gain	A
	Uinta County, CA Bond	due 5-06-86	Capital Gain	A
	Harvard, MA Bond	due 7-01-86	Capital Gain	A
	Acorn Fund		Capital Gain	D
	Mid-Con Corp		Capital Gain	D
	Alpha I		Partnership Distribution	B
	Den View		Partnership Distribution	G
	Palm Springs Spa		Partnership Distribution	B

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Page 6 of 8

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

ADELINE H. YATES
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*		
III.	HOLDINGS - continued		Category
	Great American Management Corp. common		A
	Louisiana General Services common		A
	Mid-Con Corp. common		C
	Peoples Energy common		B
	TRW common		A
	TRW 4.4 pref.		A
	Transamerica common		A
	Toreador Royalty Corp. common		A
	Unicoa Corp. common		B
	Occidental Petroleum Corp. common		B
	Chicago, IL PHA	due 1-01-86	B
	State of Wisconsin Bond	due 4-15-86	C
	Dade County, FL Bond	due 6-01-86	B
	Flint, MI Bond	due 7-01-88	C
	State of New York Bond	due 11-01-88	C
	Chicago, IL PHA	due 6-01-89	C
	Rome, GA PHA	due 11-01-89	C
	Jacksonville, FL PHA	due 2-01-90	C
	Gainesville, FL PHA	due 2-01-90	B
	Atlanta, GA PHA	due 5-01-90	C
	Chicago, IL PHA	due 6-01-91	B
	Bridgeport, CT PHA	due 8-01-93	B
	Pinellas County, FL PHA	due 11-01-93	B
	Salt River, AZ PHA	due 1-01-94	A
	Phoenix, AZ PHA	due 8-01-98	B
	State of New York Bond	due 11-01-03	C
	Savannah, GA PHA	due 4-01-88	C
	Navajo Indians, AZ PHA	due 9-01-89	B
	Johnson County, IL PHA	due 4-01-90	B
	Tacoma, WA PHA	due 6-01-90	B
	New York City, NY PHA	due 6-01-90	B
	Los Angeles, CA PHA	due 11-01-90	C
	Decatur, AL PHA	due 3-01-92	C
	Chicago, IL PHA	due 6-01-91	C
	Tuscaloosa, AL PHA	due 3-01-87	C
	State of Illinois Bond	due 5-01-95	C
	Minneapolis, MN PHA	due 1-01-01	D
	Yolo County, CA PHA	due 6-01-02	B
	State of Illinois Bond	due 10-01-05	C
	Harvard, MA Bond	due 7-01-11	E
	Clark County, NV Bond	due 7-01-12	C
	Uinta County, CA Bond	due 12-01-12	C
	Intermountain, UT Bond	due 7-01-15	D
	Albuquerque, NM PHA	due 7-01-87	B
	Baltimore, MD PHA	due 7-01-90	C
	El Paso, TX PHA	due 7-01-90	C
	Americus, GA PHA	due 8-01-88	D
	Steubenville, OH PHA	due 1-01-89	C
	Vanguard Muni Bond Fund, Money Market		E
	Vanguard Muni Bond Fund, Intermediate		D
	Vanguard Muni Bond Fund, High Yield		A
	Real Estate Partnerships:		
	Alpha I Theatre Partnership, Motion Picture Theatre, Louisville, Kentucky		B
	Palm Springs Properties, Spa, Indian Avenue and Tahquitz Drive, Palm Springs, California		B

continued

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ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

ADELINE H. YATES
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
III.	HOLDINGS - continued	Category
	Real Estate Partnerships:	
	Den View Lake Shore Drive-in Theatre, 15 acres	
	1701 Sheridan Boulevard, Lakewood, Colorado	E
	Bank Accounts	C
	As short term obligations matured, funds received were reemployed in most instances for similar short term obligations.	

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ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

ADELINE H. YATES
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
IV.	TRANSACTIONS - continued		Date	Category
	Americus, GA. PHA	bought	8-04-86	D
	Los Angeles, CA PHA	bought	8-05-86	C
	Navajo Indians, AZ PHA	bought	8-05-86	B
	Johnson County, IL PHA	bought	8-06-86	B
	Savannah, GA PHA	bought	8-12-36	C
	New York City, NY PHA	bought	8-13-86	B
	Steubenville, OH PHA	bought	8-22-86	C
	Chicago, IL PHA	bought	10-20-86	C
	Chicago, IL Bond	sold	1-01-86	B
	State of Wisconsin Bond	sold	4-15-86	C
	Uinta County, WY Bond	sold	5-06-86	C
	Dade County, FL Bond	sold	6-01-86	B
	Harvard, MA Bond	sold	7-01-86	E
	State of Illinois Bond	sold	10-01-86	C
	Vanguard Muni Bond Fund			
	High Yield	sold	7-11-86	A
	Great American Management common	sold	7-15-86	A
	Louisiana General Services "	sold	7-15-86	A
	Mid-Con Corp. common	sold	1-07-86	B
	Exchange: 108 shares of Mid-Con Corp.			
	for 242 shares of Occidental			
	Petroleum Corp.		4-22-86	C
	Coca Cola 3 for 1 stock-split 320 shares		7-01-86	B
	Ford Motor 3 for 2 stock-split 93 shares		6-02-86	B
	Acorn Fund 160 shares stock dividend		12-16-86	B
	Real Estate Partnership:			
	Den View Lake Shore Drive-in Theatre			
	15 acres			
	1701 Sheridan Boulevard, Lakewood, CO			
		sold	3-13-86	E
	(law suit pending for additional compensation)			

HAND DELIVERED 2 3 2 7 2 2 0 3 1 7 1

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Gus Yatron
(Full Name)

1908 Hessian Road
(Mailing Address)

Reading, Pennsylvania 19602-1504

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 6th State PA

☐ Officer or Employee—Employing Office __________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO ____ NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
3/20/86 Smith-Kline-Beckman	Honorarium	$500.00	None
10/2/86 Sperry Corporation	"	$2000.00	None
Commonwealth of Pennsylvania	Pension	$6549.60	None

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
American Bank-Meridan	Interest	C
New York Life	"	A
Citizens Bank & Trust Of Md	"	B
National Bank of Boyertown	"	A
Great Valley Savings Association	"	A
Prudential	"	A
Chase Bank of Md	"	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.** **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO X ______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
SAvings Bonds	E
Gold Maple Leafs - Canadian Coins	B
Savings Account	C
Money Market Certificates	D
Stock - El Dorado Systems (Spouse)	A
Stock - Consolidated Gold StandardResources Inc.	B

[illegible] 1 7 3

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Purchase of Consolidated Gold Standard Resources Inc.- Common Stock (Spouse)	9/86	B
Purchase of House Reading, PA - 24 Muirfield Drive	5/2/86	D
Purchase of House - 139 N. 8th St., Reading, PA	1/4/86	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Penn Mortgage Company	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
Puerto Rico Foundation (round trip from Wash. DC)	3/20-3/24 - Four Days Lodging & & Meals in San Juan to attend Conference including airfare
Pacific Community Institute (round trip from Wash. DC)	12/11-20 Lodging, Airfare to Taiwan, Korea, & Thailand to attend Conferences

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Gus Yatron	5/14/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Gus Yatron
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
III	House - 24 Muirfield Drive, Reading, PA - D
	House - 139 N. 8th St., Reading, PA - C

GPO: 1986 41-888 (m)

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

Gus Yatron
(Full Name)

1908 Hessian Road
(Mailing Address)

Reading, Pennsylvania 19602-1504

✓ MC (Office Use Only)

Check the appropriate box and fill in the blanks.

XX☐ Member of the U.S. House of Representatives—District 6th State PA

☐ Officer or Employee—Employing Office ______

XX☐ Check if amended Statement

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
3/20/86	Smith-Kline-Beckman	Honorarium	$500.00	
10/2/86	Sperry Corporation	"	$2000.00	
	Commonwealth of Pennsylvania	Pension	$6549.60	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
American Bank-Meridan	Interest	C
New York Life	"	A
Citizens Bank & Trust of Md	"	B
National Bank of Boyertown	"	A
Great Valley Savings Association	"	A
Prudential	"	A
Chase Bank of Md	"	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO _____ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Savings Bonds	E
Gold Maple Leafs - Canadian Coins	B
Savings Account - American Bank-Meridan	C
Money Market Certificates - Chase Bank of Md	D
Stock - El Dorado Systems (Spouse)	A
Stock - Consolidated Gold Standard Resources Inc. (Spouse)	B

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Purchase of Consolidated Gold Standard Resources Inc.- Common Stock (Spouse)	9/86	B
Purchase of House - Reading, PA - 24 Muirfield Drive	3/2/86	D
Purchase of House - 139 N. 8th Street, Reading, PA	1/4/86	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Penn Mortgage Company - Mortgage of House - 24 Muirfield Drive Reading, PA	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

[illegible]

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986

SOURCE	BRIEF DESCRIPTION
Puerto Rico Foundation (round trip from Washington, DC)	3/20-3/24 Airfare & Lodging in San Juan to attend conference
Pacific Community Institute(round trip from Washington, DC)	12/11 - 12/20 Lodging, Airfare to Taiwan, Korea, & Thailand to attend conferences

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Gus Yatron	5/14/87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Gus Yatron (Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*
III	House - 24 Muirfield Drive, Reading, PA - D
	House - 139 N.8th Str., Reading, PA -C

GPO 1986 61-089 (m)

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A—For use by Members, officers, and employees

C. W. BILL YOUNG
(Full Name)

2407 RAYBURN HOUSE OFFICE BUILDING
(Mailing Address)

WASHINGTON, D. C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 8 State FLORIDA

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting **honoraria**, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
SEE CONTINUATION SHEET			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
RAYMOND D. FRENCH	INTEREST FROM MORTGAGE	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
MORTGAGE - RAYMOND D. FRENCH	C
WRIGHT/PATMAN CREDIT UNION - SAVINGS	B

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
STOCK - RUTLAND BANK	7-9-86	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
FIRST FLORIDA BANK	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
SEE CONTINUATION SHEET	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	13 MAY 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

C. W. Bill Young
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*	
II-A	Honoraria:	
	Rockwell, Anehiem, Ca. speaking engagement, 1-6-86	$1,000
	Honeywell, West Covina, Ca. speaking engagement, 1-7-86	$1,000
	Gould, Elmonte, Ca. speaking engagement, 1-8-86	$1,000
	Cubic, San Diego, Ca.speaking engagement, 1-13-86	$2,000
	Douglas Aircraft, Long Beach, Ca. speaking engagement, 1-17-86	$1,000
	Martin Marietta, Denver, Col. speaking engagement, 1-17-86	$1,000
	Boeing, Wichita, Kansas speaking engagement 1-21-86	$2,000
	General Electric, Valley Forge, Pa. speaking engagement, 1-27-86	$2,000
	Northrop, Los Angeles, Ca. speaking engagement, 1-28-86	$2,000
	American Polygraph Association, Denver, Col. speaking engagement, 1-28-86	$2,000
	Bell, Dallas,Texas speaking engagement 1-28-68	$1,500
	TRW, Colorado Springs, Col. speaking engagement, 1-30-86	$1,000
	AT&T, Greensboro, N.C. speaking engagement 1-30-86	$1,000
	LTV, Dallas,Texas speaking engagement 1-30-86	$1,500
	Sperry, Washington, D.C. speaking engagement 2-5-86	$2,000
	Lockheed, Burbank, Ca. speaking engagement 2-5-86	$2,000
	National Retail Merchants Association Washington, D.C. speaking engagement	$1,000
	Note: $2,500 of honoraria earned in 1986 was donated to charitable organizations	
VII	Appropriate travel, food, lodging, were provided for above speaking engagements by the sponsoring associations or corporations. In the case of the speaking engagement at Boeing Wichita, Kansas, travel, food, lodging were provided to Congressman Young and his wife.	

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT FOR 1986

FORM A–For use by Members, officers, and employees

DON YOUNG
(Full Name)

2331 RAYBURN HOUSE OFFICE BUILDING
(Mailing Address)

WASHINGTON, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

XX Member of the U.S. House of Representatives–District A-L State ALASKA

☐ Officer or Employee–Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1987 must file a Financial Disclosure Statement on or before May 15, 1987.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of January 1, 1986, for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an officer or employee on May 15, 1987, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $63,135, as of May 15, 1987.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1986 shall file a Financial Disclosure Statement on or before May 15, 1987, if he or she continues to be such an employee on May 15, 1987.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1986 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X______ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1986 LIMIT FOR MEMBERS IS $22,530. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
HONORARIA - SEE ATTACHED SCHEDULE			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1986. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
PUTNAM GROWTH FUND	DIVIDEND	B
ALASKA BANK OF THE NORTH	INTEREST	A
STATE FARM INSURANCE COMPANY	INTEREST	A
ALASKA PERMANENT FUND	DIVIDEND	A
NEW YORK LIFE INSURANCE CO.	INTEREST	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1986, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
PUTNAM GROWTH FUND	C
ALASKA BANK OF THE NORTH	B
STATE FARM LIFE INSURANCE CO.	B
WRIGHT PATTMAN CONG. FEDERAL CREDIT UNION (IRA)	B
WRIGHT PATTMAN CONG. FEDERAL CREDIT UNION (SEP)	B
NEW YORK LIFE INSURANCE CO.	B

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1986, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
PUTNAM GROWTH FUND	QUARTERLY	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1986, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
WRIGHT PATTMAN CONG. FEDERAL CREDIT UNION	B
UNITED VIRGINIA BANK	B
NATIONAL BANK OF ALASKA	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
CROWLEY MARITIME, SAN FRANCISCO	AIR FARE
PACIFIC TELECOM, VANCOUVER, WASHINGTON	AIR FARE

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

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POSITION	NAME OF ORGANIZATION
NONE	

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GENERAL GUIDELINES:

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DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

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Signature Don Young	Date 5/14/1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1987 [illegible]

CONGRESSMAN DON YOUNG, HONORARIA, 1986

1/31/86	BROWN & ROOT	SPEECH	NEW IBERIA	750.00
1/31/86	ASHLAND OIL	SPEECH	MD. & D.C.	200.00
2/6/86	MARK AIR	SPEECH	ANCHORAGE	1250.00
2/6/86	AII	SPEECH	ANCHORAGE	1250.00
2/ /86	MONSANTO	SPEECH	ST. LOUIS	2000.00
2/ /86	GCI	SPEECH	ANCHORAGE	2000.00
4/16/86	WRANGELL LUMBER (SEELEY)	SPEECH	SITKA	300.00
4/16/86	MITKOFF LUMBER	SPEECH	SITKA	100.00
4/15/86	ALASKA PULP CORP.	SPEECH	SITKA	300.00
4/14/86	SEALAND	SPEECH	NEW JERSEY	2000.00
4/ /86	ASRC	SPEECH	SEATTLE	1500.00
4 OR 5/86	MOORE MCCORMICK	SPEECH		1000.00
5/13/86	MATTHEW THORNTON	SPEECH	D.C.	1000.00
5/22/86	CROWLEY MARITIME	AIR FARE, D.C. TO S.F. & RET.(2017.09)		
5/22/86	NAVY LEAGUE/PROPELLER CLUB,	SPEECH,	S.F.	500.00
6/13/86	C.P. NATIONAL	SPEECH	WALNUT CREEK	2000.00
6/16/86	PACIFIC TELECOM	SPEECH (AIR FARE PAID, 1354.00)	VANCOUVER,WASH.	2000.00
6/16/86	CAROON BLACK	SPEECH	SEATTLE	2000.00
6/16/86	MARITIME ALLIANCE	SPEECH	SEATTLE	2000.00
6/23/86	A.M.O.S.	SPEECH	D.C.	1500.00
10/18/86	AMERICAN FEDERATION OF NATIVES,	SPEECH, GIFT		600.00
			TOTAL	=24,250.00
			MINUS DONATIONS	-1,720.00
			TOTAL	=22,530.00

DONATIONS TO NON-PROFIT ORGANIZATIONS:

500.00	KJNP - DONATION
50.00	KJNP - DONATION
570.00	LARRY SMITH TRUST FUND
600.00	RETURN OF GIFT FROM ALASKA FEDERATION OF NATIVES

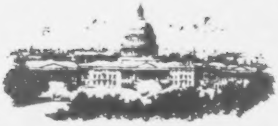
1720.00 = TOTAL DONATIONS

INSIDE MAIL

DON YOUNG
Congressman for All Alaska

WASHINGTON OFFICE
2331 Rayburn Building
Telephone 202/225-5785

COMMITTEES:
INTERIOR AND INSULAR AFFAIRS
MERCHANT MARINE AND FISHERIES
POST OFFICE AND CIVIL SERVICE



Congress of the United States
House of Representatives
Washington, D.C. 20515

DISTRICT OFFICES
701 C Street, Box 3
Anchorage, Alaska 99513
Telephone 907/271-5978

Box 10, 101 12th Avenue
Fairbanks, Alaska 99701
Telephone 907/456-0210

401 Federal Building
P.O. Box 1247
Juneau, Alaska 99802
Telephone 907/586-7400

501 Federal Building
Ketchikan, Alaska 99902
Telephone 907/225-6880

Rt. 1, Box 1605
Kenai, Alaska 99611

Box 177
Kodiak, Alaska 99615

P.O. Box 1880
Nome, Alaska 99762

MAY 21, 1987

✓
MC

Hon. Donnald K. Anderson
Clerk,
U.S. House of Representatives
H-105 Capitol

Dear Mr. Anderson,

I would like to amend my 1986 Financial Disclosure Statement by inserting the attached corrected Honoraria for 1986 to the statement.

Thank you very much for your assistance.

Best wishes,

Sincerely,

DON YOUNG
Congressman for All Alaska

Attachment: As stated above

DY:eev

CONGRESSMAN DON YOUNG, HONORARIA, 1986

1/31/86	BROWN & ROOT	SPEECH	NEW IBERIA	750.00
1/31/86	ASHLAND OIL	SPEECH	MD. & D.C.	200.00
2/6/86	MARK AIR	SPEECH	ANCHORAGE	1250.00
2/6/86	AK. INT'L. IND.	SPEECH	ANCHORAGE	1250.00
2/21/86	MONSANTO	SPEECH	ST. LOUIS	2000.00
2/13/86	GCI	SPEECH	ANCHORAGE	2000.00
4/16/86	WRANGELL LUMBER (SEELEY)	SPEECH	SITKA	300.00
4/16/86	MITKOFF LUMBER	SPEECH	SITKA	100.00
4/15/86	ALASKA PULP CORP.	SPEECH	SITKA	300.00
4/14/86	SEALAND	SPEECH	NEW JERSEY	2000.00
4/4/86	ASRC	SPEECH	SEATTLE	1500.00
4/18/86	MOORE MCCORMICK	SPEECH	CONN.	1000.00
5/13/86	MATTHEW THORNTON	SPEECH	D.C.	1000.00
5/22/86	CROWLEY MARITIME	AIR FARE, D.C. TO S.F. & RET.		(2017.09)
5/22/86	NAVY LEAGUE/PROPELLER CLUB,	SPEECH,	S.F.	500.00
6/13/86	C.P. NATIONAL	SPEECH	WALNUT CREEK	2000.00
6/16/86	PACIFIC TELECOM	SPEECH (AIR FARE PAID, 1354.00)	VANCOUVER,WASH.	2000.00
6/16/86	CAROON BLACK	SPEECH	SEATTLE	2000.00
6/16/86	MARITIME ALLIANCE	SPEECH	SEATTLE	2000.00
6/23/86	A.M.O.S.	SPEECH	D.C.	1500.00
10/18/86	ALASKA FEDERATION OF NATIVES,	SPEECH, GIFT		600.00
			TOTAL	=24,250.00
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			TOTAL	=22,530.00

DONATIONS TO NON-PROFIT ORGANIZATIONS:

500.00	KJNP - DONATION
50.00	KJNP - DONATION
570.00	LARRY SMITH TRUST FUND
600.00	RETURN OF GIFT FROM ALASKA FEDERATION OF NATIVES
1720.00	= TOTAL DONATIONS